



2011-12 NCAA®

# DIVISION III **MANUAL**

EFFECTIVE  
AUGUST 1, 2011

CONSTITUTION  
OPERATING BYLAWS  
ADMINISTRATIVE BYLAWS



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THE NATIONAL COLLEGIATE ATHLETIC ASSOCIATION

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Distributed to: directors of athletics; faculty athletics representatives; senior woman administrators; presidents or chancellors; conference commissioners; compliance officers; and provisional, affiliated and corresponding members.

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## DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. They also seek to establish and maintain an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

*(Revised: 1/10/95, 1/9/06 effective 8/1/06)*

To achieve this end, Division III institutions:

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels; *(Adopted: 1/16/10 effective 8/1/10)*
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance; *(Revised: 7/24/07)*
- (d) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;
- (e) Encourage participation by maximizing the number and variety of athletics opportunities for their students;
- (f) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (g) Assure that athletics participants are not treated differently from other members of the student body;
- (h) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission; *(Revised: 1/9/06 effective 8/1/06)*
- (i) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process; *(Adopted: 1/12/04 effective 8/1/04)*
- (j) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body; *(Adopted: 1/9/06 effective 8/1/06)*
- (k) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body; *(Adopted: 1/9/06 effective 8/1/06)*
- (l) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (m) Support ethnic and gender diversity for all constituents; *(Adopted: 1/12/99)*
- (n) Give primary emphasis to regional in-season competition and conference championships; and
- (o) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.



# User's Guide

## I. MANUAL FORMAT

### LARGE PAGE/LARGE TYPE

The 8½ x 11-inch page size and large type size facilitate effective and efficient use of the Manual.

### ARTICLE TABLE OF CONTENTS

At the beginning of each article is an abbreviated table of contents to assist the user in locating specific information within the article.

### GENERAL PRINCIPLES

General principles that are considered of particular importance in helping the user understand the rationale for the detailed regulations that follow are presented at the beginning of appropriate articles.

### DEFINITIONS AND APPLICATIONS

Following the general principles in most articles is a section in which definitions and applications are provided for a number of the more important words or terms used in that article.

### DECIMAL NUMBERING WITH TOPIC HEADINGS

The decimal numbering system provides efficiency and flexibility. Section headings, to at least the fourth subsection level, and for further subsections where appropriate, assist the user in identifying the section content, thus facilitating ready access to pertinent regulations. This system also facilitates a "hanging indentation" presentation, which helps the reader relate the subsections to the basic section.

### VOTING REQUIREMENTS

Symbols for voting requirements appear after each regulation. See page x for a complete explanation of all symbols.

### BLEED TABS

A "bleed tab" on each page helps the user turn to the desired article quickly.

#### CONSTITUTION, ARTICLE 4

### Organization

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#### 4.01 GENERAL PRINCIPLES

**4.01.1 Structure.** [\*] The Association's administrative structure shall include an Executive Committee comprised of institutional presidents or chancellors that oversees Association-wide issues and shall ensure that each division operates consistent with the basic purposes, fundamental policies and general principles of the Association (see Constitution 1 and 2). In addition, the administrative structure of each division shall empower a body of institutional presidents or chancellors to set forth the policies, rules and regulations for operating the division. Further, the administrative structure of each division shall empower a body of athletics administrators and faculty athletics representatives (and, in Division III, institutional presidents or chancellors) to make recommendations to the division's body of institutional presidents or chancellors and to handle responsibilities delegated to it. (Adopted: 1/9/96 effective 8/1/97; Revised: 10/3/05)

**4.01.2 Guarantees.** [\*] The Association's overall governance structure guarantees its members the following: (Adopted: 1/9/96 effective 8/1/97)

**4.01.2.1 Budget Allocations.** [\*] Members are guaranteed revenue through allocations made to each division from the Association's general operating revenue. Division II shall receive at least 4.37 percent of the Association's annual general operating revenue. Division III shall receive at least 3.18 percent of the Association's annual general operating revenue. (Adopted: 1/9/96 effective 8/1/97)

**4.01.2.1.1 General Operating Revenue.** [\*] General operating revenue, as used in this section, shall include at least all sources of revenue existing as of January 9, 1996, including revenue from contracts for these existing sources and revenue from any modified, extended or successor contract for such sources. (Adopted: 1/9/96 effective 8/1/97)

**4.01.2.2 Championships.** [\*] Members are guaranteed access to national championships. (Adopted: 1/9/96 effective 8/1/97)

**4.01.2.3 Membership Services.** [\*] Members are guaranteed services provided through the Association's national office at least at the level provided as of January 9, 1996 (e.g., membership services, statistics, research). (Adopted: 1/9/96 effective 8/1/97)

**4.01.2.4 Special Programs.** [\*] Members are guaranteed the continuation of Association programs operating at the time of the adoption of this legislation (e.g., the catastrophic-injury insurance program, the drug-addiction, members are guaranteed the continuation of Association programs that were CAA Council or Presidents Commission by the spring of 1995 and began operating after legislation. (Adopted: 1/9/96 effective 8/1/97)

#### 4.02 DEFINITIONS AND APPLICATIONS

**4.02.1 Association.** [\*] The "Association," as used in this Manual, refers to the National Collegiate Athletic Association, a division of the Association of four-year colleges and universities, conferences, and other educational institutions. (Adopted: 1/9/96 effective 8/1/97)

**4.02.2 Faculty Athletics Representative.** A faculty athletics representative is a member of an institution's faculty or administrative staff who is designated by the institution's president or chancellor or other representative of the institution and its faculty in the institution's relationships with the NCAA and its bylaws (see Constitution 6.1.3). (Revised: 10/3/05)

**4.02.3 "On the Staff."** On the staff, as it applies to individuals from member institutions or conferences who are eligible to serve on committees or as officers or representatives of the Association, is defined as those individuals who receive a regular salary from a member institution or organization for the performance of a regular staff function at least 50 percent of the normal workload for a staff member at that institution or conference. An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be

## II. ORGANIZATION OF ARTICLES IN THREE DISTINCT CATEGORIES

All regulations governing the administration of intercollegiate athletics appear in the bylaws. The constitution contains only principles for the conduct of intercollegiate athletics and other basic information.

### Constitution

Articles 1 through 6 are the constitution, which consists of information relevant to the purposes of the Association, its structure, its membership and legislative-process information, and the more important principles for the conduct of intercollegiate athletics.

- Article 1** Name, Purposes and Fundamental Policy
- Article 2** Principles for Conduct of Intercollegiate Athletics
- Article 3** NCAA Membership
- Article 4** Organization
- Article 5** Legislative Authority and Process
- Article 6** Institutional Control

### Operating Bylaws

Articles 10 through 21 are the operating bylaws, which consist of legislation adopted by the membership to promote the principles enunciated in the constitution and to achieve the Association's purposes.

- Article 10** Ethical Conduct
- Article 11** Conduct and Employment of Athletics Personnel
- Article 12** Amateurism
- Article 13** Recruiting
- Article 14** Eligibility: Academic and General Requirements
- Article 15** Financial Aid
- Article 16** Awards, Benefits and Expenses for Enrolled Student-Athletes
- Article 17** Playing and Practice Seasons
- Article 18** Championships
- Article 19** Enforcement
- Article 20** Division Membership
- Article 21** Committees

### Administrative Bylaws

Articles 31 and 32 are administrative bylaws, which set forth policies and procedures for the implementation of (a) the NCAA championships and the business of the Association and (b) the Association's enforcement program. These administrative bylaws may be adopted or modified by the Division III Presidents Council or Management Council (on recommendation of the Committee on Infractions, enforcement policies and procedures; and executive regulations) for the efficient administration of the activities that they govern. These same bylaws also may be amended by a majority vote of the membership at NCAA Conventions.

- Article 31** Executive Regulations
- Article 32** Enforcement Policies and Procedures

III. VOTING REQUIREMENTS FOR MANUAL

The Manual attempts to present all regulations on a given subject in logical order. As a result, different paragraphs in the same sections may carry different voting requirements. The following terms designate voting requirements currently in effect for sections in the Manual:

- **Dominant provision** — Legislation that is derived from the constitution in the 1988-89 Manual (the Manual format that was employed until the membership approved the revised format at the 1989 Convention). All such legislation is identified by an asterisk **[\*]** and requires a two-thirds majority vote of the total membership (present and voting) for adoption or amendment.
- **Common provision** — Legislation that is derived from the common bylaws (9, 10, 12 and 13) in the 1988-89 Manual. All such legislation is identified by a pound sign **[#]** and requires a majority vote of each of the three divisions, voting separately, for adoption or amendment.
- **General provision** — Legislation that applies to all three divisions and that requires a simple majority vote of all divisions, voting jointly, for adoption or amendment (e.g., enforcement procedures, some executive regulations). Such legislation is identified by a plus sign **[+]**.
- **Division dominant** — A division dominant provision is one that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division’s annual or special Convention. Division dominant provisions are identified by a diamond symbol **[◆]**.

Note: The authorization for adoption and amendment of each of the administrative bylaws (31 and 32) is set forth in a note at the beginning of each of those bylaws, as provided in Constitution 5.2.3.1, 5.2.3.2 and 5.2.3.3.

Amendments to existing sections of the Manual have the same voting requirement as the section does now. The Executive Committee is authorized to establish the voting requirement for any new section when the content or context does not clearly determine it. The authorization for this is set forth in Constitution 5.3.8.1.

Symbols Designating Voting Requirements	
Provision	Symbol
Dominant	*
Common	#
General	+
Division dominant	◆

IV. DE MINIMIS AND RESTITUTION VIOLATIONS

- **De Minimis** — Violations of articles designated by a capital letter D in brackets and bold font **[D]** at the end of the legislative language shall be considered institutional violations per Constitution 2.8.1; however, the involved prospective student-athlete’s or student-athlete’s eligibility shall not be affected.
- **Restitution** — For violations of articles designated by a capital letter R in brackets and bold font **[R]** at the end of the legislative language, if the value of the benefit provided to the individual (prospective or enrolled student-athlete) is \$100 or less, the eligibility of the individual shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of such provisions remain institutional violations per Constitution 2.8.1, and documentation of the individual’s repayment shall be forwarded to the enforcement services staff with the institution’s self-report of the violation.

## V. DIAGRAMS AND TABLES

Diagrams and tables are included as supplements to the text to help present the content of certain regulations in a clear and concise manner. They are presented as “Figures” and are listed on page vi. All diagrams and tables related to a given article of the constitution or a particular bylaw have been placed at the back of the article or bylaw.

### EXAMPLE

**FIGURE 20-1**  
**Institutional Requirements for Sports Sponsorship**

Sports Sponsorship: Number of Sports	For institutions with enrollment of less than 1,000 students.	For institutions with enrollment of more than 1,000 students.
All-Male or Mixed-Team Sports	5	6
All-Female Sports	5	6
Minimum Number of Team Sports	3-All male/mixed 3-All female	3-All male/mixed 3-All female

## VI. LEGISLATION THAT IS SHADED/SCREENED

Legislation that was adopted by the 2011 Convention is set off by a gray background and contains the date of adoption or revision. Incorporations of interpretations, noncontroversial amendments and modifications of wording approved by the Council are set off by a gray background and include an adoption or revision date.

### EXAMPLE

**12.1.1.1 Exception -- Designation of Earned Fundraising Money.** An institution may designate specific funds earned by a student-athlete through institutional fundraising activities provided all of the following conditions are satisfied: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) Designated funds do not exceed the student-athlete’s actual and necessary expenses (e.g., transportation, uniforms);
- (b) Any funds that exceed a student-athlete’s actual and necessary expenses (e.g., transportation, uniforms) must be applied to the general team or institutional fund and cannot be designated for any specific student-athlete;
- (c) All designated funds must be deposited with the institution to administer; and
- (d) Any unearned funds must be deposited into the general team or institutional fund and cannot be designated for any student-athlete.

## VII. NOTATION OF LEGISLATION WITH DELAYED EFFECTIVE DATE

Legislation with a delayed effective date (e.g., adopted by the 2011 Convention, effective August 1, 2012) is enclosed in a box and set off by a gray background. The first item in each box is a notation of the action taken at a Convention and the date the amendment becomes effective. It will be shaded. The legislation currently applicable appears before the delayed legislation and does not have a gray background. The legislation as amended, scheduled to take effect at a later date, is next and is set off by a gray background. Because this Manual is effective August 1, 2011, the only legislation that will be shown in this manner is that which is to be effective August 1, 2012, or later.

### EXAMPLE

**The following Bylaw 3.3.1.2 was revised October 19, 2010, effective September 1, 2012.**

**3.3.1.2 Composition of Conference.** All of the members of the conference shall be active members of this Association, except that a conference with at least six active members also may qualify as a member conference if it contains one or more provisional members. *(Revised: 1/11/94 effective 9/2/94, 1/12/99)*

**3.3.1.2 Composition of Conference.** All of the members of the conference shall be active members of this Association, except that a conference with at least seven active members also may qualify as a member conference if it contains one or more provisional members. *(Revised: 1/11/94 effective 9/2/94, 1/12/99, 10/19/10 effective 9/1/12 for conferences elected September 1, 2012, and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

## VII. ORGANIZATION OF THE NCAA MANUAL

Divisions I, II and III each have a master manual containing Articles 1-32. Each division's manual contains legislation specific to the applicable division and does not contain legislation pertaining only to one or both of the other divisions. However, legislation that includes references to one or both of the other divisions will appear in its entirety. In addition, since each division's manual does not contain legislation specific to the other division(s), some bylaws may have gaps in the numbering sequence.

<b>MASTER MANUAL</b>		
<b>Article 1</b>	Name, Purposes and Fundamental Policy	<b>CONSTITUTION</b>
<b>Article 2</b>	Principles for Conduct of Intercollegiate Athletics	
<b>Article 3</b>	NCAA Membership	
<b>Article 4</b>	Organization	
<b>Article 5</b>	Legislative Authority and Process	
<b>Article 6</b>	Institutional Control	
<b>Article 10</b>	Ethical Conduct	<b>OPERATING BYLAWS</b>
<b>Article 11</b>	Conduct and Employment of Athletics Personnel	
<b>Article 12</b>	Amateurism	
<b>Article 13</b>	Recruiting	
<b>Article 14</b>	Eligibility: Academic and General Requirements	
<b>Article 15</b>	Financial Aid	
<b>Article 16</b>	Awards, Benefits and Expenses for Enrolled Student-Athletes	
<b>Article 17</b>	Playing and Practice Seasons	
<b>Article 18</b>	Championships and Postseason Football	
<b>Article 19</b>	Enforcement	
<b>Article 20</b>	Division Membership	
<b>Article 21</b>	Committees	
<b>Article 31</b>	Executive Regulations	<b>ADMIN. BYLAWS</b>
<b>Article 32</b>	Enforcement Policies and Procedures	

CONSTITUTION, ARTICLE 1

# Name, Purposes and Fundamental Policy

---

1.1	Name .....	1
1.2	Purposes .....	1
1.3	Fundamental Policy .....	1

---

## 1.1 NAME [\*]

The name of this organization shall be “The National Collegiate Athletic Association.”

## 1.2 PURPOSES [\*]

The purposes of this Association are:

- (a) To initiate, stimulate and improve intercollegiate athletics programs for student-athletes and to promote and develop educational leadership, physical fitness, athletics excellence and athletics participation as a recreational pursuit;
- (b) To uphold the principle of institutional control of, and responsibility for, all intercollegiate sports in conformity with the constitution and bylaws of this Association;
- (c) To encourage its members to adopt eligibility rules to comply with satisfactory standards of scholarship, sportsmanship and amateurism;
- (d) To formulate, copyright and publish rules of play governing intercollegiate athletics;
- (e) To preserve intercollegiate athletics records;
- (f) To supervise the conduct of, and to establish eligibility standards for, regional and national athletics events under the auspices of this Association;
- (g) To cooperate with other amateur athletics organizations in promoting and conducting national and international athletics events;
- (h) To legislate, through bylaws or by resolutions of a Convention, upon any subject of general concern to the members related to the administration of intercollegiate athletics; and
- (i) To study in general all phases of competitive intercollegiate athletics and establish standards whereby the colleges and universities of the United States can maintain their athletics programs on a high level.

## 1.3 FUNDAMENTAL POLICY [\*]

**1.3.1 Basic Purpose. [\*]** The competitive athletics programs of member institutions are designed to be a vital part of the educational system. A basic purpose of this Association is to maintain intercollegiate athletics as an integral part of the educational program and the athlete as an integral part of the student body and, by so doing, retain a clear line of demarcation between intercollegiate athletics and professional sports.

**1.3.2 Obligations of Member Institutions. [\*]** Legislation governing the conduct of intercollegiate athletics programs of member institutions shall apply to basic athletics issues such as admissions, financial aid, eligibility and recruiting. Member institutions shall be obligated to apply and enforce this legislation, and the enforcement procedures of the Association shall be applied to an institution when it fails to fulfill this obligation.



# Principles for Conduct of Intercollegiate Athletics

2.01	General Principle .....	3	2.9	The Principle of Amateurism .....	4
2.1	The Principle of Institutional Control and Responsibility .....	3	2.10	The Principle of Competitive Equity .....	5
2.2	The Principle of Student-Athlete Well-Being .....	3	2.11	The Principle Governing Recruiting .....	5
2.3	The Principle of Gender Equity .....	4	2.12	The Principle Governing Eligibility .....	5
2.4	The Principle of Sportsmanship and Ethical Conduct .....	4	2.13	The Principle Governing Financial Aid .....	5
2.5	The Principle of Sound Academic Standards .....	4	2.14	The Principle Governing Playing and Practice Seasons .....	5
2.6	The Principle of Nondiscrimination .....	4	2.15	The Principle Governing Postseason Competition and Contests Sponsored by Noncollegiate Organizations .....	5
2.7	The Principle of Diversity within Governance Structures .....	4	2.16	The Principle Governing the Economy of Athletics Program Operation .....	5
2.8	The Principle of Rules Compliance .....	4			

## 2.01 GENERAL PRINCIPLE [\*]

Legislation enacted by the Association governing the conduct of intercollegiate athletics shall be designed to advance one or more basic principles, including the following, to which the members are committed. In some instances, a delicate balance of these principles is necessary to help achieve the objectives of the Association.

## 2.1 THE PRINCIPLE OF INSTITUTIONAL CONTROL AND RESPONSIBILITY

**2.1.1 Responsibility for Control. [\*]** It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. The institution's president or chancellor is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures. *(Revised: 10/3/05)*

**2.1.2 Scope of Responsibility. [\*]** The institution's responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the institution.

## 2.2 THE PRINCIPLE OF STUDENT-ATHLETE WELL-BEING [\*]

Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational well-being of student-athletes. *(Revised: 4/8/05)*

**2.2.1 Overall Educational Experience. [\*]** It is the responsibility of each member institution to establish and maintain an environment in which a student-athlete's activities are conducted as an integral part of the student-athlete's educational experience. *(Adopted: 1/10/95)*

**2.2.2 Cultural Diversity and Gender Equity. [\*]** It is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and gender equity among its student-athletes and intercollegiate athletics department staff. *(Adopted: 1/10/95)*

**2.2.3 Health and Safety. [\*]** It is the responsibility of each member institution to protect the health of and provide a safe environment for each of its participating student-athletes. *(Adopted: 1/10/95)*

**2.2.4 Student-Athlete/Coach Relationship. [\*]** It is the responsibility of each member institution to establish and maintain an environment that fosters a positive relationship between the student-athlete and coach. *(Adopted: 1/10/95)*

**2.2.5 Fairness, Openness and Honesty. [\*]** It is the responsibility of each member institution to ensure that coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes. *(Adopted: 1/10/95)*

**2.2.6 Student-Athlete Involvement. [\*]** It is the responsibility of each member institution to involve student-athletes in matters that affect their lives. *(Adopted: 1/10/95)*



## **2.3 THE PRINCIPLE OF GENDER EQUITY**

**2.3.1 Compliance With Federal and State Legislation. [\*]** It is the responsibility of each member institution to comply with federal and state laws regarding gender equity. *(Adopted: 1/11/94)*

**2.3.2 NCAA Legislation. [\*]** The Association should not adopt legislation that would prevent member institutions from complying with applicable gender-equity laws, and should adopt legislation to enhance member institutions' compliance with applicable gender-equity laws. *(Adopted: 1/11/94)*

**2.3.3 Gender Bias. [\*]** The activities of the Association should be conducted in a manner free of gender bias. *(Adopted: 1/11/94)*

## **2.4 THE PRINCIPLE OF SPORTSMANSHIP AND ETHICAL CONDUCT [\*]**

For intercollegiate athletics to promote the character development of participants, to enhance the integrity of higher education and to promote civility in society, student-athletes, coaches, and all others associated with these athletics programs and events should adhere to such fundamental values as respect, fairness, civility, honesty and responsibility. These values should be manifest not only in athletics participation but also in the broad spectrum of activities affecting the athletics program. It is the responsibility of each institution to: *(Revised: 1/9/96)*

- (a) Establish policies for sportsmanship and ethical conduct in intercollegiate athletics consistent with the educational mission and goals of the institution; and *(Adopted: 1/9/96)*
- (b) Educate, on a continuing basis, all constituencies about the policies in 2.4-(a). *(Adopted: 1/9/96)*

## **2.5 THE PRINCIPLE OF SOUND ACADEMIC STANDARDS [\*]**

Intercollegiate athletics programs shall be maintained as a vital component of the educational program, and student-athletes shall be an integral part of the student body. The admission, academic standing and academic progress of student-athletes shall be consistent with the policies and standards adopted by the institution for the student body in general.

## **2.6 THE PRINCIPLE OF NONDISCRIMINATION [\*]**

The Association shall promote an atmosphere of respect for and sensitivity to the dignity of every person. It is the policy of the Association to refrain from discrimination with respect to its governance policies, educational programs, activities and employment policies, including on the basis of age, color, disability, gender, national origin, race, religion, creed or sexual orientation. It is the responsibility of each member institution to determine independently its own policy regarding nondiscrimination. *(Adopted: 1/16/93, Revised: 1/11/00)*

## **2.7 THE PRINCIPLE OF DIVERSITY WITHIN GOVERNANCE STRUCTURES [\*]**

The Association shall promote diversity of representation within its various divisional governance structures and substructures. Each divisional governing body must assure gender and ethnic diversity among the membership of the bodies in the division's administrative structure. *(Adopted: 1/9/96 effective 8/1/97)*

## **2.8 THE PRINCIPLE OF RULES COMPLIANCE**

**2.8.1 Responsibility of Institution. [\*]** Each institution shall comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. It shall monitor its programs to assure compliance and to identify and report to the Association instances in which compliance has not been achieved. In any such instance, the institution shall cooperate fully with the Association and shall take appropriate corrective actions. Members of an institution's staff, student-athletes, and other individuals and groups representing the institution's athletics interests shall comply with the applicable Association rules, and the member institution shall be responsible for such compliance.

**2.8.2 Responsibility of Association. [\*]** The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance.

**2.8.3 Penalty for Noncompliance. [\*]** An institution found to have violated the Association's rules shall be subject to such disciplinary and corrective actions as may be determined by the Association.

## **2.9 THE PRINCIPLE OF AMATEURISM [\*]**

Student-athletes shall be amateurs in an intercollegiate sport, and their participation should be motivated primarily by education and by the physical, mental and social benefits to be derived. Student participation in intercollegiate athletics is an avocation, and student-athletes should be protected from exploitation by professional and commercial enterprises.

## **2.10 THE PRINCIPLE OF COMPETITIVE EQUITY [\*]**

The structure and programs of the Association and the activities of its members shall promote opportunity for equity in competition to assure that individual student-athletes and institutions will not be prevented unfairly from achieving the benefits inherent in participation in intercollegiate athletics.

## **2.11 THE PRINCIPLE GOVERNING RECRUITING [\*]**

The recruiting process involves a balancing of the interests of prospective student-athletes, their educational institutions and the Association's member institutions. Recruiting regulations shall be designed to promote equity among member institutions in their recruiting of prospective student-athletes and to shield them from undue pressures that may interfere with the scholastic or athletics interests of the prospects or their educational institutions.

## **2.12 THE PRINCIPLE GOVERNING ELIGIBILITY [\*]**

Eligibility requirements shall be designed to assure proper emphasis on educational objectives, to promote competitive equity among institutions and to prevent exploitation of student-athletes.

## **2.13 THE PRINCIPLE GOVERNING FINANCIAL AID [\*]**

A student-athlete may receive athletically related financial aid administered by the institution without violating the principle of amateurism, provided the amount does not exceed the cost of education authorized by the Association; however, such aid as defined by the Association shall not exceed the cost of attendance as published by each institution. Any other financial assistance, except that received from one upon whom the student-athlete is naturally or legally dependent, shall be prohibited unless specifically authorized by the Association. *(Revised: 1/9/96)*

## **2.14 THE PRINCIPLE GOVERNING PLAYING AND PRACTICE SEASONS [\*]**

The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their opportunities for acquiring a quality education in a manner consistent with that afforded the general student body.

## **2.15 THE PRINCIPLE GOVERNING POSTSEASON COMPETITION AND CONTESTS SPONSORED BY NONCOLLEGIATE ORGANIZATIONS [\*]**

The conditions under which postseason competition occurs shall be controlled to assure that the benefits inherent in such competition flow fairly to all participants, to prevent unjustified intrusion on the time student-athletes devote to their academic programs, and to protect student-athletes from exploitation by professional and commercial enterprises.

## **2.16 THE PRINCIPLE GOVERNING THE ECONOMY OF ATHLETICS PROGRAM OPERATION [\*]**

Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience.



# NCAA Membership

3.01	General Principles .....	7	3.3	Member Conference .....	13
3.02	Definitions and Applications .....	7	3.4	Affiliated Membership .....	16
3.1	Eligibility for Membership .....	8	3.6	Provisional Membership .....	17
3.2	Active Membership .....	9	3.7	Dues of Members .....	18

## 3.01 GENERAL PRINCIPLES

**3.01.1 Classes of Membership.** The NCAA offers four classes of membership: active, conference, affiliated and provisional. Eligibility for and method of election to membership, obligations and conditions for continuing membership, voting rights, and other membership privileges for each class are defined in this article. *(Revised: 1/11/94 effective 9/2/94, 1/15/11 effective 8/1/11)*

**3.01.2 Division Membership.** Active and conference members of the NCAA may be divided into divisions for purposes of bylaw legislation and competition in NCAA championships. Criteria for membership in these divisions are defined in Bylaw 20.

**3.01.3 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures, as well as to possible reclassification.

**3.01.4 Assignment to Geographical Areas.** Active and conference members are assigned to geographical areas for the purpose of representation on the Management Council, Presidents Council and various committees, as well as to facilitate the work of the Association. Geographical districts and regions are defined in Constitution 4.13.

**3.01.5 Termination or Suspension of Membership.** All rights and privileges of a member shall cease immediately upon termination or suspension of its membership.

## 3.02 DEFINITIONS AND APPLICATIONS

**3.02.1 Competitive Body.** A competitive body is an athletics conference that conducts competition among its member institutions and determines a conference champion in one or more sports.

**3.02.2 Legislative Body.** A legislative body is an athletics conference that develops and maintains rules and regulations governing the athletics programs and activities of its member institutions.

### 3.02.3 Membership Categories.

**3.02.3.1 Active Member.** An active member is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of this article (see Constitution 3.2.3). Active members have the right to compete in NCAA championships, to vote on legislation and other issues before the Association, and to enjoy other privileges of membership designated in the constitution and bylaws of the Association.

**3.02.3.1.1 Athletics Consortium.** An athletics consortium consists of one member institution and neighboring member or nonmember institutions (but not more than one nonmember institution), recognized and approved by a two-thirds vote of the Management Council (based upon recommendation of the Membership Committee). The student-athletes of the combined institutions are permitted to compete on the NCAA member institution's athletics teams, provided they meet the eligibility requirements of the NCAA and the member institution (see Constitution 3.1.2).

#### 3.02.3.1.2 Membership Classification Status.

**3.02.3.1.2.1 Probation.** Probation is a membership classification status assigned to an institution that indicates that an institution's membership is not in good standing. The status of probation serves as a warning that certain conditions and obligations of membership have not been satisfied and failure to correct such deficiencies shall result in the institution's reclassification to the category of restricted membership. During the probationary year, the institution shall be required to complete an athletics program assessment using a form approved by the Membership Committee and shall also be provided with the guiding principles to establish a model Division III athletics program. An institutional staff member(s) may also be required to attend an NCAA Regional Rules Seminar. An institution does not

lose membership benefits as a result of being placed on probation. *(Revised: 1/9/06, 1/8/07 effective 8/1/07, for any application of membership penalties [probation or restricted membership status] based on the sports sponsorship audit shall be based on information reported for the 2006-07 year and beyond, 4/11/11)*

**3.02.3.1.2.2 Restricted.** Restricted membership is a membership classification status assigned to an institution that fails to comply with the minimum requirements of its division (e.g., sports sponsorship) and has failed during its period of probation to rectify such deficiencies as identified by the Division III Membership Committee or Committee on Infractions. An institution placed in restricted membership shall lose eligibility for a number of membership privileges, including, but not limited to, championships eligibility, voting privileges and Division III grant and initiative funding while in this status (see Bylaw 20.2.5.2.1). The institution must comply with all requirement(s) involved with the associated penalty period. Failure to comply shall result in immediate forfeiture of membership in the Association. If the institution is placed in restricted membership without having served a probationary year, the institution shall be required to complete an athletics program assessment using a form approved by the Membership Committee and shall also be provided with the guiding principles to establish a model Division III athletics program. *(Revised: 1/9/06, 4/20/06, 1/8/07 effective 8/1/07, 7/20/10, 10/19/10 effective 8/1/11, 1/15/11 effective 8/1/11)*

**3.02.3.2 Provisional Member.** A provisional member is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and that has applied for active membership in the Association. Provisional membership is a prerequisite for active membership in the Association. The institution shall be elected to provisional membership under the provisions of this article (see Constitution 3.6.3). Provisional members shall receive all publications and mailings received by active members in addition to other privileges designated in the constitution and bylaws of the Association. For specific requirements, see Bylaw 20.3. *(Adopted: 1/11/94 effective 9/2/94, Revised: 5/4/06)*

**3.02.3.3 Member Conference.** A member conference is a group of colleges and/or universities that conducts competition among its members and determines a conference champion in one or more sports (in which the NCAA conducts championships or for which it is responsible for providing playing rules for intercollegiate competition), duly elected to conference membership under the provisions of this article (see Constitution 3.3.3). A member conference is entitled to all of the privileges of active members except the right to compete in NCAA championships (see Constitution 3.3.2). Only those conferences that meet specific criteria as competitive and legislative bodies (see Constitution 3.02.1 and 3.02.2) and minimum standards related to size and division status (see Constitution 3.3.2.2.2) are permitted to vote on legislation or other issues before the Association. *(Revised: 10/27/05)*

**3.02.3.4 Affiliated Member.** An affiliated member is a coaches or sports association whose function and purpose are directly related to one or more sports in which the NCAA conducts championships or an emerging sport for women, or an association consisting of college/university administrators who have a direct relationship to either the NCAA or the membership, duly elected to affiliated membership under the provisions of this article (see Constitution 3.4.3). An affiliated member is entitled to be represented by one nonvoting delegate at any NCAA Convention and enjoys other privileges as designated in the bylaws of the Association (see Constitution 3.4.2). *(Revised: 1/11/97 effective 8/1/97, 1/15/11 effective 8/1/11)*

## 3.1 ELIGIBILITY FOR MEMBERSHIP

**3.1.1 General.** Membership is available to colleges, universities, athletics conferences or associations and other groups that are related to intercollegiate athletics that have acceptable academic standards (as defined in Constitution 3.2.3.2), and that are located in the U.S., its territories or possessions. Such institutions or organizations must accept and observe the principles set forth in the constitution and bylaws of the Association.

**3.1.2 Athletics Consortiums.** The Management Council, by a two-thirds majority of its members present and voting, may approve an athletics consortium involving a member institution and neighboring member or nonmember institutions, but not more than one nonmember institution, to permit the student-athletes of the combined institutions to compete on the member institution's intercollegiate athletics teams, provided the student-athletes satisfy the eligibility requirements of the member institution and the NCAA. The Management Council shall develop and publish appropriate criteria to be applied to such consortiums. *(Revised: 4/13/10)*

**3.1.2.1 General Policy.** In general, a consortium shall be approved on the basis of existing academic considerations with the understanding that there shall be no change in the basic recruitment, enrollment or financial aid policies of the involved institutions as a result of such approval.

**3.1.2.2 Combining Entire Athletics Programs.** The institutions shall combine their entire athletics programs, and the consortium shall not be formed on a sport-by-sport basis.

**3.1.2.3 Conference Approval.** An institution that belongs to an NCAA member conference first shall receive approval of its conference before instituting a consortium for its intercollegiate athletics program. If more than one institution holds such conference membership, all such conferences shall approve the consortium.

**3.1.2.4 Eligibility Requirements for Student-Athletes.** Participating student-athletes shall meet all eligibility requirements of the member institution(s), the athletics conference(s) involved and the NCAA. The member institution(s) shall certify the eligibility of all student-athletes under those rules.

**3.1.2.5 Financial Assistance to Student-Athletes.** Within a consortium:

- (a) Each institution shall be responsible for the financial assistance awarded to its student-athletes. The financial arrangement between or among the institutions for the exchange of funds to cover the academic costs of student-athletes who take part in the exchange program shall apply to student-athletes in the same manner as it applies to those students not participating in the intercollegiate athletics program;
- (b) One institution may not provide a scholarship or any other form of financial aid to a student-athlete enrolled in another institution or transmit a scholarship or gift aid to another institution to be used by one or more of its student-athletes; and
- (c) Financial aid limitations as set forth in Bylaw 15 shall be applicable to the consortium as one entity and shall include all countable student-athletes, regardless of the institution in which they are enrolled.

**3.1.2.6 Length of Approval.** NCAA approval shall be for a four-academic-year period, at the end of which the institutions shall submit a report on the program setting forth its effect upon their academic and athletics operations. *(Revised: 8/4/89)*

**3.1.2.7 NCAA Division Membership.** The institutions may be members of different NCAA divisions but shall select one division for legislative and competitive purposes.

**3.1.2.8 NCAA Member Involvement.** At least one of the institutions shall be a member of the NCAA, and not more than one nonmember institution shall be included.

**3.1.2.9 NCAA Membership Application.** The institutions shall apply for NCAA membership as a consortium and shall be considered as one member of the Association, with their combined names included on the official NCAA membership records. *(Revised: 12/5/06)*

**3.1.2.10 Prior Academic Consortium Relationship.** The institutions shall have had a prior academic consortium relationship.

**3.1.2.11 Recruitment.** It is permissible for one institution to recruit prospective student-athletes with a view to their possible enrollment at another institution in the consortium, provided the individuals qualify for admission to that institution and the athletics interests of the member institution are not involved, directly or indirectly, in influencing the admission or award of financial assistance.

## 3.2 ACTIVE MEMBERSHIP

### 3.2.1 Eligibility Requirements.

**3.2.1.1 Types of Institutions.** Active membership is available to four-year colleges and universities and two-year upper-level collegiate institutions, accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of Constitution 3.2.3.

**3.2.1.2 Compliance With Association Rules.** The institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

**3.2.1.3 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play.

### 3.2.2 Privileges.

**3.2.2.1 Active Members.** Active members shall be entitled to all of the privileges of membership under the constitution and bylaws of the Association and all privileges incidental thereto. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.

**3.2.2.2 Use of Association's Registered Marks.** Active members may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

### 3.2.3 Election Procedures.

**3.2.3.1 Application.** An institution desiring to become an active member of this Association shall complete a provisional membership period (see Constitution 3.6 and Bylaw 20.3). After it has been determined that the institution has met the requirements of provisional membership, its request for active membership will be referred to the Management Council for election. *(Revised: 1/11/94 effective 9/2/94, 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97, 5/4/06)*

**3.2.3.2 Accreditation and Division Classification.** After it has been determined that the institution meets the Association's requirement of acceptable academic standards (i.e., the institution is accredited by one of the six regional accrediting agencies), the application shall be considered with regard to requested membership division and sport classification in accordance with Bylaw 20. The application then shall be referred to the Management Council for consideration.

**3.2.3.3 Election.** The Management Council, on the recommendation from the Membership Committee, shall elect the applicant to membership effective the following September 1, the beginning of practice in any sport for that fall term or the first day of classes for that fall term, whichever occurs first. When the vote of the Management Council has been completed, the applicant shall be notified. (*Adopted: 1/14/02*)

**3.2.3.4 Resignation and Re-Election to Membership.** If an institution resigns its membership and subsequently applies to re-establish its membership, the institution first shall complete a provisional membership period (see Constitution 3.6 and Bylaw 20.3) before such institution becomes eligible for re-election as an active member. (*Revised: 1/11/94 effective 9/2/94, 9/10/04, 5/4/06*)

### **3.2.4 Conditions and Obligations of Membership.**

**3.2.4.1 General.** The active members of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

**3.2.4.2 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures, as well as to possible reclassification.

**3.2.4.3 Certification of Eligibility/Declaration of Ineligibility.** The active member is responsible for certifying the eligibility of student-athletes under the terms of the constitution, bylaws or other legislation of the Association before permitting a student-athlete to represent the institution in intercollegiate competition. Procedures for eligibility certification shall be approved by the president or chancellor, who may designate an individual on the institution's staff to administer proper certification of eligibility. The institution shall be obligated immediately to apply all applicable rules and withhold ineligible student-athletes from all intercollegiate competition (see Bylaw 14.11). See Bylaw 14.12 for procedures regarding restoration of eligibility. (*Revised: 10/3/05*)

**3.2.4.4 Application of Rules to All Recognized Varsity Sports.** The constitution, bylaws and other legislation of this Association, unless otherwise specified therein, shall apply to all teams in sports recognized by the member institution as varsity intercollegiate sports and that involve all-male teams, mixed teams of males and females, and all-female teams. To be recognized as a varsity sport, the following conditions must be met:

- (a) The sport shall be one in which the Association conducts championships, except as provided in Bylaw 20.11.3.4.1 (waiver) or an emerging sport for women per Bylaw 20.02.6; (*Revised: 1/11/89, 1/11/94, 1/10/95, 10/19/10 effective 8/1/11*)
- (b) The sport officially shall have been accorded varsity status by the institution's president or chancellor or committee responsible for intercollegiate athletics; (*Revised: 10/3/05*)
- (c) The sport is administered by the department of intercollegiate athletics;
- (d) The eligibility of student-athletes participating in the sport shall be reviewed and certified by a staff member designated by the institution's president or chancellor or committee responsible for intercollegiate athletics policy; and (*Revised: 10/3/05*)
- (e) Qualified participants in the sport shall receive the institution's official varsity awards.

**3.2.4.4.1 Intent to Sponsor a Varsity Sport.** Once an institution evidences an intent or commitment to sponsor a sport on a varsity level (e.g., official announcement that competition will be conducted on a varsity basis, employment of individuals to coach the varsity team), the institution must begin applying NCAA recruiting regulations to the applicable sport. (*Adopted: 1/14/97*)

**3.2.4.5 Student-Athlete Statement.** The active member shall administer annually, on a form prescribed by the Management Council, a signed statement for each student-athlete that provides information prescribed in Bylaws 14.1.3.

**3.2.4.5.1 Administrative Requirements.** The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee before the student-athlete's participation in intercollegiate competition each academic year. The athletics director and head coach in the sport shall sign the affirmation of eligibility form. The statement shall be kept on file in the office of the athletics director, and such file shall be available for examination upon request by an authorized representative of the NCAA. (*Revised: 4/11/06*)

**3.2.4.6 Drug-Testing Consent Form.** The active member shall administer annually, on a form prescribed by the Management Council, a signed drug-testing consent form for each student-athlete per Bylaw 14.1.4. See Bylaw 12.02.5 for a definition of student-athlete. (*Adopted: 1/10/92 effective 8/1/92, Revised: 4/13/10*)

**3.2.4.6.1 Administrative Requirements.** The consent form shall be administered individually to each student-athlete before the student-athlete's participation in intercollegiate competition each academic year. Failure to complete and sign the consent form before such competition shall result in the student-athlete's ineligibility for practice and competition in all intercollegiate athletics. The consent form shall be kept on file in the office of the director of athletics, and such file shall be available for examination on request by an authorized representative of the NCAA (see Bylaw 14.1.4.1). (*Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93*)

**3.2.4.7 Student-Athlete Health Insurance Portability and Accountability Act (HIPAA) Authorization/Buckley Amendment Consent Form—Disclosure of Protected Health Information.** The active member institution shall administer annually, on a form prescribed by the Management Council, a statement for

each student-athlete to voluntarily sign that provides information prescribed in Bylaw 14.1.6. *(Adopted: 4/15/03, Revised: 7/22/03 effective 8/1/04)*

**3.2.4.7.1 Administrative Requirements.** The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee before the student-athlete's participation in intercollegiate athletics each academic year. The authorization/consent by the student-athlete is voluntary and is not required for the student-athlete to be eligible to participate. Any signed statement(s) shall be kept on file in the office of the athletics director. *(Revised: 1/12/04 effective 8/1/04)*

**3.2.4.8 Certification of Insurance Coverage.** An active member institution must certify insurance coverage for medical expenses resulting from athletically related injuries sustained by a student-athlete participating in the covered event (see Constitution 3.2.4.8.3) in an intercollegiate sport as recognized by the participating institution. **[D]** *(Adopted: 1/12/04 effective 8/1/05, Revised: 1/9/06)*

**3.2.4.8.1 Source of Insurance.** Such insurance coverage must be of equal or greater value than the deductible of the NCAA catastrophic injury insurance and may be provided through the following sources: **[D]** *(Adopted: 1/12/04 effective 8/1/05)*

- (a) Parents' or guardians' insurance coverage;
- (b) Participant's personal insurance coverage; or
- (c) Institution's insurance program.

**3.2.4.8.2 Athletically Related Injuries.** For purposes of this bylaw, athletically related injuries are injuries that are a direct result of participation in a covered event (see Constitution 3.2.4.8.3). *(Adopted: 1/12/04 effective 8/1/05)*

**3.2.4.8.3 Covered Event.** A covered event includes the following: *(Adopted: 1/12/04 effective 8/1/05)*

- (a) Any intercollegiate sports activity, including team travel, competition, practices and conditioning sessions during the playing season (as defined in Bylaw 17.1.1);
- (b) An NCAA-sanctioned competition in which the insured person (i.e., student-athlete) is an official competitor; or
- (c) Practice or conditioning sessions that are authorized, organized or directly supervised by the athletics department personnel at the member institution other than during the playing season. Such sessions must occur on campus or at approved off-campus facilities as part of an intercollegiate athletics activity. For insured student-athletes who compete in individual sports, off-campus intercollegiate athletics activities must be authorized by athletics department personnel at the participating school and take place at approved locations.

**3.2.4.9 Standards.** Active members agree to establish and maintain high standards of personal honor, eligibility and fair play.

**3.2.4.10 Discipline of Members.** In accordance with directions of the Management Council or the annual Convention, active members shall refrain from athletics competition with designated institutions as required under the provisions of the Association's enforcement procedures (see Bylaw 19).

**3.2.4.11 Publication of Satisfactory-Progress Requirements.** Active members are obligated to publish their satisfactory-progress requirements for student-athletes (see Bylaw 14.4.1).

**3.2.4.12 Missed Class-Time Policies.** Active members are obligated to establish policies in all sports concerning student-athletes' missed class time due to participation in intercollegiate athletics and in athletics competition scheduled during final examination periods (see Bylaw 17.1.4).

**3.2.4.13 Compliance-Related Forms.** A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless its president or chancellor makes an annual institutional eligibility certification [see Bylaw 31.2.1-(d)] attesting that the conditions specified have been satisfied. *(Adopted: 1/10/95, Revised: 10/3/05)*

**3.2.4.14 Reporting Secondary Violations.** An institution shall submit secondary violations (Level I and/or Level II) to the institution's conference office or the NCAA enforcement staff as they are discovered or submit annual confirmation that the institution did not commit any secondary violations. *(Adopted: 1/10/05, Revised: 4/11/06)*

**3.2.4.15 Convention and Regional Rules Seminar Attendance.** Each year, an active member institution must be represented by an institutional staff member who is certified as a voting delegate (see Constitution 5.1.3.4) and who votes for his or her institution at the NCAA Convention Division III business session. At least once every three years, an active member institution must be represented by at least one institutional staff member at the NCAA Regional Rules Seminar. *(Adopted: 1/8/07 effective 8/1/07, for any application of membership penalties [probation or restricted membership status] based on the sports sponsorship audit shall be based on information reported for the 2006-07 year and beyond, Revised: 4/11/11)*



### **3.2.4.15.1 Failure to Meet Any Portion of Convention or Regional Rules Seminar Attendance Requirement.**

**3.2.4.15.1.1 Penalty Period.** A penalty period of not less than three academic years shall apply to an institution that fails to meet any portion of the Convention or Regional Rules Seminar attendance requirement subject to the conditions set forth in this bylaw. The penalty period begins once a first failure (see Bylaw 3.2.4.15.1.1.1) occurs. *(Adopted: 10/19/10 effective 8/1/11)*

**3.2.4.15.1.1.1 First Failure.** An institution shall be placed on probation for the length of the penalty period beginning with the next academic year after a first failure of this requirement is discovered. During the first year of the penalty period, the institution shall be subject to the probationary year conditions in Constitution 3.02.3.1.2.1. *(Adopted: 10/19/10 effective 8/1/11)*

**3.2.4.15.1.1.2 Second Failure.** An institution shall be placed in restricted membership in accordance with Constitution 3.02.3.1.2.2 for one academic year beginning with the next academic year after a second failure is discovered during the penalty period. Failure to meet any of the following requirements shall be considered a second failure: *(Adopted: 10/19/10 effective 8/1/11)*

- (a) Any portion of the NCAA Convention and Regional Rules Seminar attendance;
- (b) Sports sponsorship requirement; or
- (c) Conditions of probation (see Constitution 3.02.3.1.2.1).

**3.2.4.15.1.1.3 Third Failure.** An institution shall forfeit immediately its membership in the Association after a third failure is discovered during the penalty period. Failure to meet any of the requirements in Constitution 3.2.4.15.1.1.2 shall be considered a third failure. *(Adopted: 10/19/10 effective 8/1/11)*

**3.2.4.15.3 Waiver.** The Management Council, on recommendation of the Membership Committee, may grant waivers of Constitution 3.2.4.15 if it deems that unusual circumstances warrant such action. *(Adopted: 1/8/07 effective 8/1/07, for any application of membership penalties [probation or restricted membership status] based on the sports sponsorship audit shall be based on information reported for the 2006-07 year and beyond)*

**3.2.4.16 Concussion Management Plan.** An active member institution shall have a concussion management plan for its student-athletes. The plan shall include, but is not limited to, the following: [D] *(Adopted: 7/20/10)*

- (a) An annual process that ensures student-athletes are educated about the signs and symptoms of concussions. Student-athletes must acknowledge that they have received information about the signs and symptoms of concussions and that they have a responsibility to report concussion-related injuries and illnesses to a medical staff member;
- (b) A process that ensures a student-athlete who exhibits signs, symptoms or behaviors consistent with a concussion shall be removed from athletics activities (e.g., competition, practice, conditioning sessions) and evaluated by a medical staff member (e.g., sports medicine staff, team physician) with experience in the evaluation and management of concussions;
- (c) A policy that precludes a student-athlete diagnosed with a concussion from returning to athletics activity (e.g., competition, practice, conditioning sessions) for at least the remainder of that calendar day; and
- (d) A policy that requires medical clearance for a student-athlete diagnosed with a concussion to return to athletics activity (e.g., competition, practice, conditioning sessions) as determined by a physician (e.g., team physician) or the physician's designee.

### **3.2.5 Loss of Active Membership.**

**3.2.5.1 Termination or Suspension.** The membership of any active member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be suspended, terminated or otherwise disciplined by the Management Council, on the recommendation of the Membership Committee. Membership shall not be suspended or terminated unless: *(Revised: 1/14/02)*

- (a) A notice of intention to suspend or terminate membership, stating the grounds on which such a motion will be based, is given in writing to the NCAA Executive Committee and to the president or chancellor of the member institution on or before the first day of November before the Convention; *(Revised: 10/3/05, 1/9/06)*
- (b) The Presidents Council approves the notification of intention to move for suspension or termination; and
- (c) Such notice is included in the Official Notice of the annual Convention.

**3.2.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the member shall cease on any termination or suspension of active membership.

**3.2.5.2 Removal of Accreditation.** If an active member's accreditation is removed by its regional accrediting agency, it shall forfeit immediately its membership in the Association. *(Revised: 1/15/11 effective 8/1/11)*

**3.2.5.3 Failure to Pay Dues.** If an active member fails to pay its annual dues for one year, its membership shall be automatically terminated.

**3.2.5.4 Reinstatement of Terminated Member.** Any active member whose membership has been terminated (see Constitution 3.2.5.1) may have it reinstated by the Management Council, on the recommendation of the Membership Committee. *(Revised: 1/14/02)*

**3.2.5.5 Reinstatement of Suspended Member.** Any active member whose membership has been suspended (see Constitution 3.2.5.1) may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by the Management Council, on the recommendation of the Membership Committee. *(Revised: 1/14/02)*

**3.2.6 Discipline of Active Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)

**3.2.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of the disciplinary action taken, or may be restored to good standing at any time by a majority vote of the members of the Committee on Infractions present and voting. If fewer than eight members are present, any committee action requires a favorable vote of at least four committee members. Disciplined members also may be restored to good standing by the Management Council, on the recommendation of the Membership Committee. *(Revised: 1/14/02)*

### 3.3 MEMBER CONFERENCE

#### 3.3.1 Eligibility.

**3.3.1.1 Conference Competition Requirement.** Conference membership is available to duly elected athletics conferences of colleges and universities that conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.

**The following Bylaw 3.3.1.2 was revised October 19, 2010, effective September 1, 2012.**

**3.3.1.2 Composition of Conference.** All of the members of the conference shall be active members of this Association, except that a conference with at least six active members also may qualify as a member conference if it contains one or more provisional members. *(Revised: 1/11/94 effective 9/2/94, 1/12/99)*

**3.3.1.2 Composition of Conference.** All of the members of the conference shall be active members of this Association, except that a conference with at least seven active members also may qualify as a member conference if it contains one or more provisional members. *(Revised: 1/11/94 effective 9/2/94, 1/12/99, 10/19/10 effective 9/1/12 for conferences elected September 1, 2012, and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

#### 3.3.2 Privileges.

**3.3.2.1 Privileges of Member Conferences.** Member conferences shall be entitled to all of the privileges of active members except the right to compete as such in NCAA championships. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.

**The following Bylaw 3.3.2.2 was revised October 19, 2010, effective September 1, 2012.**

**3.3.2.2 Voting Rights.** Only those member conferences that have six primary members as designated on the conference's membership form and meet the criteria listed below shall be permitted to vote on issues before the Association. *(Revised: 1/9/06)*

**3.3.2.2 Voting Rights.** Only those member conferences that have seven primary members as designated on the conference's membership form and meet the criteria listed below shall be permitted to vote on issues before the Association. *(Revised: 1/9/06, 10/19/10 effective 9/1/12 for conferences elected September 1, 2012, and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

**3.3.2.2.1 Competitive and Legislative Body.** The member conference shall be both a competitive and a legislative body on the conference level (see Constitution 3.02.1 and 3.02.2).

**3.3.2.2.2 Minimum Size and Division Status.**

**The following Bylaw 3.3.2.2.2.1 was revised October 19, 2010, effective September 1, 2012.**

**3.3.2.2.2.1 Full Voting Privileges.** The member conference shall be composed of at least six members in a single division in order to be eligible for full voting privileges, including voting on federated bylaws (those bylaws that may be amended by one or more divisions acting separately).

**3.3.2.2.2.1 Full Voting Privileges.** The member conference shall be composed of at least seven members in a single division in order to be eligible for full voting privileges, including voting on federated bylaws (those bylaws that may be amended by one or more divisions acting separately). *(Revised: 10/19/10 effective 9/1/12 for conferences elected September 1, 2012, and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

**The following Bylaw 3.3.2.2.2 was revised October 19, 2010, effective September 1, 2012.**

**3.3.2.2.2.2 Partial Voting Privileges.** Those member conferences with at least six members but without a minimum of six members in a single division shall be permitted to vote on all dominant or common provisions (those provisions that apply to all three divisions of the Association). On these common provisions, the conference shall vote in the division in which the majority of its membership is classified. In the event that its membership is divided evenly between two divisions, the Executive Committee shall determine its voting division. Such conferences shall not vote on federated provisions (those provisions that may be amended by one or more divisions acting separately).

**3.3.2.2.2.2 Partial Voting Privileges.** Those member conferences with at least seven members but without a minimum of seven members in a single division shall be permitted to vote on all dominant or common provisions (those provisions that apply to all three divisions of the Association). On these common provisions, the conference shall vote in the division in which the majority of its membership is classified. In the event that its membership is divided evenly between two divisions, the Executive Committee shall determine its voting division. Such conferences shall not vote on federated provisions (those provisions that may be amended by one or more divisions acting separately). *(Revised: 10/19/10 effective 9/1/12 for conferences elected September 1, 2012, and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

**3.3.2.2.3 Five-Sport/Three-Season Requirement.** The member conference shall conduct conference competition in at least five sports for men, with at least one in each season, for the conference to vote on issues related solely to men's programs and five sports for women, with at least one in each season, for the conference to vote on issues related solely to women's programs. A season-ending tournament or round-robin regular-season play in a sport shall satisfy the conference competition requirement for that sport. *(Revised: 1/14/97 effective 8/1/01)*

**3.3.2.3 Use of Association's Registered Marks.** Member conferences may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

### **3.3.3 Election Procedures.**

**3.3.3.1 Application.** An athletics conference desiring to become a member conference shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.2) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.

**3.3.3.2 Election.** Athletics conferences may be elected as member conferences by the Management Council, on the recommendation of the Membership Committee. *(Revised: 1/14/02)*

### **3.3.4 Conditions and Obligations of Membership.**

**3.3.4.1 General.** The member conferences of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

**3.3.4.2 Conference Competition.** Member conferences shall conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.

**The following Bylaw 3.3.4.3 was revised October 19, 2010, effective September 1, 2012.**

**3.3.4.3 Composition of Conference.** The member conference shall maintain a membership of institutions that are active members of this Association, except that a conference with at least six active members also may continue to qualify as a member conference if it contains one or more provisional members. *(Revised: 1/12/99)*

**3.3.4.3 Composition of Conference.** The member conference shall maintain a membership of institutions that are active members of this Association, except that a conference with at least seven active members also may continue to qualify as a member conference if it contains one or more provisional members. *(Revised: 1/12/99, 10/19/10 effective 9/1/12 for conferences elected September 1, 2012, and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

**3.3.4.4 President or Chancellor Involvement.** Institutional presidents or chancellors shall have the ultimate responsibility and final authority for the operation of the member conference and the actions of any institution that is a member of that conference. *(Adopted: 1/11/00 effective 8/1/01, Revised: 10/3/05)*

**3.3.4.5 Conference Student-Athlete Advisory Committee.** Each multisport member conference shall establish a student-athlete advisory committee for its student-athletes. The composition and duties of the committee shall be determined by the conference. (*Adopted: 1/11/00 effective 8/1/01*)

**3.3.4.6 Self-Study Report.** Multisport conferences shall conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every five years, using the Conference Self-Study Guide. The report of the self-study and supporting documentation shall be completed not later than the start of the academic year after which the report was due and shall be available for examination on request by the Membership Committee. Subjects covered by the self-study shall include: (*Adopted: 1/9/06 effective 8/1/06, to begin the two-year window for completion of the first self-study, Revised: 4/27/06, 1/14/09, 1/16/10*)

- (a) Institutional mission;
- (b) Conference philosophy;
- (c) Academic profiles;
- (d) Geographical proximity;
- (e) Athletics profile;
- (f) Diversity;
- (g) President or chancellor involvement;
- (h) Conference governance, including the role of athletics directors, faculty athletics representatives and senior woman administrators;
- (i) Student-Athlete Advisory Committee activity;
- (j) Financial Aid Reporting Process;
- (k) Convention attendance;
- (l) Rules compliance; and
- (m) Commitment to citizenship, leadership and sportsmanship.

**3.3.4.6.1 Failure to Submit the Conference Self-Study Guide.** If a multisport conference fails to submit the Conference Self-Study Guide by the start of the academic year after which the report was due, the conference may lose Division III grant and initiative funding beginning in the next academic year after the failed submission. (*Adopted: 1/14/09*)

### **3.3.5 Loss of Member-Conference Status.**

**3.3.5.1 Termination or Suspension.** The membership of any member conference failing to maintain the academic or athletics standards required for membership or failing to meet the conditions and obligations of membership may be suspended or terminated or otherwise disciplined by the Management Council, on the recommendation of the Membership Committee. Membership shall not be suspended or terminated unless: (*Revised: 1/14/02*)

- (a) Notice of intention to suspend or terminate membership, stating the grounds on which such motion will be based, is given in writing to the president of this Association and to the president or chancellor of the member conference on or before the first day of November before the Convention; (*Revised: 10/3/05*)
- (b) The Presidents Council approves the notification of intention to move for suspension or termination; and
- (c) Such notice is included in the Official Notice of the annual Convention.

**3.3.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the member shall cease on any termination or suspension of conference membership.

**3.3.5.2 Ineligible Conference Member Institution.** If any member of an athletics conference is found to be ineligible for active membership in this Association, such conference shall be ineligible for conference membership and its membership terminated, unless the conference has 40 or more members and at least 90 percent of said conference members are active members of the Association.

**3.3.5.3 Failure to Pay Dues.** If a member conference fails to pay its annual dues for one year, its membership shall be automatically terminated.

**3.3.5.4 Reinstatement of Terminated Member.** Any member conference whose membership has been terminated (see Constitution 3.3.5.1) may have it reinstated by the Management Council, on the recommendation of the Membership Committee. (*Revised: 1/14/02*)

**3.3.5.5 Reinstatement of Suspended Member.** Any member conference whose membership has been suspended (see Constitution 3.3.5.1) may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by the Management Council, on the recommendation of the Membership Committee. (*Revised: 1/14/02*)

**3.3.6 Discipline of Member Conferences.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)

**3.3.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least

three members of the Committee on Infractions present and voting in accordance with Bylaw 19.5.2.8, or the Management Council, on the recommendation of the Membership Committee. *(Revised: 1/14/02)*

## **3.4 AFFILIATED MEMBERSHIP**

**3.4.1 Eligibility.** Affiliated membership is available to a duly elected coaches or sports association whose function and purpose are directly related to one or more sports in which the Association conducts championships or an emerging sport for women, or an association consisting of college/university administrators who have a direct relationship to either the NCAA or the membership. *(Revised: 1/11/97, 1/15/11 effective 8/1/11)*

### **3.4.2 Privileges.**

**3.4.2.1 Privileges and Voting Rights.** An affiliated member shall be entitled to be represented by one non-voting delegate at any Convention of the Association and shall have such other privileges as may be accorded to affiliated members by the bylaws of the Association. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.

**3.4.2.2 Use of Association's Registered Marks.** An affiliated member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only if such use is approved by the NCAA staff in accordance with guidelines established by the Executive Committee. *(Revised: 1/11/97 effective 8/1/97)*

### **3.4.3 Election Procedures.**

**3.4.3.1 Application.** A group or association desiring to become an affiliated member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.2) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.

**3.4.3.2 Election.** Groups or associations may be granted affiliated membership by the NCAA president.

### **3.4.4 Conditions and Obligations of Membership.**

**3.4.4.1 General.** An affiliated member is responsible for observing the principles set forth in the constitution and bylaws of the Association.

**3.4.4.2 Function and Purpose.** The function and purpose of the affiliated member must be directly related to one or more sports in which the Association conducts championships.

### **3.4.5 Loss of Membership.**

**3.4.5.1 Termination or Suspension.** The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies of the Association (see Constitution 1) may be suspended or terminated or the affiliated member otherwise disciplined through the following procedure:

- (a) The Executive Committee, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or *(Adopted: 1/11/89)*
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds majority of its members present and voting; and
- (c) The affiliated member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.

**3.4.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the affiliated member shall cease on any termination or suspension of affiliated membership.

**3.4.5.2 Failure to Pay Dues.** If an affiliated member fails to pay its annual dues for one year, its membership shall be automatically terminated.

**3.4.5.3 Reinstatement of Terminated Member.** Any affiliated member whose membership has been terminated may have it reinstated by a two-thirds vote of the Executive Committee.

**3.4.5.4 Reinstatement of Suspended Member.** Any affiliated member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Executive Committee or by vote of the majority of the members present and voting at any annual Convention.

**3.4.6 Discipline of Affiliated Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)

**3.4.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Executive Committee present and voting in accordance with Bylaw 19.5.2.8, or, at the annual Convention, by vote of a majority of the members present and voting.



## 3.6 PROVISIONAL MEMBERSHIP

### 3.6.1 Eligibility.

**3.6.1.1 Types of Institutions.** Provisional membership is available to four-year colleges and universities and two-year upper-level collegiate institutions, accredited by the appropriate regional accrediting agency and duly elected to provisional membership under the provisions of Constitution 3.6.3 and Bylaw 20.3. (*Adopted: 1/11/94 effective 9/2/94, Revised: 5/4/06*)

### 3.6.2 Privileges and Voting Rights.

**3.6.2.1 Publications and Convention Representation.** Provisional members shall receive all publications and mailings received by active members of the Association, shall be entitled to be represented by one nonvoting delegate at any Convention of the Association and shall have such other privileges as may be accorded by the bylaws of the Association. A copy of NCAA Champion magazine shall be sent to each member of the NCAA. (*Adopted: 1/11/94 effective 9/2/94, Revised: 5/4/06*)

**3.6.2.2 Use of Association's Registered Marks.** A provisional member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee. (*Adopted: 1/11/94 effective 9/2/94*)

### 3.6.3 Election Procedures.

**3.6.3.1 Application.** An institution desiring to become a provisional member of this Association must complete a one-year "exploratory period" before application and attend a required information meeting. The applicant institution must register with the national office by January 15 in order to start this period. Following the exploratory period, the applicant shall apply on a form available from the national office. Provisional applications shall be approved based on the standards in Bylaw 20.3.1.2.1. (*Adopted: 1/11/94 effective 9/2/94, Revised: 4/22/98, 1/14/02, 1/13/03, 1/9/06, 4/27/06, 1/8/07 effective 8/1/07, 7/22/08, 10/19/10 effective 9/1/11 for those institutions applying for the 2012-13 academic year and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.*)

**3.6.3.1.1 Fee—Provisional Members.** Each provisional member shall pay a nonrefundable fee of \$20,000, as determined by the Membership Committee and approved by the Management Council. At the time of registration for the exploratory year, \$500 shall be due, and \$19,500 shall be due by September 1 of the first year of provisional membership. Provisional members in years one through four shall also pay NCAA dues. (*Revised: 4/27/06, 1/8/07 effective 8/1/07*)

**3.6.3.2 Accreditation and Division Classification.** After it has been determined that the institution meets the Association's requirement of acceptable academic standards (i.e., the institution is accredited by one of the six regional accrediting agencies), the application shall be considered with regard to requested membership division in accordance with Bylaw 20. The application then shall be referred to the Management Council for consideration. (*Adopted: 1/11/94 effective 9/2/94*)

**3.6.3.3 Election.** The Management Council, on the recommendation of the Membership Committee, shall elect the applicant to provisional membership effective the following September 1. The applicant shall be notified on completion of the election process. (*Revised: 1/14/02*)

**3.6.4 Conditions and Obligations of Membership.** The conditions and obligations applicable to provisional members are set forth in Bylaw 20.3.5. (*Revised: 5/4/06*)

### 3.6.5 Loss of Provisional Membership.

**3.6.5.1 Termination.** The membership of any provisional member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be terminated by the Management Council, on the recommendation of the Membership Committee. A notice of intention to terminate membership, stating the grounds on which such a motion will be based, shall be given in writing to the president or chancellor of the member institution. (*Adopted: 1/11/94 effective 9/2/94, Revised: 1/13/98, 1/14/02, 10/3/05, 1/15/11 effective 8/1/11*)

**3.6.5.2 Cessation of Rights and Privileges.** All rights and privileges of the provisional member shall cease on any termination of provisional membership. (*Adopted: 1/11/94 effective 9/2/94*)

**3.6.5.3 Discipline of Member Conference.** During the provisional member's compliance period, disciplinary or corrective actions other than termination of membership may be effected on a member conference that contains provisional members that fail to fulfill conditions and obligations of provisional membership. (*Adopted: 1/11/94 effective 9/2/94*)

**3.6.5.4 Reinstatement of Terminated Member.** Any provisional member whose membership is terminated may reapply for membership after a period of one year by the Management Council on the recommendation of the Membership Committee. The Management Council, on recommendation of the Membership Committee, may grant credit to such an institution for any portion of the first two years of the four-year provisional period the institution previously completed as a provisional member, provided the portion was completed within the last 10 years. (*Adopted: 1/11/94 effective 9/2/94, Revised: 1/13/98, 1/14/02*)

## 3.7 DUES OF MEMBERS

**3.7.1 Determination of Dues.** The annual dues of the various classes of membership shall be recommended to the membership by the Executive Committee. *(Revised: 1/10/90, 12/5/06)*

**3.7.2 Current Annual Dues.** The annual dues for various classes of membership shall be: *(Revised: 12/5/06, 1/15/11 effective 8/1/11)*

Active Members:*	\$ 900
Member Conferences:	\$ 450
Affiliated Members:	As determined by the Executive Committee

\*See Constitution 3.6.3.1.1 for provisional membership

**3.7.3 Payment Deadline.** Dues are payable September 1 of each year. A member shall not be permitted to vote at a Convention of the Association if its dues are not paid for that year. To be eligible to compete in NCAA championships, dues shall be paid in accordance with Bylaw 31.2.1. Membership is terminated if a member fails to pay dues for one year (see Constitution 3.2.5.3, 3.3.5.3 and 3.4.5.2).

# Organization

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## 4.01 GENERAL PRINCIPLES

**4.01.1 Structure. [\*]** The Association's administrative structure shall include an Executive Committee comprised of institutional presidents or chancellors that oversees Association-wide issues and shall ensure that each division operates consistent with the basic purposes, fundamental policies and general principles of the Association (see Constitution 1 and 2). In addition, the administrative structure of each division shall empower a body of institutional presidents or chancellors to set forth the policies, rules and regulations for operating the division. Further, the administrative structure of each division shall empower a body of athletics administrators and faculty athletics representatives (and, in Division III, institutional presidents or chancellors) to make recommendations to the division's body of institutional presidents or chancellors and to handle responsibilities delegated to it. (*Adopted: 1/9/96 effective 8/1/97, Revised: 10/3/05*)

**4.01.2 Guarantees. [\*]** The Association's overall governance structure guarantees its members the following: (*Adopted: 1/9/96 effective 8/1/97*)

**4.01.2.1 Budget Allocations. [\*]** Members are guaranteed revenue through allocations made to each division from the Association's general operating revenue. Division II shall receive at least 4.37 percent of the Association's annual general operating revenue. Division III shall receive at least 3.18 percent of the Association's annual general operating revenue. (*Adopted: 1/9/96 effective 8/1/97*)

**4.01.2.1.1 General Operating Revenue. [\*]** General operating revenue, as used in this section, shall include at least all sources of revenue existing as of January 9, 1996, including revenue from contracts for these existing sources and revenue from any modified, extended or successor contract for such sources. (*Adopted: 1/9/96 effective 8/1/97*)

**4.01.2.2 Championships. [\*]** Members are guaranteed access to national championships. (*Adopted: 1/9/96 effective 8/1/97*)

**4.01.2.3 Membership Services. [\*]** Members are guaranteed services provided through the Association's national office at least at the level provided as of January 9, 1996 (e.g., membership services, statistics, research). (*Adopted: 1/9/96 effective 8/1/97*)

**4.01.2.4 Special Programs. [\*]** Members are guaranteed the continuation of Association programs operating at the time of the adoption of this legislation (e.g., the catastrophic-injury insurance program, the drug-testing program). In addition, members are guaranteed the continuation of Association programs that were considered by the NCAA Council or Presidents Commission by the spring of 1995 and began operating after the adoption of this legislation. (*Adopted: 1/9/96 effective 8/1/97*)

## 4.02 DEFINITIONS AND APPLICATIONS

**4.02.1 Association. [\*]** The "Association," as used in this Manual, refers to the National Collegiate Athletic Association, a diverse, voluntary, unincorporated Association of four-year colleges and universities, conferences, affiliated associations and other educational institutions. (*Adopted: 1/9/96 effective 8/1/97*)

**4.02.2 Faculty Athletics Representative.** A faculty athletics representative is a member of an institution's faculty or administrative staff who is designated by the institution's president or chancellor or other appropriate entity to represent the institution and its faculty in the institution's relationships with the NCAA and its conference(s), if any (see Constitution 6.1.3). (*Revised: 10/3/05*)

**4.02.3 On the Staff.** On the staff, as it applies to individuals from member institutions or conferences who are eligible to serve on committees or as officers or representatives of the Association, is defined as those individuals who receive a regular salary from a member institution or organization for the performance of a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference. An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" of an institution or organization. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be considered to be "on the staff."



#### **4.02.4 Senior Woman Administrator.**

**4.02.4.1 Institutional Senior Woman Administrator.** An institutional senior woman administrator is the highest-ranking female involved in the management of an institution's intercollegiate athletics program. An institution with a female director of athletics may designate a different female involved in the management of the member's program as a fifth representative to the NCAA governance structure. (*Adopted: 1/14/02 effective 8/1/02, Revised: 1/9/06, 12/5/06*)

**4.02.5 Athletics Direct Report.** An athletics direct report is the institutional staff member designated by the institution's president or chancellor for responsibility and oversight of the intercollegiate athletics program. (*Adopted: 1/15/11*)

### **4.1 EXECUTIVE COMMITTEE**

**4.1.1 Composition. [\*]** The Executive Committee shall consist of 20 members. The NCAA president and the chairs of the Division I Leadership Council and the Division II and Division III Management Councils shall be ex officio nonvoting members, except that the NCAA president is permitted to vote in the case of a tie among the voting members of the Executive Committee present and voting. The other 16 voting members of the Executive Committee shall include: (*Adopted: 1/9/96 effective 8/1/97, Revised: 10/3/05, 4/30/08*)

- (a) Eight presidents or chancellors from the Division I Board of Directors from Football Bowl Subdivision institutions; (*Revised: 10/3/05, 6/29/07, 4/30/08*)
- (b) Two presidents or chancellors from the Division I Board of Directors from Football Championship Subdivision institutions; (*Revised: 10/3/05, 6/29/07, 4/30/08*)
- (c) Two presidents or chancellors from the Division I Board of Directors from institutions that do not sponsor football; (*Revised: 10/3/05, 6/29/07, 4/30/08*)
- (d) Two Division II presidents or chancellors from the Division II Presidents Council; and (*Revised: 10/3/05, 4/30/08*)
- (e) Two Division III presidents or chancellors from the Division III Presidents Council. (*Revised: 10/3/05, 4/30/08*)

**4.1.2 Duties and Responsibilities. [\*]** The Executive Committee shall: (*Adopted: 1/9/96 effective 8/1/97*)

- (a) Provide final approval and oversight of the Association's budget;
- (b) Employ the NCAA president, who shall be administratively responsible to the Executive Committee and who shall be authorized to employ such other persons as may be necessary to conduct efficiently the business of the Association; (*Revised: 10/3/05*)
- (c) Provide strategic planning for the Association as a whole;
- (d) Identify core issues that affect the Association as a whole;
- (e) Act on behalf of the Association by adopting and implementing policies to resolve core issues and other Association-wide matters; (*Revised: 1/12/08*)
- (f) Initiate and settle litigation;
- (g) Convene at least one combined meeting per year of the three divisional presidential governing bodies;
- (h) Convene at least one same-site meeting per year of the Division I Legislative Council and the Division II and Division III Management Councils; (*Revised: 5/28/08*)
- (i) Forward proposed amendments to Constitution 1 and 2 and other dominant legislation to the entire membership for a vote;
- (j) Call for a vote of the entire membership on the action of any division that it determines to be contrary to the basic purposes, fundamental policies and general principles set forth in the Association's constitution. This action may be overridden by the Association's entire membership by a two-thirds majority vote of those institutions voting;
- (k) Call for an annual or special Convention of the Association;
- (l) Review and coordinate the catastrophic-injury and professional career insurance (disability injury/illness) programs; and
- (m) Compile the names of those individuals associated with intercollegiate athletics who died during the year immediately preceding the annual Convention. (*Adopted: 1/13/03*)

#### **4.1.3 Election/Term of Office. [\*]**

**4.1.3.1 Election. [\*]** Division I members of the Executive Committee shall be appointed by the Division I Board of Directors. Divisions II and III members of the Executive Committee shall be appointed by the Divisions II and III Presidents Councils, respectively. (*Adopted: 1/9/96 effective 8/1/97*)

**4.1.3.2 Terms. [\*]** The terms of service of members of the Executive Committee shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body. *(Adopted: 1/9/96 effective 8/1/97)*

**4.1.3.3 Committee Chair. [\*]** The Executive Committee shall elect one of its members to serve for a two-year period as chair. *(Adopted: 1/9/96 effective 8/1/97)*

## 4.4 PRESIDENTS COUNCIL

**4.4.1 Composition.** The Presidents Council shall include 15 members and shall be comprised of Division III presidents or chancellors. At least two members of the Council shall be included from each Division III geographical region (see Constitution 4.13.1.1 for geographical regions) and seven members shall serve “at large.” In addition, appropriate consideration shall be given to appointing Division III presidents or chancellors from historically black colleges and universities. The members of the Council shall include: *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/12/99 effective 8/1/99, 10/3/05)*

- (a) At least two institutional presidents or chancellors from colleges or universities with full-time undergraduate enrollment of 4,000 or more; *(Revised: 10/3/05)*
- (b) At least two institutional presidents or chancellors from colleges or universities with full-time undergraduate enrollment of less than 2,000; *(Revised: 10/3/05)*
- (c) At least two institutional presidents or chancellors from public colleges or universities; *(Revised: 10/3/05)*
- (d) At least two institutional presidents or chancellors from private colleges or universities; *(Revised: 10/3/05)*
- (e) At least three institutional presidents or chancellors who are women; *(Revised: 10/3/05)*
- (f) At least three institutional presidents or chancellors who are men; *(Revised: 10/3/05)*
- (g) At least two institutional presidents or chancellors who are members of an ethnic minority; and *(Revised: 10/3/05)*
- (h) At least two institutional presidents or chancellors who are not ethnic minorities. *(Revised: 10/3/05)*

**4.4.2 Duties and Responsibilities.** The Presidents Council shall: *(Adopted: 1/9/96 effective 8/1/97)*

- (a) Implement policies adopted by the Association’s Executive Committee;
- (b) Establish and direct the general policy of Division III;
- (c) Establish a strategic plan for Division III;
- (d) Adopt noncontroversial and intent-based amendments, and administrative bylaws to govern Division III independent of the Management Council; *(Revised: 5/4/11)*
- (e) Sponsor Division III legislation independent of the Management Council; *(Revised: 5/4/11)*
- (f) Identify, before the printing of the notice of any Convention, Division III proposals for which a roll-call vote of the eligible voters may be required and designate during the Convention the roll-call votes that must occur;
- (g) Delegate the resolution of management issues to the Management Council and ratify those actions (see Constitution 4.8.3);
- (h) Ratify, amend or rescind the actions of the Management Council (see Constitution 4.8);
- (i) Assure that there is gender and ethnic diversity among its membership, the membership of the Management Council (see Constitution 4.8) and the membership of each of the other bodies in the Division III administrative structure;
- (j) Make budgetary recommendations to the Association’s Executive Committee related to Division III matters, including championships, and approve the use of funds allocated to Division III;
- (k) Approve regulations providing for the administration of Division III championships; and
- (l) Advise the Executive Committee concerning the employment of the NCAA president and concerning the oversight of his or her employment. *(Revised: 10/3/05)*

### 4.4.3 Election/Term of Office.

**4.4.3.1 Selection.** Members of the Presidents Council shall be selected by Division III presidents or chancellors. The Council annually shall appoint a nominating committee, which shall present to the Council (before the Convention) a slate of nominees to serve as members of the Council. Additional candidates shall be placed on the appropriate slate of nominees if such candidates have the endorsement of at least 10 presidents or chancellors of Division III institutions. In all cases, an effort shall be made to develop a balanced slate that provides fair representation among the division’s regions, conferences and institutions. At no time shall two individuals from the same institution serve on the Presidents Council and Management Council simultaneously. *(Adopted: 1/9/96 effective 8/1/97, Revised: 10/3/05, 8/3/06)*

**4.4.3.1.1 Mail Vote.** Members of the Presidents Council shall be elected by mail vote of the presidents or chancellors of Division III institutions. Voting by proxy shall not be allowed. The election shall not be subject to the requirement in Robert’s Rules of Order, Newly Revised, that all candidates in an election remain in contention until one receives a majority. *(Adopted: 1/9/96 effective 8/1/97, Revised: 10/3/05)*

**4.4.3.1.2 Vacancies.** The Presidents Council, by a majority vote, may fill a vacancy that occurs among the members for the unexpired term. Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. *(Adopted: 1/9/96 effective 8/1/97)*

**4.4.3.2 Term of Office.** Members of the Presidents Council shall serve four-year terms. Members shall not be eligible for another term on the Council until two years have elapsed. An individual may not serve on the Council for more than two terms. *(Adopted: 1/9/96 effective 8/1/97)*

**4.4.3.3 Staggered Terms.** The terms of service of Presidents Council members shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms in order to accomplish this purpose. *(Adopted: 1/9/96 effective 8/1/97)*

**4.4.3.4 Determination of Full Term.** Presidents Council members who serve more than one-half of a term shall be considered to have served a full term. *(Adopted: 1/9/96 effective 8/1/97)*

## 4.8 MANAGEMENT COUNCIL

**4.8.1 Composition.** The Management Council shall include 21 members and shall be comprised of Division III presidents or chancellors, athletics direct reports (non-presidents or chancellors with oversight of intercollegiate athletics), faculty athletics representatives, directors of athletics, senior woman administrators, conference representatives and student-athletes. *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97, 10/3/05, 1/15/11)*

**4.8.1.1 Members Who Are Not Student-Athletes.** At least two members who are not student-athletes shall be included from each Division III geographical region (see Constitution 4.13.1.1). One of these members shall serve as chair and one shall serve as vice chair. These members also shall include: *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)*

- (a) At least nine directors of athletics or senior woman administrators;
- (b) At least two institutional presidents or chancellors; *(Revised: 10/3/05)*
- (c) At least two athletics direct reports; *(Adopted: 1/15/11)*
- (d) At least two faculty athletics representatives; *(Revised: 1/14/97 effective 8/1/97)*
- (e) At least three members of an ethnic minority;
- (f) At least eight men; and *(Revised: 1/14/97 effective 8/1/97)*
- (g) At least eight women. *(Revised: 1/14/97 effective 8/1/97)*

**4.8.1.2 Student-Athletes.** Two members of the Management Council shall be members of the Student-Athlete Advisory Committee per Bylaw 21.9.5.10.4. *(Adopted: 1/14/97 effective 8/1/97)*

**4.8.2 Vacancies.** A representative of a playing conference or member institution whose term of service has expired shall not be replaced on the Management Council by a representative of the same conference or institution for a period of at least one year. At no time shall two individuals from the same institution serve on the Presidents Council and Management Council simultaneously. *(Adopted: 1/14/97 effective 8/1/97, Revised: 8/3/06)*

**4.8.2.1 Exception—Student-Athlete Advisory Committee.** The Management Council may waive the application of Constitution 4.8.2 for Student-Athlete Advisory Committee members selected to serve on the Management Council. *(Adopted: 1/14/02, Revised: 5/4/11)*

**4.8.3 Duties and Responsibilities.** The Management Council shall: *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)*

- (a) Implement policies adopted by the Association's Executive Committee and the Presidents Council;
- (b) Resolve Division III issues;
- (c) Make recommendations to the Presidents Council related to Division III matters as it deems appropriate, including budgetary recommendations and recommendations related to championships;
- (d) Adopt noncontroversial and intent-based amendments, and administrative bylaws to govern Division III; *(Revised: 5/4/11)*
- (e) Sponsor legislative proposals in accordance with Constitution 4.4.2-(h); *(Revised: 1/15/11)*
- (f) Make interpretations of the bylaws of Division III;
- (g) Delegate the resolution of Division III issues to the Division III substructure and consider relevant reports and recommendations from the Division III substructure;
- (h) Review appeals by member institutions of decisions made by a Division III committee or the NCAA staff regarding the application of NCAA legislation to a particular situation when no other committee, subcommittee or conference has the authority to act. The Management Council shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation; and *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/10/01, 5/4/11)*
- (i) Administer duties related to the business session of the annual Convention, including arrangements, programs, rules, voting and the examination and approval of the voting credentials of delegates. *(Adopted: 1/14/97 effective 8/1/97)*

#### 4.8.4 Election/Term of Office.

**4.8.4.1 Selection.** Members of the Management Council shall be selected by the Division III membership. Annually, a slate of candidates shall be solicited from the Division III membership. The nominees will be identified by the Management Council (or a subcommittee of the Management Council), and then forwarded to the Presidents Council for approval before consideration by the full Division III membership at the Convention. *(Adopted: 1/9/96 effective 8/1/97)*

**4.8.4.1.1 Vacancies.** When a vacancy occurs on the Council, the Council, by a majority vote, may fill the unexpired term. Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. *(Adopted: 1/9/96 effective 8/1/97)*

**4.8.4.2 Election.** The Management Council members shall be elected at the Division III Convention. Members shall be elected by the Division III membership present and voting. The election shall not be subject to the requirement in Robert's Rules of Order, Newly Revised, that all candidates in an election remain in contention until one receives a majority. *(Adopted: 1/9/96 effective 8/1/97)*

**4.8.4.3 Term of Office.** Members of the Management Council shall serve four-year terms. Members shall not be eligible for re-election to another term on the Council until four years have elapsed. A member may not serve on the Council more than two terms. *(Adopted: 1/9/96 effective 8/1/97)*

**4.8.4.4 Staggered Terms.** The terms of service of Management Council members shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms in order to accomplish this purpose. *(Adopted: 1/9/96 effective 8/1/97)*

**4.8.4.5 Determination of Full Term.** Management Council members who serve more than one-half of a term shall be considered to have served a full term. *(Adopted: 1/9/96 effective 8/1/97)*

### 4.9 COMMITTEES/CABINETS

The bylaws shall provide for the establishment of such a governance substructure (e.g., cabinets, committees) as each division considers necessary. The number of members and tenure of each division's governance substructure shall be stipulated in Bylaw 21. *(Adopted: 1/9/96 effective 8/1/97, Revised: 12/5/06)*

#### 4.11 ADMINISTRATIVE COMMITTEE

**4.11.1 Composition.** The Administrative Committee shall consist of five members, including the chair of the Presidents Council, the vice chair of the Presidents Council and the chair of the Management Council. If the chair of the Management Council is a president or chancellor, the committee also shall include two athletics administrators who are senior members of the Management Council. If the chair of the Management Council is not a president or chancellor, the committee also shall include a president or chancellor serving on the Management Council and a senior member of the Management Council. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/3/05)*

**4.11.2 Duties.** The committee shall be empowered in the interim between meetings of the Presidents Council and Management Council to transact items of business clearly necessary to promote the normal and orderly administration of Division III. *(Adopted: 1/14/97 effective 8/1/97)*

**4.11.3 Ratification.** All actions of the Administrative Committee shall be reported to and subject to ratification by the Management Council and Presidents Council at their next regularly scheduled meetings. *(Adopted: 1/14/97 effective 8/1/97)*

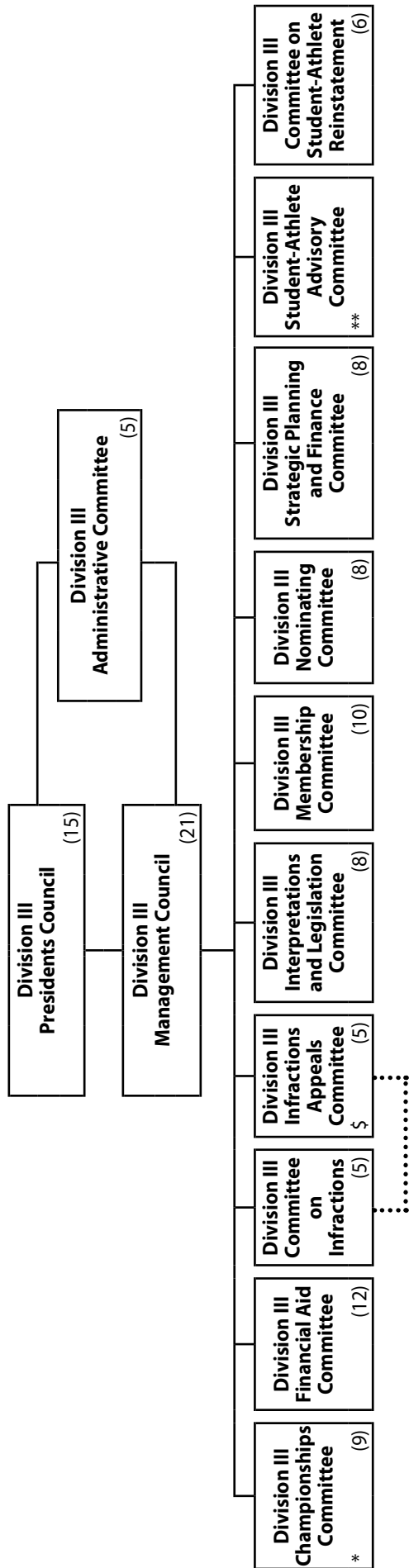
### 4.13 GEOGRAPHICAL REGIONS

**4.13.1 Geographical Regions.** For the purpose of representation on the Presidents Council and the Management Council (see Constitution 4.4 and 4.8), the Association shall be divided into geographical regions. *(Revised: 1/9/96 effective 8/1/97)*

**4.13.1.1 Division III.** The geographical regions are as follows: *(Revised: 1/9/96 effective 8/1/97)*

- (a) Region 1—Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, Vermont; *(Revised: 1/12/99)*
- (b) Region 2—New York, Pennsylvania; *(Revised: 1/12/99)*
- (c) Region 3—Alabama, Arkansas, Florida, Georgia, Indiana, Kentucky, Louisiana, Michigan, Mississippi, North Carolina, Ohio, Puerto Rico, South Carolina, Tennessee, Virginia, West Virginia; and *(Revised: 1/12/99)*
- (d) Region 4—Alaska, Arizona, California, Colorado, Hawaii, Idaho, Illinois, Iowa, Kansas, Minnesota, Missouri, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wisconsin, Wyoming.

**FIGURE 4-1**  
**Division III Organizational Structure**



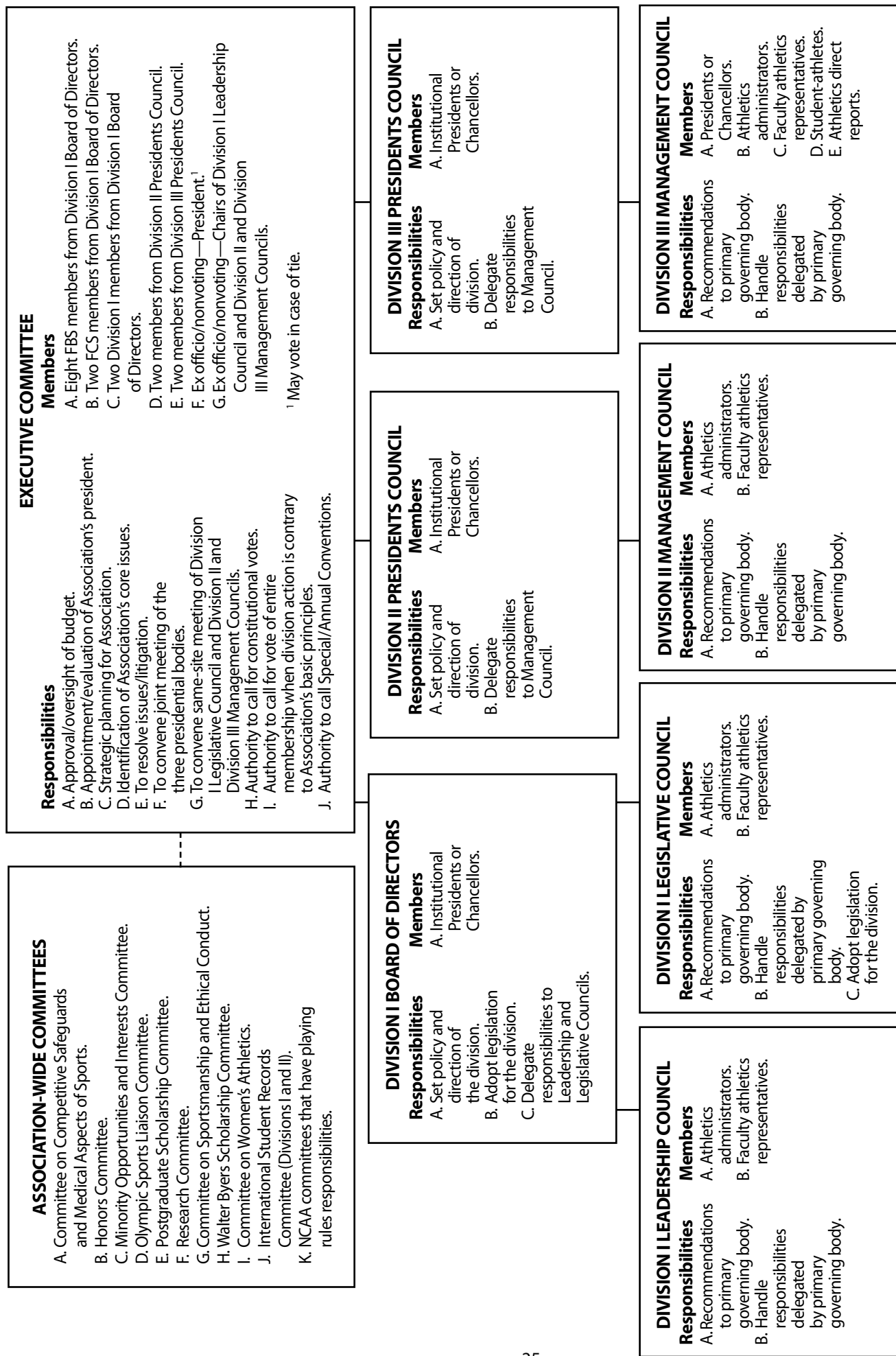
( ) Represents the number of individuals who will serve on this committee.

\* All Division III sports committees report to the Division III Championships Committee. The following are common committees with playing rules and championships administration responsibilities — Women's Bowling, Men's and Women's Rifle, Men's and Women's Skiing, Men's and Women's Swimming and Diving, Men's and Women's Track and Field, Men's and Women's Water Polo and Wrestling.

\*\* The size of the committee depends on the number of Division III conferences (see Bylaw 21.9.5.10).

§ The Division III Infractions Appeals Committee shall hear and act on an institution's appeal of the findings of major violations by the Division III Committee on Infractions.

**FIGURE 4-2**  
Association Governance Structure





## CONSTITUTION, ARTICLE 5

# Legislative Authority and Process

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## 5.01 GENERAL PRINCIPLES

**5.01.1 Basis of Legislation. [\*]** All legislation of the Association that governs the conduct of the intercollegiate athletics programs of its member institutions shall be adopted by the membership in Convention assembled, or by the divisional governance entities as set forth in Constitution 4, as determined by the constitution and bylaws governing each division, and shall be consistent with the purposes and fundamental policy set forth in Constitution 1, and shall be designed to advance one or more principles such as those set forth in Constitution 2. *(Revised: 1/9/96 effective 8/1/97, 5/28/08)*

**5.01.2 Approaches to Legislative Process. [\*]** The membership of the Association recognizes that certain fundamental policies, practices and principles have applicability to all members, while others are applicable to division groupings of members, based on a common philosophy shared among the individual members of the division and on special policies and concerns that are common to the nature and purposes of the institutions in the division. *(Revised: 1/9/96 effective 8/1/97)*

## 5.02 DEFINITIONS AND APPLICATIONS

### 5.02.1 Legislative (Constitution and Bylaw) Provisions.

**5.02.1.1 Dominant. [\*]** A dominant provision is a regulation that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention. Dominant provisions are identified by an asterisk (\*).

**5.02.1.1.1 Division Dominant. [\*]** A division dominant provision is a regulation that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by the diamond symbol (◆). *(Revised: 1/9/96 effective 8/1/97)*

**5.02.1.2 Common. [\*]** A common provision is a regulation that applies to more than one of the divisions of the Association. A common provision shall be adopted by each of the applicable divisions, acting separately pursuant to the divisional legislative process described in Constitution 5.3, and must be approved by all applicable divisions to be effective. Common provisions are identified by the pound sign (#). *(Adopted: 1/14/97 effective 8/1/97)*

**5.02.1.3 Federated. [\*]** A federated provision is a regulation adopted by a majority vote of the delegates present and voting of one or more of the divisions or subdivisions of the Association, acting separately pursuant to the divisional legislative process described in Constitution 5.3. Such a provision applies only to the division(s) or subdivision(s) that adopts it. *(Revised: 1/9/96 effective 8/1/97)*

## 5.1 CONVENTIONS AND MEETINGS

### 5.1.1 Authorization.

**5.1.1.1 Annual Convention. [\*]** There shall be an annual Convention of this Association during the second week of January or at such other time as may be prescribed by the Executive Committee.

**5.1.1.2 Special Convention. [\*]** A special Convention of the Association may be called by the Executive Committee. *(Revised: 1/9/96 effective 8/1/97)*

### 5.1.2 Annual or Special Convention Programs.

**5.1.2.1 Establishment of Program—Annual or Special Convention. [\*]** The program of the business session of an annual or special Convention of the Association shall be established by the Executive Committee, acting as the Convention program committee. *(Revised: 1/9/96 effective 8/1/97)*



**5.1.2.1.1 Change in Program. [\*]** Once adopted by a majority vote of the Convention, the order of business established in the program may be changed or suspended only by a two-thirds vote of the members present and voting.

**5.1.2.2 Other Convention Arrangements. [\*]** All other arrangements for a Convention of the Association or for division legislative meetings shall be made by the NCAA president, subject to the direction and approval of the Executive Committee, which shall serve as the Convention arrangements committee.

**5.1.2.3 Business and Discussion Sessions.**

**5.1.2.3.1 General Business Session. [\*]** When determined necessary by the Executive Committee, an annual or special Convention shall include a general business session to enable all three divisions, meeting in joint session, to act on the dominant legislation specified in Constitution 1 and 2 and elsewhere, and on the actions of any division determined to be contrary to the Association's basic purposes, fundamental policies and general principles. *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*

**5.1.2.3.2 Division Business Sessions. [\*]** An annual or special Convention shall include separate division business sessions to: *(Revised: 1/9/96 effective 8/1/97)*

- (a) Enable a single division to act, in accordance with the constitution and bylaws, on federated legislation pertaining to that division; *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*
- (b) Discuss matters of interest to the members of each division; and
- (c) Act upon division membership criteria waiver requests under the provisions of the bylaws.

**5.1.2.3.3 Round-Table Discussions. [\*]** In addition to the division business sessions, a general round-table discussion may be held to enable the membership to discuss matters of general interest.

**5.1.3 Annual or Special Convention Delegates.**

**5.1.3.1 Institutional and Conference Delegates.**

**5.1.3.1.1 With Voting Privileges. [\*]** Each active member and each member conference with voting privileges, as specified in Constitution 3.3.2.2, shall be entitled to one vote. Institutions and conferences designating both a male and a female as voting or alternate delegates on the Convention appointment form shall be allowed to appoint four official institutional delegates. In all other circumstances, institutions shall be limited to not more than three official delegates. *(Revised: 1/10/92)*

**5.1.3.1.2 Without Voting Privileges. [\*]** Each member conference without voting privileges shall be entitled to one accredited delegate without voting privilege.

**5.1.3.2 Affiliated and Provisional Delegates. [\*]** Each affiliated and provisional member shall be entitled to one accredited delegate without voting privilege. *(Revised: 1/15/11 effective 8/1/11)*

**5.1.3.3 Visiting Delegates. [\*]** Member and nonmember institutions and organizations are authorized to send visiting delegates, who shall not have voting privileges.

**5.1.3.4 Certification and Voting of Delegates. [\*]** The certification and voting of delegates shall be conducted as follows:

- (a) Delegates shall be certified to the NCAA national office as entitled to represent the member in question by the proper executive officers of their institutions or organizations;
- (b) An active member or member conference represented by more than one delegate shall designate (on the proper form signed by the president or chancellor) the delegate entitled to cast its vote. Once the member has so designated its primary voting and alternate voting delegates, transferring the voting rights between or among them is a matter of institutional judgment, inasmuch as the voter and alternate(s) have been approved as voters; *(Revised: 10/3/05)*
- (c) The same delegate may represent both an active member and a member conference;
- (d) A delegate shall not represent any active member or member conference unless the delegate actually is identified with such member, and an institution's student may not serve as its voting or alternate voting delegate;
- (e) Whenever the Association votes on any question by roll call, either written or via voice, on demand of any delegate, the names of delegates as they vote shall be checked by the Membership Committee in order to verify the authority of the voter; and
- (f) Voting by proxy shall not be allowed.

**5.1.3.5 Delegate Participation in Conventions and Meetings.**

**5.1.3.5.1 Active Delegate.** Privileges of the floor and the right to active participation in the business proceedings of any annual or special Convention of the Association is accorded to the following: *(Revised: 1/9/96 effective 8/1/97)*

- (a) Any of the accredited delegates authorized in Constitution 5.1.3.1.1 to represent an active member or member conference with voting privileges; *(Revised: 1/10/92)*

- (b) The single accredited delegate authorized in Constitution 5.1.3.1.2 to represent a member conference without voting privileges or in Constitution 5.1.3.2 to represent an affiliated or provisional member; *(Revised: 1/15/11 effective 8/1/11)*
- (c) Any member of the Executive Committee, the divisional governance entities per Constitution 4 and the chair (or a committee member designated to speak for the chair) of an NCAA committee listed in Bylaw 21; and *(Revised: 1/9/96 effective 8/1/97, 5/28/08)*
- (d) Any member of a division's Student-Athlete Advisory Committee. *(Adopted: 1/10/91)*

**5.1.3.5.2 Visiting Delegate. [\*]** Visiting delegates authorized in Constitution 5.1.3.3 shall not actively participate in the business proceedings.

#### **5.1.4 Operational Procedures.**

**5.1.4.1 Quorum. [\*]** One hundred (100) active members and member conferences represented as prescribed in this constitution shall constitute a quorum for the transaction of the Association's business. For purposes of voting by membership divisions, 40 members of each division shall constitute a quorum.

**5.1.4.2 Parliamentary Rules. [\*]** The rules contained in the current edition of Robert's Rules of Order, Newly Revised, shall be the parliamentary authority for the conduct of all meetings of the Association. Additionally, they shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply and in which they are not superseded by this constitution, the bylaws or any special rule of order adopted by the Association in accordance with Constitution 5.4.3.

**5.1.4.3 Consideration of Legislation. [\*]** Legislation shall be acted on only at the Convention business sessions in accordance with the constitution and bylaws.

**5.1.4.3.1 Order and Grouping of Legislation. [\*]** In the consideration of groupings of related amendments or amendments-to-amendments, the Convention shall consider first the amendment that contemplates the greatest modification of the present circumstance, followed by the other amendments in the order of decreasing modification. Once an amendment in such a grouping is adopted, those that follow ordinarily will become moot. *(Revised: 2/6/07)*

**5.1.4.3.2 Legislation at General Session. [\*]** Dominant legislation per Constitution 1 and 2 and elsewhere, and federated legislation determined by the Executive Committee to be contrary to the Association's basic purposes, fundamental policies and general principles shall be acted on by the divisions meeting in joint session. *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*

**5.1.4.3.3 Legislation at Division Sessions. [\*]** Legislation pertaining only to a single division of the Association may be acted on in a separate legislative session of that division. Federated legislation pertaining to more than a single division of the Association may be acted on by the appropriate divisions during the separate division business sessions. *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*

**5.1.4.3.4 Single-Gender Athletics Program.** An active member or member conference with no men's athletics program shall not be permitted to vote on issues affecting only men's athletics, and an active member or member conference with no women's athletics program shall not be permitted to vote on issues affecting only women's athletics.

**5.1.4.4 Voting Methods. [\*]** The methods of voting at an NCAA Convention shall be by voice, paddle, roll call and/or secret ballot, in accordance with the following procedures:

- (a) **Voice Vote.** The presiding officer shall determine whether to use voice voting. In the taking of a voice vote, if the presiding officer is in doubt, or on request for a division of the assembly by any member eligible to vote on the particular issue, the presiding officer shall retake the vote by a show of paddles.
- (b) **Paddle Vote.** The presiding officer shall determine whether to use paddle voting, which may be accomplished through electronic collection. In the taking of a vote by show of paddles, if the presiding officer is in doubt, or on the request of any member eligible to vote on the particular issue, the presiding officer shall order the vote to be counted. *(Revised: 1/3/07)*
- (c) **Secret Ballot.** Voting by secret ballot shall be conducted only when so ordered by a majority of the eligible delegates present and voting, after the making of an undebatable motion to vote in that manner.
- (d) **Roll Call.** Voting by roll call, on issues other than those so designated by the Divisions II and III presidential administrative groups in accordance with Constitution 4.3.2-(g) and 4.4.2-(f) or Division I override votes in accordance with Constitution 5.3.2.3, shall be conducted only when so ordered by a majority of the eligible delegates present and voting, after the making of an undebatable motion to vote in that manner. If both a roll-call vote and a secret ballot are moved on a particular issue, the vote shall be taken first on whether to vote by roll call. Any interim or following vote to amend, postpone, reconsider, refer or table a proposal that has been designated by the presidential administrative groups for a roll-call vote during the Convention also must be conducted by roll-call vote. *(Revised: 1/10/92, 1/9/96 effective 8/1/97)*

## 5.2 ELEMENTS OF LEGISLATION

**5.2.1 Constitution. [\*]** The membership may adopt legislation to be included in the constitution of the Association, which sets forth basic purposes, fundamental policies and general principles that generally serve as the basis on which the legislation of the Association shall be derived and which includes information relevant to the purposes of the Association. *(Revised: 1/9/96 effective 8/1/97)*

**5.2.2 Operating Bylaws. [\*]** Each division may adopt legislation to be included in the operating bylaws of the Association, which provide rules and regulations not inconsistent with the provisions of the constitution and which shall include, but not be limited to, the following particulars: *(Revised: 1/9/96 effective 8/1/97)*

- (a) The administration of intercollegiate athletics by members of the Association;
- (b) The establishment and control of NCAA championships (games, matches, meets and tournaments) and other athletics events sponsored or sanctioned by the Association;
- (c) The procedures for administering and enforcing the provisions of the constitution and bylaws; and
- (d) The adoption of rules of play and competition in the various sports, and the delegation of authority in connection with such subjects to individuals, officers or committees.

**5.2.3 Administrative Bylaws. [\*]** The administrative bylaws of the Association (i.e., administrative regulations, executive regulations, enforcement policies and procedures, and athletics certification policies and procedures) provide rules and regulations for the implementation of policy adopted by the membership as set forth in the constitution and operating bylaws. They are distinct from the operating bylaws in that, to provide greater flexibility and efficiency in the conduct of the affairs of the Association, they may be adopted or amended by the applicable division presidential administrative group, the Division I Legislative Council and the Division III Management Council. *(Revised: 1/9/96 effective 8/1/97, 4/30/08)*

**5.2.3.1 Administrative Regulations. [\*]** Each division is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the Divisions II and III membership, for the implementation of policy established by legislation governing the general activities of each division. The administrative bylaws may be adopted or amended by the applicable division presidential administrative group, the Division I Legislative Council and the Division III Management Council or at any annual or special Convention by a majority vote of the delegates in Divisions II and III present and voting in accordance with the relevant sections of Constitution 5.3.4. *(Revised: 1/9/96 effective 8/1/97, 4/30/08)*

**5.2.3.2 Executive Regulations. [\*]** Each division is empowered to adopt or revise executive regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the Divisions II and III membership. Executive regulations relate to the administration of the division's championships, the expenditure of the division's funds, the distribution of the income of the division and the general administration of the affairs of the division. The executive regulations may be adopted or amended by the applicable division presidential administrative group, the Division I Legislative Council and the Division III Management Council or at any annual or special Convention by a majority vote of the delegates in Divisions II and III present and voting in accordance with the relevant sections of Constitution 5.3.4. *(Revised: 1/9/96 effective 8/1/97, 4/30/08)*

**5.2.3.3 Enforcement Policies and Procedures. [\*]** The Committee on Infractions is empowered to adopt or revise policies and procedures for the conduct of the Association's enforcement program, subject to approval by the Board of Directors for Division I and by the applicable Management Council for Division II and Division III. These policies and procedures shall be developed by the Committee on Infractions, shall not be inconsistent with the provisions of the constitution and bylaws and shall be subject to amendment by the membership. The Infractions Appeals Committee may adopt or revise enforcement policies and procedures set forth in Bylaws 32.10 and 32.11 that relate directly to the infractions appeals process, subject to review and approval by the Division I Board of Directors, the Division II Presidents Council or the Division III Management Council. Such policies and procedures shall not be inconsistent with the provisions of the constitution and bylaws and shall be subject to amendment by the membership. *(Revised: 4/30/08, 12/21/10)*

**5.2.4 Resolutions. [\*]** Legislation of a temporary character effective only for a specified time period may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order (see Constitution 5.4.2).

**5.2.5 Interpretations of Constitution and Bylaws. [\*]** The divisional presidential administrative groups, the Division I Legislative Council and the Division II and Division III Management Councils, and the divisional interpretative committees, in the interim between meetings of the Division I Legislative Council and the Division II and Division III Management Councils, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.4.1). *(Revised: 1/9/96 effective 8/1/97, 4/30/08)*

**5.2.6 Special Rules of Order. [\*]** The Association may adopt special rules of order not inconsistent with the constitution and bylaws. These special rules, with Robert's Rules of Order, Newly Revised, this constitution, and the bylaws, shall be the parliamentary authority for the conduct of all meetings of the Association and, together, shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply (see Constitution 5.4.3).

**5.2.7 Statements of Division Philosophy. [\*]** The membership of a division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division. Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences (see Constitution 5.4.4).

## 5.3 AMENDMENT PROCESS

### 5.3.1 Authorizing Legislation.

**5.3.1.1 Amendment. [\*]** The dominant provisions of Constitution 1 and 2 and elsewhere and the division dominant provisions may be amended only at an annual or special Convention. In Division I, federated provisions may be amended as specified in Constitution 5.3.2. In Divisions II and III, federated provisions may be amended at any annual or special Convention. (*Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97*)

**5.3.1.1.1 Noncontroversial Amendment.** The Management Council or Presidents Council, in the interim between annual Conventions, by a three-fourths majority of its members present and voting, may adopt noncontroversial legislative amendments clearly necessary to promote the normal and orderly administration of the division's legislation. The Management Council or Presidents Council shall then sponsor legislation at the next annual Convention to confirm the adoption of such amendments. (*Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/97, 1/11/00 effective 8/1/00, 5/4/11*)

**5.3.1.2 Amendment-to-Amendment—Dominant Provisions. [\*]** A proposed amendment to the dominant provisions of Constitution 1 and 2 and elsewhere may be amended at any annual or special Convention. From July 15 through September 15, sponsors of proposed amendments may refine and change the amendments in any manner that is germane to the original. After September 15, amendments may be amended only if the amendment to the amendment does not increase the modification of the provision to be amended. (*Adopted: 1/9/96 effective 8/1/97, Revised: 2/6/07*)

**5.3.1.3 Amendment-to-Amendment—Division Dominant Provisions.** A proposed amendment to a division dominant provision may be amended at any annual or special Convention. From July 15 through September 15, sponsors of proposed amendments may refine and change the proposed amendments in any manner that is germane to the original. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (*Adopted: 1/9/96 effective 8/1/97, Revised: 2/6/07*)

**5.3.1.4 Amendment-to-Amendment—Federated Provisions.** A proposed amendment to a federated provision of the bylaws may be amended at any annual or special Convention. From July 15 through September 15, sponsors of proposed amendments may refine and change the proposed amendments in any manner that is germane to the original. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (*Revised: 1/10/90, 1/11/94, 1/9/96 effective 8/1/97, 2/6/07*)

### 5.3.2 Sponsorship—Amendments to Dominant Provisions.

**5.3.2.1 Amendment. [\*]** An amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.2.2 Amendment-to-Amendment. [\*]** An amendment-to-amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.2.3 Editorial Changes. [\*]** The presiding officer may permit changes in the wording of proposed amendments of a purely editorial nature or to correct typographical errors.

### 5.3.3 Sponsorship—Amendments to Division Dominant Provisions.

**5.3.3.1 Amendment.** An amendment to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (*Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97*)

**5.3.3.2 Amendment-to-Amendment.** An amendment-to-amendment to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (*Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97*)

**5.3.3.3 Editorial Changes.** The presiding officer may permit changes in the wording of a proposed amendment of a purely editorial nature or to correct typographical errors. (*Adopted: 1/9/96 effective 8/1/97*)

### 5.3.4 Sponsorship—Amendments to Division III Legislation.

**5.3.4.1 Amendment.** An amendment to a provision of the bylaws may be sponsored by: (*Adopted: 1/9/96 effective 1/14/97*)

- (a) The Management Council or Presidents Council; (*Revised: 1/15/11*)
- (b) Twenty or more active member institutions with voting privileges, on written verification of sponsorship signed by each sponsoring member's president or chancellor or the president's or chancellor's designated representative; or (*Revised: 10/3/05, 1/8/07*)

- (c) Two or more member conferences with voting privileges, when submitted by the chief elected or executive officer of the conferences on behalf of each conference's active member institutions and signed by the chairs of the conferences' official presidential administrative groups or at least two chancellors or presidents of the conference's member institutions if the conference has no presidential administrative group. *(Revised: 1/8/07)*

**5.3.4.1.1 Amendment, Federated Provision.** When legislation is applicable only to a particular division, only members of that division may submit amendments to that legislation. *(Revised: 1/10/92, 1/9/96 effective 1/14/97)*

**5.3.4.1.2 Primary Contact Person.** All amendments to the constitution and bylaws proposed by member institutions shall designate a primary contact person. *(Adopted: 1/10/90, Revised: 1/16/93, 1/9/96 effective 1/14/97)*

**5.3.4.2 Amendment-to-Amendment.** An amendment to an amendment of a provision of the bylaws may be sponsored by: *(Adopted: 1/9/96 effective 1/14/97)*

- (a) The Management Council or Presidents Council; *(Revised: 1/15/11)*
- (b) Twenty or more active member institutions with voting privileges, when submitted in writing by each institution's president or chancellor or the president's or chancellor's designated representative; or *(Revised: 10/3/05, 1/8/07)*
- (c) Two or more member conferences with voting privileges, when submitted in writing by the chief elected or executive officer of the conferences on behalf of each conference's active member institutions and signed by the chairs of the conferences' official presidential administrative groups or at least two chancellors or presidents of the conference's member institutions if the conference has no presidential administrative group. *(Revised: 1/8/07)*

**5.3.4.2.1 Primary Contact Person.** All amendments-to-amendments to the constitution and bylaws proposed by member institutions shall designate a primary contact person. *(Adopted: 1/10/92, Revised: 1/16/93, 1/9/96 effective 1/14/97)*

**5.3.4.3 Editorial Changes.** The presiding officer may permit changes in the wording of proposed amendments of a purely editorial nature or to correct typographical errors. *(Revised: 1/9/96 effective 1/14/97)*

### **5.3.5 Submission Deadline.**

#### **5.3.5.1 Dominant Provisions.**

**5.3.5.1.1 Amendments. [\*]** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall be sponsored by the Executive Committee in accordance with the following deadlines: *(Adopted: 1/9/96 effective 8/1/97)*

- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days preceding a special Convention.

**5.3.5.1.1.1 Exception. [\*]** The Executive Committee, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.5.1.2 Amendments-to-Amendments. [\*]** The Executive Committee must submit amendments to its original amendments in writing and such amendments-to-amendments must be submitted not later than 5 p.m. Eastern time September 15, unless the amendment-to-amendment does not increase the modification specified in the original. Any amendment-to-amendment submitted after September 15 shall not increase the modification of the original and must be submitted in writing not later than 5 p.m. Eastern time November 1 before an annual Convention or 60 days before a special Convention. The Executive Committee may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the amendment-to-amendment has been approved by two-thirds of the Executive Committee and copies are distributed before or during the business session. *(Adopted: 1/9/96 effective 8/1/97, Revised: 3/1/06, 2/6/07)*

#### **5.3.5.2 Division Dominant Provisions.**

**5.3.5.2.1 Amendments.** Amendments to division dominant provisions shall be sponsored by the appropriate divisional presidential administrative group in accordance with the following deadlines: *(Adopted: 1/9/96 effective 8/1/97)*

- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days before a special Convention.

**5.3.5.2.1.1 Exception.** A divisional presidential administrative group, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. *(Adopted: 1/9/96 effective 8/1/97)*



**5.3.5.2.2 Amendments-to-Amendments.** The appropriate divisional presidential administrative group must submit amendments to its original amendments in writing and such amendments must be submitted not later than 5 p.m. Eastern time September 15, unless the amendment-to-amendment does not increase the modification specified in the original. Any amendment-to-amendment submitted after September 15 shall not increase the modification of the original and must be submitted in writing not later than 5 p.m. Eastern time November 1 before an annual Convention or 60 days before a special Convention. A divisional presidential administrative group may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the amendment-to-amendment has been approved by two-thirds of the divisional presidential administrative group and copies are distributed before or during the appropriate business session. (*Adopted: 1/9/96 effective 8/1/97, Revised: 3/1/06, 2/6/07*)

### 5.3.5.3 Legislation.

**5.3.5.3.1 Amendment.** Deadline dates for receipt at the national office of proposed amendments are as follows: (*Revised: 1/11/89 effective 2/1/90, 1/9/96 effective 8/1/97*)

#### 5.3.5.3.1.1 Amendment Proposed by Membership.

- (a) Annual Convention—5 p.m. Eastern time July 15 (at least one of the sponsors of a conference-sponsored amendment or at least 10 of the 20 individual institution sponsors must meet the July 15 deadline, and the required president or chancellor approval for these amendments must be received by 5 p.m. Eastern time August 1). The additional conference or 10 individual institutions must meet the deadline of 5 p.m. Eastern time September 1 or the amendment will be automatically withdrawn. (*Revised: 1/11/89 effective 2/1/90, 1/10/92, 1/11/94, 10/3/05, 3/1/06, 2/6/07, 1/14/08*)
- (b) Special Convention—Ninety days before a special Convention. (*Revised: 1/11/89 effective 2/1/90*)

**5.3.5.3.1.1.1 Exception.** The Presidents Council, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after July 15. (*Revised: 1/11/89 effective 2/1/90, 1/11/94, 1/9/96 effective 8/1/97*)

#### 5.3.5.3.1.2 Amendments Sponsored by Management Council or Presidents Council.

- (a) Annual Convention—September 1. (*Adopted: 1/11/89 effective 2/1/90, Revised: 1/11/94*)
- (b) Special Convention—Ninety days before a special Convention. (*Adopted: 1/11/89 effective 2/1/90*)

**5.3.5.3.1.2.1 Exception.** The Presidents Council, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. (*Adopted: 1/11/89 effective 2/1/90, Revised: 1/11/94, 1/9/96 effective 8/1/97*)

**5.3.5.3.2 Amendment-to-Amendment.** Sponsors of proposed amendments must submit amendments to their original amendments in writing and such amendments-to-amendments must be received at the Association's national office not later than 5 p.m. Eastern time September 15, unless the amendment-to-amendment does not increase the modification specified in the original. Any amendment-to-amendment submitted after September 15 shall not increase the modification of the original and must be submitted in writing and received at the Association's national office not later than 5 p.m. Eastern time November 1 before an annual Convention or 60 days before a special Convention. (*Revised: 1/10/92, 1/11/94, 1/9/96 effective 8/1/97, 3/1/06, 2/6/07*)

**5.3.5.3.2.1 Exception—Management Council or Presidents Council.** The Management Council or Presidents Council may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided that in each instance the proposed amendment to an amendment has been approved by two-thirds of the respective Council and copies are distributed before or during the business session. (*Revised: 1/9/96 effective 8/1/97, 1/15/11*)

**5.3.5.3.3 Cost Considerations.** The sponsors of each proposed amendment or amendment-to-amendment must include a written financial impact statement regarding the potential financial impact (e.g., additional costs, cost savings) of the proposed legislation as it applies to the NCAA, conferences, institutions, student-athletes and prospective student-athletes. (*Revised: 1/10/92, 1/9/96 effective 8/1/97, 8/3/06*)

### 5.3.6 Notification to Membership.

#### 5.3.6.1 Amendments to Dominant Provisions.

**5.3.6.1.1 Initial Publication. [\*]** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere sponsored by the Executive Committee shall be published for the information of the membership as follows: (*Adopted: 1/9/96 effective 8/1/97*)

- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days before a special Convention.

**5.3.6.1.2 Official Notice. [\*]** A copy of the proposed amendments shall be mailed to all members of the Association not later than November 15 before an annual Convention or 45 days before a special Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.1.3 Delayed Date. [\*]** If the Executive Committee establishes a date later than July 15 or September 1 for the submission of amendments to dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.1.4 Amendments-to-Amendments. [\*]** Copies of all amendments-to-amendments to dominant provisions submitted by the Executive Committee in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.3.6.2 Amendments to Division Dominant Provisions.**

**5.3.6.2.1 Initial Publication.** Amendments to division dominant provisions sponsored by a divisional presidential administrative group shall be published for the information of the membership as follows: (*Adopted: 1/19/96 effective 8/1/97*)

- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days before a special Convention.

**5.3.6.2.2 Official Notice.** A copy of the proposed amendments shall be mailed to members of the appropriate division not later than November 15 before an annual Convention or 45 days before a special Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.2.3 Delayed Date.** If a divisional presidential administrative group establishes a date later than July 15 or September 1 for the submission of amendments to division dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.2.4 Amendments-to-Amendments.** Copies of all amendments-to-amendments to division dominant provisions submitted by a divisional presidential administrative group in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.3.6.3 Amendments to Legislation.**

**5.3.6.3.1 Initial Publication.** Proposed amendments shall be published for the information of the appropriate division as follows: (*Adopted: 1/11/89 effective 2/1/90, Revised: 1/10/90, 1/9/96 effective 8/1/97*)

- (a) Not later than August 15—Those proposed by the membership, including the primary contact person's name, title and member institution or member conference, as well as the sponsors' statements of intent and rationale and any amendments designated for inclusion by the Management Council or Presidents Council; and (*Adopted: 1/11/89 effective 2/1/90, Revised: 1/10/90, 1/10/92, 1/11/94, 1/9/96 effective 8/1/97, 2/6/07, 1/15/11*)
- (b) Not later than September 23—Those submitted by the Management Council or Presidents Council and those modified by the sponsors; or (*Adopted: 1/11/89 effective 2/1/90, Revised: 1/10/92, 1/11/94, 1/9/96 effective 8/1/97, 1/15/11*)
- (c) Not later than 75 days before a special Convention—All proposed amendments. (*Adopted: 1/11/89 effective 2/1/90, Revised: 1/9/96 effective 8/1/97*)

**5.3.6.3.2 Official Notice.** A copy of the proposed amendments shall be mailed to members of the appropriate division not later than November 15 before an annual Convention or 45 days before a special Convention. (*Revised: 1/11/89 effective 2/1/90, 1/9/96 effective 8/1/97*)

**5.3.6.3.3 Delayed Date.** If the Presidents Council establishes a date later than July 15 or September 1 for the submission of amendments for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (*Revised: 1/11/89 effective 2/1/90, 1/11/94, 1/9/96 effective 8/1/97*)

**5.3.6.3.4 Amendments-to-Amendments.** Copies of all amendments to proposed amendments submitted by the amendment's original sponsors in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (*Revised: 1/11/89 effective 2/1/90, 1/10/90, 1/11/94, 1/9/96 effective 8/1/97, 2/6/07*)

**5.3.7 Committee Review—Legislation.** All amendments submitted by the membership per Constitution 5.3.4 shall be evaluated by an appropriate committee before they can be included on the agenda for Convention. Such evaluation may involve a position of support or opposition and/or a suggestion of an alternative amendment by the committee. The committee shall complete its evaluation not later than October 7. The committee position,

if any, shall not prevent the membership from voting on the amendment at the next Convention, provided all appropriate submission deadlines have been met. (*Adopted: 1/16/93, Revised: 1/11/94, 1/9/96 effective 8/1/97, 2/6/07*)

**5.3.7.1 Committee Designation.** The Management Council shall assign each amendment to the appropriate committee(s) for evaluation and shall designate a subcommittee of itself or a special committee when no appropriate committee exists. (*Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97, 2/6/07, 1/15/11*)

**5.3.7.2 Publication of Committee Position.** The position of the appropriate committee shall be printed with the relevant amendment in the Official Notice of the Convention. (*Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97, 2/6/07*)

### **5.3.8 Adoption of Amendment, Voting Requirements.**

**5.3.8.1 Voting Requirement Determination. [\*]** The Executive Committee shall be authorized to determine the voting requirement for an amendment when the voting requirement is not obvious from the content or context of the legislation. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.8.2 Dominant Provision. [\*]** Adoption of an amendment to a dominant provision (or a change of the voting requirement of such a provision) of Constitution 1 and 2 and elsewhere shall require a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention of the Association. (*Revised: 1/9/96 effective 8/1/97, 12/19/07*)

**5.3.8.3 Division Dominant Provision. [\*]** Adoption of an amendment to a division dominant provision shall require a two-thirds majority vote of all delegates of the affected division present and voting at a division's annual or special Convention. (*Adopted: 1/9/96 effective 8/1/97, Revised: 1/3/07*)

**5.3.8.3.1 Change of Voting Requirement of Division Dominant Provision.** In order to change a division dominant provision to a federated provision, a two-thirds majority vote of all delegates present and voting at the division's annual or special Convention is required. In order to change a division dominant provision to a dominant or common provision, the change must be adopted through the procedure and with the voting requirement applicable to such provisions. (*Adopted: 12/19/07*)

**5.3.8.4 Common Provision.** Adoption of an amendment to a common provision shall be adopted by each of the applicable divisions, acting separately pursuant to the divisional legislative process described in Constitution 5.3, and must be approved by all applicable divisions to be effective. (*Adopted: 12/19/07*)

**5.3.8.4.1 Change of Voting Requirement of Common Provision.** In order to change a common provision to a federated provision, each of the applicable divisions shall adopt the change acting separately pursuant to the divisional legislative process described in Constitution 5.3. The change must be approved by all applicable divisions to be effective. In order to change a common provision to a dominant provision, a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention is required. (*Adopted: 12/19/07*)

**5.3.8.5 Federated Provision.** Adoption of an amendment to a federated provision shall require a majority vote of the delegates present and voting of one or more division(s) of the Association, acting separately, at an annual or special Convention or at a division legislative meeting of the Association. Such legislation shall apply only to the division(s) adopting it. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.8.5.1 Change of Voting Requirement of Federated Provision.** In order to change a federated provision to any other type of provision, the change must be adopted through the procedure and with the voting requirement applicable to the particular type of provision. (*Adopted: 12/19/07*)

### **5.3.9 Adoption of Amendment-to-Amendment, Voting Requirements.**

**5.3.9.1 Dominant Provision. [\*]** A proposed amendment to an amendment of a dominant provision of Constitution 1 and 2 and elsewhere shall be approved by a majority vote of all delegates present and voting. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.9.2 Division Dominant Provision.** A proposed amendment to an amendment of a division dominant provision shall be approved by a majority vote of the delegates of the affected division present and voting. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.9.3 Federated Provision.** A proposed amendment to an amendment of a federated provision shall be approved by a majority vote of the delegates of the affected division present and voting. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.3.10 Special Voting Requirements. [\*]** The following topics are subject to special voting requirements.

**5.3.10.1 National Collegiate Championship. [\*]** A National Collegiate Championship per Bylaw 18.02.1.1 may be established by action of all three divisions acting through each division's governance structure, subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (*Revised: 1/14/97 effective 8/1/97*)

**5.3.10.2 Division Championship.** A division championship per Bylaw 18.02.1.2 may be established by a majority vote of all members of that division present and voting at an annual Convention, subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (*Revised: 1/9/96 effective 8/1/97*)



**5.3.10.3 Challenged Action. [\*]** The action of any specific division challenged by the Executive Committee as being contrary to the basic purposes, fundamental policies and general principles set forth in the constitution may be overridden by the entire membership by a two-thirds majority vote of those institutions voting. *(Adopted: 1/9/96 effective 8/1/97)*

### **5.3.11 Intent and Rationale.**

**5.3.11.1 Amendments to Dominant Provisions. [\*]** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/11/00 effective 8/1/00, 2/6/07)*

**5.3.11.2 Amendments to Division Dominant Provisions.** All amendments to division dominant provisions shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. *(Adopted: 1/9/96 effective 8/1/97, Revised: 8/3/06)*

**5.3.11.3 Amendments to Federated Provisions.** All amendments to federated provisions shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. *(Adopted: 1/9/96 effective 8/1/97, Revised: 8/3/06)*

### **5.3.12 Effective Date.**

**5.3.12.1 Dominant Provisions. [\*]** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall become effective not earlier than the first day of August after adoption by the Convention. *(Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97)*

**5.3.12.1.1 Alternative to August 1 Effective Date. [\*]** If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date before the first day of August, the rationale statement shall contain reasons why the amendment has an alternative effective date. Those amendments specified as being effective immediately shall become effective on adjournment of the Convention. *(Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97, 1/11/00 effective 8/1/00, 2/6/07)*

**5.3.12.2 Division Dominant Provisions.** All amendments to division dominant provisions shall become effective not earlier than the first day of August after adoption by the Convention. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.12.2.1 Alternative to August 1 Effective Date.** If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date before the first day of August, a two-thirds majority of all delegates present and eligible to vote on the amendment is required to approve the immediate or alternative effective date. Those amendments specified as being effective immediately shall become effective on adjournment of the Convention. *(Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97)*

**5.3.12.3 Federated Provisions.** All amendments to federated provisions shall become effective not earlier than the first day of August after adoption by the Convention. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.12.3.1 Alternative to August 1 Effective Date.** If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date before the first day of August, the rationale statement shall contain reasons why the amendment has an alternative effective date. Those amendments specified as being effective immediately shall become effective on adjournment of the Convention. *(Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97, 1/11/00 effective 8/1/00, 2/6/07)*

### **5.3.13 Reconsideration.**

**5.3.13.1 Vote on Dominant Provisions. [\*]** Before the adjournment of any Convention, an affirmative or negative vote on an amendment to a provision of Constitution 1 and 2 and elsewhere may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. *(Revised: 1/9/96 effective 8/1/97)*

**5.3.13.2 Vote on Division Dominant Provisions.** Before adjournment of any Convention, an affirmative or negative vote on an amendment to a division dominant provision may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. Reconsideration of the vote must occur in the appropriate division business session. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.13.3 Vote on Federated Provisions.** Before adjournment of any Convention, an affirmative or negative vote on an amendment to a federated provision may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. Reconsideration of the vote must occur in the business session. *(Adopted: 1/9/96 effective 8/1/97)*

## 5.4 OTHER LEGISLATIVE AND AMENDMENT PROCEDURES

### 5.4.1 Interpretations of Constitution and Bylaws.

**5.4.1.1 Authorization.** The Presidents Council and Management Council per Constitution 4.4 and 4.8, in the interim between Conventions, and the Interpretations and Legislation Committee, in the interim between meetings of the Presidents Council and Management Council, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.2.5). *(Revised: 1/9/96 effective 8/1/97)*

**5.4.1.1.1 Modification of Wording.** In addition to its general authority to make binding interpretations of NCAA legislation, the Management Council, by a two-thirds majority of its members present and voting, may interpret legislation consistent with the intent of the membership in adopting the legislation if sufficient documentation and testimony are available to clearly establish that the wording of the legislation is inconsistent with that intent. The Management Council shall sponsor legislation at the next annual Convention to confirm any such interpretations. *(Revised: 1/9/96 effective 8/1/97)*

#### 5.4.1.2 Interpretation Process.

**5.4.1.2.1 Staff Interpretation (Determination).** The academic and membership affairs staff shall respond to a request from a member institution for an interpretation of NCAA rules. *(Revised: 1/14/97 effective 8/1/97, 10/20/08)*

**5.4.1.2.1.1 Appeal of Staff Interpretation.** A member institution may appeal a staff interpretation to the Interpretations and Legislation Committee. Such a request must be submitted in writing by the institution's conference or by one of the five individuals who are authorized to request such interpretations on behalf of the institution [i.e., president or chancellor, faculty athletics representative, athletics director, senior woman administrator, compliance coordinator, or designated substitute(s) for the president or chancellor and/or athletics director, as specified in writing to the national office]. *(Revised: 1/10/91, 1/11/94, 1/14/97 effective 8/1/97, 10/3/05, 10/20/08)*

**5.4.1.2.1.2 Review of Staff Interpretations.** The Interpretations and Legislation Committee shall review all staff interpretations. *(Adopted: 10/20/08)*

**5.4.1.2.1.3 Publication and Notification.** A staff interpretation shall be binding on the requesting institution on notification of the response to its interpretation request, unless the interpretation is modified or reversed on appeal or review by the Interpretations and Legislation Committee. A staff interpretation that has been reviewed and approved by the Interpretations and Legislation Committee shall be binding on all institutions on publication to the membership (e.g., announced on the NCAA website or Legislative Services Database for the Internet). *(Adopted: 10/20/08)*

**5.4.1.2.2 Review of Interpretations and Legislation Committee Decision.** The Management Council shall review all interpretations issued by the Interpretations and Legislation Committee and may approve, reverse or modify such interpretations. A member institution may appeal a decision of the Interpretations and Legislation Committee to the Management Council meeting immediately after the decision of the committee. The appeal must be submitted in writing by the institution's president or chancellor, faculty athletics representative or director of athletics. The Management Council shall establish the procedures for such an appeal. *(Adopted: 1/11/94, Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97, 10/3/05)*

**5.4.1.2.3 Publication or Notification.** Interpretations issued by the Interpretations and Legislation Committee shall be binding on notification to affected institutions and on all member institutions after publication and circulation to the membership. *(Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97)*

**5.4.1.2.4 Revision.** Interpretations approved by the Management Council may not be revised by the Interpretations and Legislation Committee. The Interpretations and Legislation Committee may only recommend to the Management Council revisions of such interpretations. *(Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97)*

**5.4.1.3 Application.** An interpretation of the constitution or bylaws shall be applicable to the membership division(s) to which the provision applies.

**5.4.1.4 Request for Convention Review.** Any member of the Association to which an interpretation applies may request a review of the interpretation at the next annual Convention by making such a request in writing to the Association's Convention office before 1 p.m. on the day before the business sessions of the Convention.

**5.4.1.4.1 Affirmation of Interpretation.** An interpretation of the constitution or bylaws submitted for review requires the same vote for affirmation that would be required for an amendment of the provisions to which the interpretation applies (e.g., majority vote of the delegates present and voting in the appropriate division for an interpretation of a federated provision). An interpretation that fails to receive the required vote for affirmation shall not be binding on the membership.

**5.4.1.5 Amendment by Membership.** A proposed amendment to an existing interpretation must be submitted in accordance with the requirements of Constitution 5.3.4.

**5.4.1.6 Convention-Approved Interpretations.** All interpretations approved by the Convention shall be incorporated into the constitution or bylaws.

**5.4.1.7 Review Authority of Management Council.** An institution may appeal to the Management Council a decision of a committee (excluding actions of the Committee on Student-Athlete Reinstatement, related to the restoration of a student-athlete's eligibility, and the Committee on Infractions) or the NCAA staff regarding the application of legislation to a particular situation. In reaching its decision, the Management Council shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation. The Management Council shall establish the process for such a review; shall monitor the actions taken under this authorization; and shall report annually to the membership the actions taken, in summary, aggregate form. (*Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97*)

## **5.4.2 Resolutions.**

**5.4.2.1 Authorization. [\*]** Legislation pertaining to one or more divisions may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order.

**5.4.2.2 Scope and Application. [\*]** Legislation enacted through resolutions shall be of a temporary nature, effective only for a limited time as specified in the resolution itself.

### **5.4.2.3 Dominant Provisions.**

**5.4.2.3.1 Sponsorship. [\*]** A resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (*Revised: 1/9/96 effective 8/1/97*)

**5.4.2.3.2 Submission Deadline. [\*]** A proposed resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere must be submitted before November 1. The Executive Committee may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds vote of the Executive Committee and copies are distributed during the business session. (*Revised: 1/9/96 effective 8/1/97*)

#### **5.4.2.3.3 Voting Requirements.**

**5.4.2.3.3.1 Adoption—Annual/Special Convention. [\*]** Adoption of a resolution shall require a majority vote of the delegates present and voting at an annual or special Convention. (*Revised: 1/9/96 effective 8/1/97*)

**5.4.2.3.3.2 Mail Ballot. [\*]** If a majority of the delegates present and voting so direct, a resolution shall be referred to the entire membership for a mail vote conducted under conditions approved by the Executive Committee. A two-thirds majority of members voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (*Revised: 1/9/96 effective 8/1/97*)

### **5.4.2.4 Division Dominant Provisions.**

**5.4.2.4.1 Sponsorship.** A resolution related to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (*Adopted: 1/9/96 effective 8/1/97*)

**5.4.2.4.2 Submission Deadline.** A proposed resolution related to a division dominant provision must be submitted before November 1. A divisional presidential administrative group may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds majority vote of that presidential administrative group and copies are distributed during the appropriate division business sessions. (*Adopted: 1/9/96 effective 8/1/97*)

#### **5.4.2.4.3 Voting Requirements.**

**5.4.2.4.3.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates of the applicable division present and voting at an annual or special Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.4.2.4.3.2 Mail Ballot.** If a majority of the delegates of the applicable division present and voting so direct, a resolution shall be referred to the entire membership of that division for a mail vote conducted under conditions approved by the appropriate presidential administrative group. A two-thirds majority of members of the applicable division voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.4.2.5 Federated Provisions.**

**5.4.2.5.1 Sponsorship.** A resolution related to a federated provision may be sponsored by: (*Adopted: 1/9/96 effective 8/1/97*)

- (a) The Management Council or Presidents Council; (*Revised: 1/15/11*)
- (b) Twenty or more active member institutions with voting privileges, on written verification of sponsorship signed by each sponsoring member's president or chancellor or the president's or chancellor's designated representative; (*Revised: 1/11/89 effective 2/1/90, 1/9/96 effective 8/1/97, 10/3/05, 1/8/07*)
- (c) Two or more member conferences with voting privileges when submitted by the chief elected or executive officer of the conference on behalf of each conference's active member institutions and signed by the chairs of the conferences' official presidential administrative groups or at least two chancellors or presidents of the conference's member institutions if the conference has no presidential administrative group. (*Revised: 1/10/91, 1/11/94, 1/9/96 effective 8/1/97, 1/8/07*)

**5.4.2.5.2 Submission Deadline.** A proposed resolution related to a federated provision must be submitted in writing to the national office before November 1. (*Adopted: 1/9/96 effective 8/1/97*)

**5.4.2.5.2.1 Exception.** The applicable Presidents Council may sponsor resolutions at the time of the Convention without meeting this deadline, provided the proposed resolution has been approved by a two-thirds vote of the Presidents Council and copies are distributed before or during the business sessions. (*Adopted: 1/9/96 effective 8/1/97*)

**5.4.2.5.3 Cost Considerations.** The sponsors of each proposed resolution shall include a written financial impact statement regarding the potential financial impact (e.g., additional costs, cost savings) of the proposed resolution as it applies to the NCAA, conferences, institutions, student-athletes or prospective student-athletes. (*Adopted: 1/9/96 effective 8/1/97, Revised: 8/3/06*)

**5.4.2.5.4 Voting Requirements.**

**5.4.2.5.4.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates of the applicable division present and voting at an annual or special Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.4.2.5.4.2 Mail Ballot.** If a majority of the delegates of the applicable division present and voting so direct, a resolution shall be referred to the members of the applicable division for a mail vote conducted by the officers under conditions approved by the Presidents Council. A two-thirds majority of members of the applicable division voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.4.3 Special Rules of Order.**

**5.4.3.1 General Business Sessions. [\*]** The Association may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates present and voting at any annual or special Convention. (*Revised: 1/9/96 effective 8/1/97*)

**5.4.3.2 Division Business Sessions.** Each division may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates of the applicable division present and voting at any annual or special Convention. (*Revised: 1/9/96 effective 8/1/97*)

**5.4.3.3 Amendment Process. [\*]** Proposed special rules of order and amendments to existing special rules of order shall be subject to the same procedural requirements for previous notice and amendments as prescribed in Constitution 5.3.

### **5.4.4 Statements of Division Philosophy.**

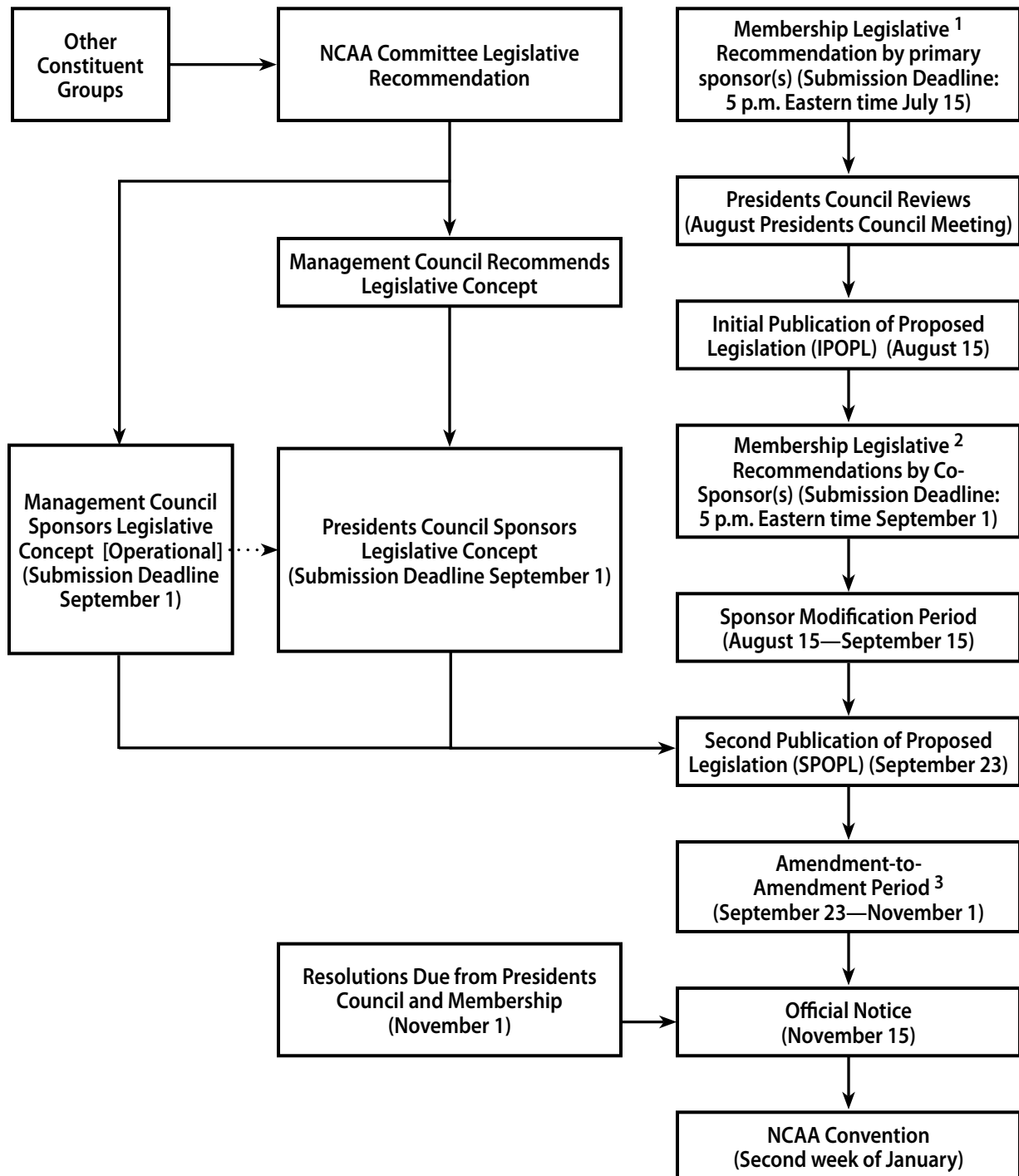
**5.4.4.1 Authorization.** Each division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division or subdivision.

**5.4.4.2 Scope and Application.** Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division or subdivision and for planning and implementation of programs by institutions and conferences.

**5.4.4.3 Adoption Process.** A statement of division philosophy may be adopted at any annual or special Convention by a majority vote of the delegates of the division present and voting. If a statement of division philosophy is adopted, it shall be published in the NCAA Manual. (*Revised: 1/9/96 effective 8/1/97*)

**FIGURE 5-1**

**NCAA Division III Legislative Process**



- 1 Per NCAA Constitution 5.3.4.1-(b) and -(c), legislative recommendations from the membership may be sponsored by 20 or more active member institutions with voting privileges or two or more voting member conferences. Per Constitution 5.3.5.3.1.1, at least one of the sponsors of a conference-sponsored amendment or at least 10 of the 20 individual institution sponsors must meet the deadline of 5 p.m. Eastern time July 15.
- 2 Per Constitution 5.3.5.3.1.1, the second co-sponsor of a conference-sponsored amendment or the additional 10 individual institutions must meet the deadline of 5 p.m. Eastern time September 1 or the amendment will be automatically withdrawn.
- 3 Per Constitution 5.3.4.2, an amendment to an amendment may be sponsored by the Management Council, Presidents Council, 20 or more active member institutions with voting privileges or two or more voting member conferences.

# Institutional Control

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## 6.01 GENERAL PRINCIPLE

**6.01.1 Institutional Control.** The control and responsibility for the conduct of intercollegiate athletics shall be exercised by the institution itself and by the conference(s), if any, of which it is a member. Administrative control or faculty control, or a combination of the two, shall constitute institutional control.

## 6.1 INSTITUTIONAL GOVERNANCE

**6.1.1 President or Chancellor.** A member institution's president or chancellor has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program. (*Revised: 10/3/05*)

**6.1.2 Athletics Board.** A board in control of athletics or an athletics advisory board, which has responsibility for advising or establishing athletics policies and making policy decisions, is not required. However, if such a board exists, it must conform to the following provisions.

**6.1.2.1 Composition.** Administration and/or faculty staff members shall constitute at least a majority of the board in control of athletics or an athletics advisory board, irrespective of the president or chancellor's responsibility and authority or whether the athletics department is financed in whole or in part by student fees. If the board has a parliamentary requirement necessitating more than a simple majority in order to transact some or all of its business, then the administrative and faculty members shall be of sufficient number to constitute at least that majority. (*Revised: 10/3/05*)

**6.1.2.1.1 Administrator Defined.** An administrator (for purposes of this legislation) is an individual employed by the institution as a full-time administrative staff member who holds an academic appointment, is directly responsible to the institution's president or chancellor or serves as a chief administrative official (e.g., admissions director, finance officer, department head, or athletics department head). Other nonacademic staff members and individuals who are members of an institution's board of trustees or similar governing body would not be considered to be administrators for purposes of this regulation. (*Revised: 10/3/05*)

**6.1.2.1.2 Board Subcommittee.** If a board subcommittee is appointed, it is not necessary for the subcommittee to have majority control by administration and/or faculty members (see Constitution 6.1.2.1 for composition requirements), provided all actions of the subcommittee are approved by the entire board before becoming effective. However, if the subcommittee's actions are effective permanently or become effective immediately and remain in effect until reviewed by the entire board at a later date, the subcommittee's membership must satisfy the majority-control requirement.

**6.1.2.1.3 Attendance.** A parliamentary majority of administrators and faculty members of a board in control of athletics is not required to be present at any single meeting in order to conduct business.

**6.1.2.2 Chair or Voting Delegate.** Only an administrator or faculty member (as opposed to a student, alumnus or governing board member) may serve as chair of a member institution's board in control of intercollegiate athletics or represent the board as the institution's voting delegate at Conventions. Institutional representatives in these positions have responsibility for advising or establishing athletics policies and making policy decisions that require administrative and/or faculty control.

**6.1.3 Faculty Athletics Representative.** A member institution shall designate an individual to serve as faculty athletics representative. An individual so designated after January 12, 1989, shall be a member of the institution's faculty or an administrator who holds faculty rank and shall not hold an administrative or coaching position in the athletics department. Duties of the faculty athletics representative shall be determined by the member institution. (*Adopted: 1/11/89*)

**6.1.4 Student-Athlete Advisory Committee.** Each institution shall establish a student-athlete advisory committee for its student-athletes. The composition and duties of the committee shall be determined by the institution. (*Adopted: 1/10/95 effective 8/1/95*)

## 6.2 BUDGETARY CONTROL

**6.2.1 Normal Budgeting Procedures.** The institution's annual budget for its intercollegiate athletics programs shall be controlled by the institution and subject to its normal budgeting procedures.

**6.2.2 President or Chancellor Approval.** The institution's president or chancellor or an institutional administrator designated by the president or chancellor from outside the athletics department shall approve the annual budget in the event that the institution's normal budgeting procedures do not require such action. *(Revised: 10/3/05)*

**6.2.3 Financial Audit.** All expenditures and revenue for or on behalf of a Division III member institution's intercollegiate athletics programs shall be subject to the institution's regular financial audit. In particular, additional revenue and expenditures associated with outside groups or individuals shall be included in this audit. *(Revised: 1/11/89)*

## 6.3 SELF-STUDY AND EVALUATION

**6.3.1 Self-Study Report.** Institutions shall conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every five years, using the Institutional Self-Study Guide (ISSG). The report of the self-study and supporting documentation shall be available for examination on request by an authorized representative of the Association and may be reviewed by the Membership Committee with a focus on legislative issues and results of action plans in areas of deficiencies. Subjects covered by the self-study shall include: *(Revised: 1/14/97 effective 8/1/97, 1/8/07 effective 8/1/07, for any application of membership penalties [probation or restricted membership status] based on the sports sponsorship audit shall be based on information reported for the 2006-07 year and beyond)*

- (a) Institutional purpose and athletics philosophy;
- (b) Principles of sportsmanship and ethical conduct; *(Revised: 1/10/05)*
- (c) The authority of the president or chancellor and institutional oversight of athletics; *(Revised: 1/10/05, 10/3/05)*
- (d) Institutional control and accountability of athletics program finances; *(Revised: 1/10/05)*
- (e) Athletics program organization and administration; *(Revised: 1/10/05)*
- (f) Employment of athletics program personnel; *(Revised: 1/10/05)*
- (g) Sports programs;
- (h) Sports medicine; *(Revised: 1/10/05)*
- (i) Recruiting, admissions, financial aid and academic eligibility; *(Revised: 1/10/05)*
- (j) Institutional student services; and *(Revised: 1/10/05)*
- (k) Student-athlete profiles.

**6.3.1.1 Institutions Completing Provisional or Reclassifying Membership.** An institution that completes the provisional or reclassifying membership period and is granted active membership status must conduct a comprehensive self-study and evaluation of its intercollegiate athletics program not later than the end of the first year of active membership, regardless of when the previous self-study was completed. *(Adopted: 1/13/03, Revised: 1/6/06)*

### 6.3.1.2 Failure to Conduct the Institutional Self-Study Guide.

**6.3.1.2.1 Penalty Period.** A penalty period of not less than three academic years shall apply to an institution that fails to conduct the Institutional Self-Study Guide (ISSG) subject to the conditions set forth in this bylaw. The penalty period begins once a first failure (see Bylaw 6.3.1.2.1.1) occurs. *(Adopted: 10/19/10 effective 8/1/11)*

**6.3.1.2.1.1 First Failure.** An institution shall be placed on probation for the length of the penalty period beginning with the next academic year after the failed submission. A failure to conduct the ISSG is considered to have occurred when the institution does not submit the Notification of Completion form to the NCAA national office during the academic year in which the ISSG is due. During the first year of the penalty period, the institution shall be subject to the probationary year conditions in Constitution 3.02.3.1.2.1. *(Adopted: 10/19/10 effective 8/1/11)*

**6.3.1.2.1.2 Second Failure.** An institution shall be placed in restricted membership in accordance with Constitution 3.02.3.1.2.2 for one academic year beginning with the next academic year after a second failure is discovered during the penalty period. Failure to meet any of the following requirements shall be considered a second failure: *(Adopted: 10/19/10 effective 8/1/11)*

- (a) Institutional Self-Study Guide;
- (b) Sports sponsorship requirement; or
- (c) Conditions of probation (see Constitution 3.02.3.1.2.1).



**6.3.1.2.1.3 Third Failure.** An institution shall forfeit immediately its membership in the Association after a third failure is discovered during the penalty period. Failure to meet any of the requirements in Constitution 6.3.1.2.1.2 shall be considered a third failure. *(Adopted: 10/19/10 effective 8/1/11)*

**6.3.1.3 Waiver.** The Management Council, on recommendation of the Membership Committee, may grant waivers of Constitution 6.3.1.2 if it deems that extenuating circumstances warrant such action. *(Adopted: 1/9/06 effective 8/1/06)*

## 6.4 RESPONSIBILITY FOR ACTIONS OF OUTSIDE ENTITIES

**6.4.1 Independent Agencies or Organizations.** An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of an independent agency or organization when a member of the institution's executive or athletics administration, or an athletics department staff member, has knowledge that such agency or organization is promoting the institution's intercollegiate athletics program.

**6.4.2 Representatives of Athletics Interests.** An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of individuals when a member of the institution's executive or athletics administration has knowledge or should have knowledge that such an individual:

- (a) Has participated in or is a member of an agency or organization as described in Constitution 6.4.1;
- (b) Has made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Has been requested by the athletics department staff to assist in the recruitment of prospective student-athletes or is assisting in the recruitment of prospective student-athletes;
- (d) Has assisted or is assisting in providing benefits to enrolled student-athletes; or
- (e) Is otherwise involved in promoting the institution's athletics program.

**6.4.2.1 Agreement to Provide Benefit or Privilege.** Any agreement between an institution (or any organization that promotes, assists or augments in any way the athletics interests of the member institution, including those identified per Constitution 6.4.1) and an individual who, for any consideration, is or may be entitled under the terms of the agreement to any benefit or privilege relating to the institution's athletics program, shall contain a specific clause providing that any such benefit or privilege may be withheld if the individual has engaged in conduct that is determined to be a violation of NCAA legislation. The clause shall provide for the withholding of the benefit or privilege from a party to the agreement and any other person who may be entitled to a benefit or privilege under the terms of the agreement. *(Adopted: 1/10/95)*

**6.4.2.2 Retention of Identity as "Representative."** Any individual participating in the activities set forth in Constitution 6.4.2 shall be considered a "representative of the institution's athletics interests," and once so identified as a representative, it is presumed the person retains that identity.





# Ethical Conduct

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## 10.01 GENERAL PRINCIPLES

**10.01.1 Honesty and Sportsmanship.** Individuals employed by (or associated with) a member institution to administer, conduct or coach intercollegiate athletics and all participating student-athletes shall act with honesty and sportsmanship at all times so that intercollegiate athletics as a whole, their institutions and they, as individuals, shall represent the honor and dignity of fair play and the generally recognized high standards associated with wholesome competitive sports.

## 10.02 DEFINITIONS AND APPLICATIONS [#]

**10.02.1 Sports Wagering. [#]** Sports wagering includes placing, accepting or soliciting a wager (on a staff member's or student-athlete's own behalf or on the behalf of others) of any type with any individual or organization on any intercollegiate, amateur or professional team or contest. Examples of sports wagering include, but are not limited to, the use of a bookmaker or parlay card; Internet sports wagering; auctions in which bids are placed on teams, individuals or contests; and pools or fantasy leagues in which an entry fee is required and there is an opportunity to win a prize. *(Adopted: 1/8/07 effective 8/1/07)*

**10.02.2 Wager. [#]** A wager is any agreement in which an individual or entity agrees to give up an item of value (e.g., cash, shirt, dinner) in exchange for the possibility of gaining another item of value. *(Adopted: 1/8/07 effective 8/1/07)*

## 10.1 UNETHICAL CONDUCT

Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member, which includes any individual who performs work for the institution or the athletics department even if he or she does not receive compensation for such work, may include, but is not limited to, the following: *(Revised: 1/10/90, 1/9/96, 7/20/10)*

- (a) Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- (b) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete;
- (c) Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid; *(Revised: 1/9/96)*
- (d) Knowingly furnishing or knowingly influencing others to furnish the NCAA or the individual's institution false or misleading information concerning an individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation; *(Revised: 1/13/10)*
- (e) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner"); *(Adopted: 1/9/96)*
- (f) Knowing involvement in providing a banned substance or impermissible supplement to student-athletes, or knowingly providing medications to student-athletes contrary to medical licensure, commonly accepted standards of care in sports medicine practice, or state or federal law. This provision shall not apply to banned substances for which the student-athlete has received a medical exception per Bylaw 31.2.3.5; however, the substance must be provided in accordance with medical licensure, commonly accepted standards of care and state or federal law; *(Adopted: 1/9/06, Revised: 5/23/08, 5/30/08)*
- (g) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or an institution's admissions office regarding an individual's academic record (e.g., schools attended, completion of coursework, grades and test scores); *(Revised: 7/20/10)*

- (h) Fraudulence or misconduct in connection with entrance or placement examinations; *(Revised: 7/20/10)*
- (i) Engaging in any athletics competition under an assumed name or with intent to otherwise deceive; or *(Revised: 7/20/10)*
- (j) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or the institution's athletics department regarding an individual's amateur status. *(Revised: 7/20/10)*

## 10.2 KNOWLEDGE OF USE OF BANNED DRUGS

A member institution's athletics department staff members or others employed by the intercollegiate athletics program who have knowledge of a student-athlete's use at any time of a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.4, shall follow institutional procedures dealing with drug abuse or shall be subject to disciplinary or corrective action as set forth in Bylaw 19.5.2.2.

## 10.3 SPORTS WAGERING ACTIVITIES [#]

The following individuals shall not knowingly participate in sports wagering activities or provide information to individuals involved in or associated with any type of sports wagering activities concerning intercollegiate, amateur or professional athletics competition: *(Adopted: 1/8/07 effective 8/1/07)*

- (a) Staff members of an institution's athletics department;
- (b) Nonathletics department staff members who have responsibilities within or over the athletics department (e.g., chancellor or president, faculty athletics representative, individual to whom athletics reports);
- (c) Staff members of a conference office; and
- (d) Student-athletes.

**10.3.1 Scope of Application. [#]** The prohibition against sports wagering applies to any institutional practice or any competition (intercollegiate, amateur or professional) in a sport in which the Association conducts championship competition, in bowl subdivision football and in emerging sports for women. *(Adopted: 1/8/07 effective 8/1/07)*

**10.3.1.1 Exception. [#]** The provisions of Bylaw 10.3 are not applicable to traditional wagers between institutions (e.g., traditional rivalry) or in conjunction with particular contests (e.g., bowl games). Items wagered must be representative of the involved institutions or the states in which they are located. *(Adopted: 1/8/07 effective 8/1/07)*

**10.3.2 Sanctions. [#]** The following sanctions for violations of Bylaw 10.3 shall apply:

- (a) A student-athlete who engages in activities designed to influence the outcome of an intercollegiate contest or in an effort to affect win-loss margins ("point shaving") or who participates in any sports wagering activity involving the student-athlete's institution shall permanently lose all remaining regular-season and postseason eligibility in all sports. *(Adopted: 1/8/01 effective 8/1/01, Revised: 1/8/07 effective 8/1/07)*
- (b) A student-athlete who participates in any sports wagering activity, through the Internet, a bookmaker or a parlay card, shall be ineligible for all regular-season and postseason competition for a minimum period of one year from the date of the institution's determination that a violation has occurred and shall be charged with a loss of a minimum of one season of eligibility. If the student-athlete is later determined to have been involved in a later violation of any portion of Bylaw 10.3, the student-athlete shall permanently lose all remaining regular-season and postseason eligibility in all sports. *(Adopted: 1/8/01 effective 8/1/01, Revised: 1/12/04 effective 8/1/04 for any athletics participation occurring on or after 8/1/04, 1/8/07 effective 8/1/07)*

## 10.4 DISCIPLINARY ACTION [#]

Prospective student-athletes and enrolled student-athletes found in violation of the provisions of this regulation shall be ineligible for further intercollegiate competition, subject to appeal to the Committee on Student-Athlete Reinstatement for restoration of eligibility (see Bylaw 10.3.2 for sanctions of student-athletes involved in violations of Bylaw 10.3). Institutional staff members found in violation of the provisions of this regulation shall be subject to disciplinary or corrective action as set forth in Bylaw 19.5.2.2 of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution. *(Revised: 1/10/90, 1/11/00, 1/8/01 effective 8/1/01, 1/8/07 effective 8/1/07)*

# Conduct and Employment of Athletics Personnel

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## 11.01 GENERAL PRINCIPLE

**11.01.1 Institutional Control.** An institution determines who is to be employed and the amount of salary the employee receives in accordance with institutional policy. (*Adopted: 1/8/01 effective 8/1/01*)

## 11.1 CONDUCT OF ATHLETICS PERSONNEL

**11.1.1 Standards of Honesty and Sportsmanship.** Individuals employed by or associated with a member institution to administer, conduct or coach intercollegiate athletics shall act with honesty and sportsmanship at all times so that intercollegiate athletics as a whole, their institution and they, as individuals, represent the honor and dignity of fair play and the generally recognized high standards associated with wholesome competitive sports. (See Bylaw 10 for more specific ethical-conduct standards.) (*Adopted: 4/11/06*)

**11.1.2 Responsibility for Violations of NCAA Regulations.** Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution. (*Adopted: 4/11/06*)

**11.1.2.1 Responsibility of Head Coach.** It shall be the responsibility of an institution's head coach to promote an atmosphere for compliance within the program supervised by the coach and to monitor the activities regarding compliance of all assistant coaches and other administrators involved with the program who report directly or indirectly to the coach. (*Adopted: 4/11/06*)

**11.1.3 Use of Association Name or Affiliation.** Staff members of member institutions and others serving on the Association's committees or acting as consultants shall not use, directly or by implication, the Association's name or their affiliation with the Association in the endorsement of products or services.

**11.1.4 Representing Individuals in Marketing Athletics Ability/Reputation.** Staff members of the athletics department of a member institution shall not represent, directly or indirectly, any individual in the marketing of athletics ability or reputation to an agent, a professional sports team or a professional sports organization, including receiving compensation for arranging commercial endorsements or personal appearances for former student-athletes, except as specified in Bylaw 11.1.4.1, and shall not receive compensation or gratuities of any kind, directly or indirectly, for such services. (*Revised: 1/10/92, 1/11/94*)

**11.1.4.1 Exception—Head Coach.** An institution's head coach in a sport may contact agents, professional sports teams or professional sports organizations on behalf of a student-athlete, provided no compensation is received for such services. The head coach shall consult with and report his or her activities to the president or chancellor [or an individual or group (e.g., athletics advisory board) designated by the president or chancellor]. The head coach may: (*Adopted: 1/11/94, Revised: 10/3/05*)

- (a) Communicate directly (e.g., in-person, by mail, email or telephone) with representatives of a professional athletics team to assist in securing a tryout with that team for a student-athlete;
- (b) Assist the student-athlete in the selection of an agent by participating with the student-athlete in interviews of agents, by reviewing written information player agents send to the student-athlete and by having direct communication with those individuals who can comment about the abilities of an agent (e.g., other agents, a professional league's players' association); and
- (c) Visit with player agents or representatives of professional athletics teams to assist the student-athlete in determining his or her market value (e.g., potential salary, draft status).

**11.1.5 Use of Tobacco Products.** The use of tobacco products is prohibited by all game personnel (e.g., coaches, trainers, managers and game officials) in all sports during practice and competition. Uniform penalties

(as determined by the applicable rules-making committees and sports committees with rules-making responsibilities) shall be established for such use. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/14/97 effective 8/1/97)*

**11.1.6 Sports-Safety Training.** Each head coach shall maintain current certification in first aid, cardiopulmonary resuscitation (CPR) and automatic external defibrillator (AED) use. *(Adopted: 1/17/09 effective 8/1/09)*

## **11.2 CONTRACTUAL AGREEMENTS**

**11.2.1 Stipulation That NCAA Enforcement Provisions Apply.** Contractual agreements or appointments between a coach and an institution shall include the stipulation that a coach who is found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, including suspension without pay or termination of employment for significant or repetitive violations.

## **11.3 COMPENSATION AND REMUNERATION**

See Bylaw 11.01 for additional regulations regarding coaches' compensation and remuneration.

**11.3.1 Control of Employment and Salaries.** The institution, as opposed to any outside source, shall remain in control of determining who is to be its employee and the amount of salary the employee is to receive within the restrictions specified by NCAA legislation.

**11.3.2 Private Lessons.** An institution's coach may teach private lessons to a prospective student-athlete, provided the following criteria are satisfied: *(Adopted: 1/9/06 effective 8/1/06, Revised: 5/4/09, 1/16/10)*

- (a) The coach makes lessons available to the general public;
- (b) Fees charged to the prospective student-athlete are at a rate commensurate with fees charged to all individuals;
- (c) Prior written approval is provided annually by the institution's athletics director and the institution's athletics department keeps on file documentation of the fee charged for the private lessons; and
- (d) Fees charged to the prospective student-athlete are not paid by individuals or entities other than the prospective student-athlete or prospective student-athlete's parents or guardian(s).

## **11.4 EMPLOYMENT OF HIGH SCHOOL, PREPARATORY SCHOOL OR TWO-YEAR COLLEGE COACHES**

**11.4.1 Graduate Teaching Assistant/High School, Preparatory School or Two-Year College Coach.** A member institution may provide legitimate compensation to a high school, preparatory school or two-year college coach who is also a graduate teaching assistant enrolled in a postgraduate program conducted by the institution. *(Revised: 5/27/08)*

**11.4.2 High School, Preparatory or Two-Year College Coach.** An institution may employ a high school, preparatory school or two-year college coach (or a high school, preparatory school or two-year college may employ an institution's coach) provided that in his or her coaching role at the institution the individual: *(Revised: 5/27/08)*

- (a) Performs actual, on-the-field coaching duties;
- (b) Is involved in recruiting only to the same extent as other institutional on-the-field coaches; and
- (c) Is under contract or other binding agreement for a period of not less than one academic year; however, the member institution is permitted to confine its compensation to such a coach to a shorter period of time, such as a sport season.

**11.4.3 Employment in Same or Different Sport.** A high school, preparatory school or two-year college coach who remains associated with the high school, preparatory school or a two-year college in the same or different sport may be employed by an institution. *(Adopted: 1/8/01 effective 8/1/01)*

## **11.6 LIMITATIONS ON SCOUTING OF OPPONENTS**

Member institutions shall not scout any opponents other than those participating in official games or exhibition contests (not scrimmages) played during the traditional segment of the playing season. *(Adopted: 1/10/92, Revised: 1/9/06 effective 8/1/06)*

## **11.8 DIVISION III RULES TEST—ANNUAL REQUIREMENT**

Institutions shall administer the NCAA Division III Rules Test to all head coaches and athletics administrators with compliance responsibilities on an annual basis. Failure to administer the NCAA Division III Rules Test on an annual basis shall be considered an institutional violation per Constitution 2.8.1. *(Adopted: 1/8/07 effective 8/1/07, Revised: 7/22/08)*

## BYLAW, ARTICLE 12

# Amateurism

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## 12.01 GENERAL PRINCIPLES

**12.01.1 Eligibility for Intercollegiate Athletics.** Only an amateur student-athlete is eligible for intercollegiate athletics participation in a particular sport.

**12.01.2 Clear Line of Demarcation.** Member institutions' athletics programs are designed to be an integral part of the educational program. The student-athlete is considered an integral part of the student body, thus maintaining a clear line of demarcation between college athletics and professional sports.

**12.01.3 "Individual" versus "Student-Athlete."** NCAA amateur status may be lost as a result of activities before enrollment in college. If NCAA rules specify that an "individual" may or may not participate in certain activities, this term refers to a person before and after enrollment in a member institution. If NCAA rules specify a "student-athlete," the legislation applies only to that person's activities after enrollment.

## 12.02 DEFINITIONS AND APPLICATIONS

**12.02.1 Individual.** An individual, for purposes of this bylaw, is any person of any age without reference to enrollment in an educational institution or status as a student-athlete.

**12.02.2 Pay.** Pay is the receipt of funds, awards or benefits not permitted by the governing legislation of the Association for participation in athletics.

**12.02.3 Professional Athlete.** A professional athlete is one who receives any kind of payment, directly or indirectly, for athletics participation except as permitted by the governing legislation of the Association.

**12.02.4 Professional Athletics Team.** A professional team is any organized team that:

- (a) Provides any of its players more than actual and necessary expenses for participation on the team, except as otherwise permitted by NCAA legislation. Actual and necessary expenses are limited to the following, provided the value of these items is commensurate with the fair market value in the locality of the player(s) and is not excessive in nature: (*Revised: 1/13/03 effective 8/1/03*)
  - (1) Meals directly tied to competition and practice held in preparation for such competition;
  - (2) Lodging directly tied to competition and practice held in preparation for such competition;
  - (3) Apparel, equipment and supplies tied to competition or practice;
  - (4) Coaching and instruction;
  - (5) Health/medical insurance;
  - (6) Transportation (i.e., expenses to and from practice and competition, cost of transportation from home to training/practice site at the beginning of the season and from training/practice site to home at the end of the season;
  - (7) Medical treatment and physical therapy;
  - (8) Facility usage;
  - (9) Entry fees; and
  - (10) Other reasonable expenses; or (*Revised: 1/12/04, 1/9/06*)
- (b) Declares itself to be professional.

**12.02.5 Student-Athlete.** An individual becomes a student-athlete when he or she participates in an intercollegiate squad practice or contest that is under the jurisdiction of the athletics department. (*Revised: 1/14/02 effective 8/1/02, 1/9/06*)

## 12.1 AMATEUR STATUS

An individual's (prospective student-athlete or enrolled student-athlete) amateur status shall be determined using the following (see Bylaw 14 regarding the eligibility restoration process). *(Revised: 2/4/10)*

**12.1.1 Preferential Treatment, Benefits or Services.** It is impermissible to receive preferential treatment, benefits or services because of the individual's athletics reputation or skill, or pay-back potential as a professional athlete, unless such treatment, benefits or services are specifically permitted under NCAA legislation. **[R]** *(Revised: 1/11/94, 10/22/07, 2/4/10)*

**12.1.1.1 Exception—Designation of Earned Fundraising Money.** An institution may designate specific funds earned by a student-athlete through institutional fundraising activities provided all of the following conditions are satisfied: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) Designated funds do not exceed the student-athlete's actual and necessary expenses (e.g., transportation, uniforms);
- (b) Any funds that exceed a student-athlete's actual and necessary expenses (e.g., transportation, uniforms) must be applied to the general team or institutional fund and cannot be designated for any specific student-athlete;
- (c) All designated funds must be deposited with the institution to administer; and
- (d) Any unearned funds must be deposited into the general team or institutional fund and cannot be designated for any student-athlete.

**12.1.1.1.1 Application of Earned and Unearned.** For the purpose of this bylaw, funds are considered unearned when a student-athlete participates in a fundraising event involving an athletically related activity (e.g., swim-a-thon) or in direct appeal (e.g., letter writing) requests. Earned funds are any funds not considered unearned. *(Adopted: 1/15/11 effective 8/1/11)*

**12.1.2 Amateur Status if Professional in Another Sport.** A professional athlete in one sport may represent a member institution in a different sport. Additionally, the amateurism legislation detailed in this section is sport-specific. *(Revised: 2/4/10)*

**12.1.2.1 Use of Overall Athletics Skill—Effect on Eligibility.** Participation for pay in competition that involves the use of overall athletics skill (e.g., "superstars" competition) constitutes a violation of the Association's amateur-status regulations; therefore, an individual participating for pay in such competition is ineligible for intercollegiate competition in all sports. (See Bylaw 12.5.1.1.4 for exception related to promotional contests.) *(Revised: 2/4/10)*

**12.1.2.2 Road Racing.** "Road racing" is essentially the same as cross country or track and field competition and cannot be separated effectively from those sports for purposes of Bylaw 12. Therefore, an individual who violates the amateurism legislation below as a result of impermissible benefits from participation in such a race is ineligible for intercollegiate cross country or track and field competition. *(Revised: 1/14/02 effective 8/1/02, 2/4/10)*

**12.1.3 Amateurism Status—Before Initial, Full-Time Collegiate Enrollment.** Before initial, full-time collegiate enrollment, an individual's amateur status shall be determined using the following: *(Revised: 2/4/10)*

**12.1.3.1 Permissible Activities—Before Initial, Full-Time Collegiate Enrollment.** Before initial, full-time collegiate enrollment, an individual may engage in the following without jeopardizing his or her amateur status: *(Revised: 2/4/10)*

- (a) **Prize Money Based on Place Finish.** May accept prize money based on his or her place finish or performance from the sponsor of an open athletics event, the U.S. Olympic Committee or the appropriate national governing body; *(Revised: 1/14/02 effective 8/1/02, 1/13/03, 2/4/10)*
- (b) **Actual and Necessary Expenses from a Professional Team.** May accept actual and necessary expenses associated with the individual's practice and competition on a professional team (as defined in Bylaw 12.02.4);
- (c) **Contract for Professional Athletics.** May sign a contract or commitment of any kind to play professional athletics, regardless of its legal enforceability or any consideration received; *(Revised: 1/14/02 effective 8/1/02, 2/4/10)*
- (d) **Competition on Professional Team.** May compete on a professional team (as defined in Bylaw 12.02.4); *(Revised: 1/14/02 effective 8/1/02, 1/10/05, 2/4/10)*
- (e) **Living Expenses from Established Relationship.** May receive normal and reasonable living expenses from an individual with whom he or she has an established relationship (e.g., high school coach, non-scholastic athletics team coach, family of a teammate), even if the relationship developed as a result of athletics participation, provided the individual is not an agent, the individual is not an athletics representative of a particular institution involved in recruiting the prospective student-athlete and such living expenses are consistent with the types of expenses provided by the individual as a part of normal living arrangements (e.g., housing, meals, occasional spending money, use of the family car); *(Adopted: 1/13/03, Revised: 2/4/10)*



- (f) **Actual and Necessary Expenses from Outside Amateur Team or Organization.** May receive actual and necessary travel, room and board, and apparel and equipment expenses from an outside amateur sports team or organization (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.11.3.4) for competition and practice held in preparation for such competition. Practice must be conducted in a continuous time period before the competition except for practice sessions conducted by a national team, which occasionally may be interrupted for specific periods of time before the competition; (*Revised: 1/10/90, 1/10/92, 2/4/10*)
- (g) **Actual and Necessary Expenses for Parents or Legal Guardians.** May receive actual and necessary expenses for parents or legal guardians of the individual participating in the athletics competition from a nonprofessional organization sponsoring the competition, provided such expenses are made available to the parents or legal guardians of all participants in the competition. Actual and necessary expenses may include expenses for travel, room and board, or any entertainment expenses; (*Adopted: 1/16/93, Revised: 1/11/97, 2/4/10*)
- (h) **Prize for Institutional Promotion.** May receive a prize for participation (involving the use of athletics ability) in a member institution's promotional activity that is consistent with the provisions of Bylaw 12.5.1.1.4 or official interpretations approved by the Management Council;
- (i) **NCAA Eligibility Center Fee.** May receive the necessary fee from a high school booster club (as opposed to specific individuals) for prospective student-athletes at that high school to be certified by the NCAA Eligibility Center, provided no particular prospective student-athlete(s) is singled out because of his or her athletics ability or reputation; (*Adopted: 1/11/94, Revised: 2/4/10*)
- (j) **Expenses for Age 15 and Younger Camp or Academy Sponsored by a Professional Sports Organization.** May receive actual and necessary expenses from a charitable foundation that is funded by a professional sports organization to attend an academy, camp or clinic, provided: (*Adopted: 1/10/95, Revised: 1/14/02, 2/4/10*)
- (1) No NCAA institution or conference owns or operates the academy, camp or clinic;
  - (2) No camp participant is older than the age of 15;
  - (3) The professional sports organization provides to the participants nothing more than actual and necessary expenses to attend the camp or clinic and equipment/apparel necessary for participation;
  - (4) Athletics ability or achievements may not be the sole criterion for selecting participants; and
  - (5) Academy participants must be provided with academic services (e.g., tutoring).
- (k) **Equipment and Apparel Items.** May receive free equipment and apparel items for personal use from apparel or equipment manufacturers or distributors under the following circumstances: (*Adopted: 1/11/97, Revised: 2/4/10*)
- (1) The apparel or equipment items are related to the prospective student-athlete's sport and are received directly from an apparel or equipment manufacturer or distributor;
  - (2) The prospective student-athlete does not enter into an arrangement (e.g., open account) with an apparel or equipment manufacturer or distributor that permits the prospective student-athlete to select apparel and equipment items from a commercial establishment of the manufacturer or distributor; and
  - (3) A member institution's coach is not involved in any manner in identifying or assisting an apparel or equipment manufacturer or distributor in determining whether a prospective student-athlete is to receive any apparel or equipment items.
- (l) **Life Skills Mentoring Programs.** May receive actual and necessary expenses to attend any mentoring program focused on life skills, the value of education and the proper role of athletics in the educational process (e.g., NCAA's First Team Mentoring Program's annual educational conference and training seminar); (*Revised: 1/9/06, 4/11/06, 2/4/10*)
- (m) **Benefits for Elite-Level Participation.** May receive any of the benefits described under Bylaw 12.1.6 related to elite-level participation; and
- (n) **Permissible Insurance against Disabling Injury or Illness.** An individual may borrow against his or her future earnings potential from an established, accredited commercial lending institution exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury or illness that would prevent the individual from pursuing a chosen career, provided a third party (including a member institution's athletics department staff members, its professional sports counseling panel or representatives of its athletics interests) is not involved in arrangements for securing the loan. A student-athlete shall report all such transactions and shall file copies of any loan documents associated with disability insurance with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. (*Revised: 1/16/93, 1/14/97 effective 8/1/97, 2/4/10*)



**12.1.3.2 Nonpermissible Activities—Before Initial, Full-Time Collegiate Enrollment.** Before initial, full-time collegiate enrollment, an individual loses amateur status and thus shall not be eligible for intercollegiate competition in a particular sport if the individual engages in any of the following activities: *(Revised: 2/4/10)*

- (a) **Use of Athletics Skill for Pay.** Uses his or her athletics skill (directly or indirectly) for pay in any form in that sport, unless it meets one of the permissible amateurism activities identified under Bylaw 12.1.5.1; *(Revised: 1/14/02 effective 8/1/02, 1/13/03, 2/4/10)*
- (b) **Accepts a Promise of Pay.** Accepts a promise of pay (see Bylaw 12.1.7) based on athletics participation even if such pay is to be received after completion of intercollegiate athletics participation;
- (c) **Expenses Above Actual and Necessary from a Professional Team.** Receives more than actual and necessary expenses from a professional team;
- (d) **Agreement with an Agent.** Enters into an agreement with an agent (see Bylaw 12.3); *(Adopted: 1/14/02 effective 8/1/02, Revised: 2/4/10)*
- (e) **Expenses or Awards not Permitted by Amateurism Rules Governing Events.** Receives reimbursement for expenses incurred or receives awards that are prohibited by the rules governing an amateur, noncollegiate event in which the individual participates;
- (f) **Expenses above Actual and Necessary from Outside Amateur Sports Team or Organization.** Receives more than actual and necessary expenses from an outside amateur sports team or organization. Actual and necessary expenses may cover the cost of travel, room and board expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including sports club teams as set forth in Bylaw 13.11.3.4) for competition and practice held in preparation for such competition; *(Revised: 1/10/90, 1/10/92, 2/4/10)*
- (g) **Expenses from Nonpermissible Entities.** Receives any expenses, including actual and necessary expenses or any other form of compensation, to participate in athletics competition (while not representing an educational institution) from a sponsor other than an individual on whom the athlete is naturally or legally dependent or the nonprofessional organization that is sponsoring the competition; and
- (h) **Other Activities.** Receives any expenses, awards or benefits not listed as permissible in Bylaw 12.1.3.1.

**12.1.4 Amateurism Status—Delayed Collegiate Enrollment.** An individual who does not enroll in a collegiate institution as a full-time student from the date of the next opportunity for collegiate enrollment after high school graduation (or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility) must adhere to all of the amateurism guidelines before full-time collegiate enrollment per Bylaw 12.1.3 and must also adhere to the legislation regarding participation in organized competition before initial full-time collegiate enrollment (see Bylaw 14.2.4.3). *(Revised: 2/4/10)*

**12.1.5 Amateurism Status—After Initial, Full-Time Collegiate Enrollment.** After initial, full-time collegiate enrollment, an individual's amateur status shall be determined using the following: *(Revised: 2/4/10)*

**12.1.5.1 Permissible Activities—After Initial, Full-Time Collegiate Enrollment.** After initial, full-time collegiate enrollment, an individual may engage in the following activities without jeopardizing his or her amateur status: *(Revised: 2/4/10)*

- (a) **Actual and Necessary Expenses from Outside Amateur Team or Organization.** May receive actual and necessary travel, room and board, and apparel and equipment expenses from an outside amateur sports team or organization (for individual and team use only from teams or organizations not affiliated with member institutions, including local club teams as set forth in Bylaw 13.11.3.4) for competition and practice held in preparation for such competition. Practice must be conducted in a continuous time period before the competition except for practice sessions conducted by a national team, which occasionally may be interrupted for specific periods of time before the competition. Expenses cannot be conditioned on the individual's or team's place finish or performance or given on an incentive basis [see Bylaw 12.1.5.2-(l)]; *(Revised: 1/10/90, 1/10/92, 1/14/02 effective 8/1/02, 2/4/10)*
- (b) **Prize Money—Individual Sports—Outside the Playing Season During the Institution's Official Summer Vacation Period.** May accept prize money based on his or her place finish or performance in an open athletics event (an event that is not invitation only), provided the competition occurs outside the institution's declared playing season during the institution's official summer vacation period. Such prize money shall not exceed actual and necessary expenses and may be provided only by the sponsor of the open event. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the student-athlete (e.g., coach's fees or expenses, parent's expenses). *(Adopted: 1/15/11)*
- (c) **Actual and Necessary Expenses for Parents or Legal Guardians.** May receive actual and necessary expenses for parents or legal guardians of the individual participating in the athletics competition from a nonprofessional organization sponsoring the competition, provided such expenses are made available to the parents or legal guardians of all participants in the competition. Actual and necessary expenses may include expenses for travel, room and board, or any entertainment expenses. Expenses cannot be conditioned on the individual's or team's place finish or performance or given on an incentive basis [see Bylaw 12.1.5.2-(l)]; *(Adopted: 1/16/93, Revised: 1/11/97, 1/14/02 effective 8/1/02, 2/4/10)*

- (d) **Prize for Institutional Promotion.** May receive a prize for participation (involving the use of athletics ability) in a member institution's promotional activity that is consistent with the provisions of Bylaw 12.5 or official interpretations approved by the Management Council; *(Revised: 4/14/06, 2/4/10)*
- (e) **Participation in Institutional, Charitable or Educational Promotions or Fundraising Activities Involving the Athletics Ability of Student-Athletes.** Institutional, charitable or educational promotions or fundraising activities that involve the use of athletics ability by student-athletes to obtain funds (e.g., swim-a-thons) are permitted only if the provisions of Bylaw 12.5.1.1 and Bylaw 17 are satisfied. *(Revised: 4/14/06, 2/4/10, 1/15/11 effective 8/1/11)*
- (f) **Benefits for Elite-Level Participation.** May receive any of the benefits described under Bylaw 12.1.6 related to elite-level participation; and
- (g) **Permissible Insurance against Disabling Injury or Illness.** An individual may borrow against his or her future earnings potential from an established, accredited commercial lending institution exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury or illness that would prevent the individual from pursuing a chosen career, provided a third party (including a member institution's athletics department staff members, its professional sports counseling panel or representatives of its athletics interests) is not involved in arrangements for securing the loan. A student-athlete shall report all such transactions and shall file copies of any loan documents associated with disability insurance with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. *(Revised: 1/16/93, 1/14/97 effective 8/1/97, 2/4/10)*

**12.1.5.2 Nonpermissible Activities—After Initial, Full-Time Collegiate Enrollment.** After initial, full-time collegiate enrollment, an individual loses amateur status and thus shall not be eligible for intercollegiate competition in a particular sport if the individual engages in any of the following activities: *(Revised: 2/4/10)*

- (a) **Use of Athletics Skill for Pay.** Uses his or her athletics skill (directly or indirectly) for pay (see Bylaw 12.1.7) in any form in that sport including actual and necessary expenses; *(Revised: 1/14/02 effective 8/1/02, 1/13/03, 2/4/10)*
- (b) **Accepts a Promise of Pay.** Accepts a promise of pay (see Bylaw 12.1.7) even if such pay is to be received after completion of intercollegiate athletics participation;
- (c) **Signs a Contract.** Signs a contract or commitment of any kind to play professional athletics, regardless of its legal enforceability or any consideration received; *(Revised: 1/14/02 effective 8/1/02, 2/4/10)*
- (d) **Receipt of Any Funds from a Professional Team.** Receives, directly or indirectly, a salary, reimbursement of expenses or any other form of financial assistance from a professional sports organization based on athletics skill or participation;
- (e) **Competes on a Professional Team.** Competes on a professional team (as defined in Bylaw 12.02.4); *(Revised: 1/14/02 effective 8/1/02, 1/10/05, 2/4/10)*
- (f) **Enters Into a Professional Draft More Than Once.** Enters into a professional draft more than one time (see Bylaw 12.2.4.2); *(Revised: 1/14/02 effective 8/1/02, 2/4/10)*
- (g) **Agreement with an Agent.** Enters into an agreement with an agent (see Bylaw 12.3); *(Revised: 1/14/02 effective 8/1/02, 2/4/10)*
- (h) **Receipt of Cash Award for Participation.** Receives cash, or the equivalent thereof (e.g., trust fund), as an award for participation in competition at any time, even if such an award is permitted under the rules governing an amateur, noncollegiate event in which the individual is participating. An award or a cash prize that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency; *(Revised: 1/14/02 effective 8/1/02, 2/4/10)*
- (i) **Expenses or Awards Not Permitted by Amateurism Rules Governing Events.** Receives reimbursement for expenses incurred or receives awards that are prohibited by the rules governing an amateur, noncollegiate event in which the individual participates;
- (j) **Expenses Above Actual and Necessary from Outside Amateur Sports Team or Organization.** Receives more than actual and necessary expenses from an outside amateur sports team or organization. Actual and necessary expenses may cover the cost of travel, room and board expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including sports club teams as set forth in Bylaw 13.11.3.4) for competition and practice held in preparation for such competition; *(Revised: 1/10/90, 1/10/92, 2/4/10)*
- (k) **Expenses from Nonpermissible Entities.** Receives any expenses, including actual and necessary expenses or any other form of compensation, to participate in athletics competition (while not representing an educational institution) from a sponsor other than an individual on whom the athlete is naturally or legally dependent or the nonprofessional organization that is sponsoring the competition;

- (l) **Payment Based on Place Finish—Team Sports.** Receives any payment, including actual and necessary expenses, conditioned on the individual's or team's place finish or performance or given on an incentive basis, or receipt of expenses in excess of the same reasonable amount for permissible expenses given to all individuals or team members involved in the competition; (*Revised: 1/14/02 effective 8/1/02, 2/4/10, 1/15/11*)
- (m) **Payment Based on Place Finish—Individual Sports—During the Playing Season or During the Academic Year.** Receives any payment, including actual and necessary expenses, conditioned on the individual's or team's place finish or performance or given on an incentive basis, or receipt of expenses in excess of the same reasonable amount for permissible expenses given to all individuals or team members involved in the competition; (*Adopted: 1/15/11*)
- (n) **Payment Based on Place Finish—Individual Sports—Outside the Playing Season During the Institution's Official Summer Vacation Period.** Receives any payment other than actual and necessary expenses from the sponsor of an open athletics event (an event that is not invitation only). The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the student-athlete (e.g., coach's fees or expenses, parent's expenses); and (*Adopted: 1/15/11*)
- (o) **Other Activities.** Receives any expenses, awards or benefits not listed as permissible in Bylaw 12.1.5.1.

**12.1.6 Elite-Level Participation.** An individual (prospective student-athlete or enrolled student-athlete) may receive the following benefits at any time without jeopardizing his or her amateur status: (*Revised: 2/4/10*)

- (a) **Educational Expenses—U.S. Olympic Committee and National Governing Body.** Educational expenses awarded by the U.S. Olympic Committee, or a U.S. national governing body or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country, in accordance with the applicable conditions set forth in Bylaw 15.2.3.6;
- (b) **Expenses from U.S. Olympic Committee or National Governing Body.** Actual and necessary expenses to cover developmental training, coaching, facility use, equipment, apparel, supplies, comprehensive health insurance, travel, room and board without jeopardizing the individual's eligibility for intercollegiate athletics, provided such expenses are approved and provided directly by the U.S. Olympic Committee or the appropriate national governing body in the sport (or, for international student-athletes, the equivalent organization of that nation); (*Adopted: 1/13/98 effective 8/1/98, Revised: 2/4/10*)
- (c) **Operation Gold Grant.** Funds that are administered by the U.S. Olympic Committee pursuant to its Operation Gold Program; (*Adopted: 1/14/02, Revised: 2/4/10*)
- (d) **Commemorative Items for Elite Events.** Commemorative items incidental to participation in the Olympic Games, World University Games, Pan American Games, World Championships and World Cup events through the applicable national governing body (or, for foreign student-athletes, the equivalent organization of that nation). These benefits may include but are not limited to, apparel, leisure wear, foot wear and other items that are provided to all athletes participating in the applicable event; (*Adopted: 1/8/01, Revised: 2/4/10*)
- (e) **Expenses for U.S. Olympic Committee or National Governing Body Developmental Training Programs.** Actual and necessary expenses for developmental training programs conducted and supervised at any time of the year by the U.S. Olympic Committee or the appropriate national governing body (or, for international student-athletes, the equivalent organization of that nation), even if the programs include no competition, provided the individual misses no class time and the program does not conflict with dates of institutional competition; (*Adopted: 1/10/91, Revised: 1/16/93, 1/11/94, 2/4/10*)
- (f) **Exception for Family Travel to Olympic Games.** A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for an individual's spouse, parents, legal guardians or other relatives to attend the Olympic Games in which the individual will participate; (*Adopted: 1/11/94, Revised: 2/4/10*)
- (g) **Exception for U.S. Olympic Committee Elite Athlete Health Insurance Program.** Comprehensive benefits of the U.S. Olympic Committee Elite Athlete Health Insurance Program; and (*Adopted: 1/10/90, Revised: 2/4/10*)
- (h) **Expenses for Participation in Olympic Exhibitions.** Actual and necessary expenses from the U.S. Olympic Committee, national governing body or the nonprofessional organizations sponsoring the event to participate in Olympic tours or exhibitions involving Olympic team members and/or members of the national team, provided that if the individual is a student-athlete, he or she misses no class time, and the exhibition does not conflict with dates of institutional competition. (*Adopted: 1/13/98 effective 8/1/98, Revised: 2/4/10*)

**12.1.7 Prohibited Forms of Pay.** "Pay" as used in the sections above includes, but is not limited to, the following: (*Revised: 2/4/10*)

**12.1.7.1 Salary, Gratuity or Compensation.** Any direct or indirect salary, gratuity or comparable compensation. (*Revised: 2/4/10*)

**12.1.7.2 Division or Split of Surplus.** Any division or split of surplus (bonuses, game receipts, etc.). (*Revised: 2/4/10*)

**12.1.7.3 Educational Expenses.** Except as provided for in Bylaw 12.1.6-(a), educational expenses not permitted by the governing legislation of this Association (see Bylaw 15 regarding permissible financial aid to enrolled student-athletes) that includes those provided to an individual by an outside sports team or organization that are based in any degree on the recipient's athletics ability (except as specified in Bylaw 15.2.3.5), even if the funds are given to the institution to administer to the recipient. *(Revised: 1/10/95, 2/4/10)*

**12.1.7.4 Expenses, Awards and Benefits.** Excessive or improper expenses, awards and benefits not otherwise permissible in Bylaw 12 (prospective student-athletes or enrolled student-athletes) or Bylaw 16 (enrolled student-athletes). *(Revised: 2/4/10)*

## 12.2 INVOLVEMENT WITH PROFESSIONAL TEAMS

### 12.2.1 Tryouts.

**12.2.1.1 Tryout With Professional Team.** A student-athlete may try out with a professional athletics team in a sport or permit a professional athletics team to conduct medical examinations at any time outside the student-athlete's playing and practice season. A student-athlete may receive actual and necessary expenses related to the tryout as long as the tryout does not exceed 48 hours. *(Revised: 1/10/92, 1/9/06)*

**12.2.1.2 Outside Competition Prohibited.** During a tryout, an individual may not take part in any outside competition (games or scrimmages) as a representative of a professional team.

**12.2.2 Practice Without Competition.** Following initial full-time collegiate enrollment, an individual may participate in practice sessions conducted by a professional team, provided such participation meets the requirements of NCAA legislation governing tryouts with professional athletics teams (see Bylaw 12.2.1) and the individual does not: *(Revised: 1/14/02 effective 8/1/02)*

- (a) Receive any compensation for participation in the practice sessions;
- (b) Enter into any contract or agreement with a professional team or sports organization; or
- (c) Take part in any outside competition (games or scrimmages) as a representative of a professional team.

**12.2.2.1 Prohibited Involvement of Institution's Coach.** An institution's coaching staff member may not arrange for or direct student-athletes' participation in football or basketball practice sessions conducted by a professional team.

### 12.2.3 Competition.

**12.2.3.1 Competition against Professionals.** An individual may participate singly or as a member of an amateur team against professional athletes or professional teams. *(Revised: 1/17/08)*

**12.2.3.2 Competition with Professionals.** An individual shall not be eligible for intercollegiate athletics in a sport if following initial full-time collegiate enrollment the individual competed on a professional team (per Bylaw 12.02.4) in that sport. However, an individual may compete on a tennis, golf, two-person sand volleyball or two-person synchronized diving team(s) with persons who are competing for cash or a comparable prize, provided the individual does not receive payment of any kind for such participation. *(Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97, 1/14/02 effective 8/1/02, 1/10/05 effective 8/1/05)*

**12.2.3.2.1 Professional Player as Team Member.** Following initial full-time collegiate enrollment, an individual may participate with a professional on a team, provided the professional is not being paid by a professional team or league to play as a member of that team (e.g., summer basketball leagues with teams composed of both professional and amateur athletes). *(Revised: 1/14/02 effective 8/1/02)*

**12.2.3.2.2 Olympic/National Teams.** It is permissible for an individual (prospective student-athlete or student-athletes) to participate on Olympic or national teams that are competing for prize money or are being compensated by the governing body to participate in a specific event, provided the student-athlete does not accept prize money or any other compensation (other than actual and necessary expenses). *(Adopted: 1/13/03)*

**12.2.3.2.3 Professional Coach or Referee.** Participation on a team that includes a professional coach or referee does not cause the team to be classified as a professional team.

**12.2.3.2.4 Major Junior Ice Hockey.** An individual who participates on a Major Junior ice hockey team shall be subject to the seasons of participation regulations set forth in Bylaw 14.2.4.3, regardless of when such participation occurs (including participation during high school enrollment). *(Revised: 1/13/03, 1/12/04 effective 8/1/04 for any athletics participation occurring on or after 8/1/04, 4/21/09, 7/7/11)*

**12.2.3.3 Competition in Professional All-Star Contest.** A student-athlete who agrees to participate in a professional (players to be paid) all-star game becomes ineligible to compete in any intercollegiate contest that occurs after that agreement. Thus, a senior entering into such an agreement immediately after the last regular-season intercollegiate contest would not be eligible to compete in a bowl game, an NCAA championship or any other certified postseason intercollegiate contest.

## 12.2.4 Draft and Inquiry.

**12.2.4.1 Inquiry.** An individual may inquire of a professional sports organization about eligibility for a professional-league player draft or request information about the individual's market value without affecting his or her amateur status.

**12.2.4.2 Draft List.** Following initial full-time collegiate enrollment, an individual loses amateur status in a particular sport when the individual asks to be placed on the draft list or supplemental draft list of a professional league in that sport, even though: (*Revised: 1/14/02 effective 8/1/02*)

- (a) The individual asks that his or her name be withdrawn from the draft list before the actual draft;
- (b) The individual's name remains on the list but he or she is not drafted; or
- (c) The individual is drafted but does not sign an agreement with any professional athletics team.

**12.2.4.2.1 One-Time Draft Exception—All Sports.** An enrolled student-athlete in any sport may enter a professional league's draft one time during his or her collegiate career without jeopardizing eligibility in that sport, provided the student-athlete is not drafted by any team in that league. (*Adopted: 1/11/94, Revised: 1/10/95, 1/14/97 effective 4/16/97, 1/12/04, 1/10/05, 1/9/06*)

**12.2.4.2.2 Exception—International Basketball Draft.** An enrolled student-athlete in basketball may enter an international basketball draft and may be drafted during his or her final year of eligibility without jeopardizing eligibility in that sport. (*Adopted: 1/9/06*)

**12.2.4.3 Negotiations.** An individual may request information about professional market value without affecting his or her amateur status. Further, the individual, his or her legal guardians or the institution's professional sports counseling panel may enter into negotiations with a professional sports organization without the loss of the individual's amateur status. An individual who retains an agent shall lose amateur status. (*Adopted: 1/10/92*)

## 12.2.5 Contracts and Compensation.

**12.2.5.1 General Rule.** Following initial full-time collegiate enrollment, an individual shall be ineligible for participation in an intercollegiate sport if he or she has entered into any kind of agreement to compete in professional athletics, either orally or in writing, regardless of the legal enforceability of that agreement. (*Revised: 1/10/92, 1/14/02 effective 8/1/02*)

**12.2.5.1.1 Nonbinding Agreements.** Following initial full-time collegiate enrollment, an individual who signs a contract or commitment that does not become binding until the professional organization's representative or agent also signs the document is ineligible, even if the contract remains unsigned by the other parties until after the student-athlete's eligibility is exhausted. (*Revised: 1/14/02 effective 8/1/02*)

## 12.3 USE OF AGENTS

**12.3.1 General Rule.** An individual shall be ineligible for participation in an intercollegiate sport if he or she ever has agreed (orally or in writing) to be represented by an agent for the purpose of marketing his or her athletics ability or reputation in that sport. Further, an agency contract not specifically limited in writing to a sport or particular sports shall be deemed applicable to all sports, and the individual shall be ineligible to participate in any sport.

**12.3.1.1 Representation for Future Negotiations.** An individual shall be ineligible per Bylaw 12.3.1 if he or she enters into a verbal or written agreement with an agent for representation in future professional sports negotiations that are to take place after the individual has completed his or her eligibility in that sport.

**12.3.1.2 Benefits from Prospective Agents.** An individual shall be ineligible per Bylaw 12.3.1 if he or she (or his or her relatives or friends) accepts transportation or other benefits from: (*Revised: 1/14/97*)

- (a) Any person who represents any individual in the marketing of his or her athletics ability. The receipt of such expenses constitutes compensation based on athletics skill and is an extra benefit not available to the student body in general; or
- (b) An agent, even if the agent has indicated that he or she has no interest in representing the student-athlete in the marketing of his or her athletics ability or reputation and does not represent individuals in the student-athlete's sport. (*Adopted: 1/14/97*)

**12.3.2 Legal Counsel.** Securing advice from a lawyer concerning a proposed professional sports contract shall not be considered contracting for representation by an agent under this rule, unless the lawyer also represents the student-athlete in negotiations for such a contract.

**12.3.2.1 Presence of a Lawyer at Negotiations.** A lawyer may not be present during discussions of a contract offer with a professional organization or have any direct contact (i.e., in person, by telephone or by mail) with a professional sports organization on behalf of the student-athlete. A lawyer's presence during such discussions is considered representation by an agent.

**12.3.3 Professional Sports Counseling Panel.** It is permissible for an institution to have an authorized institutional professional sports counseling panel appointed and overseen by the institution's president or chancellor (or his or her designated representative from outside the athletics department). (*Revised: 1/12/04, 10/3/05*)



## 12.4 EMPLOYMENT

**12.4.1 Criteria Governing Compensation to Student-Athletes.** All compensation received by a student-athlete must be consistent with the limitations on financial aid set forth in Bylaw 15. Compensation may be paid to a student-athlete:

- (a) Only for work actually performed; and
- (b) At a rate commensurate with the going rate in that locality for similar services.

### 12.4.2 Specific Athletically Related Employment Activities.

**12.4.2.1 Broken-Time Payments.** An individual may not receive “broken-time” payments except as authorized and administered by the U.S. Olympic Committee during the period immediately before and including actual Olympic competition. A permitted broken-time payment may cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation during any other period and payments administered independently of the USOC by other sports governing bodies (e.g., the U.S. Ski Association) are prohibited. [R]

**12.4.2.1.1 Exception When Individual Not Enrolled in Regular Term.** An individual may receive broken-time payments administered by the U.S. Olympic Committee or the national governing body in the sport during a period when the individual is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers not more than the period from the date the individual begins practice with the national team after selection to that team to one week after the conclusion of the competition. (*Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/96*)

**12.4.3 Camp/Clinic Employment, General Rule.** A student-athlete may be employed by his or her institution, by another institution, or by a private organization to work in a camp or clinic as a counselor, unless otherwise restricted by NCAA legislation (see Bylaw 13.12 for regulations relating to camps and clinics).

## 12.5 PROMOTIONAL ACTIVITIES

**12.5.1 Permissible.** Student-athletes may participate in promotional activities as provided in this bylaw. Any promotional activity not listed is specifically prohibited. (*Revised: 1/14/02*)

**12.5.1.1 Institutional, Charitable, Educational or Nonprofit Promotions.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may use a student-athlete’s name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete’s participation in intercollegiate athletics, provided the following conditions are met: (*Revised: 1/11/89, 1/10/91, 1/10/92*)

- (a) The student-athlete receives written approval to participate from the institution’s president or chancellor (or designee), subject to the limitations on participants in such activities as set forth in Bylaw 17; (*Revised: 1/11/89, 1/9/06*)
- (b) The specific activity or project in which the student-athlete participates does not involve cosponsorship, advertisement or promotion by a commercial agency, except as follows: (*Revised: 1/11/89, 1/10/91, 1/9/06*)
  - (1) Identification (e.g., graphics, voice over, on-screen text) of the commercial entity must explain the commercial entity’s affiliation with the permissible entity (e.g., entity is the official sponsor of the institution/event); (*Adopted: 1/9/06*)
  - (2) The appearance or description of the commercial product(s)/service(s) and/or the commercial entity’s logo(s) may be included but may not exceed 25 percent of the total promotional activity. Further, language or action included in the promotion may not directly encourage the use or purchase of the commercial product or service (e.g., drink this product) with which the commercial entity is associated; (*Adopted: 1/9/06*)
  - (3) The promotion shall not feature alcoholic beverages, tobacco products or performance-enhancing drugs. Further, the commercial entity shall not be any organization that promotes gambling. (*Adopted: 1/9/06*)
- (c) The name or picture of a student-athlete with remaining eligibility may not appear on an institution’s printed promotional item (e.g., poster, calendar) that includes a reproduction of a product with which a commercial entity is associated if the commercial entity’s officially registered regular trademark or logo also appears on the item; (*Adopted: 1/12/99*)
- (d) The student-athlete does not miss class; (*Revised: 1/11/89*)
- (e) All money derived from the activity or project goes directly to the member institution, member conference or the charitable, educational or nonprofit agency. Further, an institution may designate money earned through participation in institutional fundraisers for a student-athlete in accordance with Bylaw 12.1.1.1; (*Revised: 1/11/89, 1/10/92, 1/15/11 effective 8/1/11*)

- (f) The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; (*Revised: 1/11/89, 1/10/92, 1/12/04*)
- (g) The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; (*Adopted: 1/10/92*)
- (h) Any commercial items with names or pictures of student-athletes (other than items specified per Bylaws 12.5.1.8 and 12.5.1.9) may be sold only by the member institution, member conference or NCAA, through outlets controlled by the member institution, member conference or NCAA or outlets controlled by the charitable or educational organization (e.g., location of the charitable or educational organization, site of charitable event during the event); and (*Adopted: 1/16/93, Revised: 1/9/96, 1/10/05*)
- (i) The student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement ensuring that the student-athlete's name, image or appearance is used in a manner consistent with the requirements of this section. (*Revised: 1/11/89, 1/10/92*)

**12.5.1.1.1 Promotions Involving Commercial Locations/Sponsors.** A member institution or a charitable, educational or nonprofit organization may use the appearance, name or picture of an enrolled student-athlete to promote generally its fundraising activities at the location of a commercial establishment, provided the commercial establishment is not a co-sponsor of the event and the student-athlete does not promote the sale of a commercial product in conjunction with the fundraising activity. A commercial establishment would become a co-sponsor if the commercial establishment either advertises the presence of the student-athlete at the commercial location or is involved directly or indirectly in promoting the activity. (*Adopted: 1/10/92*)

**12.5.1.1.2 Promotions Involving NCAA Championships, Events, Activities or Programs.** The NCAA [or a third party acting on behalf of the NCAA (e.g., host institution, conference, local organizing committee)] may use the name or picture of an enrolled student-athlete to generally promote NCAA championships or other NCAA events, activities or programs. (*Adopted: 1/10/05*)

**12.5.1.1.3 Player/Trading Cards.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may distribute but may not sell player/trading cards that bear a student-athlete's name or picture. (*Adopted: 1/11/94 effective 8/1/94*)

**12.5.1.1.3.1 Exception—Olympic/National Team.** A national governing body may sell player/trading cards that bear the name or picture of a student-athlete who is a member of the Olympic/national team in that sport, provided all of the funds generated through the sale of such cards are deposited directly with the applicable Olympic/national team. (*Adopted: 1/6/96*)

**12.5.1.1.4 Promotional Contests.** Receipt of a prize for winning an institutional or noninstitutional promotional activity (e.g., making a half-court basketball shot, being involved in a money scramble) held in conjunction with a member institution's intercollegiate competition by a prospective or enrolled student-athlete (or a member of his or her family) does not affect a prospective or enrolled student-athlete's eligibility, provided the prize is won through a random drawing in which all members of the general public or the student body are eligible to participate. (*Adopted: 1/14/02, Revised: 3/24/05*)

**12.5.1.1.5 Use of a Student-Athlete's Name or Picture without Knowledge or Permission.** If a student-athlete's name or picture appears on commercial items (e.g., T-shirts, sweatshirts, serving trays, playing cards, posters) or is used to promote a commercial product sold by an individual or agency without the student-athlete's knowledge or permission, the student-athlete (or the institution acting on behalf of the student-athlete) is required to take steps to stop such an activity in order to retain his or her eligibility for intercollegiate athletics. Such steps are not required when a student-athlete's photograph is sold by an individual or agency (e.g., private photographer, news agency) for private use. (*Adopted: 1/14/02, Revised: 4/11/06*)

**12.5.1.1.6 Prospective Student-Athlete's Participation in Institutional Fundraisers or Promotions.** Prospective student-athletes may not be involved in institutional fundraisers or promotional activities before initial full-time collegiate enrollment. (*Adopted: 4/11/06*)

**12.5.1.1.7 Effect of Violations.** The following violations of Bylaw 12.5.1.1 shall be considered institutional violations per Constitution 2.8.1; however, the student-athlete's eligibility shall not be affected: (*Adopted: 1/14/97, Revised: 7/24/07, 10/22/07, 10/19/09*)

- (a) An institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture in a manner contrary to Bylaw 12.5.1.1;
- (b) A student-athlete unknowingly participates in an impermissible institutional promotional activity and receives not more than actual and necessary expenses;
- (c) A violation in which the only condition of the legislation not satisfied is the failure to obtain written approval from the institution's president or chancellor (or designee), provided the approval would have been granted if requested; and

- (d) A violation in which the only condition of the legislation not satisfied is the requirement that a student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement, provided the release statement would have been signed if such a request had been made.

**12.5.1.2 U.S. Olympic Committee/National Governing Body Advertisement Before Collegiate Enrollment.** Before initial, full-time collegiate enrollment, an individual may receive payment for the display of athletics skill in a commercial advertisement, provided: (*Adopted: 1/11/94*)

- (a) The individual receives prior approval to appear in the advertisement from the U.S. Olympic Committee or the applicable national governing body;
- (b) The U.S. Olympic Committee or national governing body approves of the content and the production of the advertisement;
- (c) The individual forwards the payment to the U.S. Olympic Committee or national governing body for the general use of the organization(s); and
- (d) The funds are not earmarked for the individual.

**12.5.1.3 Modeling and Other Nonathletically Related Promotional Activities.** It is permissible for an individual to accept remuneration for or permit the use of his or her name or picture to advertise or promote the sale or use of a commercial product or service without jeopardizing his or her eligibility to participate in intercollegiate athletics only if all of the following conditions apply: (*Revised: 1/14/97, 1/12/04*)

- (a) The individual became involved in such activities for reasons independent of athletics ability;
- (b) No reference is made in these activities to the individual's involvement in intercollegiate athletics; and (*Revised: 1/12/04*)
- (c) The individual's remuneration under such circumstances is at a rate commensurate with the individual's skills and experience as a model or performer and is not based in any way upon the individual's athletics ability or reputation.

**12.5.1.4 Commercial Advertisement.** It is permissible for a student-athlete's name or picture, or the group picture of an institution's athletics squad, to appear in an advertisement of a particular business, commercial product or service, provided:

- (a) The primary purpose of the advertisement is to publicize the sponsor's congratulations to the student-athlete or team;
- (b) The advertisement does not include a reproduction of the product with which the business is associated or any other item or description identifying the business or service other than its name or trademark;
- (c) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or service of the advertiser;
- (d) The student-athlete has not signed a consent or release granting permission to use the student-athlete's name or picture in a manner inconsistent with the requirements of this section;
- (e) If the student-athlete has received a prize from a commercial sponsor in conjunction with participation in a member institution's promotional activities and the advertisement involves the announcement of receipt of the prize, the receipt of the prize is consistent with the provisions of Bylaw 12.5.1.1.4 and official interpretations approved by the Management Council;
- (f) The individual does not accept any remuneration for or permit the use of his or her name or picture to advertise, recommend or promote directly the sale and use of a commercial product or service of any kind; and (*Adopted: 1/14/02*)
- (g) The individual does not receive any remuneration for endorsing a commercial product or service through the individual's use of such product or service. (*Revised: 1/14/02*)

**12.5.1.4.1 Schedule Cards.** An advertisement on an institution's wallet-size playing schedule that includes the name or picture of a student-athlete may include language other than the commercial product's name, trademark or logo, provided the commercial language does not appear on the same page as the picture of the student-athlete. [D] (*Adopted: 1/10/92, Revised: 10/22/07*)

**12.5.1.4.2 Commercial Advertisements Not Permitted.** If, before enrollment at a member institution, the student-athlete received remuneration for endorsing a commercial product or service through the student-athlete's use of such product or service and the student-athlete does not meet the requirements of Bylaw 12.5.1.3 or 12.5.1.4, the student-athlete must take appropriate steps once becoming a student-athlete at a member institution to retract permission for the use of his or her name or picture and must cease receipt of any remuneration for such an arrangement in order to retain his or her eligibility. (*Adopted: 1/14/02, Revised: 1/10/05*)

**12.5.1.4.3 Use of a Student-Athlete's Name or Picture without Knowledge or Permission.** If a student-athlete's name or picture appears on commercial items (e.g., T-shirts, sweatshirts, serving trays, playing cards, posters) or is used to promote a commercial product sold by an individual or agency without the student-athlete's knowledge or permission, the student-athlete (or the institution acting on behalf of the student-athlete) is required to take steps to stop such an activity in order to retain his or her eligibility



for intercollegiate athletics. Such steps are not required when a student-athlete's photograph is sold by an individual or agency (e.g., private photographer, news agency) for private use. (*Adopted: 1/14/02, Revised: 4/17/07*)

**12.5.1.5 Distribution of Institutional Items through Commercial Outlets.** A member institution may distribute noncommercial items (i.e., items not for sale) at commercial establishments, provided the institution generally distributes such items to other commercial establishments in the community and the distribution of the items does not require the recipient to make a purchase at the commercial establishment. (*Adopted: 1/16/93*)

**12.5.1.6 Educational Products Related to Sport-Skill Instruction.** It is permissible for a student-athlete's name or picture to appear in books, articles and other publications, films, videotapes, and other types of electronic reproduction related to sport-skill demonstration, analysis or instruction, provided:

- (a) Such print and electronic media productions are for educational purposes;
- (b) There is no indication that the student-athlete expressly or implicitly endorses a commercial product or service;
- (c) The student-athlete receives only actual and necessary expenses related to such participation; (*Revised: 1/9/06*)
- (d) The student-athlete does not miss class; and (*Revised: 1/9/06*)
- (e) The student-athlete has signed a release statement ensuring that the student-athlete's name or image is used in a manner consistent with the requirements of this section and has filed a copy of the statement with the student-athlete's member institution.

**12.5.1.7 Camps and Clinics.** A member institution may use the name or picture of any student-athlete to publicize or promote its camps or clinics, including the use of the student-athlete's name or picture in camp brochures or other advertising. However, a privately owned camp or clinic may only use the name or picture of any student-athlete employed as a counselor to publicize or promote the camp or clinic. (*Revised: 1/8/01 effective 8/1/01, 1/10/05*)

**12.5.1.8 Promotion by Third Party of Highlight Film, Videotape or Media Guide.** Any party other than the institution or a student-athlete (e.g., a distribution company) may sell and distribute an institutional highlight film or videotape or an institutional or conference media guide that contains the names and pictures of enrolled student-athletes only if: (*Revised: 1/16/93*)

- (a) The institution specifically designates the agency that is authorized to receive orders for the film, videotape or media guide; (*Revised: 1/16/93*)
- (b) Sales and distribution activities have the written approval of the institution's athletics director;
- (c) The distribution company or a retail store is precluded from using the name or picture of an enrolled student-athlete in any poster or other advertisement to promote the sale or distribution of the film or media guide; and (*Revised: 1/16/93*)
- (d) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or services of the advertiser.

**12.5.1.9 Promotion by a Third Party of Photographs.** Any party hired by the member institution, the member conference or NCAA may sell and distribute a picture of a student-athlete only if: (*Adopted: 1/10/05*)

- (a) The member institution, the member conference or the NCAA specifically designates the agency that is authorized to receive orders for the film/photograph;
- (b) Sales and distribution activities have the written approval of the member institution's athletics director, the member conference's commissioner or the NCAA; and
- (c) If the third party advertises the availability of the photograph, the third party is precluded from using the name or picture of an enrolled student-athlete in any poster or other advertisement to promote the sale or distribution of the film/photograph; and there shall be no indication in the make-up or wording of the advertisement that squad members, individually or collectively, or the institution, the conference or the NCAA endorses the product or services of the advertiser.

**12.5.1.10 Promotion of NCAA and Conference Championships.** The NCAA [or a third party acting on behalf of the NCAA (e.g., host institution, conference, local organizing committee)] may use the name or picture of a student-athlete to generally promote NCAA championships. A student-athlete's name or picture may appear in a poster that promotes a conference championship, provided the poster is produced by a member institution that hosts a portion of the championship or by the conference. (*Adopted: 1/11/89, Revised: 1/10/05*)

**12.5.1.11 Olympic, Pan American, World Championships, World Cup and World University Games.** A student-athlete's name or picture may be used to promote Olympic, Pan American, World Championships, World Cup or World University Games as specified in this section. (*Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96*)

**12.5.1.11.1 Sale and Distribution of Promotional Items.** Promotional items (e.g., posters, postcards, film, videotapes) bearing the name or picture of a student-athlete and related to these events may be sold or distributed by the national or international sports governing body sponsoring these events or its des-

igned third-party distributors. It is not permissible for such organizations to sell player/trading cards that bear a student-athlete's name or picture. Promotional items may include a corporate sponsor's trademark or logo but not a reproduction of the product with which the business is associated. The name or picture of the student-athlete may not be used by the distribution company or retail store on any advertisement to promote the sale or distribution of the commercial item. (*Adopted: 1/10/92, Revised: 1/16/93, 1/11/94 effective 8/1/94*)

**12.5.1.11.1 Corporate Sponsors.** A corporate sponsor may sell a promotional item related to these events that uses the name or picture of a team but not an individual student-athlete. (*Adopted: 1/10/92*)

**12.5.2 Media Activities.** A student-athlete may participate in media activities (e.g., appearance on radio, television, in films or stage productions, or participate in writing projects) and may receive legitimate and normal expenses when the student-athlete's appearance or participation is related in any way to athletics ability or prestige. The student-athlete may engage in such activities at any time and may receive compensation at a rate commensurate with the going rate in that locale for similar services. Further, the student-athlete's name may be used to advertise his or her participation in such activity, provided the student-athlete's status as a student-athlete is not used for promotional purposes. (*Adopted: 1/14/97, Revised: 1/8/01 effective 8/1/01*)

**12.5.3 Use of Logos on Equipment, Uniforms and Apparel.** A student-athlete may use athletics equipment or wear athletics apparel that bears the trademark or logo of an athletics equipment or apparel manufacturer or distributor in athletics competition and pre- and postgame activities (e.g., celebrations on the court, pre- or postgame press conferences), provided the following criteria are met. [D] (*Revised: 1/11/94, 1/10/95, 1/9/96 effective 8/1/96*)

- (a) Athletics equipment (e.g., shoes, helmets, baseball bats and gloves, batting or golf gloves, hockey and lacrosse sticks, goggles and skis) shall bear only the manufacturer's normal label or trademark, as it is used on all such items for sale to the general public, and (*Revised: 1/10/95*)
- (b) The student-athlete's institution's official uniform (including numbered racing bibs and warm-ups) and all other items of apparel (e.g., socks, head bands, T-shirts, wrist bands, visors or hats, swim caps and towels) shall bear only a single manufacturer's or distributor's normal label or trademark (regardless of the visibility of the label or trademark), not to exceed 2 1/4 square inches in area (i.e., rectangle, square, parallelogram) including any additional material (e.g., patch) surrounding the normal trademark or logo. (*Revised: 1/11/94, 1/10/95*)

**12.5.3.1 Laundry Label.** If an institution's uniform or any item of apparel worn by a student-athlete in competition contains washing instructions on the outside of the apparel on a patch that also includes the manufacturer's or distributor's logo or trademark, the entire patch must be contained within a four-sided geometrical figure (i.e., rectangle, square, parallelogram) that does not exceed 2 1/4 square inches. [D] (*Adopted: 1/10/95*)

**12.5.3.2 Pre- or Postgame Activities.** The restriction on the size of a manufacturer's or distributor's logo is applicable to all apparel worn by student-athletes during the conduct of the institution's competition, which includes any pre- or postgame activities (e.g., postgame celebrations on the court, pre- or postgame press conferences) involving student-athletes. [D] (*Adopted: 1/10/95*)

**12.5.3.3 Outside Team Uniforms and Apparel.** The provisions of Bylaw 12.5.3-(b) do not apply to the official uniforms and apparel worn by outside teams.

**12.5.3.4 Title-Sponsor Recognition.** Racing bibs and similar competition identification materials (e.g., bowl-game patches) worn by participants may include the name of the corporate sponsor of the competition, provided the involved commercial company is the sole title sponsor of the competition. [D]

## 12.6 FINANCIAL DONATIONS FROM OUTSIDE ORGANIZATIONS

### 12.6.1 Professional Sports Organizations.

**12.6.1.1 To Noncollegiate Amateur Team.** A noncollegiate amateur team or playing league shall not be considered a professional team or league, even if it receives financial support from a national amateur sports administrative organization or its equivalent that in turn receives developmental funds from a professional team or professional sports organization.

**12.6.1.2 To Intercollegiate Event.** A professional sports organization may not serve as a financial sponsor of intercollegiate competition. [D] (*Adopted: 1/10/92, Revised: 1/10/05*)

**12.6.1.3 Developmental Funds to NCAA.** A professional sports organization may provide funds for intercollegiate athletics developmental purposes in a particular sport (e.g., officiating expenses, research and educational projects, the conduct of summer leagues, purchase of equipment). However, such funds shall be provided in an unrestricted manner and administered through the Association's national office.

#### 12.6.1.4 To Institution, Permissible.

- (a) **Funds.** A member institution may receive funds from a professional sports organization, provided: (*Revised: 1/12/04*)
  - (1) The money is placed in the institution's general fund and used for purposes other than athletics; or
  - (2) The money is placed in the institution's general scholarship fund and commingled with funds for the assistance of all students generally.

- (b) **Professional Sports Memorabilia.** An institution may receive sports memorabilia from a professional sports organization to be used in institutional (or departmental) fundraising activities.

**12.6.1.5 Revenues Derived from Pro-Am Events.** The distribution of revenues from an event involving an intercollegiate athletics team and a professional sports team (e.g., a baseball game in which a member institution's team plays against a professional baseball team) or pro-am event (e.g., golf, tennis) that results in a member institution's receiving a share of receipts from such a contest is permitted, provided the institution has a formal agreement with the professional sports team regarding the institution's guarantee or share of receipts and the contractual terms are consistent with agreements made by the professional team or individuals for similar intercollegiate or nonprofessional competition.

**12.6.1.6 Promotion of Professional Athletics Contests.** A member institution may host and promote an athletics contest between two professional teams from recognized professional sports leagues as a fundraising activity for the institution. *(Revised: 1/9/96 effective 8/1/96)*

## **12.6.2 Organizations (Nonprofessional Sports Organizations).**

**12.6.2.1 Individual Athletics Performance.** A member institution shall not accept funds donated from a nonprofessional sports organization based on the place finish of a student-athlete or the number of student-athletes representing the institution in an event. However, the organization may donate an equal amount of funds to every institution with an athlete or team participating in a particular event.

**12.6.2.2 Team Rankings.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on the team's national or regional ranking.

**12.6.2.3 Academic Performance.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on an individual's or a team's academic performance (e.g., the number of academic All-American award recipients).

# Recruiting

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## 13.01 GENERAL PRINCIPLES

**13.01.1 Scope and Application.** The regulations set forth in this bylaw apply to athletics-based recruiting and shall not limit an institution's admissions office from the recruitment of prospective students generally. *(Adopted: 5/28/08)*

**13.01.2 Entertainment.** A member institution may provide entertainment, at a scale comparable to that of normal student life and not excessive in nature. *(Revised: 3/24/05)*

**13.01.3 Institutional Responsibility in Recruitment.** A member of an institution's athletics staff or a representative of its athletics interests shall not recruit a prospective student-athlete except as permitted by this Association, the institution and the member conference, if any.

**13.01.4 Recruiting by Representatives of Athletics Interests.** Representatives of an institution's athletics interests (as defined in Bylaw 13.02.7) may make in-person, on- or off-campus recruiting contacts with a prospective student-athlete; however, such off-campus contacts may not occur until the conclusion of the prospective student-athlete's junior year in high school.

**13.01.5 U.S. Service Academy Exceptions.** Exceptions to recruiting regulations for the U.S. service academies are set forth in Bylaw 13.16.

**13.01.6 Eligibility Effects of Recruiting Violation.** The recruitment of a prospective student-athlete by a member institution or any representative of its athletics interests in violation of the Association's legislation, as acknowledged by the institution or established through the Association's enforcement procedures, shall result in the student-athlete's becoming ineligible to represent that institution in intercollegiate athletics. The Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in such a violation only when circumstances clearly warrant restoration. A student is responsible for his or her involvement in a violation of NCAA regulations during the student's recruitment and involvement in a major violation (see Bylaw 19.02.2.2) may cause the student to become permanently ineligible for intercollegiate athletics competition at that institution.

## 13.02 DEFINITIONS AND APPLICATIONS

**13.02.1 Business Day.** A business day is any weekday that is not recognized as a national holiday, including any weekday that an institution is closed for other reasons (e.g., holiday break). *(Adopted: 1/12/11)*

**13.02.2 Competition Site.** The "competition site" is the facility in which athletics competition is actually conducted, including any dressing room or meeting facility used in conjunction with the competition.

**13.02.3 Contact.** A contact is any face-to-face encounter between a prospective student-athlete or the prospective student-athlete's relatives, guardian(s) or individual of a comparable relationship and an institutional athletics department staff member or athletics representative during which any dialogue occurs in excess of an exchange of a greeting. Any such face-to-face encounter that is prearranged (e.g., positions himself/herself in a location where contact is possible) or that takes place on the grounds of the prospective student-athlete's educational institution or at the site of organized competition or practice involving the prospective student-athlete or the prospective student-athlete's high school, preparatory school, two-year college or all-star team shall be considered a contact, regardless of the conversation that occurs. *(Adopted: 1/13/03, Revised: 1/12/04, 4/7/05, 1/9/06)*

**13.02.4 Evaluation.** An evaluation is any off-campus activity designed to assess the academic qualifications or athletics ability of a prospective student-athlete, including any visit to a prospective student-athlete's educational institution (during which no contact occurs) or the observation of a prospective student-athlete participating in any practice or competition at any site. (*Adopted: 4/20/09*)

**13.02.5 Student-Athlete.** An individual becomes a student-athlete when he or she participates in an intercollegiate squad practice or contest that is under the jurisdiction of the athletics department. (*Revised: 1/9/06*)

**13.02.5.1 Permissible Recruitment Activities for Enrolled Student-Athletes.** Permissible recruitment activities for enrolled student-athletes are limited to the following: [D] (*Revised: 7/23/07*)

- (a) **Telephone contact.** It is permissible for an enrolled student-athlete to receive telephone calls made at the expense of a prospective student-athlete at any time. Telephone calls made by enrolled students in accordance with an institution's regular admissions program directed at all prospective students shall be permissible. In addition, an institution may permit an enrolled student-athlete to telephone a prospective student-athlete at the institution's expense, provided the call is only for the purpose of recruitment and is with the full knowledge of the athletics department. (*Revised: 1/9/06*)
- (b) **Electronic mail.** It is permissible for an enrolled student-athlete to send electronic mail to a prospective student-athlete for the purpose of recruitment. (*Adopted: 4/11/11*)
- (c) **Official visits.** An enrolled student-athlete may participate as a student host during a prospective student-athlete's official visit to the institution's campus. As a student host, a student-athlete may receive the following:
  - (1) A maximum of \$20 for each day of the visit to cover all actual costs of entertaining the prospective student-athlete (and the prospective student-athlete's parents, guardians, spouse or individual of a comparable relationship), excluding the cost of meals and admission to campus athletics events. These funds may not be used for the purchase of souvenirs such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$10 per day for each additional prospective student-athlete the host entertains. In the event that several students host a prospective student-athlete, the \$20-per-day entertainment money may be used to cover the actual and necessary expenses incurred by the prospective student-athlete and all hosts. Only one student host per prospective student-athlete may be provided a free meal if restaurant facilities are used; (*Revised: 1/9/96 effective 8/1/96, 1/9/06*)
  - (2) A complimentary meal, provided the student host is accompanying the prospective student-athlete during the prospective student-athlete's official visit; and
  - (3) A complimentary admission to a campus athletics event, provided the admission is used to accompany a prospective student-athlete to that event during the prospective student-athlete's official visit.
- (d) **Unofficial visits.** A prospective student-athlete on an unofficial visit may stay in an enrolled student-athlete's dormitory room, provided the housing is available to all visiting prospective students.

**13.02.6 Home.** In general, a prospective student-athlete's "home" is the prospective student-athlete's legal residence, or the community of the educational institution in which the prospective student-athlete is enrolled while residing there.

**13.02.7 Prospective Student-Athlete.** A prospective student-athlete is a student who has started classes for the ninth grade. In addition, a student who has not started classes for the ninth grade becomes a prospective student-athlete if the institution provides such an individual (or the individual's relatives or friends) any financial assistance or other benefits that the institution does not provide to prospective students generally. An individual remains a prospective student-athlete until one of the following occurs (whichever occurs earlier):

- (a) The individual officially registers and enrolls in a minimum full-time program of studies and attends classes in any term of a four-year collegiate institution's regular academic year (excluding summer); or
- (b) The individual participates in a regular squad practice or competition at a four-year collegiate institution. (*Revised: 1/11/89, 1/10/90*)

**13.02.8 Recruiting.** Recruiting is any solicitation of a prospective student-athlete or a prospective student-athlete's relatives [or guardian(s)] by an institutional staff member or by a representative of the institution's athletics interests for the purpose of securing the prospective student-athlete's enrollment and ultimate participation in the institution's intercollegiate athletics program. (*Revised: 8/3/06*)

**13.02.8.1 Recruited Prospective Student-Athlete.** Actions by staff members or athletics representatives that cause a prospective student-athlete to become a recruited prospective student-athlete at that institution are: (*Revised: 1/10/90, 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94*)

- (a) Providing the prospective student-athlete with an official visit; (*Adopted: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94*)
- (b) Having an arranged, in-person, off-campus encounter with the prospective student-athlete or the prospective student-athlete's relatives, guardian(s) or individual of a comparable relationship; or (*Adopted: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94, Revised: 1/9/06*)

- (c) Initiating or arranging a telephone contact with the prospective student-athlete, the prospective student-athlete's relatives, guardian(s) or individual of a comparable relationship on more than one occasion for the purpose of recruitment. (*Revised: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94, 1/9/06*)

**13.02.9 Representative of Athletics Interests.** A “representative of the institution's athletics interests” is an individual who is known (or who should have been known) by a member of the institution's executive or athletics administration to:

- (a) Have participated in or to be a member of an agency or organization promoting the institution's intercollegiate athletics program;
- (b) Have made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Be assisting or to have been requested (by the athletics department staff) to assist in the recruitment of prospective student-athletes;
- (d) Be assisting or to have assisted in providing benefits to enrolled student-athletes or their families; or
- (e) Have been involved otherwise in promoting the institution's athletics program.

**13.02.9.1 Representative of Athletics Interests.** Once an individual is identified as such a representative, the person retains that identity indefinitely.

**13.02.10 Telephone Calls.** All electronically transmitted human voice exchange (including videoconferencing and videophones) shall be considered telephone calls. All electronically transmitted correspondence (e.g., electronic mail, facsimiles, instant messaging, text messaging and social networking websites) shall not be considered telephone calls. (*Adopted: 1/10/95, Revised: 1/9/96 effective 8/1/96, 1/14/97, 8/24/04, 1/14/08 effective 8/1/08*)

**13.02.10.1 Timing of Telephone Calls.** There are no restrictions on the timing of telephone calls to prospective student-athletes. See Bylaw 13.1.3 for information on permissible callers. (*Adopted: 4/20/09*)

**13.02.11 Electronic Transmissions.** Electronically transmitted correspondence that may be sent to a prospective student-athlete by, or on behalf of, a member of the institution's athletics department staff is limited to electronic mail and facsimiles. An enrolled student-athlete may send electronic mail to a prospective student-athlete for recruitment purposes. All other forms of electronically transmitted correspondence (e.g., instant messaging, text messaging and social networking websites) are prohibited, except as specified in this section. Using a service or software that converts electronic mail into a text message when received by the prospective student-athlete is not permitted. [D] (*Adopted: 1/14/08 effective 8/1/08, Revised: 7/21/09, 4/11/11*)

**13.02.11.1 Timing of Email and Facsimiles.** There are no restrictions on the timing for sending emails and facsimiles to prospective student-athletes. (*Adopted: 4/20/09*)

**13.02.11.2 Exception—Electronic Transmissions by or to Individuals Diagnosed as Deaf or Hard of Hearing.** Electronically transmitted correspondence in forms other than electronic mail and facsimiles may be sent to a prospective student-athlete if either the athletics department staff member sending the correspondence or the prospective student-athlete receiving the correspondence has been diagnosed as deaf or hard of hearing. (*Adopted: 1/14/08 effective 8/1/08*)

**13.02.11.3 Exception—Public Release of General Athletics Information Sent Through Electronic Transmission.** Electronically transmitted correspondence in forms other than electronic mail and facsimiles may be sent to a prospective student-athlete by an institution's athletics department, or a campus department acting on behalf of athletics, provided the following criteria are satisfied: (*Adopted: 7/21/09 effective 8/1/08*)

- (a) Any member of the general public may become a member of the group to which the electronic transmission is sent;
- (b) A prospective student-athlete who chooses to receive electronic transmissions through the electronic service must retain the ability to decline receipt of the communications at any time or may unsubscribe from the electronic service at any time; and
- (c) The content of any electronic transmission that is sent to a public group that may include prospective student-athletes must be the same for all members of the group (e.g., news alerts, admissions and alumni information, scores) and of a general nature.

**13.02.11.4 Exception—After Receipt of Financial Deposit.** On or after May 1 of a prospective student-athlete's senior year in high school, there shall be no limit on the forms of electronically transmitted correspondence that may be sent by an institution to a prospective student-athlete, provided the institution has received a financial deposit in response to the institution's offer of admission. (*Adopted: 10/19/10*)

### **13.02.12 Visits by Prospective Student-Athletes.**

**13.02.12.1 Official Visit.** An official visit to a member institution by a prospective student-athlete is a visit financed in whole or in part by the member institution (see Bylaw 13.6).

**13.02.12.2 Unofficial Visit.** An unofficial visit to a member institution by a prospective student-athlete is a visit made at the prospective student-athlete's own expense (see Bylaw 13.7).



## 13.1 CONTACTS AND EVALUATIONS

Recruiting contacts with a prospective student-athlete (or the prospective student-athlete's relatives or guardians) by institutional staff members and/or representatives of the institution's athletics interests are subject to the provisions set forth in this bylaw. [D] (Revised: 1/10/91 effective 7/1/91, 8/3/06)

### 13.1.1 Contactable Prospective Student-Athletes.

**13.1.1.1 High School Prospective Student-Athletes.** In-person, off-campus recruiting contacts shall not be made with a prospective student-athlete or the prospective student-athlete's relatives or guardian(s) until the prospective student-athlete has completed the junior year in high school. U.S. service academy exceptions to this provision are set forth in Bylaw 13.16.1. [D] (Revised: 1/10/91 effective 7/1/91, 1/11/94 effective 3/15/94, 1/10/95, 1/14/97, 8/3/06)

**13.1.1.1.1 Contact by Coach Employed in Admissions Office.** It is permissible for a coach who is employed in the admissions office of the institution to be involved in off-campus admissions programs directed at prospective students in general, provided contact made with a prospective student-athlete before the completion of his or her junior year in high school is not for the purpose of athletics recruitment (e.g., athletics recruiting presentation). (Adopted: 7/22/08)

**13.1.1.2 Four-Year College Prospective Student-Athletes.** An athletics staff member or other representative of the institution's athletics interests shall not make contact in any manner (e.g., in-person contact, telephone calls, electronic communication, written correspondence) with the student-athlete of another NCAA or NAIA four-year collegiate institution, directly or indirectly, without first obtaining written permission to do so, regardless of who makes the initial contact. If permission is not granted, the second institution shall not encourage the transfer. If permission is granted, all applicable NCAA recruiting rules apply. If an institution receives a written request from a student-athlete to permit another institution to contact the student-athlete about transferring, the institution shall grant or deny the request within seven business days (see Bylaw 13.02.1) of receipt of the request. If the institution fails to respond to the student-athlete's written request within seven business days, permission shall be granted by default and the institution shall provide written permission to the student-athlete. Written permission may be granted by: (Revised: 1/10/91, 1/16/93, 1/11/94, 1/12/04 effective 8/1/04, 1/9/06, 10/17/06, 1/12/11)

- (a) The first institution's athletics director (or an athletics administrator designated by the athletics director); or (Adopted: 1/14/02 effective 8/1/04)
- (b) The student-athlete, if the student-athlete attends a Division III institution (see Bylaw 13.1.1.2.1). [D] (Adopted: 1/14/02 effective 8/1/04)

**13.1.1.2.1 Self-Release.** Using a form made available by the NCAA national office, a student-athlete who attends a Division III institution may issue, on his or her own behalf, permission for another Division III institution to contact the student-athlete about a potential transfer. The student-athlete shall forward this form to the director of athletics at the institution of interest. Contact between the student-athlete and institution may occur during the 30-day period beginning with the date the permission to contact form is signed by the student-athlete. An additional form must be issued for contact to occur or continue beyond the initial 30-day period. (Adopted: 1/12/04 effective 8/1/04, Revised: 1/10/05)

**13.1.1.2.1.1 Privacy and Disclosure Requirements.** During the initial 30-day period, the institution in receipt of the form shall not notify the student-athlete's current institution of the contact. At the completion of the initial 30-day period, if the student-athlete decides to transfer to the institution in receipt of the form, the institution in receipt of the form shall notify the student-athlete's current institution within a seven-day period of the form's expiration date that the self-release was issued. At the completion of the initial 30-day period, if the student-athlete decides not to pursue the transfer, the institution in receipt of the form shall not notify the student-athlete's current institution of the contact at any time. If the student-athlete is undecided at the end of the 30-day period, the initial contact must remain private unless and until the student-athlete issues a second permission to contact form. Upon receipt of the second permission to contact form, the institution in receipt of the form shall notify the student-athlete's current institution within seven days that a second release was issued. (Revised: 1/10/05)

**13.1.1.2.2 Hearing Opportunity.** If the institution decides to deny a student-athlete's request to permit any other institution to contact the student-athlete about transferring, the institution shall inform the student-athlete in writing that he or she, on request, shall be provided a hearing conducted by an institutional entity or committee outside of the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and non-athletics faculty/staff members). The student-athlete shall be informed of this hearing opportunity within seven business days of the request for permission to contact. Within 15 business days (see Bylaw 13.02.1) of receipt of the student-athlete's written request for a hearing, the institution shall conduct and provide written results of the hearing to the student-athlete. The student-athlete shall be provided the opportunity to actively participate (e.g., in-person, via telephone) in the hearing. If the institution fails to conduct the hearing or provide the written results to the student-athlete within 15 business days, permission to contact



the student-athlete shall be granted by default and the institution shall provide written permission to the student-athlete. *(Adopted: 1/11/94, Revised: 10/17/06, 1/12/11)*

**13.1.1.2.3 Student-Athlete Withdrawn from Four-Year College.** A member institution may contact a student-athlete who has withdrawn officially from a four-year collegiate institution without obtaining permission from the first institution. *(Revised: 1/9/06)*

**13.1.1.2.4 Transfer from Institution on Probation.** It is not necessary for an institution to obtain permission in writing to recruit a student-athlete at an institution that has been placed on probation with sanctions that preclude it from competing in postseason competition during the remaining seasons of the student-athlete's eligibility. However, the student-athlete's institution must be notified of the recruitment and may establish reasonable restrictions related to the contact (e.g., no visits during class time), provided such restrictions do not preclude the opportunity for the student-athlete to discuss transfer possibilities with the other institution [see Bylaw 14.12.1.2-(c)]. **[D]** *(Adopted: 1/10/92)*

**13.1.1.2.5 Transfer While Ineligible Due to Positive Drug Test.** If a student-athlete who is declared ineligible due to a positive drug test administered by the NCAA transfers to another NCAA institution, the institution from which the student-athlete transferred must notify the new institution of the student-athlete's ineligibility (see Bylaw 18.4.1.5.1.3). *(Adopted: 1/14/97 effective 8/1/97)*

### **13.1.2 Permissible Recruiters.**

**13.1.2.1 Talent Scout.** An institution may not pay any costs incurred by an athletics talent scout or a representative of its athletics interests in studying or recruiting prospective student-athletes. An institution may not provide any such person a fee or honorarium and thereby claim the person as a staff member entitled to expense money.

**13.1.2.1.1 Employment Prohibition.** An institution may not employ an individual for the primary purpose of recruiting or evaluating prospective student-athletes and designate the individual as a coach if he or she does not reside in the institution's general locale. Such an individual would be considered an athletics talent scout rather than a regular institutional staff member.

**13.1.2.1.2 Expense Prohibition.** An institution may not pay expenses (other than meals provided in the institution's home community) for representatives of its athletics interests to visit its campus for the purpose of becoming familiar with the institution's academic and athletics programs and campus facilities in order to represent the institution better when recruiting prospective student-athletes. The provision of such expenses would be considered payment of costs incurred by athletics talent scouts.

**13.1.3 Telephone Calls to Prospective Student-Athletes.** An institution may permit a student-athlete, a staff member, or a representative of athletics interests to telephone a prospective student-athlete at the institution's expense at any time, provided the call is only for the purpose of recruitment and is with full knowledge of the athletics department (see Bylaw 13.02.5.1). **[D]** *(Revised: 1/10/91 effective 7/1/91, 1/10/05, 1/9/06)*

### **13.1.4 Contact Restrictions at Specified Sites.**

**13.1.4.1 Prospective Student-Athlete's Educational Institution.** Any staff member and any representative of the institution's athletics interests desiring to contact a prospective student-athlete at the prospective student-athlete's high school, college preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or designee). Contact may be made only when such permission is granted. Permission for such contact is not required if the contact with the prospective student-athlete is made after official school hours. Member institutions also are bound by this provision when recruiting international athletes. **[D]** *(Revised: 7/21/09)*

**13.1.4.2 Practice or Competition Site.** Recruiting contact may not be made with a prospective student-athlete before any athletics competition in which the prospective student-athlete is a participant during the day or days of competition, even if the prospective student-athlete is on an official or unofficial visit. Such contact shall be governed by the following: **[D]** *(Revised: 1/11/89, 1/10/91, 1/11/94, 1/9/96 effective 7/1/96)*

- (a) Contact shall not be made with the prospective student-athlete at any site before the contest on the day or days of competition; *(Revised: 1/11/89, 1/11/94)*
- (b) Contact shall not be made with the prospective student-athlete from the time the prospective student-athlete reports on call (at the direction of the prospective student-athlete's coach or comparable authority) and becomes involved in competition-related activity (e.g., traveling to an away-from-home game) to the end of the competition even if such competition-related activities are initiated before the day or days of competition; *(Revised: 1/11/94)*
- (c) Contact shall not be made after the competition until the prospective student-athlete is released by the appropriate institutional authority and departs the dressing and meeting facility; and
- (d) Contact shall not be made with the prospective student-athlete involved in competition that requires more than one day of participation (e.g., a basketball tournament) until after the prospective student-athlete's final contest is completed and he or she is released by the appropriate institutional authority and leaves the dressing and meeting facility. *(Revised: 1/11/94)*

**13.1.4.2.1 Contact with Prospective Student-Athlete's Relatives or Guardians at Practice or Competition Site.** Recruiting contact may be made with the relatives, guardian(s) or individual of a comparable relationship of a contactable prospective student-athlete (see Bylaw 13.1.1) at the site of the prospective student-athlete's practice or competition, including during the conduct of a competition. (*Adopted: 7/21/09*)

**13.1.4.2.2 Contact with Prospective Student-Athlete during Tournament or Extended Road Trip.** Recruiting contact may be made with a prospective student-athlete during a tournament that is not conducted on consecutive days, provided the prospective student-athlete has been released by the appropriate institutional authority and the contact occurs on a day in which there is no further competition. Additionally, during an extended road trip, recruiting contact may be made with a prospective student-athlete at the conclusion of a competition and before the team traveling to the next competition, provided he or she has been released by the appropriate institutional authority and has departed the dressing and meeting facility. [D] (*Adopted: 10/20/08*)

**13.1.5 Evaluations.** There are no restrictions on the number or timing of evaluations (see Bylaw 13.02.4). (*Adopted: 4/20/09*)

## **13.2 OFFERS AND INDUCEMENTS**

**13.2.1 General Regulation.** An institution's staff member or any representative of its athletics interests shall not be involved, directly or indirectly, in making arrangements for or giving or offering to give any financial aid or other benefits to the prospective student-athlete or the prospective student-athlete's relatives or friends, other than expressly permitted by NCAA regulations. Receipt of a benefit by prospective student-athletes or their relatives or friends is not a violation of NCAA legislation if it is demonstrated that the same benefit is generally available to the institution's prospective students or their relatives or friends or to a particular segment of the prospective student body determined on a basis unrelated to athletics ability. [R] (*Revised: 1/13/98, 1/10/05, 1/9/06, 10/15/08*)

**13.2.2 Specific Prohibitions.** Specifically prohibited financial aid, benefits and arrangements include, but are not limited to, the following:

- (a) An employment arrangement for a prospective student-athlete's relatives;
- (b) Gift of clothing or equipment;
- (c) Co-signing of loans;
- (d) Providing loans to a prospective student-athlete's relatives or friends;
- (e) Cash or like items;
- (f) Any tangible items, including merchandise;
- (g) Free or reduced-cost services, rentals or purchases of any type;
- (h) Free or reduced-cost housing;
- (i) Use of an institution's athletics equipment (e.g., for a high school all-star game); or
- (j) Sponsorship of or arrangement for an awards banquet for high school, preparatory school or two-year college athletes by an institution, representatives of its athletics interests, or its alumni groups or booster clubs.

**13.2.3 Awards to Prospective Student-Athletes.** A member institution may only provide a prospective student-athlete with awards presented at regularly scheduled high school, preparatory school and two-year college athletics contests or matches. The awards are limited in value to \$50 and may bear the institution's name and logo. (*Adopted: 1/10/91, Revised: 4/7/05*)

### **13.2.4 Employment of Prospective Student-Athletes.**

**13.2.4.1 Normal Employment Procedures.** An institution may employ prospective student-athletes in any department outside of intercollegiate athletics provided the employment is arranged through normal institutional employment policies and procedures. (*Revised: 1/10/05*)

**13.2.4.2 Transportation to Summer Job.** An institution or its representatives shall not provide a prospective student-athlete free transportation to and from a summer job unless it is the employer's established policy to transport all employees to and from the job site.

**13.2.5 Life-Threatening Injury or Illness.** An institution may present a prospective student-athlete with a donation to a charity on behalf of the prospective student-athlete or provide other reasonable tokens of support (e.g., flowers) in the event of the prospective student-athlete's relative's death or life-threatening injury or illness, provided the prospective student-athlete has signed a written offer of admission and/or financial aid with the institution. (*Adopted: 1/9/06*)

**13.2.6 Use of Athletics Training-Room Services.** A prospective student-athlete who has signed a written offer of admission and/or financial aid and is enrolled in the institution's summer term before the prospective student-athlete's initial full-time collegiate enrollment may receive athletics training-room services (e.g., taping, treatment, therapy). (*Adopted: 10/22/07*)

## 13.4 RECRUITING MATERIALS

**13.4.1 General Regulations.** Institutions are permitted to provide to prospective student-athletes, prospective student-athlete's relatives [or guardian(s)], and to coaches of prospective student-athletes any official academic, admissions, athletics and student-services publications or electronic media published or produced by the institution. Institutions are also permitted to provide other information of a general nature that is available to all students or prospective students. *(Revised: 1/11/89, 7/24/07, 4/21/09, 4/29/09)*

**13.4.1.1 Timing of Recruiting Materials.** There are no restrictions on the timing for providing recruiting materials to prospective student-athletes. *(Adopted: 4/20/09)*

**13.4.1.2 Conference or NCAA Championships.** An institution or conference that is hosting any portion of a conference or NCAA championship may send printed materials promoting the championship event (e.g., ticket application or promotional brochures, flyers or posters) to high school or two-year college coaches or administrators, but not to specific prospective student-athletes, provided: *(Adopted: 1/13/10)*

- (a) The printed material includes only information relevant to the championship events;
- (b) The printed material is available to the general public, including all high schools in the local area; and
- (c) The printed material contains no information related to the institution's athletics program.

### 13.4.2 Advertisements and Promotions.

**13.4.2.1 Recruiting Advertisements.** The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted. Accordingly, a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospective student-athletes (e.g., recruiting publications and multi-media such as CD-ROMs, list-serves, or other electronic media) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution. **[D]** *(Revised: 1/13/98, 1/9/06, 4/29/09)*

**13.4.2.1.1 Exception—Nonathletics Institutional Advertisements.** An institution (or a third party acting on behalf of the institution) may publish nonathletics institutional advertisements in nonathletics high school or two-year college publications (e.g., yearbooks, newspapers, music programs, prom programs) and other nonathletics publications or produce nonathletics institutional promotional material (e.g., use of signs, kiosks, distribution of printed materials, television and radio advertisements, electronic advertisements) for use at high school or two-year college athletics events or during broadcasts of such events, provided: **[D]** *(Revised: 1/15/11 effective 8/1/11)*

- (a) The funds generated by the advertisements or promotional material are not used for a high school or a two-year college's athletics program;
- (b) The institution's athletics department is not involved in the advertisements or promotional material; and
- (c) The advertisements or promotional material do not contain athletics information.

**13.4.2.1.2 Exception—College/High School Shared Home Facility.** A member institution may advertise and upgrade its home facility even if that facility is also the home facility for prospective student-athletes (e.g., high school football stadium). *(Revised: 1/9/06)*

**13.4.2.1.3 Summer-Camp Advertisements.** Advertisements of an institution's summer camp or clinic in such recruiting publications are permissible if placed in a periodical (other than a high school or two-year college game program) that includes a camp directory. **[D]** *(Revised: 1/11/94, 1/9/06)*

**13.4.2.2 Miscellaneous Promotions.** Member institutions and their representatives of athletics interests are prohibited from financing, arranging or using recruiting aids (e.g., newspaper advertisements, bumper stickers, message buttons) designed to publicize the institution's interest in a particular prospective student-athlete. **[D]** *(Revised: 1/13/98)*

## 13.5 TRANSPORTATION

**13.5.1 General Restrictions.** An institution may not provide transportation to a prospective student-athlete other than on the official paid visit or, on an unofficial visit, to view a practice or competition site and other institutional facilities when accompanied by an institutional staff member. However, an institution may not provide transportation to attend one of the institution's home contests (on or off campus) during the unofficial visit. **[R]** *(Revised: 1/11/89, 1/13/98, 1/9/06)*

### 13.5.2 Transportation on Official Paid Visit.

**13.5.2.1 General Regulations.** A member institution may pay the prospective student-athlete's actual round-trip transportation costs for his or her official visit to its campus, provided a direct route between the prospective student-athlete's home and the institution's campus is used. **[R]** *(Revised: 1/11/00 effective 8/1/00)*

**13.5.2.2 Automobile Transportation.** When a prospective student-athlete travels by automobile on an official visit, the institution may pay round-trip expenses to the individual incurring the expense (except the

prospective student-athlete's coach, see Bylaw 13.8.1.1) at the same mileage rate it allows its own personnel. Any automobile may be used by the prospective student-athlete, provided the automobile is not owned or operated or its use arranged by the institution or any representative of its athletics interests. [R] (*Revised: 1/11/94*)

**13.5.2.2.1 Use of Automobile.** The institution or representatives of its athletics interests shall not provide an automobile for use during the official visit by the prospective student-athlete or by a student host. [R]

**13.5.2.2.2 Coach Accompanying Prospective Student-Athlete.** Coaching staff members (except as permitted in Bylaw 13.5.2.4) shall not accompany a prospective student-athlete in the coach's sport to or from an official visit unless the prospective student-athlete travels only by automobile. All transportation must occur within the state in which the institution is located, or if outside the state, within 100 miles of the institution's main campus. On completion of the 48-hour period, the coach shall terminate contact with the prospective student-athlete and his or her parent(s), guardians, spouse or individual of a comparable relationship immediately. [R] (See Bylaw 13.6.2.1) (*Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97, 1/9/06, 10/20/06*)

**13.5.2.3 Air Transportation.** The airfare for commercial transportation for the official visit may not exceed coach (or comparable) class. Coaching staff members shall not accompany a prospective student-athlete to or from an official visit when air travel is used, except as permitted in Bylaw 13.5.2.4. [R] (*Revised: 1/10/95 effective 8/1/95*)

**13.5.2.4 From Airport.** During the official visit, any member of an institution's athletics department staff may provide ground transportation for a prospective student-athlete and the prospective student-athlete's parents, guardians, spouse or individual of a comparable relationship between the campus and any bus or train station or airport. [R] (*Revised: 1/9/06*)

**13.5.2.5 To/From Site of Competition.** A prospective student-athlete may be transported to campus for an official visit from the site of his or her athletics competition or the reverse arrangement, provided only actual transportation expenses are paid and the cost of the transportation does not exceed the cost of transportation between the prospective student-athlete's home or educational institution and the institution's campus. [R]

**13.5.2.6 From Educational Institution.** An institution may pay actual transportation costs for the prospective student-athlete to return to his or her home after an official visit that originated at the prospective student-athlete's educational institution, provided the cost of the transportation to the legal residence does not exceed the cost of transportation to the educational institution. [R]

**13.5.2.7 Visiting Two or More Institutions.** Two or more institutions to which a prospective student-athlete is making official visits on the same trip may provide travel expenses, provided there is no duplication of expenses, only actual and necessary expenses are provided, and the 48-hour visit limitation is observed at each institution. [R]

**13.5.2.8 Transportation of Prospective Student-Athlete's Relatives, Friends, Guardian(s), Spouse or Individual of a Comparable Relationship.** An institution shall not permit its athletics department staff members or representatives of its athletics interests to pay, provide or arrange for the payment of transportation costs incurred by relatives, friends, guardian(s), spouse or individual of a comparable relationship of a prospective student-athlete to visit the campus or elsewhere; however, an institution may: [R] (*Revised: 1/9/06*)

- (a) Provide automobile-mileage reimbursement to a prospective student-athlete on an official visit, even if relatives or friends accompany the prospective student-athlete; and (*Revised: 1/11/94, 1/9/06*)
- (b) Provide transportation between its campus and the airport for the relatives, guardian(s) or individual of a comparable relationship of a prospective student-athlete making an official visit. (*Revised: 1/9/06*)

**13.5.3 Transportation on Unofficial Visit.** During any unofficial recruiting visit, the institution may provide the prospective student-athlete with transportation to view practice and competition sites in the prospective student-athlete's sport and other institutional facilities. An institutional staff member must accompany the prospective student-athlete during such a trip. Payment of any other transportation expenses, including providing transportation to attend one of the institution's home contests (on or off campus) is not permissible. However, the institution may provide transportation for a prospective student-athlete to attend home athletics contests if that transportation is available to prospective students generally. [R] (*Revised: 1/11/89, 1/11/90, 1/11/00, 1/8/05, 5/4/05, 1/9/06*)

**13.5.4 Transportation to Enroll.** An institution or its representatives shall not furnish a prospective student-athlete, directly or indirectly, with transportation to the campus for enrollment. However, it is permissible for any member of the institution's staff to provide transportation from the nearest bus or train station or major airport to the campus on the occasion of the student-athlete's initial arrival at the institution to attend classes. [R]

## **13.6 OFFICIAL VISIT**

### **13.6.1 Limitations on Official Visit.**

**13.6.1.1 One-Visit Limitation.** A member institution may finance only one visit to its campus for a prospective student-athlete. (*Revised: 1/9/06*)

**13.6.1.1.1 First Opportunity to Visit.** A prospective student-athlete may not be provided an official visit earlier than the opening day of classes of the prospective student-athlete's senior year in high school.

**13.6.1.2 Post-High School Visits.** The one-visit limitation applies separately to the period in which the prospective student-athlete is in high school and to the period beginning October 15 after the prospective student-athlete's completion of high school. [D] (Revised: 1/10/05)

**13.6.1.2.1 Transfer Student.** If a student-athlete attending a four-year institution desires to transfer and that institution provides the permission required (per Bylaw 13.1.1.2), it is permissible for a second institution to provide the student-athlete one official visit to that institution's campus.

**13.6.2 Length of Official Visit.** An official visit to an institution shall not exceed 48 hours. A prospective student-athlete may remain in the locale in which the institution is located after the permissible 48-hour period for reasons unrelated to the official visit, provided that at the completion of the 48-hour visit, the individual departs the institution's campus, and the institution does not pay any expenses thereafter, including the cost of return transportation to the prospective student-athlete's home. Additionally, if the prospective student-athlete does not return home before attending the institution, the one-way transportation to the campus would be considered a violation of Bylaw 13.5.4, which prohibits transportation to enroll. [D] (Revised: 1/9/96 effective 8/1/96, 1/10/05)

**13.6.2.1 48-Hour Period Defined.** The 48-hour period of the official visit begins at the time the prospective student-athlete arrives on the institution's campus, rather than with the initiation of the prospective student-athlete's transportation by a coach or the time of the prospective student-athlete's arrival at the airport or elsewhere in the community. The prospective student-athlete's transportation to and from the campus must be without delay for personal reasons or entertainment purposes. The institution may not pay any expenses for entertainment (other than the actual and reasonable cost of meals) in conjunction with the prospective student-athlete's transportation. At the completion of the 48-hour visit, the prospective student-athlete must depart the institution's campus immediately; otherwise, the institution may not pay any expenses incurred by the prospective student-athlete upon departure from the institution's campus, including the cost of the prospective student-athlete's transportation home.

**13.6.2.2 Exception to 48-Hour Period for Extenuating Circumstances.** An official visit may extend beyond 48 hours for reasons beyond the control of the prospective student-athlete and the institution (e.g., inclement weather conditions, natural disaster, flight delays or cancellations, airport security activity). In such instances, the institution shall submit a report to the conference office after the visit (or, in the case of independent institutions, to the NCAA national office), noting the details of the circumstances. (Adopted: 10/19/09)

**13.6.3 Transportation on Official Visit.** For regulations relating to transportation on the official visit, see Bylaw 13.5.2.

**13.6.4 Accommodations on Official Visit.** A prospective student-athlete on an official visit shall be provided lodging and take meals as regular students normally do. Local commercial facilities may be used but at a scale comparable to that of normal student life and only within a 30-mile radius of the institution's campus. (See Bylaw 13.6.5.6 for restrictions on meals provided to prospective student-athletes on official visits.) [D] (Revised: 1/13/03)

**13.6.5 Entertainment/Tickets on Official Visit.**

**13.6.5.1 General Restrictions.** An institution may provide entertainment, which may not be excessive, on the official visit only for a prospective student-athlete and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship and only within a 30-mile radius of the institution's main campus. It is not permissible to entertain other relatives or friends (including dates) of a prospective student-athlete at any time at any site. [R] (Revised: 1/13/98, 1/8/01, 1/9/06)

**13.6.5.1.1 Meals and Lodging While in Transit.** It is permissible for an institution to pay a prospective student-athlete's actual costs for reasonable expenses (e.g., meals, lodging) incurred while traveling to and from campus on the official visit.

**13.6.5.2 Complimentary Admissions.** During the official visit, complimentary admissions to a home athletics event may be provided only to a prospective student-athlete and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship. Providing seating during the conduct of the event (including intermission) for the prospective student-athlete or the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship in the facility's press box, special seating box(es) or bench area is specifically prohibited. [R] (Revised: 1/10/90 effective 8/1/90, 1/11/94, 1/13/98, 1/12/04, 1/9/06, 8/3/06)

**13.6.5.2.1 Conference Tournaments.** An institution may provide complimentary admissions to a prospective student-athlete for a postseason conference tournament only if the institution participates in the tournament and it is held on the institution's campus. [R] (Revised: 1/10/91 effective 8/1/91)

**13.6.5.2.2 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospective student-athletes for an NCAA championship (all rounds) or other postseason contests (e.g., NAIA championship) constitutes excessive entertainment and is prohibited. [R] (Revised: 1/10/92)

**13.6.5.2.3 Purchase of Game Tickets in Same Locale.** An institution may reserve tickets, only for the use of immediate family members accompanying a prospective student-athlete during an official visit

and for seat locations adjacent to the complimentary seats being provided to the prospective student-athlete. These tickets must be purchased at face value. [R] (*Adopted: 1/10/92*)

**13.6.5.3 Parking.** An institution may arrange special on-campus parking for prospective student-athletes during an official visit. (*Adopted: 1/10/92*)

**13.6.5.4 Cash to Prospective Student-Athlete.** The institution or representatives of its athletics interests shall not provide cash to a prospective student-athlete for entertainment purposes.

**13.6.5.5 Student Host.** The institution may provide the following to a student host entertaining a prospective student-athlete: [R]

- (a) A maximum of \$20 for each day of the visit to cover all actual costs of entertaining the prospective student-athlete (and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship), excluding the cost of meals and admission to campus athletics events. These funds may not be used for the purchase of souvenirs such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$10 per day for each additional prospective student-athlete the host entertains; (*Revised: 1/10/90 effective 8/1/90, 1/9/96 effective 8/1/96, 1/9/06*)
- (b) A complimentary meal, provided the student host is accompanying the prospective student-athlete during the prospective student-athlete's official visit; and (*Adopted: 1/10/92*)
- (c) A complimentary admission to a campus athletics event, provided the ticket is used to accompany a prospective student-athlete to that event during the prospective student-athlete's official visit.

**13.6.5.5.1 Multiple Hosts.** If several students host a prospective student-athlete, the \$20-per-day entertainment money may be used to cover the actual and necessary expenses incurred by the prospective student-athlete and all hosts. Only one student host per prospective student-athlete may be provided a free meal if restaurant facilities are used. [D] (*Revised: 1/10/92, 1/16/93, 1/9/96 effective 8/1/96, 1/13/03*)

**13.6.5.6 Meals on Official Visit.** The cost of actual meals, not to exceed three per day, on the official visit for a prospective student-athlete and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship need not be included in the \$20-per-day entertainment expense. A dessert or after-meal snack also may be excluded. [R] (*Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, 1/10/95 effective 8/1/95, 1/9/06, 8/3/06*)

**13.6.5.6.1 Entertainment at Staff Member's Home.** A luncheon, dinner or brunch at the home of an institutional staff member (e.g., the athletics director, a coach, a faculty member or the institution's president) may be held for a prospective student-athlete on an official visit, provided the entertainment is on a scale comparable to that of normal student life, is not excessive in nature and occurs on only one occasion. [R] (*Revised: 1/9/96*)

**13.6.5.6.2 Meal Location.** Meals provided for a prospective student-athlete and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship on an official visit must occur on campus. It is permissible for the meals to be purchased from off-campus vendors (e.g., restaurants, caterers) as long as the meal is on a scale comparable to normal student life. If all on-campus dining facilities are closed, the institution may provide meals off-campus. If an institution normally participates in home competition at a site that is not located on the institution's campus, the meal may occur at that site. [D] (*Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, 1/10/95 effective 8/1/95, 1/14/97, 1/9/06*)

**13.6.5.6.2.1 Awards Banquet.** An institution may provide a meal to a prospective student-athlete and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship at an institutional awards banquet, provided the banquet occurs on the institution's campus. [R] (*Revised: 1/10/95, 1/9/06*)

**13.6.5.6.2.2 Meal Served at Other On-Campus Facility.** An institution may provide a meal provided the meal is prepared at any on-campus location in an on-campus dining facility. [R] (*Revised: 1/10/95*)

**13.6.5.6.2.3 Pre- or Postgame Meal or Snack.** An institution that provides a pre- or postgame meal or snack to its student-athletes as a benefit incidental to participation may provide that meal or snack to a prospective student-athlete and the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship as one of the three permissible official-visit meals. [R] (*Revised: 1/10/95, 8/3/06*)

**13.6.6 Lodging for Additional Persons.** Additional persons (e.g., prospective student-athlete's brother, sister, friend) may stay in the same room as the prospective student-athlete or parents, guardian(s), spouse or individual of a comparable relationship of the prospective student-athlete, but the institution shall not pay the costs resulting from the additional occupants. The additional occupants shall not be prospective student-athletes being recruited by the institution. The institution may provide separate lodging (e.g., hotel room) for the prospective student-athlete and the prospective student-athlete's parents or guardians. [R] (*Adopted: 1/10/92, Revised: 1/8/01, 12/19/05*)

**13.6.7 Institutional Admissions Departments—Programming and Benefits Available to All Prospective Students Generally.** The limitations within this section do not apply to programming or benefits (e.g., entertainment, meals) provided by the institution's admissions department and available to prospective

students generally. It is not permissible for the admissions department to provide benefits exclusively to groups of prospective student-athletes to avoid the application of the official visit legislation. All limitations within this section apply if the funding for any of the benefits described are provided through the athletics department. (*Adopted: 10/19/09*)

## 13.7 UNOFFICIAL VISIT

**13.7.1 Number Permitted.** A prospective student-athlete may visit a member institution's campus at his or her own expense an unlimited number of times. A prospective student-athlete may make unofficial visits before his or her senior year in high school.

### 13.7.2 Entertainment/Tickets.

**13.7.2.1 General Regulations.** During an unofficial visit, the institution may not pay any expenses or provide any entertainment except a maximum of three complimentary admissions to a home athletics event at any facility in which the institution's intercollegiate team practices or competes. Such complimentary admissions are for the exclusive use of the prospective student-athlete and those persons accompanying the prospective student-athlete on the visit and must be issued on an individual-game basis. Seating shall be provided in the general seating area of the facility used for conducting the event. Providing seating during the conduct of the event (including intermission) for the prospective student-athlete or the prospective student-athlete's parents, guardian(s), spouse or individual of a comparable relationship in the facility's press box, special seating box(es) or bench area is specifically prohibited. [R] (*Revised: 1/10/90 effective 8/1/90, 1/11/94, 1/12/04, 8/3/06*)

**13.7.2.1.1 Meals.** An institution may provide a prospective student-athlete with a meal in the institution's on-campus dining facility during an unofficial visit. An institution may provide a meal in an off-campus dining facility when all on-campus dining facilities are closed, provided the institution can certify that it is the institution's normal policy to provide such a meal under similar circumstances to all prospective students (including nonathletes) visiting the campus. [R]

**13.7.2.1.2 Housing.** An institution may provide a prospective student-athlete with housing during an unofficial visit, provided such housing is generally available to all visiting prospective students. [R]

**13.7.2.1.2.1 Lodging in Dormitories.** A prospective student-athlete on an unofficial visit may stay in an enrolled student-athlete's dormitory room, provided the housing is available to all visiting prospective students. [R] (*Revised: 4/21/09*)

**13.7.2.1.3 Transportation during Unofficial Visit.** For regulations relating to transportation on an unofficial visit, see Bylaw 13.5.3.

**13.7.2.1.4 Reserving Game Tickets.** An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by a prospective student-athlete (or individuals accompanying the prospective student-athlete) on an unofficial visit. Tickets may be purchased only in the same manner as prospective students generally. [R] (*Adopted: 1/10/92, Revised: 1/9/06*)

**13.7.2.1.5 Parking.** An institution may not arrange special parking for prospective student-athletes to use while attending a member institution's campus athletics event during an unofficial visit. [R] (*Adopted: 1/10/92*)

**13.7.2.1.6 Academic Interviews.** An athletics department staff member may arrange academic interviews for a prospective student-athlete on an unofficial visit.

**13.7.2.2 Home Games Outside the Community.** If an institution schedules any regular-season home games at a site located in a community other than its own, the host institution may provide a maximum of three complimentary admissions to only one such game for the exclusive use of a prospective student-athlete and those persons accompanying the prospective student-athlete. Tournament and postseason games are excluded. The institution shall not arrange or permit any other entertainment or payment of expenses, including transportation. [R]

**13.7.2.3 Conference Tournaments.** An institution may provide complimentary admissions to a prospective student-athlete for a postseason conference tournament only if the institution participates in the tournament and it is held on the institution's campus. [R] (*Revised: 1/10/91 effective 8/1/91*)

**13.7.2.4 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospective student-athletes for an NCAA championship (all rounds) or other postseason contests (e.g., bowl game, NAIA or NIT championship) constitutes excessive entertainment and is prohibited. The prospective student-athlete may purchase tickets to such events only in the same manner as any other member of the general public. [R] (*Revised: 1/10/92*)

**13.7.2.5 Visit Unrelated to Recruitment.** The limitations on providing entertainment to a prospective student-athlete shall not extend to a visit to the institution's campus for a purpose having nothing whatsoever to do with the prospective student-athlete's athletics recruitment by the institution (e.g., band trip, fraternity weekend, athletics team's attendance at a sporting event with the high school coach). The institution's athletics department or representatives of its athletics interests may not be involved in any way with the arrangements for



the visit, other than providing (in accordance with established policy) free admissions to an athletics event on a group basis, rather than personally to the prospective student-athlete. [R]

**13.7.2.6 Visit Related to National Student-Athlete Day or National Girls and Women in Sports Day.** The limitations on providing entertainment to a prospective student-athlete shall not extend to a visit to the institution's campus for activities related to National Student-Athlete Day or National Girls and Women in Sports Day. [R] (*Adopted: 1/14/97, Revised: 4/22/98*)

**13.7.3 Institutional Admissions Departments—Programming or Benefits Available to All Prospective Students Generally.** The limitations within this section do not apply to programming or benefits (e.g., entertainment, meals) provided by the institution's admissions department and available to prospective students generally. It is not permissible for the admissions department to provide benefits exclusively to groups of prospective student-athletes to avoid the application of the official visit legislation. All limitations within this section apply if the funding for any of the benefits described are provided through the athletics department. (*Adopted: 10/19/09*)

## **13.8 ENTERTAINMENT, REIMBURSEMENT AND EMPLOYMENT OF HIGH SCHOOL/COLLEGE-PREPARATORY SCHOOL/TWO-YEAR COLLEGE COACHES**

**13.8.1 Entertainment Restrictions.** Entertainment of a high school, college-preparatory school or two-year college coach or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved shall be limited to providing a maximum of two complimentary admissions to a home athletics event at any facility in which the institution's intercollegiate team regularly practices or competes, not to exceed full-season passes or tickets. Such entertainment shall not include food and refreshments, room expenses, or the cost of transportation to and from the campus. [R] (*Revised: 1/11/00 effective 8/1/00, 10/18/04, 1/8/05, 1/10/05, 1/9/06*)

**13.8.1.1 Transportation Reimbursement.** An institution shall not reimburse a high school, preparatory school or two-year college coach for transportation expenses of any kind. [R] (*Revised: 1/9/06*)

**13.8.1.2 Purchase of Game Tickets.** Tickets in addition to the permissible complimentary admissions may be purchased only in the same manner as any other member of the general public. [R] (*Adopted: 1/10/92, Revised: 1/9/06*)

**13.8.1.3 Noncoaching-Related Organization.** If a high school, preparatory school or two-year college coach is a member of a noncoaching-related organization (e.g., state high school principals association, college fraternity alumni organization, institution's alumni association), an institution may entertain the group, provided there is no direct involvement by the institution's athletics department. [R]

**13.8.2 Material Benefits.** Arrangements by an institution that involve a material benefit for a high school, preparatory school or two-year college coach, or for any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved (e.g., the provision of a gift such as a tangible item bearing the institution's insignia, the offer to pay a portion of the coach's or other individual's personal expenses or an arrangement to provide transportation for the coach or other individual), are prohibited. [R] (*Revised: 1/8/05, 12/19/05, 1/9/06*)

**13.8.2.1 Alumni Exception.** An institution may provide a high school, preparatory school or two-year college coach who is an alumna or alumnus of that institution with a material benefit (e.g., meal, plaque, certificate) comparable to a benefit provided to non-coaches for recognition of a special achievement. (*Adopted: 4/11/06*)

**13.8.3 Employment Conditions.** (See Bylaw 11.4)

## **13.9 LETTER-OF-INTENT PROGRAMS**

**13.9.1 Letter-of-Intent Prohibition.** An institution shall not use any form of a letter of intent or similar form of commitment in the recruitment of a prospective student-athlete. However, it shall be permissible for the institution to use in the recruitment of a prospective student-athlete its pre-enrollment forms executed by prospective students in general at that institution. [D] (*Revised: 1/10/05, 12/23/09*)

## **13.10 PUBLICITY**

**13.10.1 Presence of Media During Recruiting Contact.** A member institution shall not permit a media entity to be present during any recruiting contact made by an institution's coaching staff member. [D] (*Adopted: 1/9/96, Revised: 1/14/97*)

**13.10.2 Comments Before Acceptance.** An institution may comment publicly only to the extent of confirming its recruitment of the prospective student-athlete. [D] (*Revised: 1/14/97, 1/11/00 effective 8/1/00*)

**13.10.2.1 Evaluations for Media, Recruiting Services.** Athletics department staff members shall not evaluate or rate a prospective student-athlete for news media, scouting services or recruiting services before the prospective student-athlete's signed acceptance of the institution's written offer of admission as a student and/or written tender of financial assistance to be provided on the prospective student-athlete's enrollment. [D]

**13.10.3 Radio/TV Show.** A member institution shall not permit a prospective student-athlete or a high school, college-preparatory school or two-year college coach to appear, be interviewed or otherwise be involved (in person or via film, audiotape or videotape) on: [D] *(Revised: 1/9/06)*

- (a) A radio or television program conducted by the institution's coach; or
- (b) A program for which a member of the institution's athletics staff has been instrumental in arranging for the appearance of the prospective student-athlete or coach or related program material.

**13.10.3.1 Game Broadcast/Telecast.** A prospective student-athlete may not be interviewed during the broadcast or telecast of an institution's intercollegiate contest. A member institution may not permit a station telecasting a game to show a videotape of competition involving high school, preparatory school or two-year college players. [D]

**13.10.4 Prospective Student-Athlete's Visit.** A member institution shall not publicize (or arrange for publicity of) a prospective student-athlete's visit to the institution's campus. [D] *(Revised: 1/14/97, 7/20/10)*

**13.10.4.1 High School, Preparatory School or Two-Year College Contest in Conjunction with Collegiate Competition Advertising Activities.** A member institution hosting a high school, preparatory school or two-year colleges contest may not advertise the appearance of any specific prospective student-athletes nor may it use individual or team photographs of participating teams involving prospective student-athletes in any advertising activities, except as permitted in Bylaw 13.10.6. [D] *(Adopted: 7/20/10)*

**13.10.4.2 Exception.** A member institution's admissions office may publicize (or arrange for publicity of) a prospective student-athlete's visit to the institution's campus provided the same manner of publicity is done for prospective students generally. *(Adopted: 1/14/08)*

**13.10.5 Introduction of Prospective Student-Athlete.** An institution may not introduce a visiting prospective student-athlete at a function (e.g., the institution's sports award banquet or an intercollegiate athletics contest) that is attended by media representatives or open to the general public. [D] *(Revised: 1/14/97)*

**13.10.6 Photograph of Prospective Student-Athlete.** It is permissible for an institution to photograph a prospective student-athlete during a campus visit to be used in the institution's permissible publicity and promotional activities (e.g., press release, media guide), but the photograph may not be given to the prospective student-athlete. [D] *(Adopted: 1/16/93, Revised: 1/11/94, 1/13/03)*

**13.10.7 Announcement of Acceptance.** Publicity released by an institution concerning a prospective student-athlete's commitment to attend the institution shall occur only after the paid acceptance of the institution's written offer of admission and/or financial aid. Such communications may be released to media outlets at the institution's discretion; however, an institution is prohibited from purchasing or receiving commercial advertising (e.g., print, media or billboard) to be used to identify a prospective student-athlete by name or picture. [D] *(Revised: 1/14/97, 1/11/00 effective 8/1/00, 1/9/06)*

**13.10.7.1 Staff Member Presence.** Institutional staff members may not be present at the site of the prospective student-athlete's signing or at any other prearranged site when a prospective student-athlete accepts an offer made by the institution and media representatives are present. This does not preclude the presence of bona fide media representatives who are employed on a part-time basis by the institution. [D] *(Revised: 1/9/06)*

## 13.11 TRYOUTS

**13.11.1 Prospective Student-Athlete Defined for Tryout-Rule Purposes.** For purposes of this section, the phrase "prospective student-athlete" shall include any individual who has started classes for the ninth grade and is not enrolled in the member institution at the time of the activity described. For an incoming student, the limitations of this section do not apply to preseason participation if the student has been accepted by the institution for enrollment in a regular, full-time program of studies and is no longer enrolled in the previous educational institution. [D] *(Revised: 1/11/89, 5/4/09, 1/16/10)*

### 13.11.2 Prohibited Physical Activities Involving Prospective Student-Athletes.

**13.11.2.1 Traditional Tryout.** It is impermissible for an athletics department coaching staff member to observe or conduct physical workouts or other recreational activities designed to test the athletics abilities of a prospective student-athlete. [D] *(Revised: 9/15/08, 5/4/09, 1/16/10)*

**13.11.2.1.1 Permissible Observation of Recreational Activities.** A coaching staff member who observes a prospective student-athlete engaging in recreational activities is not considered to be conducting a tryout, provided: *(Adopted: 1/16/10)*

- (a) The documented job responsibilities for the coaching staff member include monitoring of an institutional facility for purposes of safety and facility security; and
- (b) The observation occurs while the coaching staff member performs this monitoring responsibility. This exception does not permit a coaching staff member to direct, supervise or provide instruction to prospective student-athletes, but permits a coaching staff member to stop any activity that is dangerous to a prospective student-athlete or other students.

**13.11.2.2 Tryout Events.** It is impermissible for a member institution or conference to host, sponsor or conduct a tryout camp, clinic, group workout or combine (e.g., combination of athletics skills test or activities) devoted to agility, flexibility, speed and strength tests for prospective student-athletes. An institution's staff member may only attend such an event sponsored by an outside organization if the event occurs off the institution's campus and is open to all institutions. [D] (*Adopted: 1/10/92, Revised: 1/8/07 effective 8/1/07, 5/4/09, 1/16/10*)

**13.11.2.3 Competition Against Prospective Student-Athletes.** It is impermissible for an institution's varsity intercollegiate team to compete against a high school or preparatory school team. [D] (*Revised: 9/5/08, 5/4/09, 1/16/10*)

**13.11.3 Permissible Physical Activities Involving Prospective Student-Athletes.** Any activity not specifically prohibited in Bylaw 13.11.2 and its subsections is permissible. Permissible activities include, but are not limited to, the following: (*Revised: 1/16/10*)

**13.11.3.1 Athletics Events or Activities Involving Prospective Student-Athletes.** It is permissible for a member institution to host, sponsor, promote or conduct any type of athletics event or activity involving prospective student-athletes that is not specifically prohibited within Bylaw 13.11.2. (*Revised: 1/16/10*)

**13.11.3.2 Sports Camps and Clinics.** See Bylaw 13.12. (*Revised: 1/16/10*)

**13.11.3.3 Private Lessons.** An institution's coach may teach private lessons to a prospective student-athlete, provided the criteria detailed in Bylaw 11.3.2 are satisfied. (*Adopted: 1/9/06 effective 8/1/06, Revised: 5/4/09, 1/16/10*)

**13.11.3.4 Sports Club Teams.** It is permissible for an institution's coach or administrator to be involved in any capacity (e.g., as a participant or administrator or in instructional or coaching activities) in an organized sports club or organization involving teams of prospective student-athletes; however, neither an institution's athletics department nor an institution's athletics booster group may sponsor a sports club that includes prospective student-athletes. It is permissible for a department of the institution that operates independent of the athletics department (e.g., physical education department, recreation department) to sponsor a sports club that includes prospective student-athletes, provided no athletics department staff member is involved with the club team. (*Adopted: 1/10/90, Revised: 1/16/93, 1/9/06, 5/4/09, 1/16/10*)

**13.11.3.5 High School All-Star Games.** A member institution's facilities may be made available for a high school all-star game provided the provisions of Bylaw 13.13.1 are met. (*Adopted: 4/21/10*)

## **13.12 SPORTS CAMPS AND CLINICS**

### **13.12.1 Institution's Sports Camps and Clinics.**

**13.12.1.1 Definition.** An institution's sports camp or instructional clinic shall be any camp or clinic that is owned or operated by a member institution or an employee of the member institution's athletics department, either on or off its campus. (*Adopted: 1/11/89, Revised: 1/10/90*)

**13.12.1.1.1 Format of Camps or Clinics.** An institution's sports camp or clinic shall include instruction or practice programming. The sports camp or clinic may also include competition, but shall not be solely comprised of competition. Additionally, the camp or clinic shall be one that: (*Revised: 8/3/06*)

- (a) Places special emphasis on a particular sport or sports and provides specialized instruction or practice and may include competition; (*Revised: 8/3/06*)
- (b) Involves activities designed to improve overall skills and general knowledge in the sport; or
- (c) Offers a diversified experience without emphasis on instruction, practice or competition in any particular sport. (*Adopted: 1/11/89, Revised: 1/10/90*)

**13.12.1.2 Attendance Restriction.** A member institution's sports camp or clinic shall be open to any and all entrants (limited only by number and age). (*Revised: 1/11/89, 1/10/91, 1/11/94*)

**13.12.1.3 Advertisements.** Restrictions relating to advertisements of an institution's sports camps and clinics in recruiting publications are set forth in Bylaw 13.4. Such restrictions do not apply to sports camp and clinic advertisements in nonrecruiting publications (e.g., a member institution's game program). (See Bylaw 12.5.1.7) [D] (*Revised: 1/10/05*)

**13.12.1.4 Prospective Student-Athletes/No Free or Reduced Admission Privileges.** In operating a sports camp or clinic, an institution, members of its staff or a representative of its athletics interests shall not give free or reduced admission privileges to any individual who has started classes for the ninth grade. [R] (*Revised: 1/11/89, 1/14/08*)

**13.12.1.4.1 Payment of Expenses.** A representative of an institution's athletics interests may not pay a prospective student-athlete's expenses to attend a member institution's sports camp or clinic. [R]

**13.12.1.4.2 Awards and Mementos.** Prospective student-athletes may receive awards and mementos from a member institution's sports camp or clinic. The cost of such awards are included in the admissions fees charged for participants in the camp or clinic. [R] (*Adopted: 1/10/92*)

**13.12.1.5 Recruiting Activities.** Athletics department staff members shall not engage in recruiting activities during institutional sports camps or clinics. Nonathletics institutional staff members may present and provide general institutional information to camp participants. (*Adopted: 4/11/11*)

### 13.12.2 Employment at Camp or Clinic.

**13.12.2.1 Prospective Student-Athletes.** An institution may employ a prospective student-athlete at its institutional sports camp and/or clinic provided all compensation received by the prospective student-athlete is for work actually performed and at a rate commensurate with the going rate in that locality for similar services. *(Adopted: 1/14/08)*

#### 13.12.2.2 Student-Athletes.

**13.12.2.2.1 General Rule.** A student-athlete who is employed in any sports camp or clinic must meet the following requirements: [R]

- (a) The student-athlete must perform duties that are of a general supervisory character in addition to any coaching or officiating assignments; *(Revised: 1/10/05)*
- (b) Compensation provided to the student-athlete shall be commensurate with the going rate for camp or clinic counselors of like teaching ability and camp or clinic experience and may not be paid on the basis of the value that the student-athlete may have for the employer because of the athletics reputation or fame the student-athlete has achieved. It is not permissible to establish varying levels of compensation for a student-athlete employed in a sports camp or clinic based on the level of athletics skills of the student-athlete; and *(Revised: 1/11/94)*
- (c) A student-athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic. *(Adopted: 1/11/94)*

**13.12.2.2.1.1 Self-Employment.** A student-athlete with remaining eligibility is not permitted to conduct his or her own camp or clinic. [R]

**13.12.2.2.1.2 Travel Expenses.** A student-athlete may receive actual travel expenses (including lodging and meals in transit and prepaid plane tickets or cash advances) provided such expenses are paid for all employees of the camp/clinic. [R] *(Adopted: 1/10/92)*

**13.12.2.2.1.3 Cash Advances Based on Anticipated Earnings.** In the case in which all employees do not receive travel expenses, a cash advance based on anticipated earnings may be provided to a student-athlete only if such advance does not exceed the estimated actual and necessary cost of travel or the student-athlete's estimated employment earnings, whichever is less, and such a benefit is available to all camp employees. [R] *(Adopted: 1/10/92)*

**13.12.2.2.2 Employment in Own Institution's Camp or Clinic.** A member institution (or employees of its athletics department) may employ its student-athletes as counselors in camps or clinics, provided the student-athletes do not participate in organized practice activities other than during the institution's playing season in the sport (see Bylaw 17.1.1). [D] *(Revised: 1/11/94, 1/10/95, 8/3/06)*

**13.12.2.3 High School, Preparatory School or Two-Year College Coaches.** A member institution (or employees of its athletics department) may employ a high school, preparatory school or two-year college coach at its camp or clinic. *(Revised: 1/11/94, 1/8/01 effective 8/1/01)*

**13.12.2.4 Athletics Staff Members.** A member institution's athletics staff member may be involved in sports camps or clinics unless otherwise prohibited in this section. [D]

**13.12.2.4.1 Camp/Clinic Providing Recruiting or Scouting Service.** No athletics department staff member may be employed (either on a salaried or a volunteer basis) in any capacity by a camp or clinic established, sponsored or conducted by an individual or organization that provides recruiting or scouting services concerning prospective student-athletes. This provision does not prohibit an athletics department staff member from participating in an officiating camp in which participants officiate for, but are not otherwise involved in, a scouting services camp. [D] *(Adopted: 1/11/89, Revised: 1/10/90, 1/10/92)*

**13.12.2.5 Concession Arrangement.** A prospective or enrolled student-athlete, at his or her own expense, may not operate a concession to sell items related to or associated with the institution's camp. However, the institution may employ the prospective or enrolled student-athlete at a rate commensurate with the going rate in that locality for similar services. [R] *(Revised: 1/14/08, 5/10/10)*

**13.12.3 Service or Religious Organization Camps and Conferences.** Camps, clinics and conferences conducted by service or religious organizations are exempt from NCAA restrictions on camps and clinics. *(Revised: 1/14/97 effective 8/1/97, 4/7/05, 10/13/06, 5/7/10)*

**13.12.4 Privately Owned Camp.** An institution's athletics department personnel may serve in any capacity (e.g., guest lecturer, consultant) in a privately owned camp, clinic or coaching school at which prospective student-athletes who have started the ninth grade are employed, provided the camp or clinic operates in accordance with the following conditions: *(Revised: 1/10/91, 1/11/94, 1/10/95, 1/14/08)*

- (a) The camp or clinic shall be open to the general public; and *(Adopted: 1/14/08)*
- (b) The camp or clinic shall not give free or reduced admission privileges to any individual who has started classes for the ninth grade. *(Adopted: 1/14/08)*

**13.12.4.1 Payment of Expenses.** It is not permissible for a representative of an institution's athletics interests to pay a prospective student-athlete's expenses to attend a privately owned sports camp, clinic or coaching school.

**13.12.4.2 Advertisements.** Restrictions related to the advertising of private camps or clinics involving student-athletes are set forth in Bylaw 12.5.1.7.

## 13.13 HIGH SCHOOL ALL-STAR GAMES

**13.13.1 Coach and Athletics Department Staff Member Involvement.** Any coach or athletics department staff member directly involved in the recruiting of student-athletes shall not participate (directly or indirectly) in the coaching, officiating or player selection for a high school all-star event. Such staff members may serve in an administrative or operational capacity (e.g., ticket administration, concessions, scoreboard operator) for a high school all-star event. [D] (Revised: 1/13/03, 1/12/11)

**13.13.1.1 Exception—Previous Contractual Agreement.** If a coach has made a contractual commitment to coach in a high school all-star game before being employed by a member institution and then becomes a member of the institution's staff before the game is held, the coach may honor the contractual commitment. (Revised: 1/8/01 effective 8/1/01)

**13.13.2 Use of Institutional Facilities.** A member institution's facilities may be made available for a high school all-star game provided the provisions of Bylaw 13.13.1 are met. [D] (Revised: 1/10/05, 4/21/10)

**13.13.3 Use of Institutional Equipment.** It is not permissible for an institution to provide its athletics equipment to a prospective student-athlete (e.g., for use in a high school all-star game). Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the prospective student-athlete's eligibility. [D] (Revised: 1/10/05)

## 13.14 USE OF RECRUITING FUNDS

**13.14.1 Institutional Control.** All funds for the recruiting of prospective student-athletes shall be deposited with the member institution, which shall be exclusively and entirely responsible for the manner in which such funds are expended.

**13.14.2 Visiting a Prospective Student-Athlete.** A member institution's athletics department staff member or a representative of its athletics interests may visit a prospective student-athlete or the prospective student-athlete's relatives or guardian(s) at any location for recruiting purposes. However, on any such visit, the staff member or athletics representative may not expend any funds other than the amount necessary for his or her own personal expenses. (Revised: 8/3/06)

### 13.14.3 Recruiting Services.

**13.14.3.1 Video Services.** Member institutions are permitted to use video services so long as only regularly scheduled (regular season) high school or two-year college contests are involved. The institution may not contract with the service in advance to have a particular contest videotaped, and the service must be available to all institutions at the same cost. [D] (Revised: 1/10/05)

**13.14.4 Alumni Organizations.** Alumni organizations of an institution may sponsor luncheons, teas or dinners at which prospective students (athletes and nonathletes) of that immediate locale are guests. A member institution's area alumni organization may be considered a bona fide part of that institution, provided such an organization is accredited by the president or chancellor of the institution and meets these additional terms and conditions: [D] (Revised: 10/3/05)

- (a) A staff member of the institution periodically shall inspect the financial records of the alumni organization and certify that the expenditures comply with the rules and regulations of the NCAA and the conference(s), if any, of which the institution is a member; and
- (b) A club official shall be designated by the president or chancellor as the institution's official agent in the administration of the club's funds, and said club official shall file regular reports to the institution relating the manner in which the club funds have been spent in the recruiting of student-athletes. (Revised: 10/3/05)

**13.14.4.1 Subject to NCAA Rules.** When an alumni organization is certified by the president or chancellor as being a bona fide part of the institution, said organization becomes subject to all of the limitations placed upon the member institution by NCAA legislation. A violation of such legislation by any member of the alumni organization shall be a violation by the member institution. (Revised: 10/3/05)

## 13.15 PRECOLLEGE EXPENSES

**13.15.1 Prohibited Expenses.** An institution or a representative of its athletics interests shall not offer, provide or arrange financial assistance, directly or indirectly, to pay (in whole or in part) the costs of the prospective student-athlete's educational or other expenses for any period before his or her enrollment or so the prospective student-athlete may obtain a postgraduate education. [R] (Revised: 1/9/06)

**13.15.1.1 Extent of Prohibition.** The provisions of Bylaw 13.15.1 apply to all prospective student-athletes, including those who have signed an institutional offer of admission or written tender of financial assistance.

**13.15.1.2 Fundraising for High School Athletics Program.** An institution may not provide funding, directly or through paid advertisements, to benefit a high school athletics program. [D] (*Adopted: 1/10/92, Revised: 1/8/01*)

**13.15.1.2.1 Involvement by Local Representatives of Institution's Athletics Interests.** A representative of an institution's athletics interests may provide funding to benefit a high school athletics program located in the community in which the athletics representative resides, provided: (*Adopted: 1/10/92*)

- (a) The representative acts independently of the institution;
- (b) The funds are distributed through channels established by the high school or the organization conducting the fundraising activity; and
- (c) The funds are not earmarked directly for a specific prospective student-athlete.

**13.15.1.3 College Use of High School Facility.** An institution may not contribute to a high school or its athletics booster club any funds realized from an athletics contest played or a practice held at a high school facility, except actual and necessary expenses for rental of the facility.

**13.15.1.4 High School Contest in Conjunction with College Competition.** An institution shall be permitted to host high school contests in all sports held in conjunction with intercollegiate contests. (See Bylaw 13.10.4.1) (*Revised: 1/10/90, 1/10/95, 2/26/10, 7/20/10*)

#### **13.15.1.5 Donation of Equipment.**

**13.15.1.5.1 Athletics Equipment.** A member institution may provide used athletics equipment to high schools or bona fide youth organizations (e.g., the YMCA, YWCA, Boy Scout troops, Girl Scout troops, a summer recreation league) that may consist of prospective student-athletes, provided the issuance of equipment is in accordance with the institution's regular policy regarding discarding equipment. Further, an institution may only provide those high schools or organizations within a 30-mile radius of the campus with such equipment. [D] (*Adopted: 1/10/92, Revised: 1/8/01, 1/9/06*)

**13.15.1.5.1.1 Exception—Women's Rowing.** An institution may loan rowing equipment to a high school's or junior club program's women's team on an issuance and retrieval basis and may permit high schools' and junior club programs' women's teams to use its rowing facilities for practice and/or competition. (*Adopted: 1/9/96 effective 8/1/96*)

**13.15.1.5.1.2 Donation of Used Athletics Equipment to Foundation.** An institution may donate used athletics equipment to a nonprofit foundation established to distribute such equipment to high schools, provided the request for such donations is initiated by the foundation and the institutions have no part in selecting the high schools that are to receive the equipment. (*Adopted: 1/11/94*)

**13.15.1.5.2 Nonathletics Equipment.** A member institution may provide nonathletics equipment (e.g., a computer) to a high school, provided there is no athletics department involvement and the equipment is not used to benefit only the high school's athletics program. (*Adopted: 1/10/91*)

**13.15.1.6 Ticket Sales.** It is not permissible for a member institution to compensate a high school, preparatory school or two-year college for selling tickets to the institution's athletics contests. Specifically, the member institution may not provide such forms of compensation as a guarantee, a percentage of the income realized from the sale of the tickets or any other form of commission for providing such services.

#### **13.15.2 Permissible Expenses.**

**13.15.2.1 Institution Providing Items to Athletics Youth Groups for Fundraising.** An institution may provide items to assist in the fundraising efforts of athletics youth groups composed of individuals who have not started classes for the ninth grade without causing such individuals to become prospective student-athletes per Bylaw 13.02.5. (*Adopted: 1/10/95, Revised: 1/9/06*)

**13.15.2.2 Advertisements and Upgrades for College/High School Shared Home Facility.** A member institution may advertise and upgrade its home facility even if that facility is also the home facility for prospective student-athletes (e.g., high school football stadium). (*Revised: 1/9/06*)

### **13.16 U.S. SERVICE ACADEMY EXCEPTIONS AND WAIVERS**

#### **13.16.1 Contacts.**

**13.16.1.1 Freshmen at Summer Enrollment Programs.** Freshmen entering the official summer enrollment program of one of the five national service academies (i.e., U.S. Air Force, Coast Guard, Merchant Marine, Military and Naval Academies) shall be considered student-athletes of a senior collegiate institution and may not be contacted by other member institutions without permission from the athletics director of the service academy. (*Revised: 9/21/05*)

**13.16.2 Precollege Expenses/Preparatory School Assistance—Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of Bylaw 13.15, provided such waivers are limited to procedures involving preparation for entrance into one of the U.S. service academies. (*Revised: 4/13/10*)

**13.16.2.1 Air Force, Coast Guard, Merchant Marine, Military and Naval Academies Exception.** A nonprofit, outside organization representing the interests of an academy may collect contributions from alumni and other friends of the academy for the purpose of assisting candidates in obtaining a preparatory education, provided the following conditions are met: *(Revised: 9/21/05, 4/13/10)*

- (a) The foundation's arrangements with the preparatory school(s) shall provide that the foundation's contributions shall be turned over to the preparatory school for the school's administration without interference or dictation from the foundation or the academy;
- (b) The preparatory school shall have sole jurisdiction in determining the recipient of financial assistance and the terms and conditions of the award;
- (c) The foundation may recommend candidates to the preparatory school; athletics staff members of the academy may not; and
- (d) Such a foundation shall provide preparatory education assistance for prospective candidates who do not have specialized athletics abilities as well as those who do. The number of candidates with recognized ability assisted each year as the result of the foundation's program shall be in equal ratio to the number of student-athletes on the regular intercollegiate squads of the academy compared with the total enrollment of the academy.



**FIGURE 13-1**  
**Division III Official and Unofficial Visit Chart\***

	<b>Official Visit</b>	<b>Unofficial Visit</b>
<b>Number of Visits Permitted</b>	One per institution. (See Bylaw 13.6.1.1)	Unlimited. (See Bylaw 13.7.1)
<b>First Opportunity to Visit</b>	Opening day of classes of the prospective student-athlete's senior year in high school. (See Bylaw 13.6.1.1.1)	Any time. (See Bylaw 13.7.1)
<b>Permissible Length of Visit</b>	Shall not exceed 48 hours. (See Bylaw 13.6.2)	No time limitations. (See Bylaw 13.7 generally)
<b>Meals</b>	Three on-campus meals per day for prospective student-athlete, parents, guardian, spouse or individual of comparable relationship. Dessert or after-meal snack also permitted. (See Bylaw 13.6.5.6 and subparts)	One on-campus meal for prospective student-athlete only. (See Bylaw 13.7.2.1.1)
<b>Lodging</b>	Permissible within 30-mile radius of institution's campus, comparable to student life for prospective student-athletes, parents/guardian, spouse or individual of comparable relationship. (See Bylaw 13.6.4)	None, unless housing is generally available to all visiting prospective students. (See Bylaw 13.7.2.1.2)
<b>Entertainment</b>	\$20 per day for prospective student-athlete and host. (See Bylaw 13.6.5.5)	None. (See Bylaw 13.7.2.1)
<b>Complimentary Admissions to Home Athletics Event</b>	Admissions for prospective student-athlete, parents/guardian, spouse or individual of comparable relationship. No special seating. (See Bylaw 13.6.5.2)	Three admissions for prospective student-athlete and guests. No reserving additional game tickets. No arranging of special parking. No special seating. (See Bylaw 13.7.2.1)
<b>Transportation</b>	Round-trip (e.g., airfare, mileage) cost for prospective student-athlete only. Prospective student-athlete's relatives or friends accompanying prospective student-athlete traveling in automobile is permissible. (See Bylaw 13.5.2)	To view off-campus practice and competition sites in the prospective student-athlete's sport or other institutional facilities. Must be accompanied by institutional staff member. (See Bylaw 13.5.3)

\*This chart summarizes what accommodations an institution may provide to a prospective student-athlete on an official or unofficial visit and the legislative references. It is not intended to replace the Division III recruiting legislation in Bylaw 13.



# Eligibility: Academic and General Requirements

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## 14.01 GENERAL PRINCIPLES

**14.01.1 Institutional Responsibility.** An institution shall not permit a student-athlete to represent it in intercollegiate athletics competition unless the student-athlete meets all applicable eligibility requirements and the institution has certified the student-athlete's eligibility. Violations of this bylaw in which the institution fails to certify the student-athlete's eligibility before allowing the student-athlete to represent the institution in intercollegiate competition shall be considered an institutional violation per Constitution 2.8.1; however, such violations shall not affect the student-athlete's eligibility, provided all the necessary information to certify the student-athlete's eligibility was available to the institution and the student-athlete would have been otherwise eligible for competition. *(Revised: 10/22/07)*

**14.01.2 Academic Status.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies, be in good academic standing and maintain satisfactory progress toward a baccalaureate or equivalent degree. A student-athlete enrolled in a two-year degree program shall be eligible only if that student-athlete was admitted to the institution under the same standards as four-year degree-seeking students and if the two-year degree program is not a terminal program. A waiver of the minimum full-time enrollment requirement may be granted for a student enrolled in the final term of the baccalaureate program (see Bylaw 14.1.8.1.6.3). Also, a student may represent the institution while enrolled as a graduate or professional student or while enrolled and seeking a second baccalaureate degree at the same institution (see Bylaw 14.1.9). *(Revised: 1/10/05)*

**14.01.2.1 Good Academic Standing.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in good academic standing as determined by the academic authorities who determine the meaning of such phrases for all students of the institution, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. *(Revised: 8/18/06)*

**14.01.2.2 Institutional Responsibility for Eligibility Certification.** The president or chancellor is responsible for approving the procedures for certifying the eligibility of an institution's student-athletes under NCAA legislation. The president or chancellor may designate an individual on the institution's staff to administer proper certification of eligibility. Certification of eligibility must occur before allowing a student-athlete to represent the institution in intercollegiate competition (see Bylaw 14.01.1). *(Revised: 10/3/05)*

**14.01.3 Compliance with Other NCAA and Conference Legislation.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in compliance with all applicable provisions of the constitution and bylaws of the Association and all rules and regulations of the institution. A student-athlete's violation of a conference rule does not affect the student-athlete's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. Specific attention is called to legislation affecting eligibility in the following areas. *(Revised: 1/4/07)*

**14.01.3.1 Amateurism.** A student-athlete shall not be eligible for participation in an intercollegiate sport if the individual takes or has taken pay, or has accepted the promise of pay in any form, for participation in that sport, or if the individual has violated any of the other regulations related to amateurism set forth in Bylaw 12.

**14.01.3.2 Awards, Benefits and Expenses.** Receipt by a student-athlete of nonpermissible awards, extra benefits, or excessive or improper expenses not authorized by NCAA legislation violates the Association's amateurism principle and renders the student-athlete ineligible for athletics participation in the sport for which the improper award, benefit or expense was received (see Bylaw 16).

**14.01.3.3 Ethical Conduct.** A prospective or enrolled student-athlete who is found to have engaged in unethical conduct (see Bylaw 10.1) shall be ineligible for intercollegiate competition in all sports. *(Revised: 1/10/90)*

**14.01.3.4 Financial Aid.** A student-athlete who receives financial assistance other than that authorized by the Association shall not be eligible for intercollegiate athletics competition (see Bylaw 15).

**14.01.3.5 Recruitment.** Solicitation of a student-athlete's enrollment by the certifying institution or any representative of its athletics interests in violation of the Association's legislation shall render the student-athlete ineligible to represent that institution in intercollegiate athletics. A student-athlete is responsible during his or her recruitment for involvement in a violation of NCAA regulations, and the Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in such violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Committee on Student-Athlete Reinstatement in a unique case on the basis of specifically stated reasons (see Bylaw 13).

## **14.02 DEFINITIONS AND APPLICATIONS**

**14.02.1 Branch School.** A branch school is an educational institution that usually offers two years of college work, does not award degrees independently, and is wholly controlled and operated by a four-year, degree-granting parent institution.

**14.02.2 Collegiate Institution.** A collegiate institution (for purposes of NCAA legislation) is an institution of higher education that:

- (a) Is accredited at the college level by an agency or association recognized by the Secretary of the Department of Education and legally authorized to offer at least a one-year program of study creditable toward a degree; or (*Revised: 1/10/90, 1/13/03*)
- (b) Conducts an intercollegiate athletics program, even though the institution is not accredited at the college level and authorized to offer at least a one-year program of study creditable toward a degree; or (*Revised: 1/13/03*)
- (c) Is located in a foreign country.

**14.02.3 Education-Impacting Disability.** An education-impacting disability is a current impairment that has a substantial educational impact on a student's academic performance. (*Adopted: 10/20/08*)

**14.02.4 Exception.** An exception is the granting of relief from the application of a specific regulation (e.g., the residence requirement for a transfer student to become eligible for competition). Formal approval by the Management Council or an NCAA committee is not required. The action granting the exception may be taken solely by the certifying institution, based on evidence that the conditions on which the exception is authorized have been met (see Bylaw 14.02.12, for definition of "waiver").

**14.02.5 Good Academic Standing and Satisfactory Progress.** The phrases "good academic standing" and "satisfactory progress" are to be interpreted at each member institution by the academic officials who determine the meaning and application of such phrases for all students, subject to the controlling regulations of the institution; the conference(s) (or similar associations), if any, of which the institution is a member; and applicable NCAA legislation (see Bylaw 14.4).

**14.02.6 Intercollegiate Competition.** Intercollegiate competition is considered to have occurred when a student-athlete in either a two-year or a four-year collegiate institution does any of the following: (*Revised: 1/10/95, 4/29/04*)

- (a) Competes while representing the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (*Revised: 1/10/91, 4/29/04*)
- (b) Competes in the uniform of the institution or, during the academic year, uses any apparel (excluding apparel no longer used by the institution) or equipment received from the institution that includes institutional identification (see Bylaw 16.11.1.9 for regulations governing the use of equipment during the summer); or (*Revised: 1/16/93, 1/11/94*)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.

**14.02.6.1 Exempted Events.** Participation in events listed in Bylaws 16.8.1.3-(a) and (b) are exempted from the application of this legislation. (*Revised: 1/10/92*)

**14.02.6.2 Club Team.** Participation on either a two-year or a four-year collegiate institution's club team is exempted from the application of this legislation, provided the institution does not sponsor the sport on the varsity intercollegiate level. (*Adopted: 1/12/04, Revised: 5/14/09*)

**14.02.7 National Team.** A national team is one selected, organized and sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). The selection for such a team shall be made on a national qualification basis, either through a defined selective process or by actual tryouts, publicly announced in advance. In addition, the international competition in question shall require that the entrants officially represent their respective nations, although it is not necessary to require team scoring by nation.

**14.02.8 Outside Competition.** Outside competition is competition against any other athletics outside team (including an alumni team) or individual that does not represent the intercollegiate athletics program of the same institution.

**14.02.9 Participation in Intercollegiate Athletics.** Participation in intercollegiate athletics occurs when a student-athlete either practices in a sport (see Bylaw 17.02.1.1) or competes in a sport, as defined in Bylaw 14.02.6. Eligibility rules for competition may differ from those for practice.

**14.02.10 Residence.** Residence is enrollment in a full-time academic program (as defined by the institution) at a collegiate institution during a regular term of an academic year. A summer term may not be used to satisfy an academic term or year of residence. Any student-athlete admitted after the 12th class day may not use that semester or quarter for the purpose of satisfying an academic term or year of residence. (*Revised: 5/7/10*)

**14.02.10.1 Academic Year of Residence.** To satisfy an academic year of residence, a student shall: (*Adopted: 5/7/10*)

- (a) Be enrolled in and complete a minimum full-time program of studies for two full semesters or three full quarters; or
- (b) Be enrolled in a minimum full-time program of studies for two full semesters or three full quarters and pass a number of hours (including hours earned at the certifying institution during a summer term) that is at least equal to the sum total of the minimum load of each of the required terms.

**14.02.11 Transfer Student.** A transfer student-athlete is an individual who meets any one of the conditions set forth in Bylaw 14.5.2.

**14.02.12 Waiver.** A waiver is an action exempting an individual or institution from the application of a specific regulation. A waiver requires formal approval (e.g., by the Management Council, an NCAA committee or a conference, as specified in the legislation) based on evidence of compliance with the specified conditions or criteria under which the waiver is authorized (see Bylaw 14.02.4, for definition of "exception").

## 14.1 GENERAL ELIGIBILITY REQUIREMENTS

**14.1.1 Postseason and Regular-Season Competition.** To be eligible for regular-season competition and NCAA championships, the student-athlete shall meet all applicable NCAA and Division III eligibility requirements.

**14.1.1.1 Ineligibility for Use of Banned Drugs.** A student-athlete who is found to have used a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.4, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. (*Adopted: 1/10/90 effective 8/1/90*)

**14.1.2 Validity of Academic Credentials.** As a condition and obligation of membership, it is the responsibility of a member institution to determine the validity of the information on which the eligibility of a student-athlete is based. Therefore, it is the responsibility of a member institution to determine whether a transcript is valid for purposes of applying appropriate NCAA legislation to the eligibility of a student-athlete when the institution receives notification, or otherwise has cause to believe, that a student-athlete's high school, preparatory school or two-year college transcript is not valid.

### 14.1.3 Student-Athlete Statement.

**14.1.3.1 Content and Purpose.** Before participation in intercollegiate competition each academic year, a student-athlete shall sign a statement in a form prescribed by the Management Council in which the student-athlete submits information related to eligibility, recruitment, financial aid, amateur status, previous positive drug tests administered by any other athletics organization and involvement in organized gambling activities related to intercollegiate and professional athletics competition under the Association's governing legislation. Failure to complete and sign the statement shall result in the student-athlete's ineligibility for participation in all intercollegiate competition. Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete subsequently signs the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised: 1/10/92 effective 8/1/92, 1/14/97, 2/19/97*)

**14.1.3.2 Administration.** The following procedures shall be used in administering the statement: (*Revised: 8/4/89, 12/5/06, 4/13/10*)

- (a) The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee before the student's participation in intercollegiate competition each academic year;
- (b) The athletics director and head coach in the sport in which the student-athlete participates shall sign each statement as required by the prescribed form;
- (c) The athletics director and head coach in the sport shall sign the affirmation of eligibility form; and (*Revised: 4/11/06, 8/3/06*)

- (d) The statement shall be kept on file by the athletics director and shall be available for examination on request by an authorized representative of the NCAA.

**14.1.3.3 Institutional Responsibility—Notification of Positive Test.** The institution shall promptly notify in writing the NCAA vice president for student-athlete affairs regarding a student-athlete's disclosure of a previous positive test for banned substances administered by any other athletics organization. *(Adopted: 1/14/97 effective 8/1/97)*

#### **14.1.4 Drug-Testing Consent Form.**

**14.1.4.1 Content and Purpose.** Each academic year, a student-athlete shall sign a form prescribed by the Management Council in which the student consents to be tested for the use of drugs prohibited by NCAA legislation. Failure to complete and sign the consent form before competition shall result in the student-athlete's ineligibility for participation (i.e., practice and competition) in all intercollegiate athletics. *(Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 1/10/95 effective 8/1/95, 1/14/97, 4/13/10)*

**14.1.4.2 Administration.** The following procedures shall be used in administering the form (see Constitution 3.2.4.6): *(Adopted: 1/10/92 effective 8/1/92, Revised: 4/13/10)*

- (a) The consent form shall be administered individually to each student-athlete by the athletics director or the athletics director's designee each academic year;
- (b) The athletics director or the athletics director's designee shall disseminate the list of banned drug classes to all student-athletes and educate them about products that might contain banned drugs. All student-athletes are to be notified that the list may change during the academic year, that updates may be found on the NCAA website (i.e., [www.ncaa.org](http://www.ncaa.org)) and informed of the appropriate athletics department procedures for disseminating updates to the list; and
- (c) The consent forms shall be kept on file by the athletics director and shall be available for examination on request by an authorized representative of the NCAA.

**14.1.4.3 Effect of Violation.** A violation of Bylaw 14.1.4 or its subsections shall be considered an institutional violation per Constitution 2.8.1; however, the student-athlete's eligibility shall not be affected provided the student-athlete signs the consent form. *(Adopted: 4/13/10)*

#### **14.1.5 International Student-Athlete Form.**

**14.1.5.1 Eligibility Form.** The eligibility of an international student-athlete shall be certified on a form approved by the Management Council and must be completed before practice or competition. The completed form shall be maintained on file at the institution with other eligibility documents and shall be available for examination upon request by the NCAA staff, and, if the institution is a member of a conference, an authorized conference representative. **[D]** *(Adopted: 1/10/95 effective 8/1/95 for those student-athletes first entering an NCAA institution on or after 8/1/95, Revised: 1/10/05)*

#### **14.1.6 Student-Athlete Health Insurance Portability and Accountability Act (HIPAA) Authorization/Buckley Amendment Consent Form—Disclosure of Protected Health Information.**

**14.1.6.1 Content and Purpose.** Each academic year, a student-athlete may voluntarily sign a statement in a form prescribed by Management Council in which the student-athlete authorizes/consents to the institution's physicians, athletic trainers and health care personnel to disclose the student-athlete's injury/illness and participation information associated with the student-athlete's training and participation in intercollegiate athletics to the NCAA and to its Injury Surveillance System (ISS), agents and employees for the purpose of conducting research into the reduction of athletics injuries. The authorization/consent by the student-athlete is voluntary and is not required for the student-athlete to be eligible to participate. **[D]** *(Revised: 7/22/03 effective 8/1/04)*

**14.1.6.2 Administration.** The following procedures shall be used in administering the form: **[D]** *(Adopted: 4/15/03 effective 8/1/04, Revised: 1/12/04 effective 8/1/04, 4/13/10)*

- (a) The authorization/consent form shall be administered individually to each student-athlete by the athletics director or the athletics director's designee before the student-athlete's participation in intercollegiate athletics each academic year;
- (b) Signing the authorization/consent shall be voluntary and is not required by the student-athlete's institution for medical treatment, payment for treatment, enrollment in a health plan or for any benefits (if applicable) and is not required for the student-athlete to be eligible to participate; and
- (c) Any signed authorization/consent forms shall be kept on file by the athletics director.

#### **14.1.7 Admission and Enrollment.**

**14.1.7.1 Admission.** A student-athlete shall not represent an institution in intercollegiate athletics competition unless the student has been admitted as a regularly enrolled, degree-seeking student in accordance with the regular, published entrance or admissions policies of that institution. *(Revised: 5/2/06)*

#### **14.1.8 Full-Time Enrollment.**

**14.1.8.1 Requirement for Practice or Competition.** To be eligible for practice or competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies leading to a baccalaureate or

equivalent degree as defined by the institution. For purposes of this bylaw and its subsections, to be eligible for competition, a student-athlete shall be enrolled in not less than 12-semester or quarter hours, regardless of the institution's definition of minimum full-time program of studies. For practice only, a violation of this bylaw shall be considered an institutional violation per Constitution 2.8.1; however, it shall not affect the student-athlete's eligibility. (Revised: 1/10/92, 1/11/00, 1/10/05, 10/20/09)

**14.1.8.1.1 Drop/Add Course.** A student-athlete no longer shall be considered enrolled in a minimum full-time program of studies (after dropping a course that places the student below full-time status) when the dropped course becomes official in accordance with procedures determined by the institution for all students. A student-athlete who is adding a course to reach full-time status shall become eligible for practice and competition once the course has been approved by the appropriate department head (or designated representative) and submitted to the registrar. (Adopted: 1/10/92, Revised: 10/18/04, 10/20/09)

**14.1.8.1.2 Withdrawal or Dismissal.** A student-athlete who withdraws or is dismissed from an institution is considered to be a prospective student-athlete eligible for recruitment by other NCAA member institutions and may not continue to practice with the original institution's team. (Adopted: 10/20/09)

**14.1.8.1.3 Concurrent Courses at Two Institutions.** Courses taken concurrently at a second institution may be counted toward meeting the minimum full-time enrollment requirement, provided; (Adopted: 10/20/09)

- (a) The certifying institution officially recognizes the student's combined hours as full-time enrollment; and
- (b) Courses taken at the second institution will be included on the student's transcript at the institution from which the student is seeking the degree.

**14.1.8.1.4 Cooperative Educational Exchange Program.** A student-athlete may represent the certifying institution in intercollegiate athletics even though at the time of competition the student is enrolled in another institution in a cooperative educational exchange program, provided: (Adopted: 10/20/09)

- (a) The certifying institution considers the student to be regularly enrolled in a minimum full-time program of studies; and
- (b) All work is placed on the student's transcript and accepted toward his or her undergraduate degree at the certifying institution.

**14.1.8.1.5 Extension Courses.** A student-athlete may use a combination of hours taken in residence during a regular term and extension courses taken from the certifying institution during that term to meet the minimum full-time enrollment requirement, provided the institution considers enrollment in such extension courses as regular course enrollment for all students during the term time. (Revised: 1/11/94 effective 8/1/94, Revised: 10/20/09)

#### **14.1.8.1.6 Exceptions.**

**14.1.8.1.6.1 Before Initial Enrollment—Practice or Competition.** A student-athlete may practice or compete during the official vacation period immediately before initial enrollment, provided the student: (Adopted: 10/20/09)

- (a) Has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation;
- (b) Is no longer enrolled in the previous educational institution; and
- (c) Is eligible under all institutional and NCAA requirements.

**14.1.8.1.6.2 Eligibility Between Terms—Practice or Competition.** To be eligible for competition or practice that takes place between terms, the student-athlete shall: (Adopted: 10/20/09)

- (a) Have been registered for the required minimum full-time load (see Bylaw 14.1.8.1) at the conclusion of the term immediately before the date of competition, if the student is continuing enrollment; or
- (b) Be accepted for enrollment as a regular full-time student for the regular term immediately after the date of competition if the student is either continuing enrollment or beginning enrollment (see Bylaw 14.1.8.1.6.1). (Revised: 1/11/89)

**14.1.8.1.6.3 Final Semester/Quarter—Practice or Competition.** A student-athlete may practice or compete while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate or graduate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete degree requirements. For a student-athlete who competes while eligible pursuant to this exception, the student-athlete shall forfeit eligibility in all sports, unless the student completes all degree requirements during that semester or quarter and is eligible to receive the baccalaureate or graduate diploma on the institution's next degree-granting date. (Revised: 1/10/92, 1/16/93, 1/10/95, 1/13/03, 10/20/09)



**14.1.8.1.6.3.1 NCAA Championship After Completion of Degree Requirements—Practice or Competition.** A student-athlete who is eligible during the term in which degree work is completed (or is eligible as a graduate, per Bylaw 14.1.9) remains eligible for any NCAA championship that begins within 60 days after the end of the term in which the student completes the requirements for the degree (or graduate eligibility). *(Revised: 1/16/93, 1/10/95, 10/20/09)*

**14.1.8.1.6.3.2 Graduation in Less than Four Years.** A student-athlete who graduates in less than four consecutive years from his or her initial collegiate enrollment (full time or part time) shall remain eligible for an NCAA championship that is conducted at the conclusion of the traditional season, but begins more than 60 days after the end of the term in which the student-athlete completes all degree requirements. *(Adopted: 1/11/08)*

**14.1.8.1.6.3.3 Waiver—Postseason.** The Management Council, or a committee designated by the Management Council to act for it, may waive the 60-day requirement when an NCAA championship is conducted at the conclusion of the traditional playing season but begins more than 60 days after the end of the said term. *(Revised: 1/10/92, 1/16/93, 1/10/95, 1/13/03, 10/20/09)*

**14.1.8.1.6.4 Enrolled in Graduate Program—Practice or Competition.** A student-athlete may practice or compete while enrolled in a full-time graduate program as defined by the institution for all graduate students, except as permitted in Bylaw 14.1.8.1.6.3 (see Bylaw 14.1.9). *(Revised: 1/13/03, 1/9/06, 10/20/09)*

**14.1.8.1.6.5 First Five Days of Classes—Practice.** A student-athlete may practice, but may not compete, during the institution's first five days of classes, if the student-athlete is enrolled in less than a minimum full-time program of studies, provided the student-athlete is otherwise eligible under all institutional, conference and NCAA requirements. *(Adopted: 1/10/95 effective 8/1/95, Revised: 10/20/09)*

**14.1.8.1.7 Waivers of the Full-Time Enrollment Requirement for Practice or Competition.** Waivers may be granted for the following: *(Adopted: 10/20/09)*

**14.1.8.1.7.1 Nontraditional Academic Calendars or Cooperative Education Programs—12-Hour Requirement Only.** The Management Council, or a committee designated by the Management Council to act for it, may waive the 12-hour requirement for a student-athlete in an institution that determines enrollment hours on a basis other than traditional semester or quarter hours or that conducts a cooperative educational program if it is determined that at the time of competition the student-athlete is enrolled in a comparable minimum academic load. *(Revised: 4/11/06, 10/20/09)*

**14.1.8.1.7.2 Student-Athlete with Education-Impacting Disabilities—12-Hour Requirement Only.** The Management Council, or a committee designated by the Management Council to act for it, may waive the 12-hour requirement for a student-athlete when objective evidence demonstrates that an institution defines full-time enrollment for that student-athlete to be fewer than 12 hours to accommodate for the student's education-impacting disability. *(Adopted: 1/10/95, Revised: 4/11/06, 10/20/08, 10/20/09)*

**14.1.8.1.7.3 Olympic, Pan American, World Championships, World Cup, World University Games or World Youth Championships—Practice or Competition.** The Management Council, or a committee designated by the Management Council to act for it, may waive the minimum full-time enrollment requirement for any participant in the junior or elite levels of the Olympic, Pan American, World Championships, World Cup, World University Games or World Youth Championships who, because of such participation, may lose eligibility for practice and competition in any sport. *(Adopted: 1/10/95, Revised: 4/11/06, 10/20/08, 10/20/09)*

**14.1.8.1.7.4 U.S. Olympic Committee/National Governing Body—Practice Only.** A student with eligibility remaining who is not enrolled or who is enrolled in less than a minimum full-time program of studies, or a former student-athlete, may participate on a regular basis in organized practice sessions, provided the following conditions are met: *(Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97, 10/20/09)*

- (a) The practice sessions take place only at the institution(s) the individual previously attended as an undergraduate, or currently attends or previously attended as a graduate student; *(Revised: 1/14/97 effective 8/1/97, 10/20/09)*
- (b) For former student-athletes, the practice sessions involve an individual sport or rowing (for student-athletes with eligibility remaining, the practice session may include any sport);
- (c) The U.S. Olympic Committee or national governing body in the sport has recommended the individual's participation; and
- (d) In the case of a student-athlete with NCAA eligibility remaining in the sport, such participation occurs only during the academic year immediately before the Olympic Games.

**14.1.8.1.7.4.1 Administration.** This waiver shall be approved by the conference members of the Association or, in the case of independent institutions, by the Management Council. A member institution shall submit a waiver request that includes documentation that demonstrates that

the conditions of Bylaw 14.1.8.1.7.4 have been met for each individual who wishes to participate in the institution's practice sessions. (*Adopted: 1/9/96, Revised: 4/11/06, 10/20/09*)

**14.1.8.1.7.5 All Other Full-Time Enrollment Waivers—Practice and Competition.** Unless specified otherwise, the Management Council or a committee designated by the Management Council to act for it, may waive the full-time enrollment requirement for practice and competition, including the 12-hour minimum enrollment requirement for competition. (*Adopted: 4/11/06*)

**14.1.9 Graduate Student/Postbaccalaureate Participation.** A student-athlete who is enrolled in a graduate or professional school of the institution he or she most recently attended as an undergraduate (regardless of whether the individual has received a U.S. baccalaureate degree or its equivalent), a student-athlete who is enrolled and seeking a second baccalaureate or equivalent degree at the same institution, or a student-athlete who has graduated and is continuing as a full-time student at the same institution while taking course work that would lead to the equivalent of another major or degree as defined and documented by the institution, may participate in intercollegiate athletics, provided the student has eligibility remaining and such participation occurs within the applicable 10-semester/15-quarter period set forth in Bylaw 14.2 (see Bylaw 14.1.8.1.6.4). (*Revised: 1/10/90, 1/16/93 effective 8/1/93, 7/22/08, 10/20/09*)

**14.1.9.1 International Student Exception.** The remaining eligibility of a student who has received a foreign postsecondary degree that is identified as a "baccalaureate" but is not equivalent to a U.S. baccalaureate and who is entering an undergraduate program must be reviewed on a case-by-case basis by the Management Council and the International Student Records Committee. (*Adopted: 1/16/93, Revised: 4/23/09*)

**14.1.10 Change in Eligibility Status.** If a student-athlete's academic eligibility changes at the end of a quarter or semester, the student-athlete shall become eligible or ineligible to compete on the date his or her eligibility officially is certified by the appropriate institutional authority. In a case in which the student becomes eligible at the end of the term, the earliest date on which the student can become eligible to compete is the day after the date of the last scheduled examination listed in the institution's official calendar for the term that is ending. In a case in which the student becomes ineligible, the ineligibility shall become effective not later than the first day of classes of the following semester or quarter. In any case, if the student-athlete is academically eligible to compete at the time of the student-athlete's or the institution's first participation in an NCAA championship, he or she shall remain eligible for the remainder of the championship.

**14.1.11 Male Practice Player Eligibility.** A male student who practices with a women's team is considered to be a student-athlete in that women's sport. The male student-athlete must be certified as eligible under all applicable NCAA eligibility requirements to participate (e.g., the individual must be enrolled in a minimum full-time program of studies, sign a student-athlete statement and drug-testing consent form and have eligibility remaining under the 10-semester rule). The male student-athlete is subject to all other NCAA legislated restrictions. See Bylaw 14.2.4.7 for use of a season of participation and Bylaw 16.8.1.7 for benefits that a male practice player may receive. (*Adopted: 10/17/06, Revised: 4/17/07, 7/24/07*)

**14.1.11.1 Limitations on the Involvement of Male Practice Players—Team Sports.** The use of male practice players in a women's team sport is subject to the following limitations: (*Adopted: 1/14/08 effective 8/1/08*)

- (a) Male practice players shall only be permitted to practice in the traditional segment in the women's sport;
- (b) The involvement of male practice players is limited to one practice per week; and
- (c) The number of male practice players who are involved during any particular practice shall not exceed half the number of student-athletes of a typical starting unit in that sport. Any computation of half of the starting unit that results in a fractional portion of a player shall be rounded up to the next whole number.

## 14.2 SEASONS OF PARTICIPATION: 10-SEMESTER/15-QUARTER RULE

A student-athlete shall not engage in more than four seasons of intercollegiate participation in any one sport (see Bylaw 14.2.4.1). (*Revised: 1/12/04 effective 8/1/04 for any athletics participation occurring on or after 8/1/04*)

**14.2.1 Collegiate Enrollment Concurrent with Service Assignment.** Any time in which a student-athlete is enrolled for a minimum full-time program of studies as a regular student in a collegiate institution while simultaneously on active duty in the U.S. military, on an official religious mission or with a recognized foreign aid service of the U.S. government shall count against the 10 semesters/15 quarters in which the four seasons of participation must be completed. (*Revised: 5/7/10*)

**14.2.2 Ten-Semester/15-Quarter Rule.** A student-athlete shall complete his or her seasons of participation during the first 10 semesters or 15 quarters in which the student is enrolled in a collegiate institution in at least a minimum full-time program of studies, as determined by the regulations of that institution. For an institution that conducts registration other than on a traditional semester or quarter basis, the Management Council shall determine an equivalent enrollment period. (*Revised: 1/12/04 effective 8/1/04 for any athletics participation occurring on or after 8/1/04*)

**14.2.2.1 Use of Semester or Quarter.** A student-athlete is considered to have used a semester or quarter under this rule when the student attends any class while officially registered in a collegiate institution (domestic or foreign) in a regular term of an academic year for a minimum full-time program of studies, as determined by

the institution, even if the student-athlete drops to part-time status at any time during the term, including his or her first day of attendance (see Bylaw 14.2.3). *(Revised: 1/9/06)*

**14.2.2.2 Fraction of 10 Semesters/15 Quarters Remaining.** A student-athlete whose combination of semesters and quarters of previous enrollment leaves him or her with any fraction of the 10th semester or 15th quarter of eligibility at the beginning of his or her final semester or quarter may remain eligible for the entire semester or quarter. *(Adopted: 4/11/11)*

**14.2.2.3 Pregnancy Exception.** A member institution may approve a two-semester or three-quarter extension of this 10-semester/15-quarter period of eligibility for a female student-athlete for reasons of pregnancy.

**14.2.2.4 Ten-Semester/15-Quarter Rule Waiver.** The Committee on Student-Athlete Reinstatement, by a two-thirds majority of its members present and voting, may approve waivers to the 10-semester/15-quarter rule as it deems appropriate. *(Revised: 4/13/10)*

**14.2.2.4.1 Waiver Criteria.** A waiver of the 10-semester/15-quarter period of eligibility is designed to provide a student-athlete with the opportunity to engage in four seasons of intercollegiate participation within a 10-semester/15-quarter period. This waiver may be granted, based upon objective evidence, for reasons that are beyond the control of the student-athlete and the institution, which deprive the student-athlete of the opportunity to participate for more than one season in his/her sport within the 10-semester/15-quarter period. The Committee on Student-Athlete Reinstatement reserves the right to review requests that do not meet the more-than-one-season criteria detailed in this bylaw for extraordinary circumstances or extreme hardship. *(Revised: 4/17/91, 1/11/94, 8/10/94, 10/12/95, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04, 4/13/10)*

**14.2.2.4.1.1 Circumstances Beyond Control.** Circumstances considered to be beyond the control of the student-athlete or the institution and do not cause a participation opportunity to be used shall include, but are not limited to, the following: *(Adopted: 8/10/94, Revised: 10/12/95)*

- (a) Situations clearly supported by contemporaneous medical documentation, which states that a student-athlete is unable to participate in intercollegiate competition as a result of incapacitating physical or mental circumstances;
- (b) The student-athlete is unable to participate in intercollegiate athletics as a result of a life-threatening or incapacitating injury or illness suffered by a member of the student-athlete's immediate family, which clearly is supported by contemporaneous medical documentation; *(Revised: 1/9/06)*
- (c) Reliance by the student-athlete on written, contemporaneous, clearly erroneous academic advice provided to the student-athlete from a specific academic authority from a collegiate institution regarding the academic status of the student-athlete or prospective student-athlete, which directly leads to that individual not being eligible to participate and, but for the clearly erroneous advice, the student-athlete would have established eligibility for intercollegiate competition; *(Revised: 10/9/96 effective 8/1/97)*
- (d) Natural disaster (e.g., earthquakes, floods); and
- (e) Extreme financial difficulties as a result of a specific event (e.g., layoff, death in the family) experienced by the student-athlete or by an individual on whom the student-athlete is legally dependent, which prohibit the student-athlete from participating in intercollegiate athletics. These circumstances must be clearly supported by objective documentation (e.g., decree of bankruptcy, proof of termination) and must be beyond the control of the student-athlete or the individual on whom the student-athlete is legally dependent. *(Adopted: 10/12/95, Revised: 10/28/97)*

**14.2.2.4.1.2 Circumstances Within Control.** Circumstances that are considered to be within the control of the student-athlete or the institution and cause a participation opportunity to be used include, but are not limited to, the following: *(Adopted: 8/10/94, Revised: 10/12/95)*

- (a) A student-athlete's decision to attend an institution that does not sponsor his/her sport, or decides not to participate at an institution that does sponsor his/her sport;
- (b) An inability to participate due to failure to meet institutional/conference or NCAA academic requirements, or disciplinary reasons or incarceration culminating in or resulting from a conviction; *(Revised: 10/12/95)*
- (c) Reliance by a student-athlete on misinformation from a coaching staff member;
- (d) Redshirt year;
- (e) An inability to participate as a result of an academic year of residence (see Bylaw 14.02.10) or fulfilling a condition for restoration of eligibility; and
- (f) A student-athlete's lack of understanding regarding the specific starting date of his/her 10-semester/15-quarter period of eligibility. *(Adopted: 10/9/96 effective 8/1/97)*

**14.2.2.4.2 Practice While Waiver is Pending.** A student-athlete, who has exhausted his/her 10-semester/15-quarter period of eligibility, may practice, but not compete, provided the institution has

### 14.2.3 Additional Applications of the 10-Semester/15-Quarter Rule.

**14.2.3.1 Athletics Competition.** Even though a student is enrolled for less than a minimum full-time program of studies at a collegiate institution, a student shall use a semester or quarter under the 10-semester/15-quarter period of eligibility if the individual represents the institution in intercollegiate athletics. (*Revised: 4/7/05*)

**14.2.3.2 Nonrecognized College.** Enrollment in a postsecondary, noncollegiate institution (e.g., technical school, seminary or business college) in the United States that is not accredited at the college level by an agency or association recognized by the Secretary of the Department of Education and legally authorized to offer at least a one-year program of study creditable toward a degree, constitutes enrollment in the application of the 10-semester/15-quarter rule only if: (*Revised: 1/10/90, 1/13/03*)

- (a) The student is enrolled in a minimum full-time program of studies at such an institution that conducts an intercollegiate athletics program; or
- (b) The student, whether enrolled for a minimum full-time program of studies or not, represents the institution in intercollegiate athletics.

**14.2.3.3 Joint College/High School Program.** A student-athlete's eligibility under the 10-semester/15-quarter rule does not begin while a student is enrolled in a collegiate institution in a joint high school/college academic program for outstanding high school students, in which the courses count as both high school graduation credit and college credit, provided the student has not officially graduated from high school and does not participate in intercollegiate athletics while enrolled in the joint program. (*Revised: 1/14/02*)

**14.2.3.4 Vocational Program.** A student-athlete's eligibility under the 10-semester/15-quarter rule does not begin while the student is enrolled in a minimum full-time program of studies as a part of a special vocational program that combines enrollment in regular college courses and participation in vocational training courses, provided the student is not considered to be regularly matriculated by the institution, does not go through the customary registration and testing procedures required of all regular entering students and is not eligible for the institution's extracurricular activities, including athletics.

### 14.2.4 Criteria for Determining Season of Eligibility.

**14.2.4.1 Minimum Amount of Participation.** A season of intercollegiate participation shall be counted in the student-athlete's sport when a student-athlete participates (practices or competes) during or after the first contest in the traditional segment following the student-athlete's initial participation of that academic year at that institution or when the student-athlete engages in intercollegiate competition during the nontraditional segment in that sport. This provision is applicable to intercollegiate athletics participation (practice or competition) conducted by a Division III collegiate institution at the varsity, junior varsity or freshman team level. (*Revised: 1/11/94, 1/11/00 effective 8/1/00, 1/8/01, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04, 9/21/05, 11/16/05, 1/8/07 effective 8/1/07, for participation occurring on or after 8/1/07, 10/15/08*)

**14.2.4.1.1 Exceptions.** A season of participation shall not be counted:

- (a) During the traditional segment when a student-athlete participates in a preseason scrimmage or preseason exhibition conducted before the first contest following the student-athlete's initial participation of that academic year at that institution; and (*Adopted: 1/12/04 effective 8/1/04, for athletics participation occurring on or after 8/1/04, Revised: 1/10/05, 1/8/07 effective 8/1/07, for participation occurring on or after 8/1/07*)
- (b) In baseball, field hockey, lacrosse, soccer, softball and volleyball, when a student-athlete participates (practices or competes) during the nontraditional segment. (*Adopted: 1/17/09 effective 8/1/09, Revised: 1/16/10 effective for participation occurring on or after 8/1/05*)

**14.2.4.1.2 Pending Subcommittee for Legislative Relief Waiver.** A student-athlete for whom a Subcommittee for Legislative Relief waiver request is pending may practice, but not compete, after the first contest or date of competition in the traditional segment in the student-athlete's sport without using a season of participation provided the institution has received official notification that the national office has received the institution's completed waiver application and all relevant supporting documentation. If the waiver request is denied, the student-athlete must cease practicing immediately on notification to the institution of the decision or the student-athlete shall be charged with the use of a season of participation. If the institution chooses to appeal a waiver denial, the student-athlete shall not resume practice until the institution receives official notification that any information required for appeal has been received. If the subcommittee denies the appeal, the student-athlete must cease practicing immediately upon notification to the institution of the decision or the student-athlete shall be charged with the use of a season of participation. (*Adopted: 8/28/08*)

**14.2.4.1.3 Student Managers and Student Coaches.** A season of participation shall be counted when a student manager or student coach participates as a team member in practice or other physical activities during the time frame set forth in Bylaw 14.2.4.1. A student coach or student manager's role should be limited to performing traditional coaching or managerial duties. (*Adopted: 7/21/09*)

**14.2.4.2 Track and Field and Cross Country.** For the purposes of determining a season of intercollegiate participation, cross country, indoor track and field, and outdoor track and field shall be considered separate sports. *(Revised: 1/10/90, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04)*

**14.2.4.3 Participation in Organized Competition Before Initial Collegiate Enrollment.** An individual who does not enroll in a collegiate institution as a full-time student from the date of the next opportunity for collegiate enrollment after high school graduation (or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility) shall use a season of intercollegiate participation for each calendar year or sport season (following that date) in which the individual has engaged in activities that meet the criteria set forth in Bylaw 14.2.4.3.2. *(Adopted: 1/14/02 effective 8/1/02, Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04)*

**14.2.4.3.1 Academic Year of Residence.** An individual who uses a season(s) of participation in a particular sport, per Bylaw 14.2.4.3.2, shall fulfill an academic year of residence (see Bylaw 14.02.10) before being eligible to represent the institution in intercollegiate competition in that sport. *(Adopted: 1/14/02 effective 8/1/02, Revised: 1/12/04)*

**14.2.4.3.2 Activities Constituting Use of Season.** An individual shall use a season of participation per Bylaw 14.2.4.3 if the individual engages in activities that meet any of the following criteria: *(Adopted: 1/14/02 effective 8/1/02, Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04)*

- (a) Any team competition or training in which pay in any form is provided to any of the participants above actual and necessary expenses;
- (b) Any individual competition or training in which the individual accepts pay in any form based on his or her place finish or any competition or training in which the individual accepts pay in any form above actual and necessary expenses;
- (c) Any competition pursuant to the signing of a contract for athletics participation or entering a professional draft; or
- (d) Any competition funded by a representative of an institution's athletics interests that is not open to all participants. *(Adopted: 1/14/02 effective 8/1/02)*

**14.2.4.3.2.1 Competition Exceptions.** A maximum one-time, one-year exception for participation in the following activities:

- (a) **Preparatory School Exception.** Participation in organized competition while enrolled in a postgraduate college preparatory school shall be exempted; and
- (b) **Athletics Activity Exception.**
  - (1) Official Pan American, World Championships, World Cup, World University and Olympic training, tryouts and competition;
  - (2) Officially recognized training and competition directly qualifying participants for final Olympic tryouts; or
  - (3) Official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or for student-athletes representing another nation, the equivalent organization of that nation, or for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). *(Adopted: 1/14/02 effective 8/1/02)*

**14.2.4.3.3 Major Junior Ice Hockey.** An individual who participates on a Major Junior ice hockey team shall use a season of intercollegiate participation for each calendar year or sport season in which the individual participates, regardless of when such participation occurs (including participation during high school enrollment). The individual must also fulfill an academic year of residence (see Bylaw 14.02.10) before being eligible to represent the institution in intercollegiate competition in the sport of ice hockey. *(Adopted: 4/21/09, Revised: 7/7/11)*

**14.2.4.4 Intercollegiate Participation.** A student-athlete is considered to have engaged in a season of intercollegiate participation when he or she competes in an athletics event involving any one of the conditions characterizing intercollegiate participation (per Bylaw 14.02.6 and 14.2.4.1). *(Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04)*

**14.2.4.5 International Competition/Summers.** Competition by a student-athlete representing an institution in international competition during the summer-vacation period shall not affect the student-athlete's seasons of participation, provided the competition has been certified by the institution per Bylaw 17.28.1 or sanctioned by the Management Council, by a two-thirds majority of its members present and voting, and the request for Management Council sanction has been made by the institution at least 30 days before the competition. *(Revised: 1/11/00 effective 8/1/00)*

**14.2.4.6 Foreign-Tour Competition.** A student-athlete who did not participate during the institution's season just completed and who represents the institution in a certified foreign tour after that intercollegiate

season and before the start of the next academic year shall not be charged with a season of participation for the preceding season. *(Revised: 1/9/06)*

**14.2.4.7 Male Practice Player.** A male student who practices with a women's team in a sport that is also sponsored as a men's sport by the NCAA or is considered the same sport under amateurism regulations, is charged with a season of participation in the men's sport. If a male student practices with a women's team in a sport that has no equivalent men's sponsored sport, the male student is charged with a season of participation in the women's sport. *(Adopted: 4/11/06)*

**14.2.5 Hardship Waiver.** A student-athlete may be granted an additional year of participation (per Bylaw 14.2.4) by the conference or the Committee on Student-Athlete Reinstatement for reasons of "hardship." Hardship is defined as an incapacity resulting from a season-ending injury or illness that has occurred under all of the following conditions: *(Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04, 8/23/07)*

- (a) The season-ending injury or illness occurs before the completion of the first half of the traditional playing season in that sport for the season being waived and results in incapacity to compete for the remainder of the traditional playing season. The first half of the traditional playing season is measured by the maximum contest or date of competition (whichever is applicable to that sport) limitation in each sport as set forth in Bylaw 17 plus one contest or date of competition. For cross country and indoor and outdoor track and field, see Bylaw 14.2.5.2.5.1; and *(Revised: 1/10/05, for any competition occurring on or after 8/1/04, 1/9/06, 8/23/07, 1/16/10 effective for injuries or illnesses that occur before 8/1/10, 4/13/10)*
- (b) The season-ending injury or illness occurs when the student-athlete has not competed in more than one-third of the maximum contest or date of competition (whichever is applicable to that sport) limitation in each sport (as set forth in Bylaw 17) plus one contest or date of competition. For cross country and indoor and outdoor track and field, see Bylaw 14.2.5.2.5.1. Only competition (excluding preseason scrimmages and exhibition contests but including scrimmages and exhibitions after the first regularly scheduled contest) against outside participants during the traditional playing season, or, if so designated, during the official NCAA championship playing season in that sport (e.g., spring baseball, fall soccer), shall be countable under this limitation in calculating the number of contests or dates of competition in which the student-athlete has competed. [See Figure 14.1] *(Revised: 1/10/92, 1/14/97 effective 8/1/97, 1/10/05, for any competition occurring on or after 8/1/04, 4/7/05, 1/9/06, 8/23/07, 1/16/10 effective for injuries or illnesses that occur before 8/1/10, 4/13/10)*

**14.2.5.1 Administration of Hardship Waiver.** The hardship waiver shall be administered by the member conferences of the Association or, in the case of an independent member institution, by the Committee on Student-Athlete Reinstatement. An institution may appeal a decision by its conference to the Committee on Student-Athlete Reinstatement. *(Revised: 1/12/04)*

**14.2.5.2 Criteria for Administration of Hardship Waiver.** The following criteria are to be employed in the administration of the hardship waiver: *(Revised: 12/5/06)*

**14.2.5.2.1 Nature of Injury/Illness.** It is not necessary for the incapacitating, season-ending injury or illness to be the direct result of the student's participation in the institution's organized practice or game competition. *(Revised: 8/23/07, 10/19/10)*

**14.2.5.2.2 Medical Documentation.** Contemporaneous or other appropriate medical documentation from a physician (i.e., a medical doctor), who administered care at the time of the injury or illness, that establishes the student-athlete's inability to compete for the remainder of the traditional playing season as a result of that injury or illness shall be submitted with any hardship-waiver request. Chiropractic records do not constitute medical documentation for purposes of administering a hardship-waiver request. In cases involving a psychological or mental illness, such documentation may be provided by an individual who is qualified and licensed to diagnose and treat the particular illness (e.g., psychiatrist, psychologist). *(Adopted: 1/12/99, Revised: 1/9/04, 1/10/05, 8/23/07, 10/20/08, 10/20/09)*

**14.2.5.2.3 First-Half-of-Season Calculation.** In determining if an injury or illness occurs in the first half of the traditional season in a sport with an odd number of contests or dates of competition, the injury or illness must have occurred before the beginning of the varsity contest or date of competition that starts the second half of the traditional season (e.g., an injury or illness occurring at any time after the beginning of the sixth football game would be considered to be after the first half of the institution's season and would not qualify the student-athlete for a hardship waiver). [See Figure 14-1] *(Revised: 1/14/97 effective 8/1/97)*

**14.2.5.2.4 Reinjury in Second Half of Season.** A student-athlete who suffers an injury in the first half of the traditional season, enters competition during the second half of the traditional season and then is unable to participate further as a result of aggravating the original injury does not qualify for the hardship waiver. *(Revised: 8/23/07)*

**14.2.5.2.5 Percent Calculation.** The following requirements are to be met in determining the percent calculation under this waiver provision: (Note: The percent calculation requirements set forth in Bylaws 14.2.5 and 14.2.5.2.3 apply only to the waiver provisions of this section and do not apply to the maximum-and minimum-contests requirements in Bylaw 20.)



**14.2.5.2.5.1 Denominator in Percent Computation.** The denominator in the institution's percent calculation shall be based on the maximum contest or date of competition (whichever is applicable to that sport) limitation in each sport (as set forth in Bylaw 17) plus one contest or date of competition. For the sport of cross country, the denominator in the institution's percent calculation shall be based on the maximum dates of competition (as set forth in Bylaw 17) plus two dates of competition. For indoor and outdoor track and field, the denominator in the institution's percent calculation shall be nine for each sport. [See Figure 14-1] (*Revised: 1/14/97 effective 8/1/97, 4/15/98, 1/10/05, for any competition occurring on or after 8/1/04, 1/16/10 effective for injuries or illnesses that occur before 8/1/10, 4/13/10*)

**14.2.5.2.5.2 Fraction in Percent Computation.** Any computation of the percent limitation that results in a fractional portion of a contest or date of competition shall be rounded to the next whole number (e.g., 33 percent of the standard basketball denominator of 26—8.6 games—shall be considered nine games). (*Revised: 1/14/97 effective 8/1/97, 2/23/11*)

**14.2.5.2.6 Foreign-Tour Competition.** A student-athlete who qualifies for a hardship for the previous academic year would not use a season of participation if the student-athlete represents the institution on a certified foreign tour during the summer-vacation period at the conclusion of that academic year. (*Adopted: 1/10/92, Revised: 1/12/04 effective 8/1/04, 1/9/06*)

**14.2.5.2.7 Transfer Student-Athletes.** The hardship-waiver criteria for a transfer student-athlete who suffers an injury or illness while attending an NCAA Division I or Division II institution may be based on the rule that would be most favorable to the student-athlete (the rule applicable to the division in which the injury or illness occurred or the Division III rule). The application of a particular division's legislation must include all the applicable elements of that division's legislation, as opposed to selected elements of the legislation of each division. (*Adopted: 4/11/11*)

**14.2.5.3 Eligibility for Practice After Receipt of Hardship Waiver.** A student-athlete who is granted a hardship waiver may practice and/or participate in rehabilitative activities for the remainder of the season without using a season of participation. A student-athlete who competes in that sport during the remainder of the season shall use a season of participation, unless the competition meets an exception per Bylaw 14.2.4.1.1. (*Adopted: 8/23/07, Revised: 10/20/09*)

**14.2.6 Season-of-Participation Waiver—Participation While Ineligible.** In conjunction with a request for restoration of eligibility and any conditions imposed thereon per Bylaw 14.13, a student-athlete may be granted an additional season of participation by the Committee on Student-Athlete Reinstatement when he or she engaged in a limited amount of participation as a result of a good-faith, erroneous formal declaration of eligibility by the institution's appropriate certifying authority or a student-athlete's good-faith, erroneous reliance on a coaching staff member's decision to put the student-athlete into participation before the coaching staff member receiving a formal declaration of the student-athlete's eligibility from the institution's appropriate certifying authority. The participation must have occurred under all of the following conditions: (*Adopted: 1/16/93, Revised: 1/12/99, 1/13/03, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04*)

- (a) The participation occurred while the student-athlete was representing an NCAA member institution; (*Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04*)
- (b) The participation occurred within 60 days of the date the student-athlete first reported for athletics participation; (*Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04*)
- (c) The student-athlete did not participate in more than two events or 10 percent (whichever number is greater) of the institution's completed or scheduled (not exceeding the maximum limitations in each sport as set forth in Bylaw 17 as set before the first scheduled contest or date of competition) events in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of events in which the student-athlete participated and the number of completed or scheduled events during that season (traditional and nontraditional) in the sport; (*Revised: 1/10/05, for any competition occurring on or after 8/1/04, 1/9/06*)
- (d) The student-athlete was involved innocently and inadvertently in the erroneous determination or declaration of eligibility, which permitted the student-athlete to participate while ineligible; and (*Revised: 1/12/99, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04*)
- (e) In the case of a coaching staff member's erroneous decision, the student-athlete had reason to believe he or she would be eligible to participate, and the student-athlete did not contribute to the coaching staff member's erroneous decision to allow the student-athlete to participate. (*Adopted: 1/12/99*)

**14.2.6.1 Percent Calculation.** The requirements specified in Bylaw 14.2.5.2.5 shall apply to the 10-percent calculation specified in this waiver. (*Adopted: 1/16/93*)

**14.2.7 Season-of-Participation Waiver—Participation While Eligible.** A student-athlete may be granted an additional season of participation by the Committee on Student-Athlete Reinstatement when, due to extenuating circumstances (per Bylaw 14.2.7.1.2), the student-athlete, while eligible, engaged in a limited amount of participation. The participation must have occurred under all of the following conditions: (*Adopted: 1/13/03*)



effective 8/1/03, for competition occurring on or after 8/1/03, Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04, 6/22/05)

- (a) The participation occurred within the first half of the traditional segment; and (Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04)
- (b) The student-athlete did not compete in more than three contests or dates of competition (whichever is applicable to that sport) or one-third (whichever number is greater) of the institution's completed or scheduled (not exceeding the maximum limitations in each sport as set forth in Bylaw 17 as set before the first scheduled contest or date of competition) contests or dates of competition in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of contests or dates of competition in which the student-athlete participated and the number of contests or dates of competition during that season (both segments) in the sport. (Revised: 1/10/05, for competition occurring on or after 8/1/04, 1/9/06)

**14.2.7.1 Administrative Criteria.** The following criteria shall be employed in the administration of this season-of-participation waiver: (Adopted: 1/13/03 effective 8/1/03, for competition occurring on or after 8/1/03, Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04)

**14.2.7.1.1 Percent Calculation.** The requirements specified in Bylaw 14.2.5.2.5 shall apply to the percent calculation specified in this waiver. (Adopted: 1/13/03 effective 8/1/03, for competition occurring on or after 8/1/03)

**14.2.7.1.2 Extenuating Circumstances.** Extenuating circumstances include, but are not limited to, the following: (Adopted: 1/13/03 effective 8/1/03, for competition occurring on or after 8/1/03)

- (a) The student-athlete is unable to compete as a result of a life-threatening injury or illness suffered by a member of the student-athlete's immediate family, which clearly is supported by contemporaneous medical documentation; (Revised: 1/10/05)
- (b) The student-athlete is unable to compete as a result of extreme financial difficulties as a result of a specific event (e.g., layoff, death in family) experienced by the student-athlete or an individual on whom the student-athlete is legally dependent. These circumstances must be clearly supported by objective documentation (e.g., decree of bankruptcy, proof of termination) and must be beyond the control of the student-athlete or the individual on whom the student-athlete is legally dependent; and (Revised: 1/10/05)
- (c) The student-athlete's institution dropped the sport (in which the student has practiced or competed) from its intercollegiate program.

**14.2.7.1.3 Review Authority.** In cases in which a student-athlete does not meet the extenuating circumstances listed in Bylaw 14.2.7.1.2, the Committee on Student-Athlete Reinstatement shall have authority to review and grant waivers based on additional documented extenuating circumstances. (Adopted: 1/13/03 effective 8/1/03, for competition occurring on or after 8/1/03)

## 14.4 SATISFACTORY-PROGRESS REQUIREMENTS

**14.4.1 Satisfactory-Progress Requirements.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall maintain satisfactory progress toward a baccalaureate or equivalent degree at that institution as determined by the regulations of that institution. As a general requirement, "satisfactory progress" is to be interpreted at each member institution by the academic authorities who determine the meaning of such phrases for all students, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. (See Constitution 3.2.4.11 regarding the obligations of members to publish their satisfactory-progress requirements for student-athletes and Bylaw 14.01.2 for the requirements for student-athletes enrolled in two-year degree programs.) (Revised: 1/10/05, 8/18/06)

**14.4.1.1 Exchange Student.** A foreign exchange student as defined in Bylaw 14.5.1.4.1 shall maintain satisfactory progress toward a baccalaureate or equivalent degree at the student's preceding educational institution.

**14.4.1.2 Temporary Student.** A student-athlete having the status of temporary, transient or exchange student shall not represent an institution in intercollegiate athletics competition unless such status is specifically allowed and governed by provisions adopted by the membership.

**14.4.1.3 Prior Approval—Summer Courses at Other Institutions.** Prior approval by appropriate academic officials of the certifying institution is required if courses taken during another institution's summer term are to be used in determining the student's academic status (i.e., good academic standing and satisfactory progress). Under limited circumstances, the Management Council may grant waivers for student-athletes who did not request or receive prior approval.

**14.4.1.4 Correspondence, Extension, Credit-by-Examination and Online Courses.** Use of correspondence, extension, credit-by-examination, and online courses for purposes of academic standing or satisfactory progress shall be determined by the regulations of the certifying institution, regardless of whether the courses are taken through the certifying institution or through another institution. (Adopted: 1/14/08 effective 8/1/08)

**14.4.1.5 Waiver—Olympic Games.** The Management Council may waive this general satisfactory-progress requirement for any participant in the Olympic Games who, because of such participation, may lose eligibility for practice and competition in any sport.

## 14.5 TRANSFER REGULATIONS

**14.5.1 Residence Requirement—General Principle.** A student who transfers (see Bylaw 14.5.2) to a member institution from any collegiate institution is required to complete an academic year of residence (see Bylaw 14.02.10) at the certifying institution before being eligible to compete for or to receive travel expenses from the member institution (see Bylaw 16.8.1.2), unless the student satisfies the applicable transfer requirements or receives an exception or waiver as set forth in this section. (*Revised: 1/10/91 effective 8/1/91, 5/7/10*)

**14.5.1.1 Fulfillment of Residence Requirement in Night School.** When a student transfers to a member institution and is required to fulfill a residence requirement before being eligible to participate in competition, it is permissible for the transfer student to meet the requirement by attending an institution's night school, provided the following conditions are met:

- (a) The night school has regular terms (semesters or quarters) that are the same as the institution's day school;
- (b) The student is enrolled in a minimum full-time program of studies during each night term counted; and
- (c) The student is considered by the institution to be a regularly matriculated student in each term.

**14.5.1.2 Disciplinary Suspension.** A student who transfers to any NCAA institution from a collegiate institution while the student is disqualified or suspended from the previous institution for disciplinary reasons (as opposed to academic reasons) must complete one calendar year of residence at the certifying institution. (*Revised: 1/14/97 effective 8/1/97*)

**14.5.1.2.1 Exception.** A student who transfers to the certifying institution and meets the requirements of the two-year nonparticipation exception set forth in Bylaws 14.5.4.2 or 14.5.5.1.3 is not required to complete one calendar year of residence. (*Adopted: 1/16/10 effective 8/1/10*)

**14.5.1.3 Championship Eligibility.** A transfer student who is required to fulfill an academic year of residence (see Bylaw 14.02.10) shall not be eligible to participate in any NCAA championship that occurs during the academic year of residence or during the vacation period immediately following the academic year of residence. (*Revised: 1/9/06 effective 8/1/06*)

**14.5.1.4 Foreign Institution Transfers.** A transfer student from a foreign collegiate institution (college, university or two-year college), except one entering as an exchange student (Bylaw 14.5.1.4.1), shall comply with the one-year residence requirement set forth in Bylaw 14.5.5.1.

**14.5.1.4.1 Foreign Exchange Student Exception.** A student who transfers to the certifying institution shall be immediately eligible if the student is enrolled in the certifying institution for a specified period of time as a foreign exchange student participating in a formal and established educational exchange program (e.g., sponsored by the U.S. Department of State, Rotary International, the Ford Foundation, the Institute of International Education) recognized by the institution's academic authorities. (*Revised: 7/22/08*)

**14.5.2 Conditions Affecting Transfer Status.** A transfer student is an individual who transfers from a collegiate institution after having met any one of the following conditions at that institution:

- (a) The student was officially registered and enrolled in a minimum, full-time program of studies in any quarter or semester of an academic year, as certified by the registrar or admissions office and attended class; (*Revised: 1/9/06*)
- (b) The student attended a class or classes in any quarter or semester in which the student was enrolled in a minimum full-time program of studies, even if the enrollment was on a provisional basis and the student was later determined by the institution not to be admissible;
- (c) The student is or was enrolled in an institution in a minimum full-time program of studies in a night school that is considered to have regular terms (semesters or quarters) the same as the institution's day school, and the student is or was considered by the institution to be a regularly matriculated student;
- (d) The student attended a branch school that does not conduct an intercollegiate athletics program, but the student had been enrolled in another collegiate institution before attendance at the branch school;
- (e) The student attended a branch school that conducted an intercollegiate athletics program and transfers to an institution other than the parent institution;
- (f) The student reported for a regular squad practice (including practice or conditioning activities that occurred before certification), announced by the institution through any member of its athletics department staff, before the beginning of any quarter or semester, as certified by the athletics director. Participation only in picture-day activities would not constitute "regular practice;" or
- (g) The student participated in practice or competed in a given sport even though the student was enrolled in less than a minimum full-time program of studies.

**14.5.3 Conditions Not Constituting Transfer Status.** Unless otherwise covered by conditions set forth in Bylaw 14.5.2, a student-athlete is not considered a transfer under the following enrollment conditions:

**14.5.3.1 Summer School, Extension Courses or Night School.** The student has been enrolled in or attended classes only in a summer school, extension course or night school, unless the night school is considered by the institution to be a regular term (semester or quarter) the same as its day school, the student is enrolled for a minimum full-time load in this regular night term, and the student is considered by the institution to be a regularly enrolled student.

**14.5.3.2 Second Campus of Institution.** The student is in residence at an institution's campus that is not in the same city as the institution's main campus, provided the campus at which the student is in residence does not conduct an intercollegiate athletics program, classes on the campus are taught by the same instructors who teach classes on the main campus, the credits received by all class enrollees are considered as regular credits by the institution's main campus, and the degrees awarded to all students come from the institution's main campus.

**14.5.3.3 Academic Exchange Program.** The student participates in a regular academic exchange program between two four-year institutions that requires a participant to complete a specified period of time at each institution, and the program provides for the student-athlete to receive at least two baccalaureate or equivalent degrees at the conclusion of this joint academic program.

**14.5.4 Two-Year College Transfers.** A student who transfers to a member institution from a two-year college or from a branch school that conducts an intercollegiate athletics program must complete an academic year of residence (see Bylaw 14.02.10) unless the student qualifies for a transfer exception as set forth in Bylaw 14.5.4.1. (*Revised: 1/10/92, 4/14/06, 5/7/10*)

**14.5.4.1 Exceptions.** A student who transfers to the certifying institution shall be immediately eligible if: (*Revised: 1/10/91 effective 8/1/91, 1/13/98 effective 8/1/98, 1/12/99 effective 8/1/99, 10/22/03, 1/10/05 effective 8/1/05, 1/9/06, 4/14/06*)

- (a) The student has not previously used a season of participation at a Division III institution pursuant to Bylaw 14.2.4.1, and has never practiced or competed in intercollegiate athletics at a non-Division III institution. This provision is not applicable to a Division III student who has been granted a hardship waiver per Bylaw 14.2.5 or a season-of-participation waiver per Bylaws 14.2.6 or 14.2.7; (*Revised: 1/9/06*)
- (b) The student transfers from a two-year college or from a branch school that conducts an intercollegiate athletics program without having been enrolled full time at a four-year collegiate institution and would have been academically and athletically eligible had he or she remained at that institution. A student-athlete who has exhausted his or her athletics eligibility at the two-year college may use this transfer exception if the student-athlete was otherwise academically or athletically eligible for competition at the two-year college; or
- (c) The student transfers from a four-year institution to a two-year college, and then to the certifying institution, provided the student either would have been athletically and academically eligible, at the time of transfer to the certifying institution (see Bylaw 14.5.2), had he or she remained at the previous four-year institution or he or she successfully completed at least 24-semester or 36-quarter hours of transferable-degree credit at the two-year college and spent at least two full-time semesters or three full-time quarters of attendance at the two-year college. (*Revised: 11/16/05, 12/19/07, 1/12/11*)

**14.5.4.1.1 Multiple Two-Year Colleges.** It is permissible for a 4-2-4 transfer student-athlete who attended multiple two-year colleges to use credits earned at the two-year colleges to satisfy the credit-hour requirements set forth in Bylaw 14.5.4.1. The student-athlete may combine terms of full-time attendance at any of the two-year colleges to satisfy the minimum two-semester or three-quarter attendance requirement. (*Adopted: 5/5/00, Revised: 1/10/05*)

**14.5.4.2 Two-Year Nonparticipation Exception.** The student transfers to the certifying institution from a two-year college or from a branch school that conducts an intercollegiate athletics program and, for a consecutive two-year period immediately before the date on which the student begins participation (practice and/or competition), the student has neither practiced nor competed in the involved sport in intercollegiate competition, and has neither practiced or competed in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. This two-year period does not include any period of time before the student's initial collegiate enrollment. (*Adopted: 1/11/00, Revised: 3/23/01*)

**14.5.5 Four-Year College Transfers.** See Bylaw 13.1.1.2 for prohibition against contacting student-athletes of another four-year collegiate institution without permission.

**14.5.5.1 General Rule.** A transfer student from a four-year institution shall not be eligible for intercollegiate competition until the student has fulfilled an academic year of residence (see Bylaw 14.02.10) at the certifying institution unless the student qualifies for one of the transfer exceptions set forth in Bylaws 14.5.5.1.1, 14.5.5.1.2 or 14.5.5.1.3. A transfer student (other than one under disciplinary suspension per Bylaw 14.5.1.2) may qualify for an exception to the academic year of residence requirement provided he or she does not have an unfulfilled residence requirement at the institution from which he or she is transferring. (*Revised: 1/10/91 effective 8/1/91, 5/7/10*)

**14.5.5.1.1 Exception.** A student who transfers to the certifying institution shall be immediately eligible if: (*Revised: 1/14/97 effective 8/1/97, 1/10/05 effective 8/1/05*)

- (a) The student has not previously used a season of participation at a Division III institution pursuant to Bylaw 14.2.4.1, and has never practiced or competed in intercollegiate athletics at a non-Division III institution. This provision is not applicable to a Division III student who has been granted a hardship waiver per Bylaw 14.2.5 or a season-of-participation waiver per Bylaws 14.2.6 or 14.2.7; *(Revised: 1/9/06)*
- (b) The student transfers from a four-year collegiate institution and would have been academically and athletically eligible, at the time of transfer to the certifying institution (see Bylaw 14.5.2), had he or she remained at the previous institution; or *(Revised: 1/12/11 effective 8/1/11)*
- (c) The student transfers from a four-year collegiate institution that did not sponsor the student-athlete's sport and, while at that institution, the student-athlete: *(Adopted: 1/12/11 effective 8/1/11)*
  - (i) Successfully completed at least 24-semester or 36-quarter hours of transferable-degree credit; and
  - (ii) Completed at least two full-time semesters or three full-time quarters.

**14.5.5.1.2 Exchange Student Exception.** A student who transfers to the certifying institution shall be immediately eligible if the student is enrolled in the certifying institution for a specified period of time as an exchange student participating in a formal and established educational exchange program recognized by the institution's academic authorities. *(Revised: 1/11/89, 1/10/92, 7/22/08)*

**14.5.5.1.3 Two-Year Nonparticipation Exception.** The student transfers to the certifying institution from another four-year college and, for a consecutive two-year period immediately before the date on which the student begins participation (practice and/or competition), the student has neither practiced nor competed in the involved sport in intercollegiate competition, and has neither practiced nor competed in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. This two-year period does not include any period of time before the student's initial collegiate enrollment. *(Revised: 1/10/95)*

## 14.7 OUTSIDE COMPETITION, EFFECTS ON ELIGIBILITY

The eligibility of a student-athlete who engages in outside competition (see Bylaw 17.02.9) is affected as set forth in the following regulations.

**14.7.1 Outside Competition.** A student-athlete becomes ineligible for intercollegiate competition in that sport until eligibility is restored by the Committee on Student-Athlete Reinstatement if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, he or she competes or has competed as a member of any outside team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate season in the sport (see Bylaw 14.7.3 for exceptions and waivers) unless restored to eligibility before that time by the Committee on Student-Athlete Reinstatement. *(Revised: 1/16/93, 1/11/94, 1/13/03 effective 8/1/03, 12/20/04, 1/10/05)*

### 14.7.2 Additional Applications of Outside-Competition Regulations.

**14.7.2.1 Definition of Team Member.** A student-athlete is considered to be a member of the institution's team in a sport in any specified year when the student meets any of the conditions set forth in Bylaw 14.7.2.2 and/or reports for practice or competition in that sport in that year. Thereafter, for purposes of this rule, the student-athlete is considered to be a member of that team for the remainder of the season.

**14.7.2.1.1 Exception.** A student-athlete who fails to make the institution's team after participation only in limited preseason tryouts shall not be considered a member of the team for purposes of this regulation. *(Adopted: 1/16/93)*

**14.7.2.2 Eligibility Status.** A student-athlete is considered to be a member of the institution's team, and therefore bound by this regulation in that sport, if the student-athlete:

- (a) Is ineligible to compete but practiced with a squad or team in the sport; or
- (b) Is eligible to compete but was not permitted to do so, other than taking part in practice sessions with the squad or team in the sport.

**14.7.2.2.1 Exception.** A student-athlete who fails to make the institution's team after participation only in limited preseason tryouts shall not be considered a member of the team for purposes of this regulation. *(Adopted: 1/16/93)*

**14.7.2.3 Competition between Segments.** If an institution conducts separate fall and spring practice or playing segments in a sport, it is permissible for a student-athlete to participate in that sport on an outside team during the period between the two segments without affecting his or her eligibility. *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**14.7.2.4 Postseason Competition.** An institution's intercollegiate season includes any scheduled participation in the conference championship in the sport in question but excludes the period between the last regularly scheduled competition and the NCAA championship in that sport.

**14.7.2.5 Competition as Individual/Not Representing Institution.** It is permissible for a student-athlete to participate in outside competition as an individual during the academic year in the student-athlete's sport,

as long as the student-athlete represents only himself or herself in the competition and does not engage in such competition as a member of or receive expenses from an outside team.

**14.7.2.6 Exempt Teams.** In the individual sports (see Bylaw 17.02.12.2), such units as “pro-am” golf teams, doubles tennis teams, and relay teams in track and field are not considered to be outside teams for purposes of this legislation.

### 14.7.3 Exceptions—All Sports.

- (a) **High School Alumni Game.** A student-athlete may compete in one game a year involving players from the student-athlete’s former high school and its alumni team. In sports other than basketball, this game must take place during an official vacation period of the institution’s academic year.
- (b) **Olympic Games.** A student-athlete may participate in the official Olympic Games, in final tryouts that directly qualify competitors for the Olympic Games and in officially recognized competition directly qualifying participants for final Olympic Games tryouts. *(Revised: 1/14/97 effective 8/1/97)*
- (c) **Official Pan American Games Tryouts and Competition.** A student-athlete may participate in official Pan American Games tryouts and competition, including junior-level tryouts and competition. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/11/06)*
- (d) **National Teams.** A student-athlete may participate in official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for the student-athletes representing another nation, the equivalent organization of that nation or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). *(Adopted: 1/14/97 effective 8/1/97)*
- (e) **Official World Championships, World University Games, World Youth Championships and World Cup Tryouts and Competition.** A student-athlete may participate in official World Championships, World University Games, World Youth Championships and World Cup tryouts and competition (including junior levels). *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/14/02, 4/11/06)*
- (f) **Multisport Events.** A student-athlete may participate in officially recognized state and national multisport events. *(Adopted: 1/14/97 effective 8/1/97)*
- (g) **Triathlons.** A student-athlete may compete, as an individual or part of a team, in triathlons at any time during the calendar year subject to Bylaw 12 limitations. If a student-athlete competes on a triathlon team, he or she must compete in all three legs of the triathlon in order to satisfy this exception. *(Adopted: 1/15/11, Revised: 4/11/11)*

**14.7.3.1 National-Team Criteria.** A national team shall meet the following criteria: *(Adopted: 1/14/97 effective 8/1/97, Revised: 12/5/06, 4/13/10)*

- (a) It is selected, organized and sponsored by the appropriate Group A member of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization in that sport);
- (b) Selection for such a team is made on a national qualification basis either through a defined selective process or actual tryouts, publicly announced; and
- (c) The international competition in question requires that the entries officially represent their respective nations, although it is not necessary to require team scoring by nation. *(Revised: 12/5/06)*

**14.7.4 Collegiate All-Star Contests.** A student-athlete who competes as a member of a squad in any college all-star contest shall be denied further intercollegiate eligibility in that sport. *(Revised: 1/11/00 effective 8/1/00)*

## 14.8 U.S. SERVICE ACADEMIES, SPECIAL ELIGIBILITY PROVISIONS

**14.8.1 Ten-Semester/15-Quarter Rule.** The Committee on Student-Athlete Reinstatement may approve waivers to the 10-semester/15-quarter rule (see Bylaw 14.2) for student-athletes of the national service academies who have exhausted eligibility in one sport but wish to compete in another sport or sports in which they have eligibility remaining. *(Revised: 1/13/03)*

**14.8.2 Transfer Status.** A student who has attended as a freshman (plebe) only in the official summer-enrollment program of one of the national service academies is not considered a transfer in the application of the transfer regulations of Bylaw 14.5.

## 14.9 INELIGIBILITY

**14.9.1 Obligation of Member Institution to Withhold Student-Athlete from Competition.** If a student-athlete is ineligible under the provisions of the constitution, bylaws or other regulations of the Association, the institution shall be obligated to apply immediately the applicable rule and to withhold the student-athlete from all intercollegiate competition. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete’s eligibility as provided in Bylaw 14.13 if it concludes that the circumstances warrant restoration.

**14.9.2 Ineligibility Resulting from Recruiting Violation.** An institution shall not enter a student-athlete (as an individual or as a member of a team) in any intercollegiate competition if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated the Association's legislation in the recruiting of the student-athlete. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility as provided in Bylaw 14.13 if it concludes that circumstances warrant restoration.

**14.9.2.1 Payment of Legal Fees during Appeal.** A member institution may provide actual and necessary expenses for a prospective student-athlete to attend proceedings conducted by the institution, its athletics conference or the NCAA that relate to the prospective student-athlete's eligibility to participate in intercollegiate athletics, provided the prospective student-athlete has been accepted for enrollment by the institution and has provided written confirmation of his or her intent to enroll at the institution. The cost of legal representation in such proceedings also may be provided by the institution (or a representative of its athletics interests).

**14.9.3 Application of Ineligibility Ruling Pending Appeal.** Once an official interpretation (per Constitution 5.4.1.2) applicable to a member institution has been issued and results in the ineligibility of a student-athlete, it is necessary for the institution to apply the rule to the eligibility of the student-athlete, even if review of the interpretation (per Constitution 5.4.1.2 or 5.4.1.4) at the request of the institution is pending. Failure to withhold such a student-athlete from competition is a violation of the conditions and obligations of membership.

#### **14.9.4 Ineligible Participation.**

**14.9.4.1 Loss of Eligibility.** A student-athlete shall be denied eligibility for intercollegiate competition in a sport if he or she participates in intercollegiate competition in that sport while ineligible under this bylaw or other applicable NCAA legislation. The certifying institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility if it concludes that the circumstances warrant restoration (see Bylaw 14.13).

### **14.11 RESTORATION OF ELIGIBILITY**

**14.11.1 Basis for Appeal.** When a student-athlete is determined to be ineligible under any applicable provision of the constitution, bylaws or other regulations of the Association, the member institution, having applied the applicable rule and having withheld the student-athlete from all intercollegiate competition, may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student's eligibility, provided the institution concludes that the circumstances warrant restoration of eligibility.

**14.11.2 Participation in Appeal Hearing.** Any appeal to restore a student-athlete's eligibility shall be submitted in the name of the institution by the president or chancellor (or an individual designated by the president or chancellor), faculty athletics representative, senior woman administrator or athletics director (for the men's or women's program). At least one of those individuals must participate in any hearing of the appeal that involves direct participation by the student-athlete or other individuals representing the institution or the student. *(Revised: 1/11/94, 10/3/05)*

**14.11.3 Student Responsibility, Relationship to Restoration of Eligibility.** A student-athlete is responsible for his or her involvement in a secondary or major violation of NCAA regulations (as defined in Bylaws 19.02.2.1 and 19.02.2.2), and the Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in any violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Committee on Student-Athlete Reinstatement in a unique case on the basis of specifically stated reasons.

### **14.12 ADDITIONAL WAIVERS FOR ELIGIBILITY REQUIREMENTS**

Conditions under which exceptions are permitted or waivers may be granted to specific eligibility requirements in this bylaw are noted in other sections of this bylaw. Other than these, there shall be no waiver by the Association of any of the provisions of this bylaw except as follows.

**14.12.1 Management Council Waivers.** The Management Council, by a two-thirds majority of its members present and voting, may waive specific provisions of this bylaw as follows.

**14.12.1.1 Academic and General Requirements.** The academic and general eligibility requirements may be waived under the following conditions or circumstances:

- (a) For student-athletes in times of national emergency;
- (b) For member institutions that have instituted a trimester or other accelerated academic program, provided any member institution applying for a waiver shall demonstrate a reasonable need for such waiver. Further, the Management Council shall grant no waiver that permits a student-athlete to engage in more than four seasons of intercollegiate participation. Under the waiver allowed, if a student in an accelerated academic program completes the requirements for a degree before completing eligibility, the student may participate in competition that begins within 90 days after completion of the requirements for the degree. The Management Council shall include a report of each such exception in its annual report to

the Convention; and (*Revised: 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04*)

- (c) For institutions that have suffered extraordinary personnel losses from one or more of their intercollegiate athletics teams due to accident or illness of a disastrous nature.

**14.12.1.2 Residence Requirement.** The one-year residence requirement for student-athletes may be waived under the following conditions or circumstances:

- (a) For a student-athlete who transfers to a member institution for reasons of health. Such request for a waiver may be initiated by any member institution and shall be supported by contemporaneous medical documentation and medical recommendations of the institution's team physician and/or the student-athlete's personal physician; (*Revised: 1/9/06*)
- (b) For a student-athlete who transfers to a member institution after loss of eligibility due to a violation of the regulation prohibiting pay for participation in intercollegiate athletics (see Bylaw 12.1) or a violation of recruiting regulations (see Bylaw 13.01.1). The Management Council may waive these requirements only upon a determination of the innocence or inadvertent involvement of the student-athlete in the violation; and
- (c) On the recommendation of the Committee on Infractions, for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution was placed on probation by the NCAA with sanctions that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility (see Bylaw 13.1.1.2.4). (*Revised: 1/10/92*)



**FIGURE 14-1****Hardship Waiver Calculations—Standard Denominators Based on Bylaw 17 Maximums**

<b>Sport</b>	<b>Standard Denominator*</b>	<b>First Half of Playing Season</b>	<b>One-third of Contests or Dates of Competition</b>
Baseball	41	Before the start of the 21st contest	14
Men's Basketball	26	Before the completion of the 13th contest	9
Women's Basketball	26	Before the completion of the 13th contest	9
Women's Bowling	27	Before the completion of the 13th date of competition	9
Men's Cross Country	11#	Before the start of the sixth date of competition	4
Women's Cross Country	11#	Before the start of the sixth date of competition	4
Men's Fencing	12	Before the completion of the sixth date of competition	4
Women's Fencing	12	Before the completion of the sixth date of competition	4
Field Hockey	21	Before the start of the 11th contest	7
Football	11	Before the start of the sixth contest	4
Men's Golf	21	Before the start of the 11th date of competition	7
Women's Golf	21	Before the start of the 11th date of competition	7
Men's Gymnastics	14	Before the completion of the seventh date of competition	5
Women's Gymnastics	14	Before the completion of the seventh date of competition	5
Men's Ice Hockey	26	Before the completion of the 13th contest	9
Women's Ice Hockey	26	Before the completion of the 13th contest	9
Men's Lacrosse	18	Before the completion of the ninth date of competition	6
Women's Lacrosse	18	Before the completion of the ninth date of competition	6
Men's Rifle	14	Before the completion of the seventh date of competition	5
Women's Rifle	14	Before the completion of the seventh date of competition	5
Women's Rowing	21	Before the start of the 11th date of competition	7
Women's Rugby	11	Before the start of the sixth contest	4
Men's Skiing	17	Before the start of the ninth date of competition	6
Women's Skiing	17	Before the start of the ninth date of competition	6
Men's Soccer	21	Before the start of the 11th contest	7
Women's Soccer	21	Before the start of the 11th contest	7
Softball	41	Before the start of the 21st contest	14

<b>Sport</b>	<b>Standard Denominator*</b>	<b>First Half of Playing Season</b>	<b>One-third of Contests or Dates of Competition</b>
Women's Squash	16	Before the completion of the eighth date of competition	6
Men's Swimming and Diving	17	Before the start of the ninth date of competition	6
Women's Swimming and Diving	17	Before the start of the ninth date of competition	6
Men's Tennis	21	Before the start of the 11th date of competition	7
Women's Tennis	21	Before the start of the 11th date of competition	7
Men's Track and Field (Indoor)	9**	Before the start of the fifth date of competition	3
Men's Track and Field (Outdoor)	9**	Before the start of the fifth date of competition	3
Women's Track and Field (Indoor)	9**	Before the start of the fifth date of competition	3
Women's Track and Field (Outdoor)	9**	Before the start of the fifth date of competition	3
Men's Volleyball	23	Before the start of the 12th date of competition	8
Women's Volleyball	23	Before the start of the 12th date of competition	8
Water Polo	22	Before the completion of the 11th date of competition	8
Wrestling	17	Before the start of the ninth date of competition	6
* = Maximum number of contests or dates of competition plus one pursuant to Bylaw 14.2.5-(a)-(b). # = Maximum of nine dates of competition (Bylaw 17) plus two dates of competition pursuant to Bylaw 14.2.5.2.5.3. ** = See Bylaw 14.2.5.2.5.1.			



# Financial Aid

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## 15.01 GENERAL PRINCIPLES

**15.01.1 Institutional Financial Aid.** A student-athlete may receive scholarships or grants administered by (see Bylaw 15.02.1) an educational institution that do not conflict with the governing legislation of this Association. (*Revised: 4/30/09*)

**15.01.1.1 Financial Aid to Attend Another Institution.** An institution may not provide financial aid to a student-athlete to attend another institution, except as specifically authorized by NCAA legislation. (*Adopted: 1/16/93*)

**15.01.2 Improper Financial Aid.** Any student-athlete who receives financial aid other than that permitted by the Association shall not be eligible for intercollegiate athletics.

**15.01.3 Institutional Financial Aid.** A member institution shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance. (*Adopted: 1/8/01 effective 8/1/02, Revised: 8/22/06, 1/17/08*)

**15.01.4 Contributions by Donor.** It is not permissible for a donor to contribute funds to finance a scholarship or gift aid for student-athletes. (*Revised: 1/12/99*)

**15.01.5 Student-Athlete Financial Aid Endowments or Funds.** No part of an institution's financial aid budget shall be set aside either for particular sports or for athletics in general, nor may an institution establish athletically related quotas of financial aid recipients. (*Adopted: 1/8/01 effective 8/1/02, Revised: 1/12/04 effective 8/1/08, 4/26/06*)

**15.01.6 Athletics Need Fund.** A member may not establish an "athletics need fund" for student-athletes and advertise its availability to prospective student-athletes who may qualify for need-based aid. (*Adopted: 1/8/01 effective 8/1/02*)

**15.01.7 Eligibility of Student-Athletes for Institutional Financial Aid.** A student-athlete must meet institutional regulations applicable to the general student body to be eligible for institutional financial aid. (*Revised: 1/12/99 effective 8/1/99*)

**15.01.8 Maximum Institutional Financial Aid to Individual.** An institution shall not award financial aid to a student-athlete that exceeds the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution (see Bylaw 15.4).

## 15.02 DEFINITIONS AND APPLICATIONS

**15.02.1 "Administered By."** Financial aid is administered by an institution if the institution, through its regular committee or other agency for the awarding of financial aid to students generally, makes the final determination of the student-athlete who is to receive the award and of its value.

**15.02.2 Financial Aid.** "Financial aid" is funds provided to student-athletes from various sources to pay or assist in paying their cost of education at the institution. As used in NCAA legislation, "financial aid" includes all institutional financial aid and other permissible financial aid as set forth below.

**15.02.2.1 Institutional Financial Aid.** The following sources of financial aid are considered to be institutional financial aid:

- (a) All funds administered by the institution, which include, but are not limited to, the following: (*Revised: 1/11/94 effective 8/1/94, 1/14/97 effective 8/1/97*)
  - (1) Scholarships;
  - (2) Grants;
  - (3) Tuition waivers;
  - (4) Employee dependent tuition benefits;

- (5) Loans;
- (6) Employment earnings from federal and state work-study program assistance; and *(Revised: 1/12/99 effective 8/1/99)*
- (b) Aid from government or private sources for which the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient.

**15.02.2.2 Other Permissible Financial Aid.** The following sources of financial aid are also permitted:

- (a) Financial aid received from anyone on whom the student-athlete is naturally or legally dependent;
- (b) Financial aid awarded solely on bases having no relationship to athletics leadership, ability, participation or performance; *(Revised: 8/22/06, 4/30/09)*
- (c) Financial aid awarded through an established and continuing outside program (e.g., National Merit Scholar) in which athletics participation is not a major criterion;
- (d) Financial aid awarded through an established and continuing outside program (e.g., National Football Foundation) for the recognition of outstanding high school graduates, in which athletics participation may be a major criterion, as outlined in Bylaw 15.2.3.5; and
- (e) Educational expenses awarded by the U.S. Olympic Committee or a U.S. national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country). *(Adopted: 1/10/95 effective 8/1/95)*

**15.02.3 Cost of Attendance.** The “cost of attendance” is an amount calculated by an institutional financial aid office, regular college agency, office or committee, using federal regulations that includes the cost of tuition and fees, room and board, required course-related books, transportation and other expenses incidental to attendance at the institution. *(Revised: 1/11/89 effective 8/1/89, 5/7/07)*

## 15.1 MAXIMUM LIMIT ON FINANCIAL AID—INDIVIDUAL

A student-athlete shall not be eligible to participate in intercollegiate athletics if he or she receives financial aid that exceeds the value of cost of attendance as defined in Bylaw 15.02.3 (see Bylaw 15.01.7).

**15.1.1 Types of Aid Included in Limit.** In determining whether a student-athlete's financial aid exceeds the value of cost of attendance, all institutional financial aid (per Bylaw 15.02.2.1) and all funds received from the following and similar sources shall be included:

- (a) **Employment.** Employment earnings from federal and state work-study program assistance; *(Revised: 1/16/93, 1/14/97 effective 8/1/97, 1/12/99 effective 8/1/99)*
- (b) **Government Grants.** Government grants for educational purposes, except for those listed in Bylaw 15.2.2;
- (c) **Other Scholarships and Grants.** Other institutional or outside scholarships or gift aid; *(Revised: 1/10/95 effective 8/1/95)*
- (d) **Gifts.** The value of gifts given to a student-athlete after completion of eligibility in appreciation for or recognition of the student-athlete's athletics accomplishments;
- (e) **Professional Sports Stipend.** Any bonus or salary (no matter when received or contracted for) from a professional sports organization;
- (f) **Athletics Participation Compensation.** Any other income (no matter when received or contracted for) from participation in an athletics event unless eligibility has been exhausted in that sport; and *(Revised: 1/11/94 effective 8/1/94)*
- (g) **Loans.** Loans, except legitimate loans that are based upon a regular repayment schedule, available to all students and administered on the same basis for all students.

**15.1.2 Reduction When Excess Aid is Awarded.** In the event that a student-athlete's financial aid from the sources listed in Bylaw 15.1.1, which includes institutional financial aid, will exceed cost of attendance for the balance of the academic year, the institution shall reduce institutional financial aid so as not to exceed cost of attendance. Payments credited to a student-athlete's account that are not refundable by the institution to the institution's financial aid office, regular college agency, office or committee shall not become the student's obligation. *(Revised: 5/7/07)*

## 15.2 ELEMENTS OF FINANCIAL AID

**15.2.1 Tuition and Fees.** An institution may provide a student-athlete financial aid that includes the actual cost of tuition and required institutional fees.

**15.2.2 Government Grants.** Government grants for educational purposes, except those identified in Bylaw 15.2.2.1, shall be included when determining whether a student-athlete's financial aid exceeds the value of the cost of attendance. *(Revised: 1/11/89, 4/30/09)*

**15.2.2.1 Exempted Government Grants.** The following government grants for educational purposes shall not be included when determining whether the student-athlete's financial aid exceeds the value of the cost of attendance: (*Adopted: 1/11/89, Revised: 4/30/09*)

- (a) **AmeriCorps Program.** Benefits received by student-athletes under the AmeriCorps Program; (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Disabled Veterans.** State government awards to disabled veterans; (*Revised: 1/7/06*)
- (c) **Military Reserve Training Programs.** Payments to student-athletes for participation in military reserve training programs (e.g., payments by the U.S. government for a student's participation in advanced ROTC or National Guard training shall not be construed under this principle to be "employment" during semester or term time);
- (d) **Montgomery G.I. Bill.** Benefits received by student-athletes under the Montgomery Bill—Active Duty and the Montgomery G.I. Bill—Selected Reserve;
- (e) **Special U.S. Government Entitlement Programs.** Payments by the U.S. government under the terms of the Dependents Education Assistance Program (DEAP), Social Security Insurance Program [including the Reinstated Entitlement Program for Survivors (REPS)] or Non-Service-Connected Veteran's Death Pension Program;
- (f) **U.S. Military Annuitant Pay.** U.S. military annuitant pay or other family member service-related death benefits received by student-athletes from the U.S. military; (*Revised: 4/11/06*)
- (g) **Veterans Educational Assistance Program (VEAP).** Benefits received by student-athletes under the VEAP;
- (h) **Vocational Rehabilitation for Service-Disabled Veterans Program.** Benefits received by student-athletes under the Vocational Rehabilitation for Service-Disabled Veterans Program; or
- (i) **Welfare Benefits.** Welfare benefits received from a state or federal government. (*Adopted: 1/14/97 effective 8/1/97*)

### **15.2.3 Financial Aid from Outside Sources.**

**15.2.3.1 Report of Aid from Outside Sources.** All financial assistance received by the student-athlete from sources outside the institution shall be reported to the institution's financial aid office. When outside aid is received after the financial aid program of the institution has been offered to the student, the institution's financial aid office shall be notified and an adjustment of the aid already offered shall take place in order that the total aid available from all sources does not exceed the cost of attendance. (*Adopted: 1/8/01 effective 8/1/02, Revised: 4/30/09*)

**15.2.3.2 Aid from Outside Sources.** Financial aid received from outside sources that meets the provisions of Bylaws 15.2.3.5 or 15.2.3.6 (or an award made through the NCAA postgraduate scholarship program) is permissible without consideration of the recipient's financial need. (*Adopted: 1/11/89, Revised: 1/12/99*)

**15.2.3.3 Parents and Legal Guardians.** A student-athlete may receive financial aid from anyone on whom the student-athlete is naturally or legally dependent.

**15.2.3.4 No Relationship to Athletics Leadership, Ability, Participation or Performance.** A student-athlete may receive financial aid awarded solely on bases having no relationship to athletics leadership, ability, participation or performance. (*Revised: 8/22/06, 4/30/09*)

**15.2.3.5 Athletics Leadership, Ability, Participation or Performance as a Major Criterion.** A student-athlete may receive financial aid through an established and continuing program for the recognition of outstanding high school graduates, provided the following conditions are met: (*Revised: 1/10/05, 8/22/06*)

- (a) The award shall be made on the basis of the recipient's past performance and overall record, as measured by established criteria of which athletics leadership, ability, participation or performance may be a major criterion; (*Revised: 8/22/06, 4/30/09*)
- (b) The recipient's choice of institutions shall not be restricted by the donor of the aid;
- (c) The awarding individual or organization and the donor of the aid shall not be representatives of the athletics interests or an athletics booster group of a member institution;
- (d) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of cost of attendance;
- (e) The award may be provided to the recipient on only one occasion; and
- (f) The award is not received from an outside sports team or organization that conducts a competitive sports program by a member of that team.

**15.2.3.5.1 Eligibility Effects of Improper Aid from Outside Organization.** It is not permissible for a student-athlete to receive financial aid, directly or indirectly, from a source outside the institution (e.g., a foreign government, a sports association, a high school booster club) for expenses related to attendance at a member institution, if the award of such financial aid is based in any degree upon the recipient's athletics leadership, ability, participation or performance, except as permitted in Bylaw 15.2.3.5. Receipt of financial

aid from such a source renders the student-athlete ineligible for all intercollegiate athletics participation. *(Revised: 8/22/06, 4/30/09)*

**15.2.3.6 Educational Expenses—U.S. Olympic Committee and National Governing Body.** A student-athlete may receive educational expenses awarded by the U.S. Olympic Committee or a U.S. national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country). The amount of the financial assistance shall be subject to the following limitations: *(Adopted: 1/10/95 effective 8/1/95, Revised: 1/4/07)*

- (a) Disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution;
- (b) The recipient's choice of institutions shall not be restricted by the U.S. Olympic Committee or national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country); and *(Revised: 1/4/07)*
- (c) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of cost of attendance.

**15.2.4 Summer Financial Aid.** Summer financial aid may be awarded to student-athletes in accordance with institutional policies applicable to the general student body. *(Adopted: 1/11/00 effective 8/1/00)*

**15.2.4.1 Olympic Waivers.** Waivers of the restriction that financial aid may be used only to attend the awarding institution's summer term or summer school may be approved by the Management Council, by a two-thirds majority of its members present and voting, for member institutions that have summer terms or summer schools curtailed because of the use of their facilities for the Olympic Games.

## 15.3 TERMS AND CONDITIONS OF AWARDING INSTITUTIONAL FINANCIAL AID

**15.3.1 Eligibility of Student-Athletes for Financial Aid.** A student-athlete must meet institutional regulations applicable to the general student body to be eligible for institutional financial aid (see Bylaws 15.01.7 and 15.01.8). *(Revised: 1/12/99 effective 8/1/99)*

**15.3.1.1 Withdrawal from Institution.** A student-athlete who withdraws from the institution may not receive financial aid during the remainder of the term.

### 15.3.2 Terms of Institutional Financial Aid Award.

**15.3.2.1 Written Statement Requirement.** In all cases, the institutional financial aid award shall contain a written statement of the amount, duration, conditions and terms of the award. *(Revised: 1/12/99)*

## 15.4 FINANCIAL AID

**15.4.1 Consistent Financial Aid Package.** The composition of the financial aid package offered to a student-athlete shall be consistent with the established policy of the institution's financial aid office, regular institutional agency, office or committee for all students and shall meet all of the following criteria: *(Revised: 5/7/07)*

- (a) A member institution shall not consider athletics leadership, ability, participation or performance as a criterion in the formulation of the financial aid package; *(Revised: 8/22/06, 4/30/09)*
- (b) The financial aid procedures used for a student-athlete are the same as the existing official financial aid policies of the institution;
- (c) The financial aid package for a particular student-athlete cannot be clearly distinguishable from the general pattern of all financial aid for all recipients at the institution; and
- (d) The percentage of the total dollar value of institutionally administered grants and scholarships (or gift aid) awarded to student-athletes shall be closely equivalent to the percentage of student-athletes within the student body. A differential is defensible if it can be demonstrated that the average need of the student-athletes at the institution is equivalently greater than the average need of other students. *(Revised: 4/30/09)*

**15.4.1.1 Financial Aid Reporting Process.** An institution shall submit an annual report that includes data regarding the financial aid packages awarded by the institution to freshmen and incoming transfer student-athletes and to other incoming students. The policies and procedures of the financial aid reporting process shall be established by the Financial Aid Committee and subject to approval by the Management Council. *(Adopted: 1/12/04 effective 8/1/05, Revised: 1/9/06, 4/14/08)*

**15.4.1.1.1 Late Submission.** An institution that submits its annual electronic report after the due date will be subject to a loss of eligibility for championships. The period of ineligibility shall last until the report is submitted. *(Revised: 1/9/06 effective 8/1/06, 8/3/06)*

**15.4.1.1.1.1 Appeal Opportunity.** An institution may appeal the application of this penalty to the Financial Aid Committee, subject to final approval by the Management Council. *(Revised: 1/9/06)*



#### **15.4.1.1.2 Failure to Submit the Annual Financial Aid Report.**

**15.4.1.1.2.1 Penalty Period.** A penalty period of not less than three academic years shall apply to an institution that fails to submit the annual financial aid report subject to the conditions set forth in this bylaw. The penalty period begins once a first failure (see Bylaw 15.4.1.1.2.1.1) occurs. *(Adopted: 10/19/10 effective 8/1/11)*

**15.4.1.1.2.1.1 First Failure.** An institution shall be placed on probation for the length of the penalty period beginning with the next academic year after the failed submission. During the first year of the penalty period, the institution shall be subject to the probationary year conditions in Constitution 3.02.3.1.2.1. *(Adopted: 10/19/10 effective 8/1/11)*

**15.4.1.1.2.1.2 Second Failure.** An institution shall be placed in restricted membership in accordance with Constitution 3.02.3.1.2.2 for one academic year beginning with the next academic year after a second failure is discovered during the penalty period. Failure to meet any of the following requirements shall be considered a second failure: *(Adopted: 10/19/10 effective 8/1/11)*

- (a) Annual financial aid report;
- (b) Sports-sponsorship requirement; or
- (c) Conditions of probation (see Constitution 3.02.3.1.2.1).

**15.4.1.1.2.1.3 Third Failure.** An institution shall forfeit immediately its membership in the Association after a third failure is discovered during the penalty period. Failure to meet any of the requirements in Bylaw 15.4.1.1.2.1.2 shall be considered a third failure. *(Adopted: 10/19/10 effective 8/1/11)*

**15.4.1.1.2.2 Waiver.** The Management Council, on the recommendation of the Membership Committee, may grant waivers of Bylaw 15.4.1.1.2 if it deems that extenuating circumstances warrant such action. *(Adopted: 1/9/06 effective 8/1/06)*

**15.4.2 Administration by Regular Institutional Agency.** All forms of financial assistance for student-athletes shall be handled through the regular institutional agency or committee that administers financial aid for all students.

**15.4.3 Written Offer of Aid.** Financial assistance may be offered in writing or through secure electronic medium, only by the institution's financial aid office or comparable campus office and not before the student has been admitted. *(Revised: 4/30/09)*

**15.4.4 Need Analysis Method.** Any need-based assistance provided to a student-athlete must be based on financial need as determined by need analysis methodologies that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete shall be consistent with the methodologies used by the institution for all students. *(Revised: 1/10/95)*

**15.4.5 Athletics Staff Involvement.** Members of the athletics staff of a member institution shall not be permitted to arrange or modify the financial aid package (as assembled by the financial aid officer or financial aid committee) and are prohibited from serving as members of member institutions' financial aid committees and from being involved in any manner in the review of the institutional financial assistance to be awarded to a student-athlete.

**15.4.6 Matrix-Rating System.** In instances in which admissions officers use a matrix-rating system where, as part of the admissions process, any consideration of athletics leadership, ability, participation or performance are used in the matrix, such consideration must be excluded before review of the student-athlete's application for financial aid. *(Revised: 8/22/06, 5/7/07, 4/30/09)*

**15.4.7 Adjustments to Financial Aid Package.** Adjustments to the composition of the financial aid package for a prospective student-athlete may be made after the initial packaging for the student has been completed, provided such adjustments fit within the packaging guidelines for all of the institution's prospective students and there is no athletics department involvement in the process. *(Adopted: 1/10/92)*

**15.4.8 Faculty/Staff Benefits.** Tuition remission, assistance or similar remuneration granted as a benefit of employment to a faculty or staff member shall not be included as institutional financial aid in the case of that faculty or staff member's dependent. Tuition waivers that are granted for reasons other than a benefit of employment to the dependent of a faculty or staff member shall be included as financial aid. *(Revised: 4/30/09)*

**15.4.9 Membership Reclassification.** An institution petitioning to reclassify its membership to Division II in the next academic year may offer financial aid based on athletics to enrolled student-athletes and to prospective student-athletes who will enroll in the institution after the review of its request for reclassification of division membership, provided: *(Revised: 1/8/01 effective 8/1/02, 1/12/04)*

- (a) The financial aid based on athletics is not actually awarded until the institution has been accepted by the NCAA Division II Membership Committee to begin the reclassification process; *(Revised: 1/12/04)*
- (b) The institution officially has applied for the reclassification at the time it offers the aid;

- (c) Any offer of athletically related financial aid of the recipient states in writing that the awarding of such aid is contingent upon the institution's acceptance by the NCAA Division II Membership Committee to begin the reclassification process;
- (d) The institution agrees to notify each potential recipient promptly if its application is denied; and (*Revised: 1/12/04*)
- (e) The institution notifies all of its opponents that it is providing athletics aid. (*Revised: 1/12/04*)

## BYLAW, ARTICLE 16

# Awards, Benefits and Expenses for Enrolled Student-Athletes

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## 16.01 GENERAL PRINCIPLES

**16.01.1 Exception for Benefits Available to Other Students.** The receipt of a benefit by a student-athlete or his or her relatives or friends that is not authorized by NCAA legislation is not a violation if it is demonstrated that the same benefit generally is available to the institution's students, their relatives or friends or to a particular segment of the student body determined on a basis unrelated to athletics ability. (*Revised: 1/10/91, 10/15/08*)

**16.01.2 Pay for Unspecified Expenses.** A payment to a student-athlete for unspecified, unitemized, excessive or improper expenses is not permitted.

**16.01.3 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** Unless otherwise noted, for violations of all Bylaw 16 provisions in which the value of the benefit is \$100 or less, the eligibility of the student-athlete shall not be affected conditioned on the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of these bylaws remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. For violations of Bylaw 16 in which there is no monetary value to the benefit, violations shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the student-athlete's eligibility. (*Adopted: 1/8/01, Revised: 10/18/04, 1/9/06*)

**16.01.4 Eligibility Effect of Violation.** Receipt by a student-athlete of an award, benefit or expense allowance not authorized by NCAA legislation renders the student-athlete ineligible to compete while representing the institution in the sport for which the improper award, benefit or expense was received. If the student-athlete receives an extra benefit not authorized by NCAA legislation or an improper award or expense allowance in conjunction with competition that involves the use of overall athletics skill (e.g., "superstars" competition), the individual is ineligible in all sports.

## 16.02 DEFINITIONS AND APPLICATIONS

**16.02.1 Award.** An award is an item given in recognition of athletics participation or performance. Such awards are subject to the limitations set forth in Bylaw 16.1.

**16.02.2 Excessive Expense.** An excessive expense is one not specifically authorized under regulations of the Association concerning awards, benefits and expenses.

**16.02.3 Extra Benefit.** An extra benefit is any special arrangement by an institutional employee or a representative of the institution's athletics interests to provide a student-athlete or the student-athlete's relative or friend a benefit not expressly authorized by NCAA legislation. Receipt of a benefit by student-athletes or their relatives or friends is not a violation of NCAA legislation if it is demonstrated that the same benefit is generally available to the institution's students or their relatives or friends or to a particular segment of the student body determined on a basis unrelated to athletics ability. (*Revised: 1/10/91, 10/15/08*)

**16.02.4 Pay.** Pay is the receipt of funds, awards or benefits not permitted by governing legislation of the Association for participation in athletics. (See Bylaw 12.1 for explanation of forms of pay prohibited under the Association's amateur-status regulations.)

## 16.1 AWARDS

### 16.1.1 Application of Awards Legislation.

**16.1.1.1 Enrolled Student-Athlete.** The awards limitations of Bylaw 16.1 apply to awards received by a student-athlete while enrolled during the academic year (i.e., from the beginning of the fall term through completion of the spring term, including any intervening-vacation period) as a regular student in a minimum full-time academic load, or awards received by a student-athlete who competes while representing the student-athlete's institution at any other time. [R]

**16.1.1.2 Student-Athlete Not Enrolled.** Awards received by a student-athlete participating in an event while not enrolled as a regular student during the academic year, or received during the summer while not representing his or her institution, shall conform to the regulations of the recognized amateur organization that governs the competition and may not include cash. Such awards may include gift certificates or gift cards that are not redeemable for cash and items that are not personalized, provided the awards are permitted by the rules of the amateur sports organization. [R] (*Revised: 7/21/09*)

**16.1.1.2.1 Exception—Individual Sports—Prize Money Outside the Playing Season During the Institution's Official Summer Vacation Period.** In individual sports, a student-athlete may accept prize money that does not exceed his or her actual and necessary expenses, pursuant to Bylaw 12.1.5.1-(b). (*Adopted: 1/15/11*)

**16.1.1.2.2 Olympic Games.** It is permissible for a student-athlete to receive a nonmonetary award associated with participation in the Olympic Games at any time regardless of when the games are held or whether the student-athlete is enrolled during the academic year. (*Adopted: 1/8/01*)

**16.1.1.3 After Completion of Athletics Eligibility.** Awards limitations apply to enrolled student-athletes who have exhausted their intercollegiate athletics eligibility. An institution shall be held responsible through the Association's enforcement procedures for the provision of improper awards to graduating seniors by the institution or its booster organizations.

**16.1.2 Uniformity of Awards.** Awards presented by a member institution, conference or other approved agency must be uniform for all team members receiving the award. [R]

**16.1.3 Nonpermissible Awards.** The following awards are prohibited unless received per Bylaw 16.1.1.1 or 16.1.1.2, except that receipt of a cash award is not permitted under any circumstance. [R]

**16.1.3.1 Cash or Equivalent.** An individual may not receive a cash award for athletics participation. An individual may not receive a cash-equivalent award (i.e., an item that is negotiable for cash or trade or other services, benefits or merchandise) for athletics participation. [R]

**16.1.3.2 Gift Certificates or Gift Cards Redeemable for Cash.** Gift certificates or gift cards that are redeemable for cash shall be prohibited. [R] (*Revised: 1/10/90, 1/9/96 effective 8/1/96, 7/21/09*)

**16.1.3.3 Country Club or Sports-Club Memberships.** An award of a country club or sports-club membership is strictly prohibited, even if the cost of the membership is below the maximum award value permitted by NCAA legislation. [R]

**16.1.3.4 Transfer of Nonpermissible Award.** Cash or any other award that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency (e.g., a collegiate institution). [R]

**16.1.4 Types of Awards, Awarding Agencies, Maximum Value and Numbers of Awards.** Athletics awards given to individual student-athletes shall be limited to those approved or administered by an institution, its conference or an approved agency as specified in the following subsections and shall be limited to normal retail value and number as specified in this section. Each of the following subsections is independent of the others so that it is permissible for an individual student-athlete to receive the awards described in all subsections. The value of an award may not exceed specified value limits, and a student-athlete may not contribute to its purchase in order to meet those limits. [R] (*Revised: 1/11/00 effective 8/1/00*)

**16.1.4.1 Participation Awards.** Awards for participation in intercollegiate athletics may be presented each year, limited in value and number as specified in Figure 16-1. Awards for participation in special events may be provided only to student-athletes eligible to participate in the competition. [R] (*Revised: 1/10/05*)

**16.1.4.2 Awards for Winning Conference and National Championships.** Awards for winning an individual or team conference or national championship may be presented each year, limited in value and number as specified in Figure 16-2. Awards for winning a conference or national championship in a team sport may be provided only to student-athletes who were eligible to participate in the championship event. The total value of any single award received for a conference or national championship may not exceed the value in Figure 16-2, and each permissible awarding agency is subject to a separate limit per award. Each permissible awarding agency may provide only a single award for each championship to each student-athlete. Separate awards may be presented to both the regular-season conference champion and the postseason conference champion (with a separate limitation), but if the same institution wins both the regular-season and postseason conference championship, the combined value of both awards shall not exceed the value in Figure 16-2. [R] (*Revised: 1/10/05*)

**16.1.4.3 Special Achievement Awards.** Awards may be provided each year to individual student-athletes and teams to recognize special achievements, honors and distinctions, limited in value and number as specified in Figure 16-3. [R] (*Revised: 1/9/96 effective 8/1/96, 1/10/05*)

**16.1.4.4 Local Civic Organization.** A local civic organization (e.g., Rotary Club, Touchdown Club) may provide awards to a member institution's team(s). The following regulations shall apply to such an event: [R] (*Adopted: 1/10/92*)

- (a) All awards must be approved by the institution and must be counted in the institution's limit for institutional awards; and
- (b) The organization may not recognize or provide awards to prospective student-athletes at the banquet.

### 16.1.5 Purchase Restrictions.

**16.1.5.1 Assignment of Normal Retail Value.** Normal retail value shall be assigned as the value of an award when determining whether an award meets specified value limits, even when a member institution receives institutional awards from an athletics representative or organization free of charge or at a special reduced rate. Normal retail value is the cost to the institution that is based solely on volume and is available to all purchasers of a similar volume and that does not involve an obligation to make additional purchases to enable the supplier to recover the costs for the original purchase. (*Adopted: 1/16/93*)

**16.1.5.2 Supplementary Purchase Arrangement.** An institution may not enter into a supplementary purchase arrangement with an awards supplier whereby the supplier agrees to sell an award (e.g., a watch or ring) at a price below the maximum amount specified by NCAA legislation with the understanding that the institution will make additional purchases of other unrelated items to enable the supplier to recover the costs for the original purchase. Such an arrangement would exceed the specific value limitations placed on permissible awards. [R]

**16.1.5.3 Combining Values.** An institution may not combine the value limits of awards given in a sport during the same season, or given to student-athletes who participate in more than one sport, to provide an award more expensive than permissible under separate application to some or all of its participating student-athletes. [R]

**16.1.5.4 Student-Athlete Contribution to Purchase.** The value of an award may not exceed specified value limits, and a student-athlete may not contribute to its purchase in order to meet those limits. [R]

**16.1.6 Institutional Awards Banquets.** An institution may conduct awards banquets to commemorate the athletics and/or academic accomplishments of its student-athletes. (*Revised: 1/9/96 effective 8/1/96*)

**16.1.6.1 Booster Club Recognition Banquet.** One time per year, an institution's athletics booster club may finance an intercollegiate team's transportation expenses to a recognition banquet, provided all expenses are paid through the institution's athletics department, the location of the event is not more than 100 miles from the campus, and no tangible award is provided to members of the team. [R] (*Revised: 1/9/96 effective 8/1/96*)

### 16.1.7 Expenses to Receive Noninstitutional Awards.

**16.1.7.1 Established Regional, National or International Awards.** An institution or an outside organization (other than a professional sports organization) may provide actual and necessary expenses for a student-athlete to travel to a banquet designed to recognize the individual's accomplishments as a student-athlete in order for the student-athlete to receive an established regional, national or international award (permitted by NCAA legislation). The institution or outside organization also may provide actual and necessary expenses for the student-athlete's relatives or individuals of a comparable relationship to attend the banquet. [R] (See Bylaw 16.6.1.2) (*Revised: 1/9/06, 1/12/11, 5/4/11*)

**16.1.7.2 Recognition by Federal, State or Local Government Official or Body.** An institution may provide actual and necessary expenses when a student-athlete or a team is accorded special recognition by a federal, state or local government official or body (e.g., President of the United States, U.S. Congress, governor, senator, mayor) in which the member institution is located, or for an international student-athlete, the equivalent organization in his or her foreign country. [R] (*Revised: 1/9/06, 4/11/06*)

**16.1.7.3 Conference Awards.** Actual and necessary expenses for conference awards may be provided as follows: [R] (*Adopted: 1/10/92, Revised: 1/10/95, 4/28/05, 1/9/06*)

- (a) By a conference or an institution for a student-athlete to travel to the conference office or other site to receive conference awards;
- (b) By a conference for the student-athlete's relatives or individuals of a comparable relationship to attend the presentation of the conference's male or female "student-athlete of the year" award to the student-athlete, provided not more than one male and one female student-athlete receive such an award per academic year; and (*Revised: 1/9/06*)
- (c) By a conference for the student-athlete's relatives or individuals of a comparable relationship to attend the presentation of conference academic awards, provided the academic awards are presented on a regular basis in recognition of outstanding academic achievement. (*Revised: 1/9/06*)

## 16.2 COMPLIMENTARY ADMISSIONS AND TICKET BENEFITS

### 16.2.1 Permissible Procedures.

**16.2.1.1 Institutional Contests in the Student-Athlete's Sport.** An institution may provide four complimentary admissions per home or away contest to a student-athlete in the sport in which the individual participates (either practices or competes), regardless of whether the student-athlete competes in the contest. Complimentary tickets shall be distributed only to persons designated by the student-athlete. Violations of this bylaw that are the result of institutional error shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the student-athlete's eligibility. *(Revised: 1/11/00 effective 8/1/00, 10/22/07)*

**16.2.1.1.1 Exception—NCAA Championships and Bowl Games.** An institution may provide each student-athlete who participates in or is a member of a team participating in an NCAA championship or bowl game with six complimentary admissions to all contests at the site at which the student-athlete (or team) participates. *(Adopted: 1/9/96 effective 8/1/96)*

**16.2.1.1.2 Tournaments.** Complimentary admissions may be provided to members of the institution's team for all contests in a tournament in which the team is participating, rather than only for the games in which the institution's team participates. However, the contests must be at the site at which the institution's team participates.

**16.2.1.2 Institution's Home Contests in Other Sports.** An institution may provide admission for each student-athlete to all of the institution's regular-season home intercollegiate athletics contests in sports other than that in which the student-athlete is a participant, via a printed student-athlete pass or gate list. Proof of identity shall be required upon admission. Violations of this bylaw that are the result of institutional error shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the student-athlete's eligibility. *(Revised: 10/22/07)*

**16.2.1.2.1 Exception—Recognition of Student-Athlete.** An institution may provide four complimentary admissions to a student-athlete who is being recognized during an institution's regular-season intercollegiate athletics contest in a sport other than that in which the student-athlete participates. Complimentary tickets shall be distributed only to persons designated by the student-athlete. *(Revised: 1/9/06)*

### 16.2.2 Nonpermissible Procedures.

**16.2.2.1 Sale of Complimentary Admissions.** A student-athlete may not receive payment from any source for his or her complimentary admissions and may not exchange or assign them for any item of value.

**16.2.2.2 Payment to Third Party.** Individuals designated by the student-athlete to receive complimentary admissions are not permitted to receive any type of payment for these admissions or to exchange or assign them for any item of value. Receipt of payment for complimentary admissions by such designated individuals is prohibited and considered an extra benefit not available to the general student body, which would render the student-athlete ineligible for participation in intercollegiate athletics. [R]

**16.2.2.3 Student-Athlete Ticket Purchases.** An institution may not provide a special arrangement to sell a student-athlete ticket(s) to an athletics event. Tickets shall be available for purchase by student-athletes according to the same purchasing procedures used for other students. [R]

**16.2.2.4 Sale above Face Value.** A student-athlete may not purchase tickets for an athletics contest from the institution and then sell the tickets at a price greater than their face value. [R]

**16.2.2.5 Professional Sports Tickets.** An institution or any representative of its athletics interests may not purchase or otherwise obtain tickets to a professional sports contest and make these tickets available to student-athletes enrolled in an NCAA member institution. Such a gift of tickets would represent an unacceptable extra benefit. (See Bylaw 16.7.2 for permissible provision of professional sports tickets as team entertainment related to an away-from-home contest and Bylaw 16.10.1.6 for permissible provision of professional sports tickets for recognition by a professional sports organization.) [R] *(Revised: 1/10/05)*

## 16.3 ACADEMIC AND OTHER SUPPORT SERVICES

**16.3.1 Academic-Based Programming and Services.** An institution may finance and provide academic-based programming and services for student-athletes, provided similar services are provided or are generally available to the institution's students or to any particular segment of the student body determined on a basis unrelated to athletics ability. [R] *(Revised: 1/14/08, 10/15/08)*

**16.3.2 Support Services and Other Programming.** An institution may finance and provide support services and other programming for student-athletes, provided similar programming is provided or is generally available to the institution's students or to any particular segment of the student body determined on a basis unrelated to athletics ability. Examples of such programming and support services include, but are not limited to: [R] *(Adopted: 4/14/08, Revised: 10/15/08)*

- (a) Leadership programming;
- (b) Career counseling and career-related programming; or



- (c) Programming and services designed to protect and enhance the physical and educational well-being of student-athletes (e.g., cultural diversity programming, time management programming) (see Constitution 2.2).

**16.3.2.1 Exception—In-Season Athletically Related Activities.** Support services and other programming involving sports-related information and having an athletics purpose (e.g., team motivational speakers, leadership-related programming with an athletics nexus) (see Bylaw 17.02.1.1) may be offered exclusively for student-athletes, provided the activity occurs during the declared playing season. *(Adopted: 4/14/08)*

**16.3.3 Student-Athlete Advisory Committee Meetings.** An institution or conference may provide actual and necessary expenses for a student-athlete to attend conference, regional or national student-athlete advisory committee meetings. Institutional-based student-athlete advisory committee programming must be generally available to the institution's students or must be provided pursuant to Bylaws 16.3.1 or 16.3.2 in order for an institution to offer such programming to student-athletes or provide actual and necessary expenses. [R] *(Adopted: 4/14/08)*

**16.3.4 Life Skills Programming.** An institution may provide programming to student-athletes through life skills programs, provided the programming is generally available to the institution's students or is provided pursuant to Bylaws 16.3.1, 16.3.2 or 16.4. [R] *(Adopted: 4/14/08, Revised: 8/30/10)*

## 16.4 MEDICAL EXPENSES

An institution may finance medical-expense benefits incidental to a student-athlete's participation in intercollegiate athletics. However, it is not permissible for an institution to finance nutritional supplements (e.g., weight-gain, muscle/strength-building, weight-loss supplements) as medical-expense benefits incidental to a student-athlete's participation in intercollegiate athletics. [R] *(Adopted: 1/11/00 effective 8/1/00, Revised: 1/13/03, 7/24/07)*

## 16.5 HOUSING AND MEALS

An institution may finance housing and meal benefits incidental to a student-athlete's participation in intercollegiate athletics provided such housing and benefits are available to students in general. [R] *(Adopted: 1/11/00 effective 8/1/00)*

### 16.5.1 Exceptions.

- (a) **Preseason Practice Expenses.** The institution may provide the cost of room and board to student-athletes who report for preseason practice before the start of the academic year, it being understood that the student-athlete has been accepted for admission to the institution at the time such benefits are received. Further, an institution, at its discretion, may provide an additional meal (or cash in an amount equal to the cost of an additional meal) to student-athletes to meet their nutritional needs as a benefit incidental to participation during the preseason practice period before the start of the academic year; and *(Revised: 1/9/06)*
- (b) **Vacation-Period Expenses.** The institution may provide the cost of room and board including an additional meal (or cash in an amount equal to the cost of an additional meal) to student-athletes to meet nutritional needs during the institution's official vacation periods in the following circumstances: [R] *(Revised: 1/9/06)*
  - (1) Student-athletes who are required to remain on the institution's campus for organized practice sessions or competition during the institution's official vacation period during the regular academic year. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution, other than to permit the student-athlete to participate in team meals incidental to practice sessions. If an institution does not provide a meal to its student-athletes, a cash allowance may be provided, not to exceed the amount provided by the institution to institutional staff members on away-from-campus trips. *(Revised: 1/14/97, 1/11/00)*
  - (2) Student-athletes who return to campus during the institution's official vacation period occurring during a regular academic term (e.g., not including vacation periods between terms) from competition as outlined in Bylaw 16.8.1.2. Under such circumstances, room and board expenses may be provided beginning with the student-athlete's arrival on campus until the institution's regular dormitories and dining facilities reopen. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution. *(Adopted: 1/11/00)*
  - (3) Student-athletes who return to campus during the institution's official vacation period between regular academic terms (e.g., summer-vacation period) from competition as outlined in Bylaw 16.8.1.2. Under such circumstances, room and board expenses may be provided for no more than a 48-hour period, beginning with the student-athlete's return to campus. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution. *(Adopted: 1/11/00)*
- (c) **Meals Related to Institutional Committee Service.** A student-athlete who serves on an institutional committee may receive expenses to cover the cost of a meal missed as a result of a committee meeting that occurs when regular institutional dining facilities are open. *(Adopted: 1/11/00)*
- (d) **Meals in Conjunction with Home Competition.** The institution may provide meals to student-athletes at the institution's discretion on the day(s) of competition until they are released by institutional personnel. An



institution shall not provide cash to student-athletes in lieu of meals during this time period. An institution, at its discretion, may provide a meal or cash, but not both, to student-athletes at the time of their release by institutional personnel. *(Adopted: 4/11/11)*

**16.5.1.1 Effect of Violation—Preseason Practice Expenses.** A violation of Bylaw 16.5.1-(a) due to a miscalculation of the permissible start date for preseason practice shall be considered an institutional violation per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility, except knowingly providing impermissible expenses shall affect the eligibility of the student-athlete. *(Adopted: 10/19/10)*

## **16.6 EXPENSES FOR STUDENT-ATHLETE'S FRIENDS AND RELATIVES**

### **16.6.1 Permissible.**

**16.6.1.1 Life-Threatening Injury or Illness.** The institution may pay transportation, housing and meal expenses for the relatives or individuals of a comparable relationship of a student-athlete and for the student-athlete's teammates to be present in situations in which a student-athlete suffers a life-threatening injury or illness or, in the event of a student-athlete's death, to provide these expenses in conjunction with funeral arrangements. [R] *(Revised: 1/11/89, 1/9/06)*

**16.6.1.1.1 Relative of Student-Athlete.** An institution may pay transportation, housing and meal expenses for a student-athlete and the student-athlete's teammates to be present in situations in which a relative or individual of a comparable relationship of the student-athlete suffers a life-threatening injury or illness or, in the event of a relative's or individual of a comparable relationship's death, to provide the student-athlete and the student-athlete's teammates with expenses in conjunction with funeral arrangements. (See Bylaw 16.12.1.) [R] *(Adopted: 1/10/95 effective 8/1/95, Revised: 1/10/05, 1/9/06)*

**16.6.1.2 Relative or Individual of a Comparable Relationship to Established Regional, National or International Awards Banquet.** An institution or an outside organization (other than a professional sports organization) may provide actual and necessary expenses for the student-athlete and the student-athlete's relatives or individuals of a comparable relationship to attend a banquet where the student-athlete receives an established regional, national or international award (permitted by NCAA legislation) for his or her accomplishments as a student-athlete. (See Bylaw 16.1.7.1) [R] *(Revised: 1/9/06, 5/4/11)*

**16.6.1.3 Relative or Individual of a Comparable Relationship Travel to Olympic Games.** A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for a student-athlete's relatives to attend the Olympic Games in which the student-athlete will participate. In addition, relatives or individuals of a comparable relationship of student-athletes may receive nonmonetary benefits provided to the relatives or individuals of a comparable relationship of all Olympic team members in conjunction with participation in the Olympic Games. [R] *(Adopted: 1/11/94, Revised: 8/1/01, 1/9/06)*

**16.6.1.4 Complimentary Admissions to Institutional Awards Banquet.** An institution may provide complimentary admissions to all relatives or individuals of a comparable relationship of a student-athlete who wish to attend an institutional awards banquet at which the student-athlete will be honored. [R] *(Adopted: 1/8/01, Revised: 1/10/05, 1/9/06)*

**16.6.1.5 Relative Lodging at Postseason Events.** An institution may reserve or secure lodging at any postseason athletics event at a reduced or special rate for a relative of a student-athlete who is a participant in the postseason event. It is not permissible for an institution to pay for any portion of the cost of lodging, including any cost associated with reserving or securing lodging. [R] *(Revised: 1/9/06)*

**16.6.1.6 Reasonable Refreshments.** An institution may provide reasonable refreshments (e.g., soft drinks, snacks) on an occasional basis to the relatives or individuals of a comparable relationship of a student-athlete. [R] *(Adopted: 1/10/05, Revised: 1/9/06)*

**16.6.2 Nonpermissible.** An institution may not provide any other expenses (except as permitted in Bylaws 16.6.1, 16.11 and 16.12) to a student-athlete's friends or relatives. *(Adopted: 1/11/00 effective 8/1/00)*

## **16.7 TEAM ENTERTAINMENT**

**16.7.1 Away-from-Home Contests and Vacation Periods.** The institution may pay the actual costs (but may not provide cash) for reasonable entertainment that takes place within a 100-mile radius of where a team plays or practices in connection with an away-from-home contest or en route to or from such a contest. It is not permissible for a team to depart more than 48 hours before, or to remain more than 36 hours after, an event or to transport the team to another area for entertainment purposes. In addition, an institution may pay the actual costs (but may not provide cash) for reasonable entertainment that takes place within a 30-mile radius of the institution's campus or practice site during vacation periods when the team is required to reside on campus (or at a practice site normally used by the institution) and classes are not in session. [R] (See Bylaw 16.7.2.) *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 1/11/94, 1/08/01, 1/12/04 effective 8/1/04, 10/19/06)*

**16.7.1.1 Exceptions.** The time limitations related to the provisions of travel expenses do not apply to the circumstances listed in Bylaw 16.8.1.2.1.1. *(Revised: 1/10/91 effective 8/1/91)*

**16.7.2 Professional Sports Tickets.** Complimentary tickets to professional sports contests shall not be provided to student-athletes, unless the tickets are provided by the institution (or by a representative of athletics interests through the institution) for entertainment purposes to student-athletes involved in an away-from-home contest. Under the latter circumstances, the institution may pay actual costs for reasonable entertainment that takes place during a team trip. (See Bylaw 16.10.1.6.) [R] (*Revised: 1/10/05*)

**16.7.3 Films/Movies/Videotapes.** The institution may rent a film or movie, provide pay-per-view movies in the student-athletes' hotel rooms or take its intercollegiate team to the movies in conjunction with the student-athletes' home or away-from-home contest (immediately before or during the road trip), the night before a contest without the film or movie being considered an extra benefit not available to the student body. Such entertainment the night before a contest is considered a benefit incidental to the student-athletes' participation. [R] (*Adopted: 1/10/92*)

**16.7.4 Practice Sites on Road Trips.** Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites. It is not permissible for an institution to schedule practice sessions at other locations in order to provide entertainment opportunities for team members. [D]

## 16.8 EXPENSES PROVIDED BY THE INSTITUTION FOR PRACTICE AND COMPETITION

**16.8.1 Permissible.** The permissible expenses for practice and competition that an institution may provide a student-athlete are defined in the following subsections. (See Bylaw 16.10 for expenses that may be provided by individuals or organizations other than the institution.)

**16.8.1.1 Practice.** Expenses may be paid for practice sessions only if they are associated with an away-from-home contest or conducted at a site located within the member institution's state or, if outside that state, not more than 100 miles from the institution's campus. [D] (*Revised: 1/14/02*)

**16.8.1.1.1 Practice Sites on Road Trips.** Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites. [D]

**16.8.1.1.2 Skiing—Weather Exception.** In men's and women's skiing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. [D] (*Adopted: 1/11/89*)

**16.8.1.1.3 Women's Rowing—Weather Exception.** In women's rowing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. If practice activities are conducted during an institution's academic term, the practice activities shall occur only at a site located within the member institution's state or, if outside the state, not more than 200 miles from the institution's campus. All practice activities must be within the institution's declared playing and practice season in women's rowing. [D] (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/11/00*)

**16.8.1.1.4 On- or Off-Campus Practice Sites.** An institution may transport student-athletes from a central on-campus site (e.g., the locker room) to an on- or off-campus practice site. (*Adopted: 1/10/92*)

**16.8.1.2 Competition While Representing Institution.** An institution may provide actual and necessary travel expenses (e.g., transportation, lodging and meals) to a student-athlete who is eligible for intercollegiate competition, provided the student-athlete departs for the competition not earlier than 48 hours before the start of the actual competition and remains not more than 36 hours following the conclusion of the actual competition even if the student-athlete does not return with the team. Such competition includes: [D] (*Revised: 1/10/92, 1/13/98, 1/8/01*)

- (a) Regularly scheduled intercollegiate athletics events; and
- (b) NCAA championship events and national governing body championship events in an emerging sport. (*Revised: 1/14/97*)

**16.8.1.2.1 Departure/Return Expense Restrictions.** An eligible student-athlete may receive actual and necessary travel expenses to represent the institution in athletics competition, provided the student-athlete departs for the competition not earlier than 48 hours before the start of the actual competition and remains not more than 36 hours after the conclusion of the actual competition even if the student-athlete does not return with the team. [D] (*Revised: 1/10/91 effective 8/1/91*)

**16.8.1.2.1.1 Exceptions.** These travel expense restrictions do not apply in the following circumstances: (*Revised: 1/10/91 effective 8/1/91*)

- (a) Travel before and after contests in Hawaii or Alaska; (*Revised: 1/10/91 effective 8/1/91*)
- (b) Travel before and after contests in the 48 contiguous states for member institutions located in Hawaii or Alaska; (*Adopted: 1/16/93*)
- (c) Travel before and after regular-season competition that takes place during the institution's official vacation period during the academic year; (*Revised: 1/10/91 effective 8/1/91, 1/11/94, 1/10/95*)

- (d) Travel before contests in NCAA championship events, national governing body championships in emerging sports or postseason football games; (*Revised: 1/10/91 effective 8/1/91, 1/14/97, 1/13/10*)
- (e) Return transportation after contests in NCAA championship events, national governing body championships in emerging sports or postseason football games that take place during the institution's official vacation period during the academic year or between regular academic terms; (*Adopted: 1/13/10*)
- (f) Travel before the National Football Foundation Hall of Fame benefit game or the American Football Coaches Retirement Trust benefit game; (*Adopted: 1/10/92*)
- (g) Travel before and after regular-season competition that takes place during the institution's summer-vacation period; (*Adopted: 1/10/92*)
- (h) Travel before and after regular-season competition that takes place in one or more foreign countries on one trip during the prescribed playing season and limited to not more than once every three years; or (*Adopted: 1/10/92*)
- (i) Travel before the U.S. Gymnastics Federation (USGF) collegiate championships. (*Adopted: 1/16/93*)

**16.8.1.2.2 Transportation for Competition Occurring between Terms.** It is permissible for any athletics department staff member to furnish transportation to eligible student-athletes to the campus from the nearest bus or train station or major airport in conjunction with practice and/or team travel relating to competition that occurs between the end of a final examination period of the fall semester (or fall or winter quarter) and the beginning of the next regular term. (*Adopted: 1/10/90 effective 8/1/90*)

**16.8.1.2.3 Meal-Allowance Limitation.** All student-athletes on the same team must receive identical meal allowances on intercollegiate trips and during vacation periods when student-athletes are required to remain on the institution's campus for organized practice sessions or competition. Such allowances may not exceed the amount provided by the institution to institutional staff members on away-from-campus trips and may not be provided for a particular meal if the student-athlete receives that meal (or its equivalent) from another source. [R] (*Revised: 1/14/97 effective 8/1/97*)

**16.8.1.2.4 Meal Provided by Representative of Athletics Interests.** A representative of the institution's athletics interests may pay for or provide a meal to a student-athlete or team outside of the locale of the institution provided the meal is in conjunction with, or en route to or from, an away-from-home contest. [R] (*Adopted: 7/21/09*)

**16.8.1.3 Other Competition.** During an academic year in which a student-athlete is eligible to represent an institution in athletics competition (or in the next summer), an institution may provide actual and necessary expenses related to participation in the following activities: [R] (*Revised: 1/10/92, 1/14/97*)

- (a) Established national championship events (including junior national championships);
- (b) Specific competition (e.g., Olympic Trials) from which participants may directly qualify for the Olympic, Pan American, World Championships, World Cup, World Youth Championships and World University Games; and (*Revised: 1/9/96 effective 8/1/96, 4/11/06, 7/22/08*)
- (c) One national team tryout competition event from which participants are selected for the national team that will participate in the Olympic Games, Pan American Games, World Cup, World Youth Championships or World University Games. Although national team participants may be selected from multiple tryout events for a particular listed competitive event, an institution may provide expenses for only one such tryout event for each of the listed competitive events. (*Adopted: 7/22/08*)

**16.8.1.3.1 Involvement of Coaching Staff.** An institutional coaching staff member may engage in coaching activities with a student-athlete during the particular event in which the student-athlete competes, regardless of whether the event occurs during or outside of the institution's declared playing season. (See Bylaw 17.1.5.4) (*Adopted: 1/12/04 effective 8/1/04*)

#### **16.8.1.4 Travel to Regular-Season Contests during Vacation Period.**

**16.8.1.4.1 General Rule.** An institution may provide team transportation for a student-athlete to travel from campus to the site of a regular-season contest and back to campus. [R] (*Revised: 7/24/07*)

**16.8.1.4.1.1 Exception.** If a student-athlete travels to a site other than the event site during the vacation period, the institution may provide the cost of round-trip transportation for the student-athlete to travel from campus to the event site and back to campus even if the student-athlete does not travel with the team. The student-athlete shall pay only the difference in cost associated with traveling to a site other than the event site. (*Revised: 7/24/07*)

**16.8.1.5 Travel to NCAA Championships and National Governing Body Championships in Emerging Sports During Vacation Period.** The institution may provide team transportation for a student-athlete to travel from campus to the site of an NCAA championship or national governing body championship in an emerging sport and back to campus. [R] (*Revised: 1/14/97, 1/8/01*)

**16.8.1.5.1 Exceptions.**

- (a) **Student-Athlete Does Not Use Team Transportation.** If the student-athlete goes home during the vacation period, the institution may provide (in lieu of team transportation) the greater of the transportation costs for the student-athlete to travel from: [R]
  - (1) Campus to the event site and back to campus;
  - (2) Campus to the student-athlete's home and back to campus; or
  - (3) The student-athlete's home to the event site and back home. (*Revised: 1/11/89, 1/10/95*)
- (b) **Student-Athlete Uses "Leg" of Team Transportation.** An institution that provides one "leg" of actual team transportation (i.e., campus to the event site or from the event site back to campus) must deduct the value of the actual transportation cost of that "leg" from the allowance provided the student-athlete in (a) above. [R] (*Adopted: 1/10/95*)

**16.8.1.6 Incidental Expenses at NCAA Championships, National Governing Body Championships in Emerging Sports.** An institution may provide \$20 per day to each member of the squad to cover unitemized incidental expenses during travel and practice for NCAA championship events or national governing body championship events in emerging sports, during a period limited to the maximum number of days of per diem allowed for the involved championship or for a period not to exceed 10 days. The \$20 per day may be provided only after the institution's team departs for or reports to the site of the championship. [R] (*Revised: 1/16/93, 1/10/95, 1/14/97*)

**16.8.1.6.1 Excessive Per Diem Subsidies.** When a sponsoring agency provides a per diem in excess of a student-athlete's actual and necessary expenses, it is not permissible for the institution to provide the student-athlete with the cash difference between the amount allocated for per diem by the sponsoring agency and the actual cost of the individual's room and board expenses. (*Adopted: 1/10/91*)

**16.8.1.7 Benefits for Male Practice Players.** A male practice player may only receive benefits (e.g., practice apparel, insurance, medical expenses) available to student-athletes eligible for practice. [R] (*Revised: 7/24/07*)

**16.8.1.8 Sports Organization Membership Fee.** An institution may provide a student-athlete membership in a sports organization (e.g., U.S. Volleyball Association, U.S. Gymnastics Federation) if the membership is a component of an entry fee required for competition in which the student-athlete competes while representing the institution. Further, the student-athlete would be permitted to retain resultant membership benefits under such circumstances.

**16.9 OTHER TRAVEL EXPENSES PROVIDED BY THE INSTITUTION****16.9.1 Permissible.**

**16.9.1.1 Local Media Appearances.** The institution may provide actual and necessary transportation expenses for local media appearances (e.g., radio, television, print media) within a 30-mile radius of the institution's campus if the student-athlete's appearance is related to athletics ability or prestige. [R]

**16.9.1.2 Medical Treatment.** The institution may pay transportation and related expenses for travel to the location of medical treatment as specified in Bylaw 16.4. [R]

**16.9.1.3 Media Days.** The institution may pay actual and necessary expenses for its student-athletes to attend conference-sponsored media days and regularly established local or regional media functions. [R]

**16.9.1.4 National Girls and Women in Sports Day.** An institution may pay actual and necessary expenses for a student-athlete to participate in activities and events associated with National Girls and Women in Sports Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington, D.C., as part of a national celebration. [R] (*Adopted: 1/16/93, Revised: 12/5/06*)

**16.9.1.5 National Student-Athlete Day.** An institution may pay actual and necessary expenses for a student-athlete to participate in activities and events associated with National Student-Athlete Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington D.C., as part of a national celebration. [R] (*Adopted: 1/14/97 effective 8/1/97*)

**16.9.1.6 Local Transportation.** Staff members may provide reasonable local transportation to student-athletes on an occasional basis. [R] (*Adopted: 1/10/95*)

**16.10 PROVISION OF EXPENSES BY INDIVIDUALS OR ORGANIZATIONS OTHER THAN THE INSTITUTION****16.10.1 Permissible.**

**16.10.1.1 Broken-Time Payments.** The student-athlete may receive compensation authorized by the U.S. Olympic Committee to cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation (i.e., "broken-time" payments) is limited to the period immediately before and including actual Olympic competition. The receipt of payments during any other period, or from other sports governing bodies (e.g., U.S. Ski Association) independent of the USOC, would jeopardize the student-athlete's eligibility. [R]

**16.10.1.1.1 Exception When Student-Athlete Not Enrolled in Regular Term.** A student-athlete may receive broken-time payments administered by the U.S. Olympic Committee or the national governing body in the sport during a period when the student-athlete is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers not more than the period from the date the student-athlete begins practice with the national team after selection to that team to one week after the conclusion of the competition. [R] (Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/96)

**16.10.1.2 Exception for USOC Elite Athlete Health Insurance Program.** An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program. (Adopted: 1/10/90)

**16.10.1.3 Service or Religious Organization Encampments.** Nationally recognized service organizations and religious groups may underwrite the actual and necessary expenses of student-athletes attending such encampments. Neither the institution nor an athletically related organization may underwrite such expenses. [R] (Revised: 5/7/10)

**16.10.1.4 Outside Sports Teams.** An amateur outside sports team or organization may provide actual and necessary expenses to team members only if the expenses are: [R]

- (a) A reasonable amount for travel and meal expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.11.3.4); (Revised: 1/10/09)
- (b) For practice and game competition;
- (c) Made on a regular basis; and
- (d) Not an incentive and not based on performance.

**16.10.1.4.1 Practice in Conjunction with Competition.** Practice expenses may be accepted only if such practice is directly related to a competition and is conducted during a continuous time period before the competition. [R] (Adopted: 1/10/92)

**16.10.1.4.1.1 National Team Practice Exception.** If a student-athlete is involved in practice sessions conducted by a national team, the student-athlete may receive such practice expenses even if the practice is not continuous and occasionally is interrupted for specified periods of time before the competition. (Adopted: 1/10/92)

**16.10.1.5 Luncheon Meeting Expenses.** A student-athlete may accept transportation and meal expenses in conjunction with participation in a luncheon meeting of a booster club or civic organization, provided the meeting occurs within a 30-mile radius of the institution's main campus, and no tangible award is provided to the student-athlete. [R]

**16.10.1.6 Recognition by Professional Sports Organization.** A student-athlete may accept complimentary admission to a professional sports contest during which the student-athlete and/or collegiate team is being recognized by the professional sports organization for extraordinary achievements. The institution may pay actual costs for reasonable entertainment that takes place during a team trip. Further, it shall be permissible for the professional sports organization to promote this event to the general public. [R] (Adopted: 1/10/05)

## **16.11 BENEFITS, GIFTS AND SERVICES**

### **16.11.1 Permissible.**

**16.11.1.1 Deferred Pay-Back Loan.** A student-athlete may receive a loan on a deferred pay-back basis without jeopardizing his or her eligibility, provided: [R] (Adopted: 1/11/94)

- (a) The loan arrangements are not contrary to the extra-benefit rule; and
- (b) The student-athlete's athletics reputation, skill or pay-back potential as a future professional athlete is not considered by the lending agency in its decision to provide the loan.

**16.11.1.2 Loan from Established Family Friend.** A student-athlete may receive a loan from an established family friend without such arrangement constituting an extra benefit, provided: [R] (Adopted: 1/11/94)

- (a) The loan is not offered to the student-athlete based in any degree on his or her athletics ability or reputation;
- (b) The individual providing the loan is not considered a representative of the institution's athletics interests; and
- (c) The relationship between the individual providing the loan and the student-athlete existed before the initiation of the student-athlete's recruitment by the member institution.

**16.11.1.3 Disabling-Injury Insurance.** A student-athlete may borrow against his or her future earnings potential from an established, accredited commercial lending institution, exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury that would prevent the individual from

pursuing his or her chosen career, provided a third party (including a member institution's athletics department staff members or representatives of its athletics interests) is not involved in arrangements for securing the loan. The student-athlete shall report any such transactions to the member institution and shall file copies of any loan documents associated with disability insurance and insurance policy with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. [R] (*Revised: 1/14/97 effective 8/1/97*)

**16.11.1.4 Promotional Activity Prize.** A student-athlete, selected through a random drawing open to the general public or the student body, may receive a prize as part of a promotional activity conducted by an institution or held on an institution's campus.

**16.11.1.4.1 Use of Athletics Ability.** Use of athletics ability (e.g., making a half-court basketball shot) to win such a prize in the promotional contest or activity does not affect a student-athlete's eligibility. (*Revised: 1/10/95, 1/9/96 effective 8/1/96*)

**16.11.1.5 Occasional Meals.** A student-athlete or the entire team in a sport may receive an occasional meal from an institutional staff member or representative of the institution's athletics interests under the following conditions: [R] (*Revised: 1/10/92*)

- (a) The occasional meal may be provided at any location in the locale of the institution; (*Adopted: 1/10/92, Revised: 1/10/05, 1/9/06*)
- (b) Meals must be restricted to infrequent and special occasions; and (*Adopted: 1/10/92*)
- (c) Institutional staff members and representatives of the institution's athletics interests may provide transportation to student-athletes to attend such meals. (*Adopted: 1/10/92, Revised: 1/10/95, 1/9/06*)

**16.11.1.5.1 Meal Provided by Representative of Athletics Interests—Outside Locale of Institution.** A representative of the institution's athletics interests may pay for or provide a meal to a student-athlete or team outside of the locale of the institution as long as the meal is in conjunction with, or en route to or from, an away-from-home contest. [R] (*Adopted: 7/21/09*)

**16.11.1.6 Occasional Meals Provided by the Relative of a Student-Athlete.** A student-athlete or a team may receive an occasional meal provided by the relative of a student-athlete at any location. [R] (*Adopted: 7/21/09*)

**16.11.1.7 Nonathletics Apparel Provided by the Institution.** An institution may provide a student-athlete with one shirt (e.g., polo or oxford style) bearing the institution's logo each academic year, to be used for team travel or other events at which the student-athlete is representing the institution. The shirt may bear the manufacturer's or distributor's normal trademark or logo not to exceed 2 1/4 square inches in area, including any additional material surrounding the normal trademark or logo. Further, an institution may issue other nonathletics apparel to student-athletes for team travel or other events in which he or she is representing the institution on an issuance and retrieval basis. [R] (*Revised: 1/9/06*)

**16.11.1.8 Retention of Athletics Apparel and Equipment.** A student-athlete may retain athletics apparel items (not equipment) at the end of the individual's collegiate participation. Used equipment may be purchased by the student-athlete on the same cost basis as by any other individual interested in purchasing such equipment. [R]

**16.11.1.9 Summer Use of Athletics Equipment.** A student-athlete may retain and use institutional athletics equipment (per the institution's normal equipment policy) during a summer-vacation period.

**16.11.1.10 Research Studies Involving Only Student-Athletes.**

**16.11.1.10.1 NCAA Research Studies.** A student-athlete may receive compensation from the Association for participating in specified NCAA research studies. Such compensation shall be consistent with the going rate for compensation offered in studies involving nonathlete populations. [R] (*Adopted: 1/11/00, Revised: 4/20/09*)

**16.11.1.10.2 Institution-Based Research Studies.** A student-athlete may receive compensation from an institution for participating in a research study involving only student-athletes, provided: [R] (*Adopted: 4/20/09*)

- (a) The study is initiated and conducted by a faculty member at an NCAA member institution; and
- (b) The study and compensation arrangements are approved by the institutional review board of the faculty member's institution.

**16.11.1.11 Reasonable Refreshments.** An institution may provide student-athletes with reasonable refreshments (e.g., soft drinks, snacks) for student-athlete educational and business meetings and, on an occasional basis, for celebratory events (e.g., birthdays). [R] (*Adopted: 1/11/00*)

**16.11.1.12 Frequent Flier Points.** A student-athlete may retain frequent flier points and/or miles earned while traveling to and from intercollegiate practice and/or competition. (*Adopted: 1/12/04*)

**16.11.1.13 Fundraisers.** An institution may provide or arrange for fundraisers for student-athletes (or their relatives or individuals of a comparable relationship) under the following extreme circumstances: [R] (*Adopted: 1/10/05, Revised: 1/9/06*)

- (a) Extreme circumstances should be extraordinary and the result of events beyond the student-athlete's control (e.g., life-threatening illness, natural disaster);
- (b) The proceeds must be designated for a specific purpose (e.g., payment of medical bills, purchase of medical equipment, replacement of items lost in a fire, etc.);
- (c) The proceeds may not be given directly to the beneficiaries, but must be disbursed through or paid directly to another entity, with receipt kept on file by the institution; and
- (d) The excess proceeds must be given to a not-for-profit organization with a receipt kept on file by the institution.

**16.11.1.14 Miscellaneous Benefits.** An institution may provide or arrange for the following benefits for a student-athlete: [R] (*Revised: 1/9/06, 7/21/09*)

- (a) The use of a return ticket at any time after conclusion of a foreign tour provided the student-athlete does not miss any class time;
- (b) Participation in receptions and festivities associated with championships, conference tournaments or all-star events hosted by and conducted on the institution's campus;
- (c) Telephone calls in emergency situations as approved by the director of athletics; and
- (d) Reasonable tokens of support and transportation in the event of serious injury, serious illness or death of a relative and transportation to attend the funeral of any relative.

**16.11.1.15 Coaching and Athletics Administration Career Educational Program.** An institution or conference may provide a student-athlete actual and necessary expenses to attend coaching and athletics administration career educational programs (e.g., Women's Basketball Coaches Association—So You Want to Be A Coach, Black Women in Sports Foundation—Next Step Program, U.S. Olympic Committee—Minority/Women in Coaching Leadership, Division III Coaching Symposiums). [R] (*Adopted: 10/17/06*)

## **16.12 EXPENSE WAIVERS**

**16.12.1 Incidental to Participation.** The Management Council, by a two-thirds majority of its members present and voting, or a committee designated by the Management Council, may approve a member institution's request to provide additional expenses, which may include reasonable local transportation incidental to a student-athlete's participation in intercollegiate athletics, when the information presented persuades the Management Council that such a waiver is warranted, because it may be applied on the basis of defined, objective standards; does not create an unfair competitive advantage for the involved institution; would not compromise the intent of the governing legislation; and the request for the waiver is made in a timely manner. (*Adopted: 1/10/90, Revised: 1/10/95, 12/5/06*)

**16.12.1.1 Previously Approved Incidental Expenses.** Specific incidental expenses that have been previously approved on a routine basis by the Management Council, or a committee designated by the Management Council, may be processed by an institution or conference. Documentation of each approval shall be kept on file at the institution or the conference office. (*Adopted: 1/11/00*)



**FIGURE 16-1**  
**Participation Awards**

Type of Award	Maximum Value of Award	Number of Times Award May Be Received	Permissible Awarding Agencies	Maximum Number of Permissible Awarding Agencies
Annual Participation — Underclassmen	\$175	Once per year per sport	Institution	1
Annual Participation — Senior	\$325	Once per year per sport	Institution	1
<b>Special Event Participation</b>				
• Participation in postseason conference championship contest or tournament	\$325*	Once per event	• Institution • Management of event (may include conference office)	2
• Participation in postseason NCAA championship contest or tournament	Institution — \$325 NCAA — No limit	Once per event	• Institution • NCAA	2
• Participation in all-star game	\$350**	Once per event	• Institution • Management of event	2
• Participation in other established meets, tournaments and featured individual competition	\$350*	Once per event	• Institution • Management of event	2

\* The combined value of all awards received for participation in this type of event from the institution and the management of the event may not exceed the maximum value in this figure.

\*\* Each permissible awarding agency is subject to a separate limit per award.

**FIGURE 16-2**  
**Championship Awards**

Type of Award	Maximum Value of Award	Number of Times Award May Be Received	Permissible Awarding Agencies	Maximum Number of Permissible Awarding Agencies
National Championship conducted by NCAA	\$415*	Once per championship	• Institution** • Conference**	2
National Championship not conducted by NCAA***	\$415*	Once per championship	• Institution** • Conference**	2
Conference Championship — regular season	\$325*#	Once per championship	• Institution** • Conference**	2
Conference Championship — postseason contest or tournament	\$325*#	Once per championship	• Institution** • Conference**	2

\* Each permissible awarding agency is subject to a separate limit per award.

\*\* Award may be provided by another organization recognized by the institution or conference to act in its place.

\*\*\* Applies only to sports or classification division in which the NCAA does not conduct championships. Teams must be designated as a

"national champion" by a national wire-service poll or the national coaches association in that sport.

# The combined value of both awards shall not exceed the maximum value in this figure if same institution wins conference regular-season and postseason championships.

**FIGURE 16-3**  
**Special Achievement Awards**

Type of Award	Maximum Value of Award	Number of Times Award May Be Received	Permissible Awarding Agencies	Maximum Number of Permissible Awarding Agencies
Special attainments or contribution to team's season (e.g., scholar-athlete, most improved player, most minutes played, most valuable player)	\$175	Once per category of award per year	• Institution • Conference	2
Conference student-athlete of the year award	\$350	Once per year for one male student-athlete and one female student-athlete	Conference	1
Conference scholar-athlete of the year award	\$350	Once per year for one male student-athlete and one female student-athlete	Conference	1
Most valuable player — special event*	\$325**	Once per event	• Institution • Conference • Organization approved by institution or conference	Unlimited
Most valuable player — bowl game or all-star contest	\$350	Once per event	Sponsoring entity of all-star contest	1
Established regional/national recognition awards (e.g., Jostens Trophy, Gagliardi Trophy)	no limit	Once per year per award	Management of award program	1
Specialized performance in single contest or during limited time period (e.g., player of the game, player of the week)	\$80 (certificate, medal or plaque only)	Unlimited	• Conference • Outside organization (e.g., local business)	Unlimited
Hometown award	\$80	Unlimited	Group (other than institution's booster club) located in the student-athlete's hometown	Unlimited

\* The award recipient must be selected by a recognized organization approved by a member institution or conference.

\*\* Each permissible awarding agency is subject to separate limit per award. Each awarding agency may provide only a single award for each event to each student-athlete.

# Playing and Practice Seasons

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## 17.01 GENERAL PRINCIPLES

**17.01.1 Institutional Limitations.** A member institution shall limit its organized practice activities, the length of its playing seasons and the number of its regular-season contests and/or dates of competition in all sports, as well as the extent of its participation in noncollegiate-sponsored athletics activities, to minimize interference with the academic programs of its student-athletes (see Figure 17-1).

## 17.02 DEFINITIONS AND APPLICATIONS

### 17.02.1 Athletically Related Activities.

**17.02.1.1 Athletically Related Activities.** The following are considered athletically related activities: (*Adopted: 1/10/91 effective 8/1/92*)

- (a) Practice, which is defined as any meeting, activity or instruction involving sports-related information and having an athletics purpose, held for one or more student-athletes at the direction of, or supervised by, any member or members of an institution's coaching staff. Practice is considered to have occurred if one or more coaches and one or more student-athletes engage in any of the following activities:
  - (1) Field, floor or on-court activity;
  - (2) Setting up offensive or defensive alignment;
  - (3) Chalk talk;
  - (4) Lecture on or discussion of strategy related to the sport;
  - (5) Activities using equipment related to the sport;
  - (6) Discussions or review of game films, motion pictures or videotapes related to the sport; or (*Revised: 10/17/06*)
  - (7) Any other athletically related activity. (*Revised: 10/18/04*)
- (b) Competition;
- (c) Required weight-training and conditioning activities held at the direction of or supervised by an institutional staff member;
- (d) Participation in a physical-fitness class (including a summer class) conducted by a member of the athletics staff not listed in the institution's catalog and not open to all students. Such a class may not include practice activities conducted under the guise of physical education class work; (*Adopted: 1/10/95, Revised: 10/17/06*)
- (e) Required participation in camps, clinics or workshops;
- (f) Individual workouts required or supervised by a member of the coaching staff. An institutional staff member may design a voluntary (see Bylaw 17.02.13) individual-workout program for a student-athlete,

but cannot conduct the individual's workout outside the declared playing season; (*Adopted: 1/10/91 effective 8/1/91, Revised: 1/12/04, 1/17/09*)

- (g) On-court or on-field activities called by any member(s) of a team and confined primarily to members of that team that are considered requisite for participation in that sport (e.g., captain's practices);
- (h) Visiting the competition site in cross country, golf and skiing; (*Adopted: 1/16/93*)
- (i) Reservation or use of an institution's athletics facilities when such activities are supervised by or held at the direction of any member of an institution's coaching staff; (*Revised: 1/10/92, 1/16/93*)
- (j) Involvement of an institution's strength and conditioning staff with enrolled student-athletes in required conditioning programs; and (*Revised: 1/10/92, 10/17/06*)
- (k) Observation by an institution's coaching staff member of enrolled student-athletes in nonorganized sport-specific activities (e.g., "pick-up games") in the coaching staff member's sport, except as permitted in Bylaw 17.02.1.1.1-(f). (*Adopted: 1/10/05, Revised: 10/17/06, 1/16/10, 7/20/10*)

**17.02.1.1.1 Exceptions.** The following activities shall not be considered athletically related: (*Revised: 10/17/06*)

- (a) Administrative and academic activities that are nonathletics in nature (e.g., academic meetings and compliance meetings); (*Revised: 1/9/06, 10/17/06*)
- (b) Fundraising and community service activities not involving the use of athletics ability by student-athletes to obtain funds provided the activities receive approval from the institution's chancellor or president (or his or her designee) before the activity (see Bylaw 12.5.1.1 for restrictions on promotional activities); (*Revised: 10/17/06, 7/24/07*)
- (c) Observation of an officiating clinic related to playing rules that is conducted by video conference and does not require student-athletes to miss any class time to observe the clinic; (*Revised: 10/17/06*)
- (d) Observation of enrolled student-athletes in organized competition (e.g., summer league), provided institutional athletics personnel do not direct or supervise the organized activity; (*Revised: 10/17/06*)
- (e) Voluntary individual workouts monitored for safety purposes by strength and conditioning personnel. If the strength and conditioning coach is also a coaching staff member for one of the institution's intercollegiate teams, the monitoring may occur only if that staff member performs monitoring duties for all student-athletes using the facility at that time; (*Revised: 10/17/06, 1/14/08 effective 8/1/08*)
- (f) Voluntary individual strength and conditioning activities conducted by strength and conditioning personnel who have received strength and conditioning certification from a nationally recognized certification program only during the institution's regular academic year; and (*Adopted: 1/15/11 effective 8/1/11*)
- (g) Observation of enrolled student-athletes in nonorganized sport-specific activities, provided: (*Adopted: 1/16/10*)
  - (1) The documented job responsibilities for the coaching staff member include monitoring of an institutional facility for purposes of safety and facility security;
  - (2) The observation occurs while the coaching staff member performs this monitoring responsibility; and
  - (3) The observation occurs while the facility is open to all students. This exception does not permit a coaching staff member to direct, supervise or provide instruction to student-athletes, but permits a coaching staff member to stop any activity that is dangerous to a student-athlete or other students.

**17.02.2 Contest.** A contest is any game, match, exhibition, scrimmage or joint practice session with another institution's or an outside team, regardless of its formality, in which competition in a sport occurs between an intercollegiate athletics team or individual representing a member institution and any other outside team or individual not representing the intercollegiate athletics program of the same member institution. (*Revised: 1/10/91, 1/13/03*)

**17.02.3 Contest, Countable, Institutional.** A countable contest for a member institution, in those sports for which the limitations are based on the number of contests, is any contest by the member institution against an outside team in that sport, unless a specific exemption for a particular contest is set forth in this bylaw. Contests, including scrimmages, by separate squads of the same team against different outside teams shall each count as one contest.

**17.02.4 Contest, Countable, Individual Student-Athlete.** A countable contest for a student-athlete in a sport is any contest in which the student-athlete competes while representing the member institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team of the institution in that sport, unless a specific exemption for a particular contest is set forth in this bylaw.

**17.02.5 Date of Competition.** A date of competition is a single date on which any contest(s), with another institution's or an outside team or individual, takes place. (See Bylaw 17.02.2.) (*Revised: 1/10/91, 1/13/03*)

**17.02.6 Date of Competition, Countable, Institutional.** A countable date of competition for a member institution, in those sports for which the limitations are based on the number of dates of competition, is a single date on which the institution's team engages in competition against an outside team, unless a specific exemption for a particular date of competition is set forth in this bylaw.

**17.02.6.1 Required Minimum Number of Student-Athletes.** For individual sports, a member institution shall be considered to have participated in a date of competition that constitutes a date of competition if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on that date equals or exceeds the minimum number established for that sport, unless otherwise restricted in this bylaw for a particular sport (e.g., golf, tennis). (See Bylaw 20.11.3.5 for listings of minimum numbers of student-athletes per sport.)

**17.02.7 Date of Competition, Countable, Individual Student-Athlete.** A countable date of competition for a student-athlete is any day on which a student-athlete competes while representing the institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team, unless a specific exemption for a particular date of competition is set forth in this bylaw.

**17.02.8 Intercollegiate Competition.** Intercollegiate competition is considered to have occurred when a student-athlete in either a two-year or a four-year collegiate institution does any of the following: (*Revised: 1/10/95*)

- (a) Competes while representing the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (*Revised: 1/10/91*)
- (b) Competes in the uniform of the institution, or, during the academic year, uses any apparel (excluding apparel no longer used by the institution) or equipment received from the institution that includes institutional identification (see Bylaw 16.11.1.9 for regulations governing the use of equipment during the summer); or (*Revised: 1/16/93, 1/11/94*)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.

**17.02.8.1 Exempted Events.** Participation in events listed in Bylaw 16.8.1.3 is exempted from the application of this legislation. (*Revised: 1/10/92*)

**17.02.9 Outside Competition.** Outside competition is athletics competition against any other athletics outside team (including an alumni team) or individual that does not represent the intercollegiate athletics program of the same institution. (*Revised: 1/12/02, 1/13/03*)

**17.02.10 Outside Team.** An outside team is any team that does not represent the intercollegiate athletics program of the institution or a team that includes individuals other than eligible student-athletes of the institution (e.g., members of the coaching staff, ineligible student-athletes, members of the faculty).

**17.02.11 Fall Preseason Practice Formula—Sports Other Than Football.** In cross country, field hockey, golf, rowing, rugby, soccer, tennis and women's volleyball, the first permissible date for preseason practice shall be determined by the application of 16 units in the preseason practice formula, as specified in this section. (*Adopted: 1/10/91 effective 8/1/92, Revised: 1/10/92, 1/12/04, 5/4/09, 7/20/10*)

**17.02.11.1 Unit—Defined.** A "unit" is a numerical value assigned to a particular calendar day within the application of the preseason practice formula that is used to determine the first permissible practice date for fall sports other than football. The number of units assigned to a particular day does not determine or limit the number of practices that may be conducted on that day. (*Adopted: 5/4/09*)

**17.02.11.2 Determining the First Permissible Practice Date for Fall Sports Other Than Football—Application of the 16 Units.** To determine the first permissible practice date, an institution shall count back a total of 16 units on a calendar starting with the day before the first scheduled intercollegiate competition (see Bylaw 17.02.11.2.1), as follows: (*Adopted: 5/4/09*)

- (a) Never assign any units to Sundays on the calendar; it is permissible to practice on Sundays;
- (b) Never assign any units to calendar days during the preseason when all institutional dormitories are closed, the institution's team must leave campus, and practice is not conducted;
- (c) The first six units: assign one unit to each of the six calendar days (not including Sunday) before the first scheduled intercollegiate competition (see Bylaw 17.02.11.2.1);
- (d) The remaining ten units:
  - (1) Assign one unit to each calendar day (not including Sundays) that occurs on or after the opening day of classes for the fall term (see Bylaw 17.02.11.2.2), and
  - (2) Assign two units to each calendar day before the opening day of classes for the fall term (not including Sundays) until the sum of units on the calendar reaches 16;
- (e) The date on which the total count of units on the calendar reaches 16 is the first permissible date for preseason practice (see Bylaw 17.02.11.2.3).

**17.02.11.2.1 First Scheduled Intercollegiate Competition.** The assignment of units for counting using the preseason practice formula should begin on the day before the first scheduled intercollegiate competition (see Bylaw 17.1.1.3.4.1 for exception). It is not permissible to determine the starting date for preseason practice by counting back from the first scheduled preseason exhibition, scrimmage or joint practice season. *(Adopted: 5/4/09)*

**17.02.11.2.2 Opening Day of Classes.** The opening day of classes is defined as the first day of classes as listed in the member institution's official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year. *(Adopted: 1/10/91 effective 8/1/92, Revised: 5/4/09)*

**17.02.11.2.3 Remainder of One Counting Unit.** If the 16th and 17th unit is on the same day, it is permissible to begin practice on that day since it is the day in which the total count of units reaches 16. *(Adopted: 5/4/09)*

**17.02.11.2.4 Football Preseason Practice Formula.** Do not use the preseason practice formula detailed within this section for the sport of football. Instead, use the formula in Bylaw 17.11.2.1.1. *(Adopted: 5/4/09)*

**17.02.11.2.5 Men's Water Polo.** In the sport of men's water polo, the first permissible date for preseason practice shall be determined by the application of 21 units in the preseason practice formula. The 21 units shall be applied in the same manner as set forth in 17.02.11.2(a)-(e) with the exception that there are 15 remaining units after applying 17.02.11.2(c). The remaining 15 units shall be assigned in the manner described in 17.02.11.2(d) Nos. (1) and (2). *(Adopted: 5/4/09)*

**17.02.12 Varsity Intercollegiate Sport.** A varsity intercollegiate sport is a sport that has been accorded that status by the institution's president or chancellor or committee responsible for intercollegiate athletics policy and that satisfies the following conditions: *(Revised: 10/3/05)*

- (a) It is a sport that is administered by the department of intercollegiate athletics;
- (b) It is a sport for which the eligibility of the student-athletes is reviewed and certified by a staff member designated by the institution's president or chancellor or committee responsible for intercollegiate athletics policy; and *(Revised: 10/3/05)*
- (c) It is a sport in which qualified participants receive the institution's official varsity awards.

**17.02.12.1 Team Sports.** The following are classified as team sports for purposes of this bylaw: *(Revised: 1/17/09 effective 8/1/09)*

Baseball	Rowing, Women's
Basketball	Rugby, Women's
Field Hockey	Soccer
Football	Softball
Ice Hockey	Volleyball
Lacrosse	Water Polo

**17.02.12.2 Individual Sports.** The following are classified as individual sports for purposes of this bylaw: *(Revised: 1/17/09 effective 8/1/09, 10/19/10 effective 8/1/11)*

Bowling, Women's	Skiing
Cross Country	Swimming and Diving <i>(Revised: 4/28/05)</i>
Fencing	Tennis
Golf	Track and Field, Indoor and Outdoor
Gymnastics	Wrestling
Rifle	

**17.02.13 Voluntary Athletically Related Activities.** In order for any athletically related activity to be considered "voluntary," all of the following conditions must be met: *(Revised: 1/10/05)*

- (a) The student-athlete must not be required to report back to any of his or her sport-specific coaches any information related to the activity. In addition, no athletics department staff member who observes, monitors or conducts the activity (e.g., strength coach, trainer, manager) may report back to the student-athlete's coach any information related to the activity; *(Revised: 1/15/11 effective 8/1/11)*
- (b) The activity must be initiated solely by the student-athlete. Neither the institution nor any athletics department staff member may require the student-athlete to participate in the activity at any time;
- (c) The student-athlete's attendance and participation in the activity (or lack thereof) may not be recorded for the purposes of reporting such information to coaching staff members or other student-athletes; and
- (d) The student-athlete may not be subjected to penalty if he or she elects not to participate in the activity. In addition, neither the institution nor any athletics department staff member may provide recognition or incentives (e.g., awards) to a student-athlete based on his or her attendance or performance in the activity.

## 17.1 GENERAL PLAYING-SEASON REGULATIONS

Unless set forth differently in the applicable sports section in Bylaw 17, an institution shall conduct its playing season within the parameters stated in this section. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.1 Playing Season.** The playing (i.e., practice and competition) season for a particular sport is the period of time between the date of an institution's first officially recognized practice session and the date of the institution's last practice session or date of competition, whichever occurs later. An institution is permitted to conduct athletically related activities (see Bylaw 17.02.1.1) in each academic year only during the playing season as regulated for each sport in accordance with the provisions of this bylaw. The institution must conduct the same playing season for varsity and subvarsity teams in the same sport. *(Revised: 1/10/92, 4/11/11 effective 8/1/11)*

**17.1.1.1 Declaration of Playing Season.** Each member institution shall determine the playing season for each of the sports referenced under Bylaw 17.02.12. Declaration of the institution's playing season in each such sport shall be on file in writing in the department of athletics before the beginning of the institution's playing season for that sport. Changes in the declaration for a particular sport are permissible and also shall be filed in writing in the office of the institution's director of athletics. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.1.2 Segments of Playing Season.** Segment limitations are applicable to all team sports that are listed under Bylaw 17.02.12.1, except for basketball, football and rowing, and all individual sports listed under Bylaw 17.02.12.2, except golf and tennis (see Bylaw 17.1.1.3.4). The segments shall be defined as follows: *(Revised: 4/11/11 effective 8/1/11)*

- (a) **Traditional Segment.** The portion of the playing season that concludes with the NCAA championship in the sport shall be known as the "traditional segment."
- (b) **Nontraditional Segment.** The remaining portion of the playing season shall be known as the "nontraditional segment."

### 17.1.1.3 Length of Playing Season.

**17.1.1.3.1 Sports with a Fall NCAA Championship.** The length of an institution's playing season shall be limited to a maximum of 18 weeks. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.1.3.1.1 Segment Limitations.** Except for the sport of football (see Bylaw 17.9) and unless otherwise noted in the applicable sport section, the playing season shall be conducted according to the following: *(Revised: 4/11/11 effective 8/1/11)*

- (a) **Traditional Segment.**
  - (1) **First Permissible Practice.** A member institution shall not commence practice before the date that permits a maximum of 16 units in the preseason practice formula (see Bylaw 17.02.11) before the first scheduled intercollegiate competition. This provision is not applicable to men's water polo. [See Bylaw 17.25.2-(a)]
  - (2) **First Contest or Date of Competition.** September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday. (See Bylaw 17.1.4.5.1.2 regarding Alumni Game.) This provision is not applicable to men's water polo. [See Bylaw 17.25.3-(a)]
  - (3) **End of Segment.** All practice and competition shall be completed by the conclusion of the NCAA championship.
- (b) **Nontraditional Segment.**
  - (1) **First Permissible Practice and Competition.** February 1.
  - (2) **End of Segment.** All practice and competition shall be completed not later than five weekdays before the first day of the institution's final examinations for the regular academic year. If an institution's academic year concludes with a nonregular academic term (e.g., May term), then all practice and competition shall be completed not later than the first day of final examinations for that nonregular academic term. [see Bylaws 17.1.2-(c) and (d) regarding holiday, vacation and final examination periods.]

**17.1.1.3.2 Sports with a Winter NCAA Championship.** The length of an institution's playing season shall be limited to a maximum of 19 weeks. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.1.3.2.1 Season Limitations.** Except for the sports of basketball, hockey and wrestling (the applicable provisions are set forth in the specific sports sections) and unless otherwise noted in the applicable sport section, the playing season shall be conducted according to the following: *(Revised: 4/11/11 effective 8/1/11)*

- (a) **First Practice or Competition.** September 7 or the institution's first day of classes for the fall term, whichever is earlier.
- (b) **End of Season.** All practice and competition shall be completed by the conclusion of the NCAA championship. An institution may continue practice and competition beyond the NCAA championship provided it is within 19 weeks and all practice and competition is completed not later than five weekdays before the first day of the institution's final examinations for the regular academic year. If an institution's academic year concludes with a nonregular academic term (e.g.,



May term), then all practice and competition shall be completed not later than the first day of final examinations for that nonregular academic term. [see Bylaws 17.1.2-(c) and (d) regarding holiday, vacation and final examination periods.]

**17.1.1.3.3 Sports with a Spring NCAA Championship, except for Golf, Rowing and Tennis.** The length of an institution's playing season shall be limited to a maximum of 19 weeks. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.1.3.3.1 Segment Limitations.** Unless otherwise noted in the applicable sport section, the playing season shall be conducted according to the following: *(Revised: 4/11/11 effective 8/1/11)*

(a) **Traditional Segment.**

- (1) **First Practice or Competition.** September 7 or the institution's first day of classes for the fall term, whichever is earlier.
- (2) **End of Segment.** All practice and competition shall be completed by the conclusion of the NCAA championship.

(b) **Nontraditional Segment.**

- (1) **First Practice or Competition.** September 7 or the institution's first day of classes, whichever is earlier.
- (2) **End of Segment.** All practice and competition shall be completed not later than five weekdays before the first day of the institution's final regular fall examination period. (See Bylaw 17.1.2 regarding holiday, vacation and final examination periods.)

**17.1.1.3.4 Golf, Rowing and Tennis.** The length of an institution's playing season shall be limited to a maximum of 19 weeks. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.1.3.4.1 Periods.**

(a) **Fall Period.** *(Revised: 4/11/11 effective 8/1/11)*

- (1) **First Permissible Practice.** The date that permits a maximum of 16 units in the pre-season practice formula before the first permissible date of competition (as opposed to the institution's first date of competition) as specified in each sport.
- (2) **First Permissible Competition.** In golf and tennis, September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, or the institution's first day of classes, whichever is earlier. Exhibition scrimmages may be conducted during the preseason practice period. In rowing, September 7 or the institution's first day of classes for the fall term, whichever is earlier.
- (3) **End of Period.** All practice and competition shall be completed not later than five weekdays before the first day of the final regular fall examination period.

(b) **Spring Period.**

- (1) **First Practice or Competition.** January 15.
- (2) **End of Period.** All practice and competition shall be completed by the conclusion of the NCAA championship.

**17.1.2 General Regulations for Computing Playing Seasons.** In determining the length of an institution's playing season, the following regulations shall apply: *(Revised: 4/11/11 effective 8/1/11)*

(a) **Week.** A week shall be defined by the institution as any consecutive seven-day period, regardless of the day on which the seven-day period begins. The playing season, or its segments (or periods in golf, rowing and tennis), shall consist of consecutive weeks. Practice or competition during any part of a week shall be counted as a full week (e.g., practice or competition during nine weeks and one day shall be counted as 10 weeks). An institution may not redefine its week except in the following circumstances:

- (1) During a segment (or period in golf, rowing and tennis) of the playing season, following a period of at least seven consecutive days that includes a vacation, final-examination period or holiday period during which no athletically related activities occur [see Bylaw 17.1.2-(d)]; or
- (2) Between the fall and spring period in golf, rowing and tennis and between segments of the playing season in other sports, provided the institution divides its practice and playing season into two distinct segments per Bylaw 17.1.1.2.

(b) **Total Combined Length.** The total number of weeks for both segments (or periods in golf, rowing and tennis) of a playing season combined shall not exceed the maximum permitted in a particular sport;

(c) **Holiday, Vacation Periods.** In traditional and nontraditional segments (or periods in golf, rowing and tennis), any practice or competition during published vacation and holiday periods during the academic year shall be counted as part of the playing season. If practice or competition is not scheduled during any full week (seven consecutive days) that includes a vacation or holiday period, it neither shall be counted as part of the playing season nor shall constitute a break in a segment (or period in golf, rowing and tennis); [See Bylaw 17.1.2-(a)-(1)]

**(d) Final-Examination Periods;**

- (1) **Traditional Segment.** Any practice or competition during a final-examination period during the academic year shall be counted as part of the playing season. If practice or competition is not scheduled during any full week (seven consecutive days) that includes a final-examination period, it neither shall be counted as part of the playing season nor shall constitute a break in a segment. [See Bylaw 17.1.2-(a)-(1)]
- (2) **Nontraditional Segment.** An institution shall not conduct practice or competition during a final-examination period. For any final-examination period of a regular academic term (e.g., winter quarter, spring semester), an institution may not conduct practice and competition five weekdays before the first day of the final-examination period. This period shall not be counted as part of the playing season nor shall constitute a break in the segment. [See Bylaws 17.1.2-(c) and (d)]

**(e) Nontraditional Segment.** The nontraditional segment shall be counted as part of the institution's declared playing season, regardless of whether competition occurs during that segment; and**(f) Equipment Issue, Team Pictures.**

- (1) It shall be permissible to designate a single date for issuing equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment or, in basketball and football, the day before the beginning of preseason practice.
- (2) **Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures.

**17.1.3 Postseason Activities.**

- (a) **Makeup Contests.** An institution is not permitted to extend the playing season to make up suspended or canceled games except for contests that determine a conference champion or the automatic qualifier to the NCAA championships, provided such contests are concluded not later than 6 p.m. local time of the competition on the date on which participants are selected for the NCAA championship; (*Revised: 4/11/11 effective 8/1/11*)
- (b) **Conference Championships.** Conference championships must be included within the institution's playing season;
- (c) **NCAA, NCCAA or NAIA Championships Participation in Team Sports.** Neither practice for nor participation in any NCAA, NCCAA or NAIA championship event is considered part of the institution's declared playing season;
- (d) **Under Consideration for Selection to an NCAA Team Championship.** A member institution that has reason to believe it is under consideration for selection to participate in a NCAA championship event may do the following:
  - (1) Continue to practice (but may not compete against outside competition) beyond its declared playing season, including the conference championship (if any), without counting such practice against the institution's declared playing-season limitation as long as such practice begins not earlier than three weeks before the start of the NCAA championship in that sport.
  - (2) An institution's spring championship sport team that earns its conference's automatic qualification in the fall segment/period may not continue to practice after the fall segment/period and before the spring segment/period based on its belief that it is under consideration for selection to the spring NCAA championship.
  - (3) An institution that is not selected to participate in the NCAA championship may continue to practice or compete until the end of that championship only if it has time remaining in its declared playing season.
- (e) **NCAA Championships Participation in Individual Sports.** Only appropriate squad members considered necessary for effective practice with the individual(s) preparing for the NCAA championships may continue to practice without counting such practice against the institution's declared playing-season limitation;
- (f) **Post-NCAA Championships Participation.** After the conclusion of a NCAA (or NAIA or NCCAA) championship in a sport, an institution may resume practice and/or competition in the sport, provided it is continuing its permissible playing season in the sport and provided the activity occurs during the academic year. An institution in the joint-declaration program may participate in the championship for which the institution declared, even when the championship occurs outside the permissible NCAA playing season. Institutions in the joint-declaration program must abide by all other NCAA regulations for all sports, including the length of the playing season (see Bylaw 31.2.1.3);
- (g) **Non-NCAA Postseason Championships and Qualifying Competition.** Practice and/or competition in non-NCAA (or non-NAIA or non-NCCAA) postseason championships (e.g., an invitational tournament scheduled after a conference championship) must be counted against the institution's declared playing-season limitation. For emerging sports for women (see Bylaw 20.02.6) or a non-NCAA championship sport used for sport sponsorship purposes (see Bylaw 20.11.3.4.1), practice and competition for one postseason championship may be excluded under the following circumstances:
  - (1) An institution may exclude a maximum of three weeks of competition from its declared playing season for qualifying competition for the championship event; and

- (2) The qualifying competition shall be the only event from which teams or individuals are selected to participate in the championship event. In women's gymnastics, practice for and competition in the National Collegiate Gymnastics Association national championship are exempt from the institution's declared playing-season limitation; and
- (h) **Foreign Tours.** Participation by a member institution on a certified foreign tour (see Bylaw 17.28) need not be included within the institution's declared playing-season limitation in the sport.

#### **17.1.4 Practice, Contest and Dates of Competition Limitations.**

**17.1.4.1 Required Day Off, All Sports.** During the playing season (see Bylaw 17.1.1), all athletically related activities shall be prohibited during one calendar day per week, except during the following: *(Revised: 4/11/11 effective 8/1/11)*

- (a) Participation during NCAA championships;
- (b) Participation between academic terms when classes are not in session or during the institution's preseason practice that occurs before the first day of classes; or
- (c) Participation during an institution's official vacation period when classes are not in session.

**17.1.4.1.1 Multisport Student-Athlete.** All athletically related activities shall be prohibited during one calendar day per week for every student-athlete, regardless of the number of sports in which a student-athlete participates. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.4.2 Missed Class Time.** A student-athlete shall not miss class for the following: *(Revised: 4/11/11 effective 8/1/11)*

- (a) Practice activities in any segment; and
- (b) Competition in the nontraditional segment.

**17.1.4.2.1 Exceptions.** A student-athlete may miss class for practice activities in the following circumstances: *(Revised: 4/11/11 effective 8/1/11)*

- (a) When a team is traveling to an away-from-home contest and the practice is in conjunction with the contest; and
- (b) Student-athletes representing the host institution shall be permitted to miss class time for practice activities scheduled in conjunction with an NCAA championship.

**17.1.4.3 In-Season Foreign Competition.** A member institution may play one or more of its countable contests or dates of competition in one or more foreign countries on one trip during the prescribed playing season once every four years. Contests or dates of competition played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), are not subject to this limitation. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.4.4 Nontraditional Segment Limitations for Baseball, Field Hockey, Lacrosse, Soccer, Softball and Volleyball.** The nontraditional segment shall be subject to the following limitations: *(Revised: 4/11/11 effective 8/1/11)*

- (a) All practice and competition shall be limited to a maximum of five weeks;
- (b) A maximum of 16 days of athletically related activity with not more than four days of athletically related activity in any one week shall be permitted;
- (c) Any athletically related activity (see Bylaw 17.02.1.1) by any member of the applicable team shall constitute the use of a day;
- (d) One date of competition may occur and shall be counted as one of the 16 permissible days of athletically related activity; and
- (e) A maximum of eight hours of athletically related activity may occur on the one date of competition for all participants. Further, competition activity on the one date must be included in the eight-hour maximum and is also limited to the following:
  - (1) **Baseball.** Two regulation baseball games or 18 regulation innings (e.g., three outs per inning, three strikes per out).
  - (2) **Field Hockey.** Two regulation field hockey contests or 140 minutes of competition activity.
  - (3) **Men's and Women's Lacrosse.** Two regulation lacrosse contests or 120 minutes of competition activity.
  - (4) **Men's and Women's Soccer.** Two regulation soccer contests or 180 minutes of competition activity.
  - (5) **Softball.** Three regulation softball games or 21 regulation innings (e.g., three outs per inning, three strikes per out).
  - (6) **Men's and Women's Volleyball.** Three regulation matches or 15 regulation volleyball sets.

#### **17.1.4.5 Standard Contest or Date of Competition Exemptions.**

##### **17.1.4.5.1 Annual Exemptions.**

**17.1.4.5.1.1 Traditional Segment.** The maximum number of contests or dates of competition during the traditional segment/period shall exclude the following (see Figure 17-1): *(Revised: 4/11/11 effective 8/1/11)*

- (a) **Conference Championship.** Competition in one conference championship tournament (or the tournament used to determine the conference's automatic entry in the NCAA championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA championship without the game(s) being counted as a regular-season contest or postseason tournament;
- (c) **Season-Ending Tournaments.** Competition in one season-ending tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season;
- (d) **Independents Championship.** Competition in one championship tournament among independent member institutions (i.e., institutions that are not members of an intercollegiate athletics conference in the specific sport), conducted at the end of the regular season and before the NCAA championship;
- (e) **Foreign Team in United States.** One contest or date of competition each year against a foreign opponent in the United States; and
- (f) **Fundraising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with alumni and friends of the institution or with local celebrities, the purpose of which is to raise funds for the benefit of an institution or other charitable, educational or nonprofit organizations, provided the student-athletes do not miss classes as a result of their participation.

**17.1.4.5.1.2 Alumni Game.** One contest or competition each year with an alumni team of the institution during any segment/period, including during the preseason practice period prior to the first permissible contest/date of competition date. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.4.5.2 Once-in-Three-Years Exemption—Foreign Tour.** The contests played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.4.5.3 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico (All Sports except Basketball).** A maximum of four contests or dates of competition on a regular-season trip or a tournament, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1, except as otherwise noted in this bylaw. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5.2 Noncollegiate, Amateur Competition.** (See Bylaw 14.7 for restrictions on outside competition.) *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.3 and 17.29. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided the program is conducted and administered by the national governing body. The national governing body shall be responsible for selecting the coaches who will be involved in coaching activities for the program. The national governing body or the selected coaches shall select the participants of the program. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5.3 Camps and Clinics.** There are no limits on the number of student-athletes who may be employed (e.g., as counselors) in the same camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.5.4 Other Competition.** Coaching staff members may engage in coaching activities with a student-athlete during the student-athlete's participation in events listed in Bylaw 16.8.1.3. *(Revised: 4/11/11 effective 8/1/11)*



## 17.1.6 Waivers and Conditions of Participation.

**17.1.6.1 Waivers for Extraordinary Personnel Losses.** The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (see Bylaw 17.1.1.1) by modifying the start of preseason practice (see Bylaw 17.1.2) for an institution that has suffered extraordinary personnel losses to its team due to accident or illness of a disastrous nature. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.6.2 Playing-Season Waiver.** An institution with a nontraditional academic calendar may apply to the Management Council, or a committee designated by the Management Council to act for it, for a waiver of the general or sport-specific playing-season regulations; however, there shall be no waivers to extend an institution's playing season beyond the legislated maximum number of weeks. *(Revised: 4/11/11 effective 8/1/11)*

**17.1.6.3 Use of Tobacco Products.** The use of tobacco products by a student-athlete is prohibited during practice and competition. A student-athlete who uses tobacco products during a practice or competition shall be disqualified for the remainder of that practice or competition (see Bylaw 11.1.5 for game personnel restrictions). *(Revised: 4/11/11 effective 8/1/11)*

**17.1.6.4 Mandatory Medical Examination. (#)** Before participation in any practice, competition or out-of-season conditioning activities (or, in Division I, permissible voluntary summer conditioning in basketball and football or voluntary individual workouts pursuant to the safety exception), student-athletes who are beginning their initial season of eligibility and students who are trying out for a team shall be required to undergo a medical examination or evaluation administered or supervised by a physician (e.g., family physician, team physician). The examination or evaluation must be administered within six months before participation in any practice, competition or out-of-season conditioning activities. In following years, an updated history of the student-athlete's medical condition shall be administered by an institutional medical staff member (e.g., sports medicine staff, team physician) to determine if additional examinations (e.g., physical, cardiovascular, neurological) are required. The updated history must be administered within six months before the student-athlete's participation in any practice, competition or out-of-season conditioning activities for the applicable academic year. *(Revised: 4/11/11 effective 8/1/11)*

## 17.2 BASEBALL

Regulations for computing the baseball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.2.1 Length of Playing Season.** (See Bylaw 17.1.1.3.3 for spring NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.2.2 Preseason Practice.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 7/20/10, 4/11/11 effective 8/1/11)*

**17.2.3 First Contest and Date of Competition.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 7/20/10, 4/11/11 effective 8/1/11)*

**17.2.4 End of Playing Season.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/16/10 effective 8/1/10, 7/20/10, 4/11/11 effective 8/1/11)*

### 17.2.5 Number of Contests and Dates of Competition.

**17.2.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition in baseball during the institution's baseball playing season to a maximum of 40 contests (games and scrimmages) during the traditional segment, and one date of competition during the non-traditional segment, except for those contests excluded under Bylaw 17.2.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/20/06, 4/11/11 effective 8/1/11)*

**17.2.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 40 baseball contests (games and scrimmages) during the traditional segment and one date of competition during the nontraditional segment. This limitation includes those contests in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04)*

**17.2.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.2.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/91, 8/1/92, 4/11/11 effective 8/1/11)*

## 17.3 BASKETBALL

**17.3.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) (*Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11*)

### 17.3.2 Preseason Practices.

**17.3.2.1 On-Court Practice.** A member institution shall not commence on-court preseason basketball practice sessions before October 15. (*Revised: 1/10/90 effective 8/1/92, 1/11/94, 1/9/96 effective 8/1/96, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01*)

**17.3.2.1.1 Permissible Conditioning Activities.** Team conditioning or physical-fitness activities supervised by coaching staff members may be conducted on or off court but shall not begin before October 15. (*Revised: 1/10/90 effective 8/1/92, 1/16/93, 1/11/94*)

**17.3.2.1.2 Prohibited Activities.** Before the start of on-court preseason basketball practice per Bylaw 17.3.2.1, members of the institution's coaching staff may not be involved in athletically related activities with one or more team members at any location (see Bylaw 17.02.1.1). (*Revised: 1/10/90 effective 8/1/92, 1/11/94, 1/14/97 effective 8/1/97, 1/11/00 effective 8/1/00*)

**17.3.2.1.2.1 Exception.** Team promotional activities (e.g., autograph sessions, fan picture sessions, meeting with fans) per Bylaw 12.5.1 are permissible before the start of on-court preseason basketball practice per Bylaw 17.3.2.1, provided these promotional arrangements do not involve any of the practice activities prohibited under the provisions of Bylaw 17.3.2.1.2. (*Revised: 1/11/94, 1/14/97 effective 8/1/97*)

**17.3.2.1.2.2 Exception—Officiating Clinic.** Before the start of on-court preseason basketball practice per Bylaw 17.3.2.1, student-athletes may observe an officiating clinic related to playing rules that is conducted by video conference, provided no student-athlete misses class time to observe the clinic. (*Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97*)

**17.3.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) against outside competition in basketball before November 15, except as provided under Bylaw 17.3.3.1. (*Revised: 1/14/08 effective 8/1/08*)

**17.3.3.1 Exceptions.** The following basketball contests (games or scrimmages) are permitted before the first contest dates specified under Bylaw 17.3.3:

- (a) **Practice Scrimmages.** A maximum of two informal practice scrimmages with outside competition (conducted without publicity or official scoring) may be conducted between the first permissible date for on-court preseason practice (see Bylaw 17.3.2.1) and the conclusion of the basketball season; (*Revised: 1/10/05, 7/27/06*)
- (b) **Basketball Hall of Fame Tip-Off Classic.** An institution may play in the Basketball Hall of Fame Tip-Off Classic on or after November 1; (*Revised: 1/10/90, 1/10/92 effective 8/1/92, 1/10/95 effective 8/1/95, 1/9/96, 1/14/97*)
- (c) **Foreign-Team Games.** An exhibition contest against a foreign team in the United States played in the arena in which the member institution regularly plays its home contests may be played on or after November 1 by an institution; (*Adopted: 1/10/95, Revised: 1/11/97, 7/27/06*)
- (d) **NCAA Division I or II Institution.** An institution may play one exhibition contest (which shall not count toward the institution's win-loss record) against a Division I or II institution on or after October 31 and before the first permissible contest date; and (*Adopted: 1/12/04 effective 8/1/04, 8/3/06*)
- (e) **Alumni Contest.** An institution may conduct an exempted alumni contest (see Bylaw 17.11.1.2) on or after October 15, provided the contest occurs during the declared playing season. (*Adopted: 1/16/10 effective 8/1/10, Revised: 4/11/11 effective 8/1/11*)

**17.3.4 End of Playing Season.** All practice and competition shall be completed by the conclusion of the NCAA Championship. (*Revised: 4/11/11 effective 8/1/11*)

### 17.3.5 Number of Contests.

**17.3.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition in basketball in any one year to a maximum of 25 contests (games and scrimmages), except for those contests excluded under Bylaw 17.3.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. (*Revised: 1/10/90, 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11*)

**17.3.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate each academic year in a maximum of 25 contests. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution, in accordance with Bylaws 17.02.4 and 17.02.8. Further, a student may participate each year in only one post-season basketball tournament as a member of the institution's varsity, junior varsity or freshman team. (*Revised: 1/10/90, 1/10/91 effective 8/1/92*)

**17.3.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) (*Revised: 4/11/11 effective 8/1/11*)

**17.3.5.3.1 Sport-Specific Exemptions.** The maximum number of basketball contests or competitions shall exclude the following (see Figure 17-1): (*Revised: 4/11/11 effective 8/1/11*)

- (a) **Exhibition Contest and Practice Scrimmages.** One of the following may be exempted:
- (1) Two informal practice scrimmages with outside competition; or
  - (2) One informal practice scrimmage with outside competition and one exhibition contest against a NCAA Division I or II institution conducted on or after October 31 and before the first permissible contest date.

**17.3.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (*Revised: 4/11/11 effective 8/1/11*)

**17.3.6.1 Exception—Wheelchair Basketball Game.** It is permissible for members of an institution's basketball squad to compete in a wheelchair basketball game against an established wheelchair team after the close of the institution's regular basketball season, provided the squad members do not wear regular basketball uniforms, and there is no regular practice before the game. (*Revised: 4/11/11 effective 8/1/11*)

## 17.4 BOWLING, WOMEN'S

Regulations for computing the bowling playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.) (*Adopted: 1/9/96 effective 8/1/96*)

**17.4.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11*)

**17.4.2 Preseason Practice.** (See Bylaw 17.1.1.3.2.1) (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

**17.4.3 First Date of Competition.** (See Bylaw 17.1.1.3.2.1) (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

**17.4.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

**17.4.5 Number of Dates of Competition.**

**17.4.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition in bowling during the institution's bowling playing season to 26 dates of competition (games and scrimmages), including not more than 10 tournaments that are counted as single dates of competition, except for those dates of competition excluded under Bylaw 17.4.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

**17.4.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in 26 dates of competition in bowling, including not more than 10 tournaments that are counted as single dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Adopted: 1/9/96 effective 8/1/96*)

**17.4.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

**17.4.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

## 17.5 CROSS COUNTRY

Regulations for computing the cross country playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.5.1 Length of Playing Season.** (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) (*Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11*)

**17.5.1.1 Track and Field Student-Athletes Participating in Cross Country.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to cross country, such practices must be counted in the institution's established segment in track and field. (*Adopted: 1/10/92*)

**17.5.2 Preseason Practice.** (See Bylaw 17.1.1.3.1.1) (*Revised: 4/11/11 effective 8/1/11*)

**17.5.3 First Date of Competition.** See Bylaw 17.1.1.3.1.1, except in the traditional segment an institution may conduct an unlimited number of exhibition scrimmages on one date during the preseason practice period,



which shall count as one date of competition in the institution's maximum limitation on contests or dates of competition. *(Revised: 4/11/11 effective 8/1/11)*

**17.5.4 End of Playing Season.** (See Bylaw 17.1.1.3.1.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.5.5 Number of Dates of Competition.**

**17.5.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition to a maximum of nine dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaw 17.5.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.5.5.1.1 Exception—Cross Country without Indoor or Outdoor Track and Field.** An institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field shall limit its total playing schedule with outside competition during the cross country playing season to nine dates of competition during the traditional segment and three dates of competition during the nontraditional segment. *(Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94)*

**17.5.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of nine cross country dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.

**17.5.5.2.1 Exception—Cross Country without Indoor or Outdoor Track and Field.** An individual student-athlete who attends an institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field may participate during each academic year in nine dates of competition during the traditional segment in cross country and three dates of competition during the nontraditional segment. *(Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94)*

**17.5.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.5.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)*

## 17.7 FENCING

Regulations for computing the fencing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.7.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.7.2 Preseason Practice.** (See Bylaw 17.1.1.3.2.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11)*

**17.7.3 First Date of Competition.** (See Bylaw 17.1.1.3.2.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11)*

**17.7.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/16/10 effective 8/1/10, 4/11/11 effective 8/1/11)*

**17.7.5 Number of Dates of Competition.**

**17.7.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition in fencing during the permissible fencing playing season to a maximum of 11 dates of competition, except for those dates of competition excluded under Bylaw 17.7.5.3 (see Bylaw 17.02.6.1 for required number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 1/10/91 effective 8/1/91, 4/11/11 effective 8/1/11)*

**17.7.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 11 dates of competition in fencing. This limitation includes those dates of competition in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/91 effective 8/1/91)*

**17.7.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5, except for 17.1.4.5.3.) *(Revised: 4/11/11 effective 8/1/11)*

**17.7.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/91, 4/11/11 effective 8/1/11)*

## 17.8 FIELD HOCKEY

Regulations for computing the field hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.8.1 Length of Playing Season.** (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.8.2 Preseason Practice.** (See Bylaw 17.1.1.3.1.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.8.3 First Contest or Date of Competition.** See Bylaw 17.1.1.3.1.1, except during the traditional segment an institution may conduct an unlimited number of exhibition scrimmages on one date during the preseason practice period, which shall count as one contest in the institution's maximum limitation on contests. *(Revised: 4/11/11 effective 8/1/11)*

**17.8.4 End of Playing Season.** (See Bylaw 17.1.1.3.1.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.8.5 Number of Contests and Dates of Competition.**

**17.8.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the institution's field hockey playing season in any one year to 20 contests during the traditional segment and one date of competition during the nontraditional segment, except for those contests or dates of competition excluded under Bylaw 17.8.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 1/10/92 effective 8/1/92, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/20/06, 4/11/11 effective 8/1/11)*

**17.8.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in a maximum of 20 field hockey contests during the traditional segment and one date of competition in field hockey during the nontraditional segment. This limitation includes those contests or dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/92 effective 8/1/92, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04)*

**17.8.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.8.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)*

## 17.9 FOOTBALL

**17.9.1 Length of Playing Season.** (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.9.2 Preseason Practice.**

**17.9.2.1 First Practice Date.** A member institution shall not commence official preseason football practice sessions for the varsity, junior varsity or freshman team before the date that will permit a maximum of 25 practice opportunities (see Bylaw 17.9.2.1.1) before its first scheduled intercollegiate game or before the Friday before Labor Day if the institution's first contest (game) is scheduled for the Thursday before Labor Day. *(Revised: 1/10/89, 1/10/91 effective 8/1/92, 1/12/04, 1/9/06 effective 8/1/06)*

**17.9.2.1.1 Practice Opportunities—Football.** In football only, to establish the starting date for preseason practice, the institution shall count one practice opportunity for each day beginning with the opening day of classes and one practice opportunity for each day classes are not in session in the week of the first scheduled intercollegiate contest (see Bylaw 17.9.3). Next, the institution shall count practice opportunities on an alternating basis in a two-one-two-one format (i.e., the first of the remaining days is counted as two, the next day is counted as one, the next as two, etc.) up to and including the 20th opportunity. Finally, the institution shall count one practice opportunity for each of the five days before the day of the 20th opportunity. The institution shall not count any days during the preseason when all institutional dormitories are closed, the institution's team must leave campus and practice is not conducted. *(Adopted: 1/12/04)*

**17.9.2.1.1.1 Sunday.** Sundays before the institution's opening day of classes are included in the counting. Sundays after the institution's opening day of classes are excluded from the counting. *(Adopted: 1/12/04)*

**17.9.2.1.1.2 Week.** The "week" of the first scheduled intercollegiate contest is defined as the six days, including or excluding Sunday pursuant to Bylaw 17.9.2.1.1.1, before the first contest (or before Friday if the first contest is the Thursday before Labor Day, see Bylaw 17.9.3) even if one or more of the days fall into different traditional calendar weeks. *(Adopted: 1/12/04, Revised: 3/29/11)*

**17.9.2.1.1.3 Opening Day of Classes.** The "opening day of classes" is defined as the first day of classes as listed in the institution's official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year. *(Adopted: 1/12/04)*

**17.9.2.2 Five-Day Acclimatization Period.** Preseason practice shall begin with a five-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing student-athletes. All student-athletes, including those who arrive to preseason practice after the first day of practice, are required to undergo a five-day acclimatization period. The five-day acclimatization period shall be conducted as follows: *(Adopted: 1/12/04)*

- (a) Institutions may not conduct conditioning, speed, strength or agility tests before the start of the five-day acclimatization period. *(Adopted: 1/12/04, Revised: 8/5/04)*
- (b) During each day of the five-day period, participants shall not engage in more than one on-field practice, not to exceed three hours in length, and one one-hour walk-through session. No protective equipment (e.g., helmet, shoulder pads) may be worn, no equipment related to football (e.g., football, blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between the end of the on-field practice session and the start of the walk-through session. *(Adopted: 1/12/04, Revised: 1/16/10 effective 8/1/10)*
- (c) During the first two days of the acclimatization period, helmets shall be the only piece of protective equipment student-athletes may wear during the on-field practice session (as opposed to the walk-through session). During the third and fourth days of the acclimatization period, helmets and shoulder pads shall be the only pieces of protective equipment student-athletes may wear during the on-field practice session. During the final on-field practice session of the five-day period and on any day thereafter, student-athletes may practice in full pads. *(Adopted: 1/12/04)*

**17.9.2.3 Preseason Activities After Five-Day Acclimatization Period.** The remaining preseason practice period shall be conducted as follows: *(Adopted: 1/12/04)*

- (a) Following the five-day period, student-athletes may practice in full pads. However, an institution may not conduct multiple on-field practice sessions (e.g., two-a-days or three-a-days) on consecutive days; *(Adopted: 1/12/04)*
- (b) Student-athletes shall not engage in more than three hours of on-field practice activities on those days during which one practice is permitted; *(Adopted: 1/12/04)*
- (c) Student-athletes shall not engage in more than five hours of on-field practice activities on those days during which more than one practice is permitted; and *(Adopted: 1/12/04)*
- (d) On days that institutions conduct multiple practice sessions, student-athletes must be provided with at least three continuous hours of recovery time between the end of the first practice and the start of the last practice that day. During this time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time. *(Adopted: 1/12/04)*

**17.9.2.3.1 Exception—Walk-Through Sessions.** During the preseason practice period only, on-field walk-through sessions are not considered an on-field activity under Bylaw 17.9.2.3, provided protective equipment (e.g., helmets, shoulder pads) is not worn, equipment related to football (e.g., footballs, blocking sleds) is not used and conditioning activities do not occur. Walk-through sessions may occur only on days during which a single on-field practice session is permitted and shall be limited to one hour in length. Student-athletes must be provided with at least three hours of continuous recovery time between the end of the on-field practice session and the start of the walk-through session. *(Adopted: 1/12/04, Revised: 1/16/10 effective 8/1/10)*

**17.9.3 First Contest.** A member institution shall not play its first contest (game) against outside competition in football before the Friday or Saturday 11 weeks before the first round of the Division III Football Championship. *(Revised: 1/11/89, 1/10/91 effective 8/1/92, 1/9/96 effective 8/1/96, 1/14/97)*

**17.9.3.1 Exceptions.** The following football contests (games or scrimmages) are permitted before the first contest date specified under Bylaw 17.9.3: *(Revised: 10/13/06)*

- (a) **Preseason Practice Scrimmage.** One informal preseason practice scrimmage that may be conducted during the preseason practice period against outside competition (conducted without publicity or official scoring and provided neither team misses class); and *(Revised: 10/13/06)*
- (b) **Labor Day Weekend.** An institution may play its first football contest on the Thursday before Labor Day in years when the first permissible contest date falls during the Labor Day weekend. *(Adopted: 1/9/06 effective 8/1/06, Revised: 10/13/06)*

**17.9.4 End of Playing Season.** A member institution's last contest (game or scrimmage) with outside competition in football shall not be played outside the traditional segment except for those games played in the Division III Football Championship, football contests played on a foreign tour certified by the member institution or the National Association of Intercollegiate Athletics football championships. *(Revised: 1/11/89, 1/10/90, 1/10/91, 1/10/92, 1/16/93, 1/14/97 effective 8/1/97)*

## 17.9.5 Number of Contests.

**17.9.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total regular-season playing schedule against outside competition in football during the permissible football playing season in any one year to a maximum of 10 contests (games and scrimmages), except as provided under Bylaw 17.9.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.9.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 10 football games. This limitation includes those contests in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/91 effective 8/1/92)*

**17.9.5.3 Exemptions.** The maximum number of football contests shall exclude the following (see Figure 17-1):

- (a) **Twelve-Member Conference Championship Game.** A conference championship game between division champions of a member conference of 12 or more institutions that is divided into two divisions (of six or more institutions each), each of which conducts round-robin, regular-season competition among the members of that division;
- (b) **Conference Tournament.** A conference-sponsored postseason tournament (i.e., one between teams that are not identified until the end of the preceding regular season), not to exceed one contest for any one member institution; *(Adopted: 1/11/89)*
- (c) **Preseason Practice Scrimmage.** One informal preseason practice scrimmage conducted during the preseason practice period per Bylaw 17.9.3.1-(a); *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, 1/10/05, 10/13/06)*
- (d) **Season-Ending Tournaments.** Competition in one season-ending tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; *(Revised: 4/11/11 effective 8/1/11)*
- (e) **Foreign Tour.** The football games played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28; and *(Revised: 1/11/89 effective 8/1/89)*
- (f) **Hawaii, Alaska, Puerto Rico.** A maximum of four contests on a regular-season trip or a tournament (not to exceed four contests), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by an institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. *(Revised: 1/14/97 effective 8/1/97)*

**17.9.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/14/02 effective 8/1/02, 10/22/03, 1/12/04 effective 8/1/04, 8/5/04, 1/10/05, 4/11/11 effective 8/1/11)*

**17.9.6.1 Exception.** An institution is permitted to conduct conditioning and strength training sessions and limited skill instruction during a consecutive five-week period outside the playing season. During this five-week period, the institution may conduct athletically related activity on a maximum of 16 days with not more than four days of athletically related activity in any one week. Any athletically related activity (per Bylaw 17.02.1.1) shall constitute the use of a day. Skill instruction during this period shall be limited to passing, catching and kicking-related drills. Such instruction may include offensive and defensive alignments, but may not involve contact. Except for footballs, the use of helmets, pads, blocking sleds or any other form of sport-related equipment is prohibited. No missed class time is permitted for these sessions and the institution shall conclude all athletically related activities by the first date of final examinations for the regular academic year. *(Adopted: 1/10/05, Revised: 1/9/06, 1/11/08)*

## 17.10 GOLF

Regulations for computing the golf playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.10.1 Length of Playing Season.** (See Bylaw 17.1.1.3.4) *(Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93, 1/12/04 effective 8/1/04, 7/20/10, 4/11/11 effective 8/1/11)*

**17.10.2 Preseason Practice.** (See Bylaw 17.1.1.3.4.1) *(Revised: 7/20/10, 4/11/11 effective 8/1/11)*

**17.10.3 First Date of Competition.** (See Bylaw 17.1.1.3.4.1) *(Revised: 7/20/10, 4/11/11 effective 8/1/11)*

**17.10.4 End of Playing Season.** (See Bylaw 17.1.1.3.4.1) *(Revised: 7/20/10, 4/11/11 effective 8/1/11)*

### 17.10.5 Number of Dates of Competition.

**17.10.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition to a maximum of 20 dates of competition, except for those dates of competition excluded under Bylaw 17.10.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.10.5.1.1 Nonexempt Event Limitations.** During the regular season, nonexempt events shall not exceed three days. (*Adopted: 1/10/91 effective 8/1/92, Revised: 1/11/94 effective 8/1/94, 10/17/06*)

**17.10.5.1.2 Determination of Date of Competition.** A member institution shall be considered to have used a date of competition in golf if one or more student-athletes participate on behalf of the institution on a particular date.

**17.10.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 20 dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.

**17.10.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) (*Revised: 4/11/11 effective 8/1/11*)

**17.10.5.3.1 Sport-Specific Exemptions.** The maximum number of dates of competition in golf shall exclude the following (see Figure 17-1): (*Revised: 4/11/11 effective 8/1/11*)

- (a) **College All-American Golf Classic.** Participation by an institution in the College All-American Golf Classic, provided not more than two student-athletes from the institution participate in that event; and
- (b) **College-Am Event.** A “college-am” golf tournament, provided the event is held in conjunction with intercollegiate competition and student-athletes do not receive awards or prizes for such participation.

**17.10.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (*Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11*)

## 17.11 GYMNASTICS

Regulations for computing the gymnastics playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.11.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11*)

**17.11.2 Preseason Practice.** (See Bylaw 17.1.1.3.2.1) (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11*)

**17.11.3 First Date of Competition.** (See Bylaw 17.1.1.3.2.1) (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11*)

**17.11.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) (*Revised: 1/10/91 effective 8/1/91, 1/14/97, 4/11/11 effective 8/1/11*)

### 17.11.5 Number of Dates of Competition.

**17.11.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the permissible gymnastics playing season to a maximum of 13 dates of competition except for the dates of competition excluded under Bylaw 17.11.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. (*Revised: 1/10/91 effective 8/1/91, 1/16/93, 4/11/11 effective 8/1/11*)

**17.11.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 13 dates of competition in gymnastics. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91, 1/16/93*)

**17.11.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5, except for Bylaw 17.1.4.5.3.) (*Revised: 4/11/11 effective 8/1/11*)

**17.11.5.3.1 Sport-Specific Exemptions.** The maximum number of gymnastics contests or competitions shall exclude the following (see Figure 17-1): (*Revised: 4/11/11 effective 8/1/11*)

- (a) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for gymnastics; and
- (b) **Hawaii, Alaska or Puerto Rico.** Any dates of competition in gymnastics in Hawaii, Alaska or Puerto Rico, respectively, against an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question.
- (c) **USA Gymnastics and NCGA Championships.** Competition in the USA Gymnastics and National College Gymnastics Association (NCGA) collegiate gymnastics championships; (*Revised: 1/16/93*)

**17.11.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (*Revised: 1/10/91 effective 8/1/91, 4/11/11 effective 8/1/11*)



## 17.12 ICE HOCKEY

Regulations for computing the ice hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. Institutions that conduct women's ice hockey shall comply with the same playing and practice season legislation that currently exists for men's ice hockey. (See Figure 17-1.) *(Revised: 1/9/96 effective 8/1/96)*

**17.12.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.12.2 Preseason Practice.** A member institution shall not commence practice sessions in ice hockey before October 15. *(Revised: 1/10/91 effective 8/1/91 and 8/1/92, 1/10/95 effective 8/1/95, 9/2/08, 1/17/09 effective 8/1/09)*

**17.12.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) against outside competition in ice hockey before October 15. *(Revised: 1/10/95 effective 8/1/95, 1/17/09 effective 8/1/09)*

**17.12.4 End of Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in ice hockey by the conclusion of the Division III Ice Hockey Championship game. *(Revised: 9/2/08)*

### 17.12.5 Number of Contests.

**17.12.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the institution's ice hockey playing season to a maximum of 25 contests (games or scrimmages), except for those contests excluded under Bylaw 17.12.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 1/10/91 effective 8/1/92, 1/9/96 effective 8/1/96, 1/13/03 effective 8/1/03, 4/11/11 effective 8/1/11)*

**17.12.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 25 contests. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/91 effective 8/1/92, 1/13/03 effective 8/1/03)*

**17.12.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.12.5.3.1 Sport-Specific Exemption—Women's Ice Hockey.** The maximum number of ice hockey contests or competitions shall exclude two women's ice hockey contests against the U.S. national women's ice hockey team during the season leading up to the Winter Olympics (see Figure 17-1). *(Revised: 4/11/11 effective 8/1/11)*

**17.12.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)*

## 17.13 LACROSSE

Regulations for computing the lacrosse playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.13.1 Length of Playing Season.** (See Bylaw 17.1.1.3.3 for spring NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.13.2 Preseason Practice.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 7/20/10, 4/11/11 effective 8/1/11)*

**17.13.3 First Date of Competition.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 7/20/10, 4/11/11 effective 8/1/11)*

**17.13.4 End of Playing Season.** (See Bylaw 17.1.1.3.3.1) *(Revised: 4/11/11 effective 8/1/11)*

### 17.13.5 Number and Dates of Competition.

**17.13.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the institution's lacrosse playing season to 17 dates of competition during the traditional segment and one date of competition during the nontraditional segment, except for those contests excluded under Bylaw 17.13.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 1/10/91 effective 8/1/92, 1/14/97 effective 8/1/97, 1/14/02 effective 8/1/02, 1/12/04 effective 8/1/04, 1/9/06 effective 8/1/06, 4/20/06, 4/11/11 effective 8/1/11)*

**17.13.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in a maximum of 17 dates of competition during the traditional segment and one date of competition during the nontraditional segment. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/91 effective 8/1/92, 1/14/97 effective 8/1/97, 1/14/02 effective 8/1/02, 1/12/04 effective 8/1/04, 1/9/06 effective 8/1/06)*

**17.13.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.13.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)*

## 17.14 RIFLE

Regulations for computing the rifle playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.14.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11*)

**17.14.2 Preseason Practice.** (See Bylaw 17.1.1.3.2.1) (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11*)

**17.14.3 First Date of Competition.** (See Bylaw 17.1.1.3.2.1) (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 8/28/08 effective 8/1/09, 4/11/11 effective 8/1/11*)

**17.14.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 4/13/10 effective 8/1/10, 4/11/11 effective 8/1/11*)

### 17.14.5 Number of Dates of Competition.

**17.14.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the permissible rifle playing season to a maximum of 13 dates of competition except for those dates of competition excluded under Bylaw 17.14.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. (*Revised: 1/10/91 effective 8/1/91, 4/11/11 effective 8/1/11*)

**17.14.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 13 dates of competition in rifle. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaws 17.02.4 and 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)

**17.14.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5, except for Bylaw 17.1.4.5.3.) (*Revised: 4/11/11 effective 8/1/11*)

**17.14.5.3.1 Sport-Specific Exemptions.** The maximum number of dates of competition for rifle shall exclude the following (see Figure 17-1): (*Revised: 4/11/11 effective 8/1/11*)

- (a) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for rifle; and
- (b) **Hawaii, Alaska or Puerto Rico.** Any dates of competition in rifle in Hawaii, Alaska or Puerto Rico, respectively, against an active member institution located in Hawaii, Alaska or Puerto Rico, by an active member located outside the area in question.

**17.14.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (*Revised: 1/10/91 effective 8/1/91, 4/11/11 effective 8/1/11*)

## 17.15 ROWING, WOMEN'S

Regulations for computing the rowing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.) (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.1 Length of Playing Season.** (See Bylaw 17.1.1.3.4) (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 7/20/10, 4/11/11 effective 8/1/11*)

**17.15.2 Preseason Practice.** (See Bylaw 17.1.1.3.4.1) (*Revised: 7/20/10, 4/11/11 effective 8/1/11*)

**17.15.3 First Date of Competition.** (See Bylaw 17.1.1.3.4.1) (*Revised: 7/20/10, 4/11/11 effective 8/1/11*)

**17.15.4 End of Playing Season.** (See Bylaw 17.1.1.3.4.1) (*Revised: 7/20/10, 4/11/11 effective 8/1/11*)

### 17.15.5 Number of Dates of Competition.

**17.15.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition in rowing during the institution's playing season to a maximum of 20 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaw 17.15.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)

**17.15.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 20 dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5, except for Bylaw 17.1.4.5.3.) (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11*)



**17.15.5.3.1 Sport-Specific Exemptions.** The maximum number of dates of competition in rowing shall exclude the following (see Figure 17-1): *(Revised: 4/11/11 effective 8/1/11)*

- (a) **U.S. Rowing Association Championship.** Competition in the U.S. Rowing Association championship; and
- (b) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in rowing in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question.

**17.15.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/11/11 effective 8/1/11)*

## 17.16 RUGBY, WOMEN'S

Regulations for computing the rugby playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.) *(Adopted: 1/8/05)*

**17.16.1 Length of Playing Season.** (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) *(Adopted: 1/8/05, Revised: 4/11/11 effective 8/1/11)*

**17.16.2 Preseason Practice.** (See Bylaw 17.1.1.3.1.1) *(Adopted: 1/9/06, Revised: 4/11/11 effective 8/1/11)*

**17.16.3 First Contest.** See Bylaw 17.1.1.3.1.1, except during the traditional segment an institution may conduct an unlimited number of exhibition scrimmages on one date during the preseason practice period, which shall count as one contest. *(Adopted: 1/9/06, Revised: 4/11/11 effective 8/1/11)*

**17.16.4 End of Playing Season.**

- (a) **Traditional Segment.** The conclusion of the national governing body championship.
- (b) **Nontraditional Segment.** [See Bylaw 17.1.1.3.1.1-(b)-(2)] *(Revised: 1/16/10 effective 8/1/10, 7/20/10, 4/11/11 effective 8/1/11)*

**17.16.5 Number of Contests.**

**17.16.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in rugby during the institution's rugby playing season to 10 contests (games or scrimmages), except for those contests excluded under Bylaw 17.16.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Adopted: 1/9/06, Revised: 4/11/11 effective 8/1/11)*

**17.16.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 10 contests (games or scrimmages) in women's rugby. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. *(Adopted: 1/9/06)*

**17.16.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5, except for Bylaw 17.1.4.5.3.) *(Adopted: 1/9/06, Revised: 4/11/11 effective 8/1/11)*

**17.16.5.3.1 Sport-Specific Exemptions.** The maximum number of women's rugby contests shall exclude the following (see Figure 17-1): *(Revised: 4/11/11 effective 8/1/11)*

- (a) **National Governing Body Championship.** Competition in the rugby national governing body championship; and
- (b) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in rugby in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question.

**17.16.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Adopted: 1/8/05, Revised: 4/11/11 effective 8/1/11)*

## 17.18 SKIING

Regulations for computing the skiing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.18.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 1/10/05 effective 8/1/05, 4/11/11 effective 8/1/11)*

**17.18.2 Preseason Practice.** (See Bylaw 17.1.1.3.2.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11)*

**17.18.3 First Date of Competition.** (See Bylaw 17.1.1.3.2.1) *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 4/11/11 effective 8/1/11)*

**17.18.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 4/13/10 effective 8/1/10, 4/11/11 effective 8/1/11)*

### 17.18.5 Number of Dates of Competition.

**17.18.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the permissible skiing playing season to a maximum of 16 dates of competition in Nordic skiing and 16 dates of competition in Alpine skiing, except for those dates of competition excluded under Bylaw 17.18.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. (*Revised: 1/10/91 effective 8/1/91, 1/16/93, 1/10/05 effective 8/1/05, 4/11/11 effective 8/1/11*)

**17.18.5.1.1 Skiing Meet.** A skiing meet of not more than two days' duration shall be considered a date of competition.

**17.18.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 16 dates of competition in Nordic skiing and 16 dates of competition in Alpine skiing. These limitations include those dates of competition in each skiing discipline in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Revised: 1/10/91 effective 8/1/91, 1/16/93, 1/10/05 effective 8/1/05*)

**17.18.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaws 17.1.4.5 and 17.1.4.5.3.) (*Revised: 4/11/11 effective 8/1/11*)

**17.18.5.3.1 Sport-Specific Exemptions.** The maximum number of skiing dates of competitions shall exclude the following (see Figure 17-1): (*Revised: 4/11/11 effective 8/1/11*)

- (a) **U.S. National Team.** One date of competition against the U.S. National Team as selected by the appropriate national governing body for skiing; and
- (b) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in skiing in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question.

**17.18.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (*Revised: 1/10/91 effective 8/1/91, 4/11/11 effective 8/1/11*)

## 17.19 SOCCER

Regulations for computing the soccer playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.19.1 Length of Playing Season.** (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) (*Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11*)

**17.19.2 Preseason Practice.** (See Bylaw 17.1.1.3.1.1) (*Revised: 7/20/10, 4/11/11 effective 8/1/11*)

**17.19.3 First Contest or Date of Competition.** See Bylaw 17.1.1.3.1.1, except during the traditional segment: (*Revised: 4/11/11 effective 8/1/11*)

- (a) An institution may play up to three soccer scrimmages or exhibition games (which shall not count toward the institution's win-loss record) before the first scheduled contest, provided the scrimmages or exhibition games are conducted during the institution's declared playing season per Bylaw 17.19.1 and are counted against the maximum number of contests. (*Revised: 1/10/91 effective 8/1/92, 1/11/94 effective 8/1/94, 1/15/97 effective 8/1/97, 1/10/05, 10/19/06, 1/17/08*)
- (b) An institution may conduct an unlimited number of exhibition scrimmages on one date during the preseason practice period, which shall count as one of three scrimmages or exhibition games detailed in (a). (*Revised: 1/11/94, 7/20/10*)

**17.19.4 End of Playing Season.** (See Bylaw 17.1.1.3.1.1) (*Revised: 4/11/11 effective 8/1/11*)

### 17.19.5 Number of Contests and Dates of Competition.

**17.19.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the institution's soccer playing season in any one year to a maximum of 20 contests during the traditional segment and one date of competition during the nontraditional segment, except for those contests excluded under Bylaw 17.19.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. (*Revised: 1/10/91 effective 8/1/92, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/20/06, 4/11/11 effective 8/1/11*)

**17.19.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 20 soccer contests during the traditional segment and one date of competition during the nontraditional segment. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Revised: 1/10/91 effective 8/1/92, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04*)

**17.19.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) (*Revised: 4/11/11 effective 8/1/11*)

**17.19.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)

## 17.20 SOFTBALL

Regulations for computing the softball playing season are set forth in Bylaw 17.1 General Playing-Season Regulations (see Figure 17-1). (Revised: 4/11/11 effective 8/1/11)

**17.20.1 Length of Playing Season.** (See Bylaw 17.1.1.3.3 for spring NCAA championship sports.) (Revised: 4/11/11 effective 8/1/11)

**17.20.2 Preseason Practice.** (See Bylaw 17.1.1.3.3.1) (Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 7/20/10, 4/11/11 effective 8/1/11)

**17.20.3 First Contest or Date of Competition.** (See Bylaw 17.1.1.3.3.1) (Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 7/20/10, 4/11/11 effective 8/1/11)

**17.20.4 End of Playing Season.** (See Bylaw 17.1.1.3.3.1) (Revised: 4/11/11 effective 8/1/11)

### 17.20.5 Number and Dates of Competition.

**17.20.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the institution's softball playing season to a maximum of 40 contests (games and scrimmages) during the traditional segment, and one date of competition during the nontraditional segment, except for those contests excluded under Bylaw 17.20.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. (Revised: 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/20/06, 4/11/11 effective 8/1/11)

**17.20.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 40 softball contests (games or scrimmages) during the traditional segment and one date of competition during the nontraditional segment. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 5/10/10)

**17.20.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) (Revised: 4/11/11 effective 8/1/11)

**17.20.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)

## 17.21 SWIMMING AND DIVING

Regulations for computing the swimming and diving playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.) (Revised: 4/28/05)

**17.21.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) (Revised: 1/12/04 effective 8/1/04, 4/28/05, 4/11/11 effective 8/1/11)

**17.21.2 Preseason Practice.** (See Bylaw 17.1.1.3.2.1) (Revised: 1/10/91 effective 8/1/91 and 8/1/92, 1/10/95 effective 8/1/95, 4/28/05, 7/20/10, 4/11/11 effective 8/1/11)

**17.21.3 First Date of Competition.** (See Bylaw 17.1.1.3.2.1) (Revised: 1/10/91 effective 8/1/91 and 8/1/92, 1/11/94, 1/10/95 effective 8/1/95, 4/28/05, 7/20/10, 4/11/11 effective 8/1/11)

**17.21.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) (Revised: 4/28/05, 4/11/11 effective 8/1/11)

### 17.21.5 Number of Dates of Competition.

**17.21.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition to a maximum of 16 dates of competition, except for those dates of competition excluded under Bylaw 17.21.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. (Revised: 4/28/05, 4/11/11 effective 8/1/11)

**17.21.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 16 dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.

**17.21.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) (Adopted: 1/9/96 effective 8/1/96, Revised: 2/24/03, 4/28/05, 4/11/11 effective 8/1/11)

**17.21.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) (Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)

## 17.22 TENNIS

Regulations for computing the tennis playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.22.1 Length of Playing Season.** (See Bylaw 17.1.1.3.4) *(Revised: 1/12/04 effective 8/1/04, 7/20/10, 4/11/11 effective 8/1/11)*

**17.22.2 Preseason Practice.** (See Bylaw 17.1.1.3.4.1) *(Revised: 7/20/10, 4/11/11 effective 8/1/11)*

**17.22.3 First Date of Competition.** (See Bylaw 17.1.1.3.4.1) *(Revised: 7/20/10, 4/11/11 effective 8/1/11)*

**17.22.4 End of Playing Season.** (See Bylaw 17.1.1.3.4.1) *(Revised: 7/20/10, 4/11/11 effective 8/1/11)*

### 17.22.5 Number of Contests and Dates of Competition.

**17.22.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition to a maximum of 20 dates of competition, including not more than four individual singles and/or doubles tournaments that are counted as single dates of competition, except for those dates of competition excluded under Bylaw 17.22.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.22.5.1.1 Dual Tennis Match.** A dual tennis match in which head-to-head competition occurs between two collegiate institutions or between an intercollegiate team and an outside team shall count as one date of competition.

**17.22.5.1.2 Individual Singles or Doubles Tournament Limitations—Institutional.** An individual singles or doubles tournament that does not include any team scoring or the recognition of a team champion shall count as a single date of competition (not to exceed the maximum number of tournaments noted in Bylaw 17.22.5.1) for those institutions that have three or more students competing therein, regardless of the number of days during which tournament competition takes place.

**17.22.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 20 dates of competition, including not more than four individual singles and/or doubles tournaments that are counted as single dates of competition. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/92 effective 8/1/92)*

**17.22.5.2.1 Individual Singles or Doubles Tournament Limitations—Student-Athlete.** An individual singles or doubles tournament that does not include any team scoring or the recognition of a team champion shall count as a single date of competition (in not more than four tournaments) for the participating individuals, regardless of the number of days during which tournament competition takes place.

**17.22.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.22.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)*

## 17.23 TRACK AND FIELD, INDOOR/OUTDOOR

Regulations for computing the indoor/outdoor track and field playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.23.1 Length of Playing Season.** The length of an institution's playing season in indoor and outdoor track and field shall be limited to the following: *(Revised: 1/11/89 effective 8/1/89, 1/13/03 effective 8/1/03)*

- (a) An institution that sponsors only indoor or outdoor track and field (but not both) shall be limited to a maximum playing season of 19 weeks. *(Revised: 1/12/04 effective 8/1/04)*
- (b) An institution that sponsors both indoor and outdoor track and field shall be limited to a maximum playing season of 24 weeks. *(Revised: 1/12/04 effective 8/1/04)*

**17.23.1.1 Cross Country/Track and Field Participants.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to cross country, such practice must be counted in the institution's established segment in track and field. *(Adopted: 1/10/92)*

**17.23.2 Preseason Practice.** (For indoor track and field, see Bylaw 17.1.1.3.2.1 for winter NCAA championship sports; for outdoor track and field, see Bylaw 17.1.1.3.3.1 for spring NCAA championship sports.) *(Revised: 4/11/11 effective 8/1/11)*

**17.23.3 First Contest or Date of Competition.** (For indoor track and field, see Bylaw 17.1.1.3.2.1; for outdoor track and field, see Bylaw 17.1.1.3.3.1.) *(Revised: 4/11/11 effective 8/1/11)*

**17.23.4 End of Playing Season.** (For indoor track and field, see Bylaw 17.1.1.3.2.1; for outdoor track and field, see Bylaw 17.1.1.3.3.1.) *(Revised: 4/11/11 effective 8/1/11)*



### 17.23.5 Number of Dates of Competition.

**17.23.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the permissible indoor/outdoor track and field playing season to a maximum of 18 dates of competition. These limitations do not include those dates of competition excluded under Bylaw 17.23.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.23.5.1.1 Two-Day Meets.** An institution may count a maximum of four two-day meets each as a single date of competition. The institution may select either day of a two-day meet as the day on which to count the single date of competition. Participation at a separate event on either day shall be counted, as follows: *(Adopted: 7/7/11 effective 8/1/11)*

(a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.

(b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.11.3.5.

**17.23.5.1.1.1 Competition that Exceeds Two Days.** An institution that participates in a meet that exceeds two days in duration may count the first two days of competition as one of the four two-day meets that each count as a single date of competition but must count any additional days as separate dates of competition. The institution may select either of the first two days of such a meet as the day on which to count the single date of competition. Participation at a separate event on either day shall be counted as follows: *(Adopted: 7/7/11 effective 8/1/11)*

(a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.

(b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.11.3.5.

**17.23.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in not more than 18 dates of competition in indoor/outdoor track and field combined (which may include not more than four multi-day events that shall each count as a single date of competition). This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04)*

**17.23.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 2/24/03, 4/11/11 effective 8/1/11)*

**17.23.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/10/91 effective 8/1/92, 4/11/11 effective 8/1/11)*

## 17.24 VOLLEYBALL

Regulations for computing the volleyball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

### 17.24.1 Length of Playing Season.

(a) **Men.** (See Bylaw 17.1.1.3.3 for spring NCAA championship sports.) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

(b) **Women.** (See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

### 17.24.2 Preseason Practice.

(a) **Men.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/10/91 effective 8/1/92, 1/11/94, 1/14/08 effective 8/1/08, 5/4/09, 4/11/11 effective 8/1/11)*

(b) **Women.** (See Bylaw 17.1.1.3.1.1) *(Revised: 1/12/04, 4/11/11 effective 8/1/11)*

### 17.24.3 First Date of Competition.

(a) **Men.** (See Bylaw 17.1.1.3.3.1) *(Revised: 1/10/91 effective 8/1/92, 1/11/94, 1/11/94 effective 8/1/94, 1/15/97 effective 8/1/97, 4/11/11 effective 8/1/11)*

(b) **Women.** See Bylaw 17.1.1.3.1.1, except in the traditional segment an institution may conduct an unlimited number of exhibition scrimmages on one date during the preseason practice period, which shall count as one date of competition in the institution's maximum limitation on contests or dates of competition. *(Revised: 1/11/94, 6/19/08, 4/11/11 effective 8/1/11)*

**17.24.4 End of Playing Season.**

- (a) **Men.** (See Bylaw 17.1.1.3.3.1) *(Revised: 4/11/11 effective 8/1/11)*
- (b) **Women.** (See Bylaw 17.1.1.3.1.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.24.5 Number of Dates of Competition—Men and Women.**

**17.24.5.1 Maximum Limitations—Institutional—Men and Women.** A member institution shall limit its total playing schedule against outside competition during the institution's volleyball playing season to a maximum of 22 dates of competition during the traditional segment and one during the nontraditional segment, except for those dates of competition excluded under Bylaw 17.24.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.24.5.2 Maximum Limitations—Student-Athlete—Men and Women.** A student-athlete may participate each academic year in a maximum of 22 dates of competition during the traditional segment and one during the nontraditional segment in volleyball. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. *(Revised: 4/11/11 effective 8/1/11)*

**17.24.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.24.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 4/11/11 effective 8/1/11)*

**17.25 WATER POLO**

Regulations for computing the men's and women's water polo playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.) *(Revised: 1/9/96 effective 8/1/96)*

**17.25.1 Length of Playing Season.**

- (a) **Men—**(See Bylaw 17.1.1.3.1 for fall NCAA championship sports.) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*
- (b) **Women—**(See Bylaw 17.1.1.3.3 for spring NCAA championship sports.) *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/8/01 effective 8/1/01, 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.25.2 Preseason Practice.**

- (a) **Men.** A member institution shall not commence practice sessions in men's water polo before the date that permits a maximum of 21 permissible units in the preseason practice formula (see Bylaw 17.02.11.2.5) before the first scheduled intercollegiate competition. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/12/99, 5/4/09, 4/11/11 effective 8/1/11)*
- (b) **Women.** (See Bylaw 17.1.1.3.3.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.25.3 First Date of Competition.**

- (a) **Men.** A member institution shall not engage in its first date of competition (game or scrimmage) against outside competition in men's water polo before the first Saturday in September. *(Revised: 4/11/11 effective 8/1/11)*
- (b) **Women.** (See Bylaw 17.1.1.3.3.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.25.4 End of Playing Season.**

- (a) **Men.** (See Bylaw 17.1.1.3.1.1) *(Revised: 4/11/11 effective 8/1/11)*
- (b) **Women.** (See Bylaw 17.1.1.3.3.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.25.5 Number of Dates of Competition.**

**17.25.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the institution's water polo playing season to 21 dates of competition, except for those dates of competition excluded under Bylaw 17.25.5.3. For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.25.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 21 dates of competition in water polo. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. *(Revised: 4/11/11 effective 8/1/11)*

**17.25.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.25.5.3.1 Sport-Specific Exemptions.** The maximum number of men's and women's water polo competitions shall exclude one date of competition against the U.S. national team as selected by the appropriate national governing body for water polo. *(Revised: 4/11/11 effective 8/1/11)*

**17.25.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 1/14/97 effective 8/1/97, 1/12/99, 4/13/10 effective 8/1/10, 4/11/11 effective 8/1/11)*

## 17.26 WRESTLING

Regulations for computing the wrestling playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Figure 17-1.)

**17.26.1 Length of Playing Season.** (See Bylaw 17.1.1.3.2 for winter NCAA championship sports.) *(Revised: 1/12/04 effective 8/1/04, 4/11/11 effective 8/1/11)*

**17.26.2 Preseason Practice.** A member institution shall not commence practice sessions in wrestling in either the traditional or nontraditional segment before October 10. *(Revised: 1/10/91 effective 8/1/91 and 8/1/92, 1/10/95 effective 8/1/95, 7/20/10, 1/15/11 effective 8/1/11, 4/11/11 effective 8/1/11)*

**17.26.3 First Date of Competition.** A member institution shall not engage in its first date of competition (match or scrimmage) against outside competition in wrestling in either the traditional or nontraditional segment before November 1. *(Revised: 1/10/91 effective 8/1/91 and 8/1/92, 1/11/94, 1/10/95 effective 8/1/95, 7/20/10, 1/15/11 effective 8/1/11, 4/11/11 effective 8/1/11)*

**17.26.4 End of Playing Season.** (See Bylaw 17.1.1.3.2.1) *(Revised: 4/11/11 effective 8/1/11)*

**17.26.5 Number of Dates of Competition.**

**17.26.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule against outside competition during the permissible wrestling playing season to a maximum of 16 dates of competition, which may include not more than two two-day meets that shall each count as a single date of competition, except for those dates of competition excluded under Bylaw 17.26.5.3 (see Bylaw 17.02.6.1 for required minimum number of student-athletes and Bylaw 20.11.3.5 for minimum contests and participants requirements). For in-season foreign competition, see Bylaw 17.1.4.3. *(Revised: 4/11/11 effective 8/1/11)*

**17.26.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 16 dates of competition in wrestling, which may include not more than two two-day meets that shall each count as a single date of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.

**17.26.5.3 Exemptions.** (See Standard Exemptions set forth in Bylaw 17.1.4.5.) *(Revised: 4/11/11 effective 8/1/11)*

**17.26.6 Out-of-Season Athletically Related Activities.** (See Bylaw 17.1.5) *(Revised: 4/11/11 effective 8/1/11)*

## 17.27 EXCEPTIONS FOR MEMBER INSTITUTIONS LOCATED IN ALASKA, HAWAII AND PUERTO RICO

**17.27.1 Alaska/Hawaii, Additional Football Contest.** Member institutions located in Alaska and Hawaii shall be permitted to exceed, by one, the maximum number of football contests permitted under Bylaw 17.9.5.1 but otherwise shall conform to the same maximum number of contests and dates of competition permitted other members of the Association.

## 17.28 FOREIGN TOURS

**17.28.1 Institutionally Certified Tours.** A member institution may participate in competition in any sport on foreign tours. The institution must certify in writing that the conditions set forth in this section are met and must maintain the certification on file in the athletics department. *(Revised: 1/11/89, 1/14/97 effective 8/1/97, 4/13/10)*

**17.28.1.1 Contest Exclusions.** Any contest(s) or date(s) of competition played on a certified foreign tour shall be excluded from the limitations set forth in this bylaw.

**17.28.1.2 Tour to U.S. Territory or Commonwealth.** A tour to a U.S. commonwealth (e.g., Puerto Rico) or a U.S. territory (e.g., Virgin Islands) is not considered a foreign tour.

**17.28.1.3 Timing of Tour.** The tour shall be scheduled during the summer-vacation period between the institution's spring and fall terms or during any other vacation period published in the institution's official catalog. All travel to and from the foreign country must take place during such a vacation period. However, if the team crosses the international date line during the tour, the change of date will be disregarded and the equivalent time as measured in the United States will be used to determine the institution's vacation period. *(Revised: 10/18/89)*

**17.28.1.4 Time Lapse Between Tours.** An institution shall not engage in a foreign tour in each sport more than once every three years. Participation during the summer is counted in the previous academic year. *(Revised: 1/11/89)*



**17.28.1.5 Eligibility of Student-Athletes.** The eligibility of student-athletes on the tour shall be governed by the following (see Bylaw 14.2.4.5):

- (a) If the tour takes place during the summer, the student-athletes shall have been eligible for intercollegiate competition during the previous academic year or shall have been enrolled at the institution as a full-time student during the previous academic year and have established by the beginning of the tour that he or she is eligible for competition the academic year immediately after the tour; or (*Revised: 1/11/00 effective 8/1/00*)
- (b) If the tour takes place after the academic year has started, the student-athletes shall be regularly enrolled in the institution and eligible for intercollegiate competition.

**17.28.1.5.1 Incoming-Student Participation.** It is permissible for an eligible incoming student-athlete to represent the institution only on a foreign tour that begins after the permissible starting practice date in the sport involved or after the first day of classes of his or her first regular term at the institution.

**17.28.1.5.1.1 Practice Session Participation.** It is permissible for an eligible incoming student-athlete to participate in practice sessions conducted in preparation for a foreign tour only if the foreign-tour practice sessions begin after the permissible starting practice date in the involved sport or after the first day of classes of his or her first regular term at the institution. (*Adopted: 1/9/06*)

**17.28.1.6 Practice Limitation.** Not more than 10 days of practice are permitted before departure. The 10 days of practice are not required to occur on consecutive days, provided extenuating circumstances exist (e.g., final exams, convocation, summer class schedules, summer employment, etc.) that affect the institution's ability to conduct 10 days of practice in the 10 days immediately before departure and all practice days are conducted during the 20 calendar days immediately before the foreign tour departure date. Only student-athletes who will accompany the team on the foreign tour are permitted to participate in the 10 practice days (see Bylaw 17.1.6.2). (*Revised: 10/18/04, 1/10/05, 1/9/06, 10/20/06*)

**17.28.1.7 Maximum Number of Contests/Competition Dates.** A team shall be limited to a maximum of three football games, 10 basketball games or 10 contests or dates of competition in any other sport during and as part of the tour. (*Revised: 1/10/91*)

**17.28.1.8 Opponents.** The team shall not compete during the tour against other American teams (colleges or other U.S. teams) other than teams composed of U.S. armed forces personnel stationed at U.S. military bases in foreign countries.

**17.28.1.8.1 Exception—Women's Rowing.** It is permissible for rowing teams representing NCAA member institutions to compete against each other as part of the Henley Royal Regatta. (*Adopted: 1/11/00*)

#### **17.28.1.9 Expenses.**

**17.28.1.9.1 Per Diem.** An institution may provide a student-athlete \$20 cash per day to cover unitemized incidental expenses incurred in connection with a foreign tour in his or her particular sport. This expense allowance may be provided for each day of the tour, to a maximum of 21 days. (*Adopted: 1/10/05*)

**17.28.1.9.2 Post-Tour Stay.** An institution may not provide transportation expenses to return home for a student-athlete who remains in the foreign country after the foreign tour is completed. (*Adopted: 1/10/05*)

**17.28.1.9.3 Passports.** An institution may purchase passports for its student-athletes that are required for travel in connection with a foreign tour, and student-athletes may retain ownership of such passports. The institution also may provide student-athletes with reasonable local transportation to obtain such passports. (*Adopted: 1/10/05*)

**17.28.2 Sanctioned Outside-Team Tours.** An outside team that includes student-athletes from more than one member institution may participate in international competition in any sport on a foreign tour. However, any such outside team that includes more than the following number of student-athletes from the same member institution must be certified by the institution in accordance with procedures set forth in Bylaw 17.28. (*Revised: 1/14/97 effective 8/1/97, 1/9/06, 1/17/09*)

Baseball .....	4	Ice Hockey .....	4	Swimming and Diving .....	5
Basketball .....	2	Lacrosse .....	5	Tennis .....	2
Cross Country .....	2	Rifle .....	2	Track and Field .....	7
Fencing .....	4	Women's Rowing		Volleyball .....	2
Field Hockey .....	5	( <i>Adopted: 1/12/11</i> ) .....	4	Water Polo .....	4
Football .....	5	Skiing .....	4	Wrestling .....	5
Golf .....	2	Soccer .....	4		
Gymnastics .....	2	Softball .....	4		

**17.28.2.1 Institutional Foreign-Tour Limitations.** A member institution shall be charged with its foreign-tour opportunity in a sport and its once-in-three-years limitation if the institution is represented by more than the number of student-athletes specified under Bylaw 17.28.2 on an outside team participating in a foreign tour in that sport. (*Revised: 1/9/06, 1/17/09*)

## **17.29 PLAYING RULES**

Member institutions shall conduct all of their intercollegiate competition in accordance with the playing rules of the Association in all sports for which the NCAA develops playing rules. It is not mandatory that those rules be used in institutional scrimmages or other forms of practice with outside competition.

**FIGURE 17-1 — Playing and Practice Seasons Chart**

*\*See Bylaw 17 for standard legislative provisions that apply to all sports.*

LEGEND: **TS**—traditional segment, **NTS**—nontraditional segment, **DOC**—date(s) of competition, **CON**—contest(s), **NGB**—National Governing Body

Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Baseball</b>	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —Conclusion of the Division III Baseball Championship.	<b>TS</b> —40 CON <b>NTS</b> —1 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —Five weekdays before start of fall final exam period.			
<b>Basketball</b>	October 15.	November 15.	Conclusion of Division III Basketball Championship.	25 CON	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	Two informal scrimmages or one informal scrimmage and one exhibition contest
<b>Bowling</b>	September 7 or first day of classes for fall term.	September 7 or first day of classes for fall term.	Conclusion of the NCAA championship.#	26 DOC, including not more than 10 tournaments that are counted as single dates of competition.	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

<sup>2</sup> See each sport section for permissible timing of exemption

\* Five-weekday limitation applies to any regular academic term

# An institution may continue practice and competition beyond the NCAA championship provided it is within 19 weeks limitation and completed not later than five weekdays before the first day of the institution's final examinations for the regular academic year. If an institution's academic year concludes with a nonregular academic term (e.g., May term), then all practice and competition shall be completed not later than the first day of final examinations for that nonregular academic term. [See Bylaws 17.1.2-(c) and (d) regarding holiday, vacation and final examination periods.]

~ See Bylaw 17.19.3 for exceptions.

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\*See Bylaw 17 for standard legislative provisions that apply to all sports.

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Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Cross Country</b>	<b>TS</b> —Date that permits 16 units in the preseason practice formula before the first scheduled intercollegiate competition.	<b>TS</b> —September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of scrimmages may be conducted on one date and shall count as one DOC.	<b>TS</b> —Conclusion of the Division III Cross Country Championships.	9 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>NTS</b> —February 1.	<b>NTS</b> —February 1.	<b>NTS</b> —Five weekdays before start of final exam period.*			
<b>Fencing</b>	September 7 or first day of classes for fall term.	September 7 or first day of classes for fall term.	Conclusion of the NCAA championship.#	11 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament	Alaska, Puerto Rico and Hawaii—annually U.S. National Team
<b>Field Hockey</b>	<b>TS</b> —Date that permits 16 units in the preseason practice formula before the first scheduled intercollegiate competition.	<b>TS</b> —September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of scrimmages may be conducted on one date and shall count as one contest	<b>TS</b> —Conclusion of the Division III Field Hockey Championship.	<b>TS</b> —20 CON <b>NTS</b> —1 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament	
	<b>NTS</b> —February 1.	<b>NTS</b> —February 1.	<b>NTS</b> —Five weekdays before start of final exam period.*			
<b>Football</b>	Date that permits 25 practice opportunities (per Bylaw 17.9.2.1.1) before the first intercollegiate competition.	The Friday or Saturday 11 weeks before the first round of the Division III Football Championship or the Thursday before Labor Day when the first permissible contest falls on Labor Day weekend.	Conclusion of the Division III Football Championship.	10 CON	Conference Championship Hawaii, Alaska and Puerto Rico—(once in four years) Foreign Tour—(once in three years)	12-Member Conference Championship NAIA Championship NCAA Championship NCCAA Championship Preseason Scrimmage

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

<sup>2</sup> See each sport section for permissible timing of exemption

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# An institution may continue practice and competition beyond the NCAA championship provided it is within 19 weeks limitation and completed not later than five weekdays before the first day of the institution's final examinations for the regular academic year. If an institution's academic year concludes with a nonregular academic term (e.g., May term), then all practice and competition shall be completed not later than the first day of final examinations for that nonregular academic term. [See Bylaws 17.1.2(c) and (d) regarding holiday, vacation and final examination periods.]

~ See Bylaw 17.19.3 for exceptions.

**FIGURE 17-1 — Playing and Practice Seasons Chart**

*\*See Bylaw 17 for standard legislative provisions that apply to all sports.*

LEGEND: **TS**—traditional segment, **NTS**—nontraditional segment, **DOC**—date(s) of competition, **CON**—contest(s), **NGB**—National Governing Body

Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Golf</b>	<b>Fall</b> —Date that permits 16 units in the preseason practice formula before the first permissible date of competition. <b>Spring</b> —January 15.	<b>Fall</b> —September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, or the first day of classes, whichever is earlier. <b>Spring</b> —January 15.	<b>Fall</b> —Five weekdays before the start of the fall final exam period. <b>Spring</b> —Conclusion of NCAA Division III Golf Championships.	20 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	College-Am Event College All-American Golf Classic Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)
<b>Gymnastics</b>	September 7 or first day of classes for fall term.	September 7 or first day of classes for fall term.	Conclusion of National Collegiate Gymnastics Championships. #	13 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament	U.S. National Team USA Gymnastics and NCGA Championships Alaska, Puerto Rico and Hawaii—annually
<b>Ice Hockey</b>	October 15.	October 15.	Conclusion of Division III Ice Hockey Championship.	25 CON	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	U.S. Women's National Team—(season leading up to Winter Olympics)

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

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Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Lacrosse</b>	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —Conclusion of Division III Lacrosse Championship	<b>TS</b> —17 DOC <b>NTS</b> —1 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —Five weekdays before start of fall final exam period.			
<b>Rifle</b>	September 7 or first day of classes for fall term.	September 7 or first day of classes for fall term.	Conclusion of the NCAA championship.#	13 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament	U.S. National Team Alaska, Puerto Rico and Hawaii—annually
<b>Rowing, Women's</b>	<b>Fall</b> —Date that permits 16 units in the preseason practice formula before the first permissible date of competition. <b>Spring</b> —January 15.	<b>Fall</b> —September 7 or first day of classes for fall term. <b>Spring</b> —January 15.	<b>Fall</b> —Five weekdays before the start of the fall final exam period. <b>Spring</b> —Conclusion of NCAA Division III Rowing Championships.	20 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Season-Ending Tournament	U.S. Rowing Association Championship Alaska, Puerto Rico and Hawaii—annually

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

<sup>2</sup> See each sport section for permissible timing of exemption

\* Five-weekday limitation applies to any regular academic term

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~ See Bylaw 17.19.3 for exceptions.

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Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Rugby Women's</b>	<b>TS</b> —Date that permits 16 units in the preseason practice formula before the first scheduled intercollegiate competition.	<b>TS</b> —September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of scrimmages may be conducted on one date and shall count as one contest.	<b>TS</b> —Conclusion of national governing body championship.	10 CON	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament	NGB Championship Alaska, Puerto Rico and Hawaii—annually
	<b>NTS</b> —February 1.	<b>NTS</b> —February 1.	<b>NTS</b> —Five weekdays before start of final exam period.*			
<b>Skiing</b>	September 7 or first day of classes for fall term.	September 7 or first day of classes for fall term.	Conclusion of the NCAA championship.#	16 DOC—Nordic skiing 16 DOC—Alpine skiing	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament	U.S. National Team Alaska, Puerto Rico and Hawaii—annually
<b>Soccer</b>	<b>TS</b> —Date that permits 16 units in the preseason practice formula before the first scheduled intercollegiate competition.	<b>TS</b> —September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday. ~	<b>TS</b> —Conclusion of Division III Soccer Championship.	<b>TS</b> —20 CON <b>NTS</b> —1 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>NTS</b> —February 1.	<b>NTS</b> —February 1.	<b>NTS</b> —Five weekdays before start of final exam period.*			

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

<sup>2</sup> See each sport section for permissible timing of exemption

\* Five-weekday limitation applies to any regular academic term

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Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Softball</b>	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —Conclusion of Division III Softball Championship.	<b>TS</b> —40 CON <b>NTS</b> —1 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —Five weekdays before start of fall final exam period.*			
<b>Swimming and Diving</b>	September 7 or first day of classes for fall term.	September 7 or first day of classes for fall term.	Conclusion of Division III Swimming and Diving Championships.#	16 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
<b>Tennis</b>	<b>Fall</b> —Date that permits 16 units in the preseason practice formula before the first permissible date of competition. <b>Spring</b> —January 15.	<b>Fall</b> —September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, or the first day of classes, whichever is earlier. <b>Spring</b> —January 15.	<b>Fall</b> —Five weekdays before the start of the fall final exam period. <b>Spring</b> —Conclusion of NCAA Division III Tennis Championships.	20 DOC, including not more than four individual singles/doubles tournaments that are counted as single dates of competition.	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

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Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Track and Field (24-week season)</b>	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —September 7 or first day of classes for fall term.	<b>TS</b> —Conclusion of Division III Track and Field Championships.	18 DOC, including not more than four two-day meets that are counted as single dates of competition.	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —September 7 or first day of classes for fall term.	<b>NTS</b> —Five weekdays before start of final exam period.*			
<b>Volleyball</b>	<b>Women TS</b> —Date that permits 16 units in the preseason practice formula before the first scheduled intercollegiate competition. <b>Men TS and NTS</b> —September 7 or first day of classes for fall term.	<b>Women TS</b> —September 1 or preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of scrimmages may be conducted on one date and shall count as one DOC. <b>Men TS and NTS</b> —September 7 or first day of classes for fall term.	<b>Men's and Women's TS</b> —Conclusion of Division III Volleyball Championship. <b>Women NTS</b> —Five weekdays before start of final exam period.* <b>Men NTS</b> —Five weekdays before start of fall final exam period.	<b>TS</b> —22 DOC <b>NTS</b> —1 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	
	<b>Women NTS</b> —February 1.	<b>Women NTS</b> —February 1.	<b>Women NTS</b> —Five weekdays before start of final exam period.*			
<b>Water Polo</b>	<b>Women TS and NTS</b> —September 7 or first day of classes for fall term.	<b>Women</b> —September 7 or first day of classes for fall term. <b>Men TS</b> —First Saturday in September.	<b>Women</b> —Conclusion of National Collegiate Women's Water Polo Championship. <b>Men</b> —Five weekdays before start of final exam period.*	21 DOC	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico—(once in four years)	U.S. National Team
	<b>Men TS</b> —Date that permits 21 practice opportunities before the first intercollegiate competition. <b>Men NTS</b> —February 1.					

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

<sup>2</sup> See each sport section for permissible timing of exemption

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~ See Bylaw 17.19.3 for exceptions.

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Sport	Preseason Practice 17_.2	First Date of Competition 17_.3	End of Regular Season 17_.4	Number of Dates of Competition/Contests 17_.5 <sup>1</sup>	Contest Exemptions <sup>2</sup>	
					Standard	Sport-Specific
<b>Wrestling</b>	October 10.	November 1.	Conclusion of Division III Wrestling Championships.#	16 DOC, including not more than two two-day meets that are counted as single dates of competition.	Alumni Contest Conference Championship Conference Playoff Foreign Team in U.S. Foreign Tour—(once in three years) Fundraising Activity Independents Championship Season-Ending Tournament Hawaii, Alaska and Puerto Rico— (once in four years)	

<sup>1</sup> See Bylaw 17.1.4.4 for limits on athletically related activities and competition-specific limits on one date of competition in a nontraditional segment

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~ See Bylaw 17.19.3 for exceptions.

# Championships and Postseason Football

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## 18.01 GENERAL PRINCIPLES

**18.01.1 Purpose of NCAA Championships.** NCAA championships are intended to provide national-level competition among the best eligible student-athletes and teams of member institutions, with consideration also for approved regional structures for certain championships.

**18.01.2 Postseason Competition Not Sponsored by a Collegiate Entity.** Competition by member institutions in postseason contests that are not sponsored, promoted, managed and controlled by a collegiate entity shall conform to the requirements set forth in this article and all other applicable legislation of the Association, which may include penalties for violations of these requirements by sponsoring agencies.

## 18.02 DEFINITIONS AND APPLICATIONS

### 18.02.1 Championships.

**18.02.1.1 National Collegiate Championship.** A National Collegiate Championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes and teams of active member institutions to determine the NCAA champion in that sport for all divisions that do not have a separate division championship in that sport. A National Collegiate Championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.1.2 Division Championship.** A division championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes or teams of active member institutions to determine the division champion in that sport. A division championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.2 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes (see Bylaw 18.2.8.3). *(Revised: 1/11/94)*

**18.02.3 Open Date.** An open date is a regular weekend playing date before the end of an institution's regular football schedule on which an institution is not playing a game, or a date approved by the Management Council, by a two-thirds majority of its members present and voting, to enable an institution to assist financially in meeting an unforeseen hardship situation resulting directly from the institution's intercollegiate athletics activities.

## 18.1 REGULATIONS AND AUTHORITY FOR CONDUCT OF CHAMPIONSHIPS

All NCAA championships shall be conducted in accordance with this bylaw and the policies and procedures established by the Championships Committee, the Management Council, the Presidents Council and/or the Executive Committee, which shall establish and revise the policies and procedures governing the administration of NCAA championships, including selection processes, formats and distribution of revenues to participating institutions. NCAA championships shall be under the control, direction and supervision of the appropriate sports committees, subject to the requirements, standards and conditions prescribed in Bylaw 31. *(Revised: 1/10/91, 8/22/07)*

## 18.2 CRITERIA FOR ESTABLISHMENT OR CONTINUATION OF CHAMPIONSHIPS

The establishment or continuation of an NCAA championship in a given sport shall be determined on the basis of the requirements in the following bylaws, with sponsorship of a sport based on the Association's records as of September 30 each year.

**18.2.1 National Collegiate Championship.** A National Collegiate Championship for which any active member in good standing is eligible (per Bylaw 20.8) may be established by action of all three divisions acting through each division's governance structure, subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. *(Revised: 1/14/97 effective 8/1/97)*

**18.2.2 Division Championship.** A division championship in a particular sport may be established by a majority vote of all members of that division present and voting at an annual Convention subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. *(Revised: 1/9/96 effective 8/1/97)*

**18.2.3 Championships Existing during 1993-94.** A National Collegiate Championship or a division championship that existed during the 1993-94 academic year may be continued if at least 40 member institutions sponsor the sport. *(Adopted: 1/11/94 effective 8/1/94)*

**18.2.3.1 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. *(Adopted: 1/11/94 effective 8/1/94)*

#### **18.2.4 Minimum Sponsorship for Championships.**

**18.2.4.1 Men's Sports.** A National Collegiate Championship or a division championship may be established in a men's sport if at least 50 institutions sponsor the sport. *(Adopted: 1/11/94 effective 8/1/94, Revised: 10/20/08)*

**18.2.4.2 Women's Sports.** A National Collegiate Championship or a division championship may be established in a women's sport if at least 40 institutions sponsor the sport. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/13/98 effective 8/1/98, 1/12/99, 1/13/03, 10/20/08)*

**18.2.4.2.1 Exception—Establishment of Championship.** A championship in an emerging women's team sport may be sponsored if at least 28 institutions sponsor the sport. *(Adopted: 1/8/01 effective 8/1/01)*

#### **18.2.4.3 Sponsorship Criteria.**

**18.2.4.3.1 Minimum Period.** In men's sports, the applicable minimum sponsorship number must exist for two consecutive academic years in order for a championship to be established. Legislation to establish the championship may be proposed during the second year in which the minimum sponsorship number exists. In women's sports, the applicable minimum sponsorship number must exist for one academic year in order for a championship to be established. Legislation to establish the championship may be proposed during the year in which the minimum sponsorship number exists. Varsity sports sponsored before August 1, 1994, shall count toward the applicable minimum-year sponsorship requirement. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/11/00 effective 8/1/00)*

**18.2.4.3.2 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. *(Adopted: 1/11/94 effective 8/1/94)*

**18.2.5 Establishment of Single Championship in Sport.** If only one championship is established or continued in accordance with Bylaws 18.2.3 and 18.2.4, it shall be a National Collegiate Championship for which any active member institution in good standing can be eligible.

**18.2.6 Establishment of Two Championships in Sport.** If a National Collegiate Championship and one division championship exist in the same sport, only the members of the division sponsoring the division championship may participate in the division championship, and that division's membership may not participate in the National Collegiate Championship in that sport.

**18.2.7 Establishment of Three Championships in Sport.** If a National Collegiate Championship and two division championships exist in the same sport, the National Collegiate Championship automatically shall become a division championship for the remaining division that does not sponsor a division championship in that sport.

#### **18.2.8 Determination of Sponsorship Requirements.**

**18.2.8.1 Single-Gender Athletics Programs.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, member institutions sponsoring no varsity intercollegiate athletics programs for men shall not be included in making calculations concerning men's championships, and member institutions sponsoring no varsity intercollegiate athletics programs for women shall not be included in making calculations concerning women's championships.

**18.2.8.2 Separate Men's and Women's Teams, Same Sport.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4 for a combined men's and women's championship, separate varsity intercollegiate men's and women's teams in the same sport at a member institution shall be counted separately.

**18.2.8.3 Mixed Team.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, a mixed team (as defined in Bylaw 18.02.2) shall be counted as one team. A mixed team shall count toward the minimum-sponsorship percentage for men's championships.

**18.2.9 Subdivision Requirements.** If a division subdivides for the administration of a sport, resulting in less than the applicable minimum number of the division's active members sponsoring the sport on a varsity intercollegiate basis in that subdivision, the subdivision shall meet the requirement within three years of the date the subdivision was created. It may establish and conduct a championship in the interim. (*Revised: 1/11/94 effective 8/1/94*)

**18.2.10 Failure to Meet Minimum Sponsorship Requirements.** A championship shall be discontinued automatically at the conclusion of the academic year in which it falls below the applicable minimum sponsorship number set forth in Bylaw 18.2.3 or 18.2.4. (*Revised: 1/11/94 effective 8/1/94*)

**18.2.10.1 Exception—Olympic Sports.** A National Collegiate Championship or a division championship in any Olympic sport shall be exempt from the minimum-sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in an Olympic sport. (*Adopted: 1/14/97 effective 8/1/97, Revised: 12/5/06*)

## 18.3 CURRENT CHAMPIONSHIPS

The Association currently administers 89 national championships. Ten are National Collegiate Championships. Additionally, there are 26 Division I championships, 25 Division II championships and 28 Division III championships. (See Bylaw 31.02.2 for information about the classification and terminology of championships and see Constitution 5.3.10.1 and 5.3.10.2 for the voting requirements for the establishment of a new championship.) The current championships are as follows: (*Revised: 1/10/90, 1/10/92, 1/9/96, 1/13/03 effective 8/1/03*)

### 18.3.1 National Collegiate Championships (10).

<b>Men (3)</b>	<b>Men and Women (3)</b>	<b>Women (4)</b> ( <i>Revised: 1/12/99 effective 8/1/99, 1/11/00, 1/13/03</i> )
Gymnastics	Fencing	Bowling ( <i>Adopted: 1/13/03 effective 8/1/03</i> )
Volleyball	Rifle	Gymnastics
Water Polo	Skiing	Ice Hockey (Divisions I and II) ( <i>Adopted: 1/11/00 effective 8/1/00</i> )
		Water Polo ( <i>Adopted: 1/11/00 effective 8/1/00</i> )

### 18.3.2 Division III Championships. (28)

<b>Men (14)</b> ( <i>Revised: 1/15/11 effective 8/1/11</i> )	<b>Women (14)</b> ( <i>Revised: 1/8/01</i> )
Baseball	Basketball
Basketball	Cross Country
Cross Country	Field Hockey
Football	Golf ( <i>Revised: 1/12/99 effective 8/1/99</i> )
Golf	Ice Hockey ( <i>Adopted: 1/8/01</i> )
Ice Hockey	Lacrosse
Lacrosse	Rowing ( <i>Adopted: 1/8/01</i> )
Soccer	Soccer
Swimming and Diving	Softball
Tennis	Swimming and Diving
Indoor Track and Field	Tennis
Outdoor Track and Field	Indoor Track and Field
Volleyball ( <i>Adopted: 1/15/11 effective 8/1/11</i> )	Outdoor Track and Field
Wrestling	Volleyball

## 18.4 ELIGIBILITY FOR CHAMPIONSHIPS

**18.4.1 Student-Athlete Eligibility.** To be eligible for NCAA championships, a student-athlete shall meet all applicable individual-eligibility requirements set forth in NCAA legislation. The general and academic eligibility requirements are set forth in detail in Bylaw 14.

**18.4.1.1 Institution's Responsibility.** The responsibility of an institution to withhold from all intercollegiate competition a student-athlete who is ineligible under any NCAA legislation is set forth in Bylaw 14.9.1.

**18.4.1.2 Committee on Student-Athlete Reinstatement Authority.** The Committee on Student-Athlete Reinstatement shall have initial authority to determine all matters pertaining to the eligibility of student-athletes competing in the various NCAA championships and to act upon all appeals concerning the eligibility of student-athletes submitted by member institutions (see Bylaw 14.1.1).

**18.4.1.3 Protest of Eligibility Status.** If a student-athlete has been certified by the institution as eligible to compete in an NCAA championship and the student-athlete's eligibility is protested, the Committee on Student-Athlete Reinstatement shall not rule on such a protest received during the period beginning 24 hours before the event and ending with the conclusion of the event. (See Bylaw 31.2.2.2 regarding protests received during a break in the continuity of a championship.)

**18.4.1.4 Amateur-Status Certification.** If requested by the Championships Committee, the student-athlete shall certify his or her amateur standing under the provisions of Bylaw 12 by signing an affidavit that is administered by the chair of the games committee and taken on a form prescribed by the Championships Committee.

**18.4.1.5 Ineligibility for Use of Banned Drugs.** A student-athlete who is found to have used a substance on the list of banned drug classes, as set forth in Bylaws 31.2.3.4 and 14.1.1.1, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. The certifying institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility if the institution concludes that circumstances warrant restoration. *(Revised: 1/10/90 effective 8/1/90)*

**18.4.1.5.1 Duration of Ineligibility.** A student-athlete who as a result of a drug test administered by the NCAA is found to have used a substance on the list of banned drug classes (in accordance with the testing methods authorized by the Executive Committee) shall be charged with the loss of competition during a minimum of one season of participation in all sports if the season of participation has not yet begun for that student-athlete or a minimum of the equivalent of competition during one full season of participation in all sports if the student-athlete tests positive during his or her season of participation (i.e., the remainder of contests in the current season and contests in the following season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the collection of the student-athlete's positive drug-test specimen and until the student-athlete tests negative (in accordance with the testing methods authorized by the Executive Committee). If the student-athlete participates in any contests from the time of collection until the confirmation of the positive result, he or she must be withheld from an equal number of contests after the 365-day period of ineligibility. The student-athlete's eligibility must be restored by the Committee on Student-Athlete Reinstatement. *(Revised: 1/10/90 effective 8/1/90, 1/16/93, 1/9/96 effective 8/1/96, 1/14/97 effective 8/1/97, 1/8/01, 4/15/03, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04, 10/18/04 effective 8/1/05, 6/3/05, 6/9/05, 7/24/07)*

**18.4.1.5.1.1 Breach of NCAA Drug-Testing Program Protocol.** A student-athlete who is in breach of the NCAA drug-testing program protocol (e.g., no show, tampering with sample) shall be considered to have tested positive for the use of any drug other than a "street" drug. *(Adopted: 1/10/05 effective 8/1/05)*

**18.4.1.5.1.2 Testing Positive on More Than One Occasion.** If the student-athlete, who tested positive for any drug other than a "street drug" as defined in Bylaw 31.2.3.4 tests positive a second time for the use of any drug, other than a "street drug," he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after having tested positive for the use of any banned drug, he or she shall be charged with the loss of competition during a minimum of one additional season of participation in all sports and also shall remain ineligible for regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the period of ineligibility for any prior positive drug tests have expired. *(Revised: 1/10/05 effective 8/1/05, 6/9/05, 10/15/08)*

**18.4.1.5.1.3 Transfers.** If the student-athlete transfers to another NCAA institution while ineligible, the institution from which the student-athlete transferred must notify the institution that the student-athlete is ineligible. If the student-athlete immediately transfers to a non-NCAA institution while ineligible and competes in intercollegiate competition within the 365-day period at a non-NCAA institution, the student-athlete will be ineligible for all NCAA regular-season and postseason competition until the student-athlete does not compete in intercollegiate competition for a 365-day period. Furthermore, the student-athlete must retest negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility must be restored by the Committee on Student-Athlete Reinstatement. *(Revised: 1/10/05 effective 8/1/05)*

**18.4.1.5.1.4 Appeals.** An institution may appeal the duration of ineligibility to the Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee). In all sports, the committee may reduce the legislated penalty to withholding the student-athlete from competition during the next 50 percent of the season of participation or provide complete relief from the legislated penalty. If the committee requires the student-athlete to fulfill the legislated penalty or be withheld from competition during the next 50 percent of the season of participation in all sports, the student-athlete shall remain ineligible until the prescribed penalty is fulfilled, the student-athlete tests negative and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. *(Adopted: 1/10/05 effective 8/1/05, for drug tests conducted on or after 8/1/05, Revised: 6/9/05)*

**18.4.1.5.2 Banned Drugs and Drug-Testing Methods.** The Executive Committee shall adopt a list of banned drug classes and shall authorize methods for drug testing of student-athletes on a year-round basis. The list of banned drug classes and the procedure for informing member institutions about authorized methods for drug testing are set forth in Bylaws 31.2.3.4 and 31.2.3.6, respectively. *(Revised: 1/10/90 effective 8/1/90, 6/3/05)*



**18.4.1.5.3 Non-NCAA Athletics Organization's Positive Drug Test.** The Executive Committee shall authorize methods for drug testing any student-athlete who has disclosed in the student-athlete statement (see Bylaw 14.1.3.1) that he or she has a positive drug test administered by a non-NCAA athletics organization. A student-athlete under drug-testing suspension from a national or international sports governing body that has adopted the World Anti-Doping Agency (WADA) code shall not participate in NCAA intercollegiate competition for the duration of the suspension. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/10/05 effective 8/1/05)*

**18.4.2 Institutional Eligibility.** To be eligible to enter a team or an individual in NCAA championship competition, an institution shall meet the requirements set forth in Bylaw 31.2.1. *(Revised: 4/13/10)*

## **18.5 AUTOMATIC QUALIFICATION BY CONFERENCE**

**18.5.1 Division Championship.** To be eligible for automatic qualification into any Division III championship, a conference shall meet the requirements set forth in Bylaw 31.3.2. *(Revised: 1/7/06)*

**18.5.2 National Collegiate Championship. [#]** To be eligible for automatic qualification into any National Collegiate Championship, a conference shall: *(Adopted: 1/7/06)*

- (a) Have at least six active members that sponsor the applicable sport in any division; and
- (b) Meet all applicable requirements for conference automatic qualification into any National Collegiate Championship as set forth in Bylaw 31.3.2.2.

## **18.6 PLAYING RULES FOR CHAMPIONSHIPS**

In sports in which the Association maintains rules committees, the rules adopted by said committees shall govern the conduct of all NCAA-sponsored events in those sports. In those sports in which the Association does not maintain rules committees, the rules to be used are specified in Bylaw 31.1.6.



# Enforcement

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## 19.01 GENERAL PRINCIPLES

**19.01.1 Mission of NCAA Enforcement Program.** It shall be the mission of the NCAA enforcement program to eliminate violations of NCAA rules and impose appropriate penalties should violations occur. The program is committed to fairness of procedures and the timely and equitable resolution of infractions cases. The achievement of these objectives is essential to the conduct of a viable and effective enforcement program. Further, an important consideration in imposing penalties is to provide fairness to uninvolved student-athletes, coaches, administrators, competitors and other institutions. (*Adopted: 1/11/94*)

**19.01.2 Exemplary Conduct.** Individuals employed by or associated with member institutions for the administration, the conduct or the coaching of intercollegiate athletics are, in the final analysis, teachers of young people. Their responsibility is an affirmative one, and they must do more than avoid improper conduct or questionable acts. Their own moral values must be so certain and positive that those younger and more pliable will be influenced by a fine example. Much more is expected of them than of the less critically placed citizen.

**19.01.3 Responsibility to Cooperate.** All representatives of member institutions shall cooperate fully with the NCAA enforcement staff, Committee on Infractions and Management Council to further the objectives of the Association and its enforcement program. The enforcement policies and procedures are an essential part of the intercollegiate athletics program of each member institution and require full and complete disclosure by all institutional representatives of any relevant information requested by the NCAA enforcement staff or Committee on Infractions during the course of an inquiry.

**19.01.4 Violations by Institutional Staff Members.** Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution.

**19.01.5 Nature of Penalty Structure.** As a guiding principle, a penalty imposed under NCAA enforcement policies and procedures should be broad and severe if the violation or violations reflect a general disregard for the governing rules; in those instances in which the violation or violations are isolated and of relative insignificance, then the NCAA penalty shall be specific and limited. Previous violations of NCAA legislation shall be a contributing factor in determining the degree of penalty.

## 19.02 DEFINITIONS AND APPLICATIONS

**19.02.1 Show-Cause Order.** A show-cause order is one that requires a member institution to demonstrate to the satisfaction of the Committee on Infractions (or the Infractions Appeals Committee per Bylaw 19.2) why it should not be subject to a penalty (or additional penalty) for not taking appropriate disciplinary or corrective action against an institutional staff member or representative of the institution's athletics interests identified by the committee as having been involved in a violation of NCAA regulations that has been found by the committee. (*Revised: 1/10/95, 1/12/04*)

### 19.02.2 Types of Violations.

**19.02.2.1 Violation, Secondary.** A secondary violation is a violation that is isolated or inadvertent in nature, provides or is intended to provide only a minimal recruiting or competitive or other advantage and does not include any significant impermissible benefits, including but not limited to, extra benefits, recruiting inducements, preferential treatment or financial aid. Multiple secondary violations by a member institution collectively may be considered as a major violation. (*Revised: 1/11/94, 7/20/10*)

**19.02.2.2 Violation, Major.** All violations other than secondary violations are major violations, specifically including those that provide an extensive recruiting or competitive advantage. *(Revised: 1/11/94)*

**19.02.3 New Evidence.** New evidence is evidence that could not reasonably be ascertained before the Committee on Infractions hearing. *(Adopted: 1/6/96)*

## **19.1 COMMITTEE ON INFRACTIONS**

The Management Council shall appoint a Committee on Infractions, which shall be responsible for administration of the NCAA enforcement program.

**19.1.1 Composition of Committee.** The Committee on Infractions shall be composed of five members, including one member from the Management Council and one member from the general public. One of the members shall serve as chair and one member shall serve as vice chair. *(Revised: 1/16/93, 1/14/97 effective 8/1/97, 1/14/02)*

**19.1.1.1 Quorum.** Three members present and voting shall constitute a quorum to conduct committee business, it being understood that the chair shall make a special effort to have full committee attendance when major infractions cases involving violations are to be considered. *(Revised: 1/14/97 effective 8/1/97)*

**19.1.2 Authority of Committee on Infractions.** Disciplinary or corrective actions other than suspension or termination of membership may be effected by members of the Committee on Infractions present and voting at any duly called meeting, provided the call of such a meeting shall have contained notice of the situation presenting the disciplinary problem. Actions of the committee in cases involving major violations, however, shall be subject to review by the Infractions Appeals Committee per Bylaw 19.2, on appeal. *(Revised: 1/16/93, 1/10/95, 1/14/97 effective 8/1/97, 1/12/04)*

**19.1.2.1 Authority of Vice President for Enforcement.** On review of information developed by the enforcement staff or self-reported by the member institution, the vice president for enforcement shall identify the charges as involving alleged major or secondary violations, or multiple secondary violations that should be viewed as a major violation. Disciplinary or corrective actions in the case of secondary violations may be effected by the vice president for enforcement. Said actions shall be taken in accordance with the provisions of the enforcement policies and procedures and shall be subject to review by the committee on appeal. *(Revised: 1/14/97 effective 8/1/97, 1/12/04)*

**19.1.2.2 Authority of Committee Chair.** In the interim between meetings of the committee, the chair shall be empowered to act on behalf of the committee, subject to committee approval at its next meeting. If at any time at a meeting or between meetings the chair is unavailable to act as such, the vice chair is empowered to exercise the functions of the chair. *(Revised: 1/14/97 effective 8/1/97, 1/14/02)*

**19.1.2.3 Authority of Infractions Appeals Committee.** The Infractions Appeals Committee per Bylaw 19.2 shall hear and act on an institution's or involved individual's appeal of the findings and/or penalties of major violations by the Committee on Infractions. *(Revised: 1/16/93, 1/10/95, 1/12/04)*

**19.1.2.4 Temporary Substitutes.** If it appears that one or more members of the committee will be unable to participate in the hearing of a case, the chair may request the Management Council to designate a former member or members of the committee to rejoin the committee for purposes of the consideration and disposition of that case. *(Adopted: 1/14/97 effective 8/1/97)*

**19.1.3 Duties of Committee.** The duties of the committee shall be as follows: *(Revised: 1/14/97 effective 8/1/97, 4/25/03)*

- (a) Consider complaints that may be filed with the Association charging the failure of any member to maintain the academic or athletics standards required for membership or the failure of any member to meet the conditions and obligations of membership in the Association; *(Revised: 1/14/97 effective 8/1/97)*
- (b) Formulate and revise, in accordance with the requirements of Bylaw 19.3, a statement of its established operating policies and procedures, including investigative guidelines (see Bylaw 32);
- (c) Determine facts related to alleged violations and find violations of NCAA rules; *(Revised: 1/14/97 effective 8/1/97)*
- (d) Impose an appropriate penalty or show-cause requirement on a member found to be involved in a major violation (or, on appeal, on a member found to be involved in a secondary violation), or recommend to the Management Council suspension or termination of membership; and
- (e) Carry out any other duties directly related to the administration of the enforcement program. *(Revised: 1/14/97 effective 8/1/97)*

## **19.2 APPEALS COMMITTEE**

**19.2.1 Authority of Infractions Appeals Committee.** The Infractions Appeals Committee shall hear and act on an institution's or involved individual's appeal of the findings and/or penalties of major violations by the Committee on Infractions. The committee may establish or amend enforcement policies and procedures set forth in Bylaws 32.10 and 32.11 that relate directly to the infractions appeals process, subject to review and approval by Management Council. *(Adopted: 1/10/95, Revised: 1/14/97 effective 8/1/97, 1/17/08, 10/19/10)*

## 19.3 ESTABLISHMENT AND REVISION OF ENFORCEMENT POLICIES AND PROCEDURES

**19.3.1 Amendment by Committee and Approval by Management Council.** The Committee on Infractions may establish or amend the policies and procedures in regard to issues other than those concerning institutional penalties, restitution, and committee duties and structure. A member institution shall be provided notice of alleged NCAA rules violations for which it is charged before any penalty is imposed, as well as the opportunity to appear before the committee and the opportunity to appeal the committee's findings of major violations or penalties (see Bylaws 19.4 and 19.5). The policies and procedures governing the administration of the Association's enforcement program, as set forth in Bylaw 32, are subject to review and approval by the Management Council at its next regularly scheduled meeting.

**19.3.1.1 Notification to Membership.** To the extent that the enforcement policies and procedures are revised, any member institution involved in the processing of an infractions case shall be notified immediately of the change and the general membership shall be advised through the NCAA website.

**19.3.1.2 Review by Management Council.** Policies and procedures established by the Committee on Infractions, per Bylaw 19.3.1, are subject to review and approval in accordance with the legislative process. (*Revised: 1/12/04*)

**19.3.2 Amendment to Enforcement Procedures.** The enforcement policies and procedures set forth in Bylaw 32 may be amended in accordance with the legislative process. (*Revised: 1/12/04*)

## 19.4 NOTICE OF CHARGES AND OPPORTUNITY TO APPEAR

**19.4.1 For Major Violations.** A member under investigation for major violations shall be given the following:

- (a) Notice of any specific charges against it and the facts upon which such charges are based; and
- (b) An opportunity to appear before the Committee on Infractions (or the Infractions Appeals Committee per Bylaw 19.5.2.2.1) to answer such charges by the production of evidence (see Bylaw 19.6). (*Revised: 1/16/93, 1/10/95, 1/12/04*)

**19.4.2 For Secondary Violations.** A member under investigation for secondary violations shall be given the following:

- (a) Notice of any specific charges against it and the facts on which such charges are based; and
- (b) An opportunity to provide a written response to the vice president for enforcement (or to appear before the Committee on Infractions on appeal) to answer such charges by the production of evidence (see Bylaw 19.6).

**19.4.3 New Findings.** When an institution and involved individual appears before the committee to discuss a response to the notice of allegations, the hearing shall be directed toward the general scope of the notice of allegations but shall not preclude the committee from finding any violation resulting from information developed or discussed during the hearing. (*Revised: 4/25/03*)

## 19.5 PENALTIES

**19.5.1 Penalties for Secondary Violations.** The vice president for enforcement services, on approval by the chair or another member of the Committee on Infractions designated by the chair, or the committee may determine that no penalty is warranted in a secondary case, that an institutional- or conference-determined penalty is satisfactory or, if appropriate, impose a penalty. Among the disciplinary measures are: (*Revised: 1/11/94, 1/17/08*)

- (a) Termination of the recruitment of a prospective student-athlete by the institution or, if the prospective student-athlete enrolls (or has enrolled) in the institution, permanent ineligibility to represent the institution in intercollegiate competition (unless eligibility is restored by the Committee on Student-Athlete Reinstatement on appeal);
- (b) Forfeit/vacate contests in which an ineligible student-athlete participated; (*Revised: 6/3/05*)
- (c) Prohibition of the head coach or other staff members in the involved sport from participating in any off-campus recruiting activities for up to one year; (*Revised: 1/11/94*)
- (d) An institutional fine for each violation, with the monetary penalty ranging in total from \$500 to \$5,000;
- (e) Institutional recertification that its current athletics policies and practices conform to all requirements of NCAA regulations;
- (f) Suspension of the head coach or other staff members for one or more competitions; (*Adopted: 1/11/94*)
- (g) Public reprimand (to be invoked only in situations in which the Committee on Infractions or the vice president for enforcement, on approval by the committee, determines that a penalty, in addition to any institutional- or conference-determined penalty, is warranted); and (*Adopted: 1/11/94*)
- (h) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the provisions of NCAA legislation while representing another institution, show cause why a penalty or an additional penalty should not be imposed if it does not take

appropriate disciplinary or corrective action against the athletics department personnel involved, any other institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests. *(Adopted: 1/11/94)*

## **19.5.2 Penalties for Major Violations.**

**19.5.2.1 Presumptive Penalty.** The presumptive penalty for a major violation, subject to exceptions authorized by the Committee on Infractions on the basis of specifically stated reasons, shall include all of the following: *(Revised: 1/11/94)*

- (a) A two-year probationary period (including a periodic in-person monitoring system and written institutional reports);
- (b) The reduction in the number of expense-paid recruiting visits to the institution in the involved sport for one recruiting year; *(Revised: 1/11/94)*
- (c) A requirement that all coaching staff members in the sport be prohibited from engaging in any off-campus recruiting activities for up to one recruiting year; *(Revised: 1/11/94)*
- (d) A requirement that all institutional staff members determined by the committee knowingly to have engaged in or condoned a major violation be subject to: *(Adopted: 1/11/94)*
  - (1) Termination of employment;
  - (2) Suspension without pay for at least one year;
  - (3) Reassignment of duties within the institution to a position that does not include contact with prospective or enrolled student-athletes or representatives of the institution's athletics interests for at least one year; or
  - (4) Other disciplinary action approved by the committee.
- (e) Sanctions precluding postseason competition in the sport, particularly in those cases in which: *(Revised: 1/11/94)*
  - (1) Involved individuals remain active in the program; *(Adopted: 1/11/94)*
  - (2) A significant competitive advantage results from the violation(s); or *(Adopted: 1/11/94)*
  - (3) The violation(s) reflect a lack of institutional control. *(Adopted: 1/11/94)*
- (f) Institutional recertification that the current athletics policies and practices conform to all requirements of NCAA regulations.

**19.5.2.2 Disciplinary Measures.** In addition to those penalties prescribed for secondary violations, among the disciplinary measures, singly or in combination, that may be adopted by the committee (or the Infractions Appeals Committee per Bylaw 19.2) and imposed against an institution for major violations are: *(Revised: 1/16/93, 1/11/94, 1/10/95, 4/25/03)*

- (a) Public reprimand and censure; *(Revised: 1/11/94)*
- (b) Probation for at least one year; *(Revised: 1/11/94)*
- (c) Prohibition against the recruitment of prospective student-athletes for a sport or sports for a specified period;
- (d) One or more of the following penalties: *(Revised: 1/8/01 effective 8/1/01)*
  - (1) Individual records and performances shall be vacated or stricken; or *(Revised: 1/11/94)*
  - (2) Team records and performances shall be vacated or stricken; or *(Adopted: 1/11/94)*
  - (3) Individual or team awards shall be returned to the Association.
- (e) Financial penalty; *(Adopted: 1/8/01 effective 8/1/01)*
- (f) Ineligibility for any television programs involving coverage of the institution's intercollegiate athletics team or teams in the sport or sports in which the violations occurred; *(Revised: 1/10/92)*
- (g) Ineligibility for invitational and postseason meets and tournaments;
- (h) Ineligibility for one or more NCAA championship events;
- (i) Prohibition against an intercollegiate sports team or teams participating against outside competition for a specified period;
- (j) Ineligibility of the member to vote or its personnel to serve on committees of the Association, or both; and
- (k) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the provisions of NCAA legislation while representing another institution, show cause why:
  - (1) A penalty or an additional penalty should not be imposed if, in the opinion of the committee (or the Infractions Appeals Committee per Bylaw 19.2), it does not take appropriate disciplinary or corrective action against athletics department personnel involved in the infractions case, any other

institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests; *(Revised: 1/10/95, 1/12/04)*

- (2) A recommendation should not be made to the membership that the institution's membership in the Association be suspended or terminated if, in the opinion of the committee (or the Infractions Appeals Committee per Bylaw 19.2), it does not take appropriate disciplinary or corrective action against the head coach of the sport involved, any other institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests; *(Revised: 1/10/95, 1/12/04)*
- (3) "Appropriate disciplinary or corrective action" as specified in subparagraphs (1) and (2) above may include, for example, termination of the coaching contract of the head coach and any assistants involved; suspension or termination of the employment status of any other institutional employee who may be involved; severance of relations with any representative of the institution's athletics interests who may be involved; the debarment of the head or assistant coach from any coaching, recruiting or speaking engagements for a specified period; and the prohibition of all recruiting in a specified sport for a specified period;
- (4) The nature and extent of such action shall be the determination of the institution after due notice and hearing to the individuals concerned, but the determination of whether or not the action is appropriate in the fulfillment of NCAA policies and principles, and its resulting effect on any institutional penalty, shall be solely that of the committee (or the Infractions Appeals Committee per Bylaw 19.2); or *(Revised: 1/10/95, 1/12/04)*
- (5) Where this requirement is made, the institution shall show cause or, in the alternative, shall show the appropriate disciplinary or corrective action taken, in writing, to the committee (or the Infractions Appeals Committee per Bylaw 19.2) within 15 days thereafter. The committee (or the Infractions Appeals Committee per Bylaw 19.2) may, without further hearing, determine on the basis of such writing whether or not in its opinion appropriate disciplinary or corrective action has been taken and may impose a penalty or additional penalty; take no further action, or, by notice to the institution, conduct a further hearing at a later date before making a final determination. *(Revised: 1/10/95, 1/12/04)*

**19.5.2.2.1 Opportunity to Appear.** In the event the committee considers additional penalties to be imposed on an institution in accordance with Bylaw 19.5.2.2 above, the involved institution shall be provided the opportunity to appear before the committee; further, the institution shall be provided the opportunity to appeal (per Bylaw 19.6.2) any additional penalty imposed by the committee.

#### **19.5.2.3 Repeat Violators.**

**19.5.2.3.1 Time Period.** An institution shall be considered a "repeat" violator if the Committee on Infractions finds that a major violation has occurred within five years of the starting date of a major penalty. For this provision to apply, at least one major violation must have occurred within five years after the starting date of the penalties in the previous case. It shall not be necessary that the Committee on Infractions's hearing be conducted or its report issued within the five-year period. *(Revised: 1/14/97 effective 8/1/97)*

**19.5.2.3.2 Repeat-Violator Penalties.** In addition to the penalties identified for a major violation, the minimum penalty for a repeat violator, subject to exceptions authorized by the Committee on Infractions on the basis of specifically stated reasons, may include any or all of the following: *(Revised: 1/11/94)*

- (a) The prohibition of some or all outside competition in the sport involved in the latest major violation for one or two sports seasons and the prohibition of all coaching staff members in that sport from involvement directly or indirectly in any coaching activities at the institution during that period;
- (b) The elimination of all initial grants-in-aid and all recruiting activities in the sport involved in the latest major violation in question for a two-year period;
- (c) The requirement that all institutional staff members serving on the Presidents Council, Management Council, Executive Committee or other committees of the Association resign those positions, it being understood that all institutional representatives shall be ineligible to serve on any NCAA committee for a period of four years; and
- (d) The requirement that the institution relinquish its voting privilege in the Association for a four-year period.

#### **19.5.2.4 Probationary Periods.**

**19.5.2.4.1 Conditions of Probation.** The committee (or the Infractions Appeals Committee per Bylaw 19.2) may identify possible conditions that an institution must satisfy during a probationary period. Such conditions shall be designed on a case-by-case basis to focus on the institution's administrative weaknesses detected in the case and shall include, but not be limited to, written reports from the institution pertaining to areas of concern to the committee (or the Infractions Appeals Committee per Bylaw 19.2), in-person reviews of the institution's athletics policies and practices by the NCAA administrator for the Committee on Infractions, implementation of educational or deterrent programs, and audits for specific programs or teams. If the institution fails to satisfy such conditions, the committee (or the Infractions Appeals Committee per Bylaw 19.2) may reconsider the penalties in the case and may extend the probationary period and/or impose additional sanctions. *(Revised: 1/10/95, 4/25/03)*



**19.5.2.4.2 Review Before Restoration of Membership Rights and Privileges.** In the event the committee imposes a penalty involving a probationary period, the institution shall be notified that after the penalty becomes effective, the NCAA administrator for the Committee on Infractions will review the athletics policies and practices of the institution before action by the committee to restore the institution to full rights and privileges of membership in the Association. *(Revised: 1/10/95)*

**19.5.2.5 Television Appearance Limitations.** In some instances, an institution is rendered ineligible to appear on television programs. When an institution is banned from such television programs, the penalty shall specify that the institution may not enter into any contracts or agreements for such appearances until the institution's probationary status has been terminated and it has been restored to full rights and privileges of membership. *(Revised: 1/10/92)*

**19.5.2.5.1 Closed-Circuit Telecast Exception.** The Championships Committee is authorized to permit a closed-circuit telecast, limited to the campus of the opponent of the ineligible institution, it being understood that no rights fee is to be paid to the ineligible institution.

**19.5.2.6 Disassociation of Representatives of Athletics Interests.** The disassociation of relations with a representative of an institution's athletics interests may be imposed on a permanent basis, for the duration of the applicable probationary period or for another specified period of time. When an institution is required to show cause why a representative of the institution's athletics interests should not be disassociated from its athletics program, such disassociation shall require that the institution:

- (a) Refrain from accepting any assistance from the individual that would aid in the recruitment of prospective student-athletes or the support of enrolled student-athletes;
- (b) Not accept financial assistance for the institution's athletics program from the individual;
- (c) Ensure that no athletics benefit or privilege be provided to the individual that is not generally available to the public at large; and
- (d) Take such other actions against the individual that the institution determines to be within its authority to eliminate the involvement of the individual in the institution's athletics program.

**19.5.2.7 Notification to Regional Accrediting Agency.** When an institution has been found to be in violation of NCAA requirements, and the report reflects academic violations or questionable academic procedures, the NCAA president shall be authorized to forward a copy of the report to the appropriate regional accrediting agency.

#### **19.5.2.8 Review of Penalty.**

**19.5.2.8.1 Newly Discovered Evidence or Prejudicial Error.** When a penalty has been imposed and publicly announced and the appeal opportunity has been exhausted, there shall be no review of the penalty except on a showing of newly discovered evidence (per Bylaw 19.02.3) that is directly related to the findings in the case or that there was a prejudicial error in the procedure that was followed in the processing of the case by the committee. *(Revised: 1/9/96)*

**19.5.2.8.1.1 Review Process.** Any institution that initiates such a review shall be required to submit a brief of its appeal to the committee and to furnish sufficient copies of the brief for distribution to all members of the committee. The committee shall review the brief and decide by majority vote whether it shall grant a hearing of the appeal.

**19.5.2.8.1.2 Institution or Conference Discipline as New Evidence.** Disciplinary measures imposed by the institution or its conference, after the NCAA's action, may be considered to be "newly discovered evidence" for the purposes of this section.

**19.5.2.8.1.3 No Imposition of New Penalty.** If a hearing of the appeal is granted, the committee may reduce or eliminate any penalty but may not impose any new penalty. The committee's decision with respect to the penalty shall be final and conclusive for all purposes.

**19.5.2.8.2 Reconsideration of Penalty.** The institution shall be notified that should any portion of the penalty in the case be set aside for any reason other than by appropriate action of the Association, the penalty shall be reconsidered by the NCAA. In such cases, any extension or adjustment of a penalty shall be proposed by the Committee on Infractions after notice to the institution and hearing. Any such action by the committee shall be subject to appeal.

#### **19.5.3 Discipline of Affiliated Member.**

**19.5.3.1 Termination or Suspension.** The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies set forth in Constitution 1 may be terminated or suspended or the member otherwise may be disciplined through the following procedure: *(Revised: 1/15/11 effective 8/1/11)*

- (a) The Management Council, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or *(Adopted: 1/11/89)*
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Management Council, which may adopt the recommendation by a two-thirds vote of its members present and voting; and

- (c) The affiliated member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Management Council meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting. *(Revised: 1/15/11 effective 8/1/11)*

## 19.6 RIGHTS OF MEMBER TO APPEAL

**19.6.1 Appeal of Secondary Violations.** A member shall have the right to appeal actions taken by the vice president for enforcement services in reference to secondary violations. To appeal, the member must submit a written notice of appeal to the Committee on Infractions. The Committee on Infractions must receive the written notice of appeal and any supporting information within 30 days of the date the institution receives the enforcement staff's decision. *(Revised: 1/14/97 effective 8/1/97)*

**19.6.2 Appeal of Major Violations.** A member shall have the right to give written notice of appeal of the committee's findings of major violations (subject to Bylaw 32.10.1), the penalty, or both to the Infractions Appeals Committee per Bylaw 19.2. *(Revised: 1/16/93, 1/10/95, 4/25/03)*

**19.6.3 Appeal by an Institutional Staff Member.** If any current or former institutional staff member participates in a hearing before the Committee on Infractions and is involved in a finding of a violation against that individual, the individual shall be given the opportunity to appeal any of the findings in question (subject to the conditions of Bylaw 32.10.1) or the committee's decision to issue a show-cause order to the Infractions Appeals Committee. Under such circumstances, the individual and personal legal counsel may appear before the appeals committee at the time it considers the pertinent findings. *(Revised: 1/16/93, 1/10/95, 1/6/96, 4/25/03)*

**19.6.4 Student-Athlete Appeal.** If an institution concludes that continued application of the rule(s) would work an injustice on any student-athlete, an appeal shall be submitted to the Committee on Student-Athlete Reinstatement and promptly reviewed.

**19.6.4.1 Obligation of Institution to Take Appropriate Action.** When the committee (or the Infractions Appeals Committee per Bylaw 19.2) finds that there has been a violation of the constitution or bylaws affecting the eligibility of an individual student-athlete or student-athletes, the institution involved and its conference(s), if any, shall be notified of the violation and the name(s) of the student-athlete(s) involved, it being understood that if the institution fails to take appropriate action, the involved institution shall be cited why it should not be disciplined for a failure to abide by the conditions and obligations of membership (declaration of ineligibility) if it permits the student-athlete to compete. *(Revised: 1/10/95, 4/25/03)*

## 19.7 RESTITUTION

If a student-athlete who is ineligible under the terms of the constitution, bylaws or other legislation of the Association is permitted to participate in intercollegiate competition contrary to such NCAA legislation but in accordance with the terms of a court restraining order or injunction operative against the institution attended by such student-athlete or against the Association, or both, and said injunction is voluntarily vacated, stayed or reversed or it is finally determined by the courts that injunctive relief is not or was not justified, the Management Council may take any one or more of the following actions against such institution in the interest of restitution and fairness to competing institutions:

- (a) Require that individual records and performances achieved during participation by such ineligible student-athlete shall be vacated or stricken;
- (b) Require that team records and performances achieved during participation by such ineligible student-athlete shall be vacated or stricken;
- (c) Require that team victories achieved during participation by such ineligible student-athlete shall be abrogated and the games or events forfeited to the opposing institutions;
- (d) Require that individual awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (e) Require that team awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (f) Determine that the institution is ineligible for one or more NCAA championships in the sports and in the seasons in which such ineligible student-athlete participated;
- (g) Determine that the institution is ineligible for invitational and postseason meets and tournaments in the sports and in the seasons in which such ineligible student-athlete participated;
- (h) Require that the institution shall remit to the NCAA the institution's share of television receipts (other than the portion shared with other conference members) for appearing on any live television series or program if such ineligible student-athlete participates in the contest(s) selected for such telecast, or if the Management Council concludes that the institution would not have been selected for such telecast but for the participation of such ineligible student-athlete during the season of the telecast; any such funds thus remitted shall be devoted to the NCAA postgraduate scholarship program; and
- (i) Require that the institution that has been represented in an NCAA championship by such a student-athlete be assessed a financial penalty as determined by the Committee on Infractions. *(Revised: 1/8/01 effective 8/1/01)*



## BYLAW, ARTICLE 20

# Division Membership

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## 20.01 GENERAL PRINCIPLES

**20.01.1 Membership in the Association.** Eligibility for membership in the Association; conditions, obligations and classes of Association membership; and procedures governing the termination, suspension and reinstatement of such membership are governed by Constitution 3.

**20.01.2 Division Membership.** Each active and provisional member institution and member conference is designated as a member of Division I, II or III for certain legislative and competitive purposes. In football, Division I is divided into Football Bowl Subdivision and NCAA Football Championship Subdivision. Multidivision classification is permitted under specified circumstances (see Bylaw 20.4).

## 20.02 DEFINITIONS AND APPLICATIONS

**20.02.1 Multidivision Classification.** Multidivision classification is the procedure in which a member institution may petition to have a certain sport or sports classified in a division other than the division in which it holds membership (see Bylaw 20.4).

**20.02.2 Performance Criterion.** A performance criterion is a requirement for membership or classification in a division that must be met by the member institution before the date that the requirement becomes effective; i.e., during the academic year before the effective date. Performance criteria include, but are not limited to, minimum sports sponsorship requirements, minimum scheduling requirements and minimum game-attendance requirements.

**20.02.3 Provisional Membership.** Provisional membership is a prerequisite for active membership and shall not be less than a four-year period. During the third and fourth years of provisional membership, an institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association. (*Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97, 8/1/01*)

**20.02.4 Probation.** Probation is a membership classification status assigned to an institution to indicate that an institution's membership is not in good standing. The status of probation serves as a warning that certain conditions and obligations of membership have not been satisfied and failure to correct such deficiencies shall result in the institution's reclassification to the category of restricted membership. During the probationary year, the institution shall be required to complete an athletics program assessment using a form approved by the Membership Committee and shall also be provided with the guiding principles to establish a model Division III athletics program. An institutional staff member(s) may also be required to attend an NCAA Regional Rules Seminar. An institution does not lose membership benefits as a result of being placed on probation. (*Revised: 1/9/06, 1/8/07 effective 8/1/07, 4/11/11*)

**20.02.5 Restricted Membership.** Restricted membership is a membership classification status assigned to an institution that fails to comply with the minimum requirements of its division (e.g., sports sponsorship) and has failed during its period of probation to rectify such deficiencies as identified by the Division III Membership Committee or Committee on Infractions. An institution placed in restricted membership shall lose eligibility for a number of membership privileges, including championships eligibility, voting privileges and Division III grant and initiative funding, while in this status (see Bylaw 20.2.5.2.1). The institution must comply with all requirement(s) involved with the associated penalty period. Failure to comply shall forfeit immediately its membership in the Association. If the institution is placed in restricted membership without having served a probationary year, the institution shall be required to complete an athletics program assessment using a form approved by the Membership Committee and shall also be provided with the guiding principles to establish a model Division III athletics program. (*Revised: 1/9/06, 1/8/07 effective 8/1/07, 7/20/10, 10/19/10 effective 8/1/11, 1/15/11 effective 8/1/11*)

**20.02.6 Emerging Sports for Women.** The following shall be considered emerging sports for women: *(Adopted: 1/11/94 effective 9/1/94)*

- (a) Team Sports: rugby; and *(Revised: 1/13/98, 1/13/03 effective 8/1/03, 1/17/09 effective 8/1/09)*
- (b) Individual Sports: none. *(Revised: 1/12/99, 1/13/03 effective 8/1/03, 1/17/09 effective 8/1/09, 10/19/10 effective 8/1/11)*

**20.02.6.1 Removal of Emerging Sports.** A sport shall no longer be considered an emerging sport once the sport has been established as a championship sport. Further, an emerging sport is limited to a 10-year time period to become a championship sport unless it can be demonstrated that steady growth has occurred during that time. *(Adopted: 1/13/98)*

**20.02.7 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes. *(Revised: 5/4/06)*

## 20.1 DETERMINATION OF DIVISIONS

**20.1.1 Designation of Division by Active and Provisional Members.** Each active and provisional member institution shall be designated as a member of Division I, Division II or Division III for certain legislative and competitive purposes. *(Revised: 1/11/94 effective 9/2/94)*

**20.1.1.1 Men's and Women's Programs in Different Divisions.** An institution shall not classify its women's athletics program in a division other than the institution's membership division, unless its women's program was so classified before January 11, 1991; further, for those institutions so classified, the Executive Committee, by a two-thirds majority of its members present and voting, may permit the institution's women's athletics program to be classified in a division other than its membership division, provided it determines every three years that unusual circumstances warrant such action. *(Revised: 1/10/91)*

**20.1.1.2 Multidivision Classification.** A member may request classification and eligibility in more than one division in accordance with provisions permitting multidivision classification, eligibility and voting (see Bylaw 20.4).

**20.1.2 Designation of Division by Member Conference.** Each member conference shall determine the membership division it desires for legislative and/or competitive purposes. When designating the division, at least 50 percent or a minimum of 25 of the conference's institutions must hold membership in that division. The Executive Committee shall determine the membership division of a member conference not meeting these criteria.

## 20.2 ESTABLISHMENT OF AND COMPLIANCE WITH DIVISION CRITERIA

**20.2.1 Adoption of Criteria.** The members of each division, by majority vote, may establish division criteria for membership and competition by sport.

**20.2.2 Effective Date and Compliance Period.** In establishing criteria, the members of the division shall specify the effective date and compliance period, if any. Each institution (either as a member of that division or as an institution that competes in a sport in that division under the provisions of multidivision classification per Bylaw 20.4) shall conform to the requirements of the criteria by that effective date or by the end of the compliance period, whichever is later. Amendments to membership criteria shall be effective the September 1 after adoption or a future September 1.

**20.2.2.1 Deadline for Meeting Performance Criterion.** An institution must comply with any specific performance criterion adopted by the membership of its division (e.g., that the institution sponsor a minimum number of sports, schedule and play a specific percentage or number of contests against opponents of a certain division, or demonstrate a specific level of paid attendance) by the end of the academic year before the effective date of the legislation.

**20.2.3 Continuation of Compliance.** To retain division membership or approved multidivision classification (per Bylaw 20.4), each member institution shall continue to meet division criteria when any grace period expires. If an institution fails to remain in compliance with its division's criteria, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution shall be reclassified in accordance with Bylaw 20.2.5 and also shall be subject to the Association's enforcement procedures.

**20.2.4 Failure to Conform by Effective Date.** If an institution has not conformed to the adopted criteria of its division by the effective date or the end of the prescribed compliance period, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution (or its sport per Bylaw 20.4) shall be reclassified in accordance with Bylaw 20.2.5.



## 20.2.5 Noncompliance and Waivers of Division Criteria.

**20.2.5.1 Penalty Period.** A penalty period shall apply to an institution that fails to meet any of the membership requirements (see Constitution 3.2.4.15.1 and 6.3.1.2, and Bylaws 15.4.1.1.2 and 20.11.3.9.) (*Adopted: 10/19/10 effective 8/1/11*)

**20.2.5.2 Restricted Membership.** (See Constitution 3.02.3.1.2.2 and Bylaw 20.2.5.)

**20.2.5.2.1 Voting Privileges and Eligibility for Championships.** The institution shall identify its preferred division and, during the period of “restricted membership,” shall be:

- (a) In compliance to the greatest extent possible with that division's scheduling criteria;
- (b) Bound by all other applicable rules of the Association;
- (c) Permitted to vote only on dominant issues, unless the “restricted membership” status is in one sport, in which case its loss of voting privileges shall apply only to that sport; and
- (d) Ineligible for NCAA championships and postseason football contests, unless the “restricted membership” status is in one sport, in which case loss of eligibility for championships and postseason football contests shall apply only to that sport.

**20.2.5.2.2 Division Members Counting a Restricted Opponent.** During the period of its “restricted membership,” an institution may be counted by its classified opponents as a member of the restricted institution's desired division for purposes of the opponents' meeting their division's scheduling criteria; however, a restricted member shall not count another restricted member for such purposes.

**20.2.5.2.2.1 Restricted Members Counting a Restricted Opponent.** A contest between two institutions in “restricted membership” status in a sport shall not be counted as a contest by either institution for purposes of the scheduling criterion in the sport in which they are restricted, provided this exception is not applied to more than 25 percent of an institution's contests in the sport in question for any particular season.

**20.2.5.2.3 No Voluntary Selection of "Restricted Membership" Category.** “Restricted membership” status is imposed only when an institution has failed to comply with division membership criteria. An institution is not permitted to select voluntarily or to request that it be placed in the “restricted membership” category.

**20.2.5.2.4 Removal of "Restricted Membership" Status.** When an institution that has been placed in “restricted membership” status wishes to return to its original membership division, it shall meet the criteria that were in effect at the time it became restricted or that have become effective since that time. If a restricted institution wishes to move to a different membership division, it is considered a petitioner for change of division membership per Bylaw 20.6 and would be required to meet all of the new division's criteria at the time the institution leaves restricted status.

**20.2.5.3 Waivers of Division Membership Criteria.** If an institution applying for multidivision classification or change of division membership, or an institution placed in the “restricted membership” category (per Bylaw 20.2.5.1), does not meet the criteria of its preferred division, or if an active member institution does not meet (or does not expect to meet within the applicable compliance period, if any) new criteria adopted by the membership of its division, it may request a waiver of the criteria of the preferred division according to the procedures specified below. The Management Council, on recommendation of the Membership Committee, may approve or reject the waiver request. (*Revised: 1/11/94 effective 9/2/94, 1/12/99*)

**20.2.5.3.1 Submission of Request.** The institution shall submit (by mail or wired transmission) its request for a waiver (signed by the institution's president or chancellor) to the NCAA president, and it shall be received in the national office not later than September 15. Any request received after that date shall be postmarked not later than September 8. The request shall include pertinent information supporting the institution's request. Additionally, the institution shall distribute this information to the president or chancellor, faculty athletics representative, athletics director and senior woman administrator of athletics programs at each member institution in the preferred division, as well as the executive officer of each member conference of that division, postmarked not later than December 1. (*Revised: 10/3/05*)

**20.2.5.3.1.1 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive the September 15 deadline set forth in Bylaw 20.2.5.3.1 due to circumstances beyond an institution's control. (*Adopted: 1/9/96*)

**20.2.5.3.2 Effective Date of Waiver.** If the Management Council votes to admit the institution as a member of that division, such classification shall become effective: (*Revised: 1/12/99*)

- (a) In accordance with the requirements of Constitution 3.2.3.3 if a new member of the Association is involved;
- (b) September 1 after the approval of the waiver by the Management Council if an active member institution is seeking multidivision classification or change of division membership; or (*Revised: 1/10/90, 1/12/99*)
- (c) Immediately if the institution receives a waiver of the “restricted membership” classification. (*Adopted: 1/11/89*)

**20.2.5.3.2.1 Maximum Three-Year Waiver Period.** If after three years from the effective date of multidivision classification or reclassification (September 1 after the approval of the waiver by the Management Council) the institution receiving such a waiver has not conformed to all adopted criteria of the division, the institution's membership (or its sport per Bylaw 20.4): *(Revised: 1/11/94 effective 9/2/94, 1/12/99)*

- (a) Shall be assigned to a division for which it qualifies in accordance with Bylaw 20.4.1; or
- (b) Shall be placed in the "restricted membership" category for a minimum of one year in accordance with Bylaw 20.2.5.1 if the member (or its sport per Bylaw 20.4) does not qualify for any division.

**20.2.5.3.2.2 Eligibility for Championships.** The institution receiving such a waiver shall be accorded all privileges of division membership during this waiver period; however, its eligibility for championships shall require compliance with the institutional eligibility requirements of Bylaws 20.4.4 or 20.6.9.

**20.2.5.3.3 Rejection of Waiver Request.** If the Management Council rejects the institution's request, the institution's membership (or its sport per Bylaw 20.4): *(Revised: 1/12/99)*

- (a) Shall be reassigned to a division for which it qualifies; or
- (b) Shall be placed in the "restricted membership" category if it does not qualify for any division (see Bylaw 20.2.5.1).

## 20.3 PROVISIONAL MEMBERSHIP

### 20.3.1 Application Process.

**20.3.1.1 Exploratory Year.** An institution seeking Division III membership shall complete an exploratory year in accordance with Constitution 3.6.3.1. To satisfy the registration requirement, the institution shall submit its registration on a form approved by the Membership Committee available from the national office. The form shall be received in the national office not later than January 15. Any form received after that date shall be postmarked not later than January 8. *(Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10, Revised: 10/19/10 effective 9/1/11 for those institutions applying for the 2012-13 academic year and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

**20.3.1.1.1 Standards for Exploratory Year.** The institution shall be required to complete an exploratory year for a period of one academic year beginning with the academic year that immediately follows registration (see Bylaw 20.3.1.2). During the exploratory year, the institution shall satisfy compliance with all sports sponsorship requirements per Bylaw 20.11.3 and its subsections. *(Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10)*

**20.3.1.1.2 Timing of Application for Division III.** During the exploratory year, the institution may submit an application for Division III membership in accordance with Bylaw 20.3.1.2 for the academic year that immediately follows the exploratory year. An institution that does not submit an application for Division III membership during the exploratory year is not eligible to apply for Division III membership until it re-registers for a new exploratory year and completes the process according to the prescribed timeline. *(Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10)*

**20.3.1.2 Application for Membership.** After it has been determined that the institution meets the Association's requirement of acceptable academic standards (per Constitution 3.6.3.2) and during the exploratory year (see Bylaw 20.3.1.1), the institution shall submit an application on a form approved by the Membership Committee available from the national office. The form shall be received in the national office not later than January 15. Any form received after that date shall be postmarked not later than January 8. The application may be approved by the Management Council, on recommendation of the Membership Committee. *(Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10, Revised: 10/19/10 effective 9/1/11 for those institutions applying for the 2012-13 academic year and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.)*

**20.3.1.2.1 Standards for Application for Membership.** An institution must satisfy all of the following at the time of application: *(Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10)*

- (a) Participation in an exploratory year during the year of application to Division III (see Bylaw 20.3.1.1);
- (b) Satisfactory completion of a viability statement describing the institution's commitment to the Division III philosophy statement (see Bylaw 20.11). The Membership Committee shall make available the viability statement form as part of the provisional member application;
- (c) Sponsorship by an active Division III member, including the establishment of a good-faith mentoring relationship and submission of a letter of recommendation signed by the sponsoring institution's president or chancellor, athletics director, senior woman administrator and faculty athletics representative; and



- (d) Demonstration of a functioning compliance system. The Membership Committee shall make available the athletics assessment form as part of the provisional member application.

**20.3.2 Class Size and Assignment.** There shall be a maximum of four institutions admitted to the provisional or reclassifying membership program in any one year. Institutions shall be assigned a start year based on the following criteria: *(Adopted: 1/8/07 effective 8/1/07)*

- (a) Geographic location in an area that needs or can accept new members; *(Adopted: 1/8/07 effective 8/1/07)*
- (b) Reclassifying versus provisional status; *(Adopted: 1/8/07 effective 8/1/07)*
- (c) Existing or potential membership in an active Division III conference; and *(Adopted: 1/8/07 effective 8/1/07)*
- (d) Broad-based sports sponsorship profile. *(Adopted: 1/8/07 effective 8/1/07)*

**20.3.2.1 Waiver.** The Management Council, on recommendation of the Membership Committee, may grant waivers of Bylaw 20.3.2 if it deems that circumstances warrant such action. If a waiver is granted, then the Membership Committee shall admit classes of fewer than four in the following years so that the average class size remains at four institutions per year. *(Adopted: 10/22/07, Revised: 7/21/09)*

**20.3.3 Four-Year Provision.** Provisional membership shall not be less than a four-year period. At the end of the four-year period, a provisional member shall be eligible to apply for active membership (see Constitution 3.2.3). *(Revised: 5/4/06)*

#### **20.3.3.1 Waivers.**

**20.3.3.1.1 General.** At the completion of year two of the provisional membership process, an institution may apply for a waiver of the four-year provisional membership process. The Management Council, on recommendation from the Membership Committee, may grant waivers of the four-year provision based on compelling evidence that the institution has: *(Revised: 5/4/06)*

- (a) Satisfied sports sponsorship requirements (including minimum contests and participant requirements) in years one and two; *(Revised: 5/4/06)*
- (b) Not awarded institutional financial aid based on athletics during any provisional year; *(Revised: 5/4/06)*
- (c) Completed a successful financial aid report; *(Revised: 5/4/06)*
- (d) Attended all required functions for provisional members (e.g., NCAA Convention, NCAA Regional Rules Seminar); *(Revised: 5/4/06)*
- (e) Completed a successful year two on-campus visit; *(Revised: 5/4/06)*
- (f) Displayed evidence of a properly functioning athletics compliance system. The preliminary program assessment shall be submitted with the waiver request; *(Revised: 5/4/06)*
- (g) Not been required to repeat any year of provisional membership; *(Revised: 5/4/06)*
- (h) Displayed evidence of effective mentoring by the Membership Committee or other Division III members; and *(Revised: 5/4/06)*
- (i) Satisfied all other membership requirements. *(Revised: 5/4/06)*

**20.3.3.1.2 Credit for Time Completed Previously as Provisional Member.** The Management Council, on recommendation of the Membership Committee, may waive any portion of the first two years of the four-year provisional period the institution previously completed as a provisional member, provided the portion was completed within the last 10 years. *(Revised: 5/4/06)*

**20.3.3.1.3 Reclassification of Provisional Membership from Division II to Division III.** The Division III Management Council, on recommendation from the Division III Membership Committee, may waive one year of the four-year provisional membership period if an institution reclassifies its provisional membership from Division II to Division III. The waiver may be granted only if the institution meets Division III financial aid requirements and the minimum contest and participant requirements during its previous year of provisional membership. The institution shall serve a minimum of four total years of provisional membership before being considered for active membership. An institution that reclassifies its provisional membership from Division II to Division III shall comply with the provisional membership legislation in effect at the time of its reclassification. *(Revised: 5/4/06)*

**20.3.4 Compliance with Association Rules.** Unless specified otherwise in Bylaw 20.4, a provisional member shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. *(Revised: 5/4/06, 1/8/07 effective 8/1/07)*

**20.3.4.1 Athletically Related Financial Aid Exception.** A provisional member shall not award new athletically related financial aid to incoming students (e.g., freshmen, transfers) beginning with the first year of provisional membership and thereafter. Following the completion of year two, a provisional member may no longer award athletically related financial aid to any student, unless the institution previously awarded athletically related financial aid to the student, and the student no longer participates in intercollegiate athletics. During the second year of provisional membership (and each following year), a provisional member must complete the financial aid reporting process (see Bylaw 15.4.1.1). *(Revised: 5/4/06, 1/8/07 effective 8/1/07, 1/14/09)*

**20.3.5 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play. *(Revised: 5/4/06)*

**20.3.6 Sports Sponsorship Requirement.** During each year of provisional membership, institutions shall meet all requirements for sports sponsorship as set forth in Bylaw 20.11.3. *(Revised: 5/4/06, 1/8/07 effective 8/1/07)*

**20.3.7 Conditions and Obligations of Provisional Membership.**

**20.3.7.1 Education Program.** Each provisional member must complete the provisional member education program before being granted active membership. The Membership Committee shall administer this program, which is described on the NCAA website (i.e., [www.ncaa.org](http://www.ncaa.org)) or may be obtained from the NCAA national office. *(Revised: 5/4/06)*

**20.3.7.2 Determination of Provisional Membership Standing.** A provisional member may be permitted to continue the four-year provisional member process with or without conditions as determined by the Membership Committee in instances of noncompliance with Division III and/or provisional membership regulations. *(Revised: 5/4/06)*

**20.3.7.3 Repeat of Provisional Membership.** The Management Council, on recommendation from the Membership Committee, may require a provisional member to repeat any one of the four years of the provisional membership period. An institution may repeat only once during the four-year provisional membership period. *(Revised: 5/4/06)*

**20.3.7.4 First Year of Active Division III Membership.** The Management Council, on recommendation from the Membership Committee, shall have the authority to place an institution that has completed its provisional process in restricted membership status for its first year of active Division III membership. The Membership Committee shall establish the parameters of restricted membership, which may include, but are not limited to, loss of any or all championships access, voting privileges and Division III grant and initiative funding. *(Revised: 5/4/06, 7/20/10)*

**20.3.7.5 Reporting Secondary Violations.** The provisional member shall submit reports of secondary violations (Level I and/or Level II) to the NCAA enforcement staff as they are discovered or submit annual reports confirming that the member did not commit any secondary violations. The Division III Membership Committee shall annually review these reports. *(Adopted: 10/17/06)*

## **20.4 MULTIDIVISION CLASSIFICATION**

**20.4.1 Multidivision-Classification Options.** A member institution may have a sport classified in a division other than the one in which it is a member only in the following circumstances:

**20.4.1.1 Classification of a Sport in Division I.** A member institution may petition to be classified in Division I in any one men's sport, other than football or basketball, and in any one women's sport, other than basketball, and in any single sport in which the only NCAA championships opportunity is the National Collegiate Championship. *(Revised: 1/11/94 effective 8/1/94)*

**20.4.1.2 Women's Multidivision-Classification Limitation.** A member institution that has its women's program classified in a division other than its membership division (per Bylaw 20.1.1.1) shall not be eligible to petition for the multidivision-classification opportunities available to a women's program that is classified in the same division as the institution's men's program.

**20.4.1.3 National Collegiate Championship.** A member institution may petition to be classified in a division other than its membership division in a single sport in which the only NCAA championships opportunity is a National Collegiate Championship for which all divisions are eligible. *(Adopted: 1/10/91, Revised: 1/10/92)*

**20.4.2 Requesting Multidivision Classification.**

**20.4.2.1 Deadline for Submission of Approved Form.** When petitioning for multidivision classification, a member shall submit its request to the Membership Committee on a form approved by the Membership Committee. The form must be received in the national office (by mail or wired transmission) not later than May 15. Any form received after that date shall be postmarked not later than May 8. *(Revised: 1/9/06, 7/22/08)*

**20.4.2.2 Compliance with Criteria.** If the member has met all applicable division membership criteria of this article and has complied for the two years before June 1 with all other bylaw requirements as they pertain to the sport in question (other than the financial aid requirements set forth in Bylaw 15.4, except that athletically related financial aid may not be awarded to incoming freshmen or transfer students), the member shall be eligible for participation in the division in that sport effective the September 1 that the institution selects as its effective date. *(Revised: 1/10/90)*

**20.4.2.3 Three-Year Requirement.** Once the institution has been approved for multidivision classification, it shall remain classified in the division (for that sport) for a minimum of three years.

**20.4.3 Revoking Multidivision Classification.**

**20.4.3.1 Deadline for Submission of Approved Form.** When revoking multidivision classification, a member shall submit its request to the Membership Committee on a form approved by the Membership Com-

mittee. The form must be received in the national office (by mail or wired transmission) not later than May 15. Any form received after that date shall be postmarked not later than May 8. (*Adopted: 1/13/03, Revised: 1/9/06*)

**20.4.3.2 Compliance with Criteria.** If the member has met all applicable division membership criteria of this article and complied for the two years before June 1 with all other bylaw requirements as they pertain to the sport in question, the member shall be eligible for participation in the division in that sport effective the September 1 that the institution selects as its effective date. (*Adopted: 1/13/03*)

**20.4.3.2.1 Athletically Related Financial Aid Exception.** During the two-year compliance with criteria period, a member institution that has revoked multidivision classification shall not award new athletically related financial aid to incoming students (e.g., freshmen, transfers). A member institution may continue to award athletically related financial aid to a student who previously received athletically related financial aid, provided the student no longer participates in intercollegiate athletics. (*Adopted: 7/20/10*)

**20.4.3.3 Three-Year Requirement.** Once the institution has been approved for Division III classification, it shall remain classified in the division (for that sport) for a minimum of three years. (*Adopted: 1/13/03*)

**20.4.4 Championships Eligibility.** To be eligible for the NCAA championship in the sport in the new division on the effective September 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in that championship.

**20.4.4.1 Classification of a Sport in Division I.** An institution that has forwarded to the national office a written petition to have a sport classified in Division I, shall no longer be eligible to participate in future Division III championships in that sport. (*Revised: 1/9/06*)

## **20.4.5 Waivers.**

**20.4.5.1 Three-Year and Petition Deadline Requirements.** The Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the June 1 and September 1 deadline provisions of this section or of the three-year membership provisions of Bylaw 20.4.2.3 if it deems that unusual circumstances warrant such action.

**20.4.5.2 New Division or Subdivision.** When the institution's reclassification was the direct result of the creation of a new division or subdivision, the Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the three-year requirement of Bylaw 20.4.2.3 if it deems that unusual circumstances warrant such action.

## **20.6 CHANGE OF DIVISION MEMBERSHIP**

**20.6.1 Reclassification Options.** A member institution may request a change of division membership according to the provisions of this section. A Division III member may petition to change its membership to Division II, and a member of Division I or II may petition to change its membership to Division III. (*Revised: 1/12/99 effective 8/1/99, 4/26/05, 4/28/05*)

**20.6.1.1 Division III Grant and Initiative Funding.** An institution that has sent written notice to the national office regarding its intent to change its membership to Division I may no longer receive Division III grant and initiative funding. An institution reclassifying to Division II may not receive Division III grant and initiative funding beginning with the start of the second candidacy year or when the institution provides athletically related financial aid, whichever occurs first. (*Revised: 1/9/06, 4/19/06, 10/22/07*)

## **20.6.2 Requesting Reclassification to Division III—Election Procedures.**

### **20.6.2.1 Application Process.**

**20.6.2.1.1 Exploratory Year.** An institution seeking reclassification to Division III must complete an exploratory year in accordance with Bylaw 20.3.1.1. During the exploratory year, the institution may submit an application for Division III membership in accordance with Bylaw 20.6.2.1.2. (*Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10*)

**20.6.2.1.2 Application for Reclassification.** When petitioning for change of division membership to Division III, a member shall submit a form approved by the Membership Committee available from the national office. The form shall be received in the national office not later than January 15 of the exploratory year. Any form received after that date shall be postmarked not later than January 8. The application may be approved by the Management Council, on recommendation of the Membership Committee, provided the applicant institution satisfies all of the standards required for provisional applicants in Bylaw 20.3.1. Further, reclassifying members are subject to the same fees and dues required of provisional members specified in Constitution 3.6.3.1. (*Adopted: 4/13/10 effective 5/15/10 for institutions that submit an intent to reclassify on or after 5/15/10, Revised: 10/19/10 effective 9/1/11 for those institutions applying for the 2012-13 academic year and thereafter, noting that September 1 is the standard date for the NCAA to recognize changes in institutional or conference membership status.*)

**20.6.3 Election.** The Management Council, on the recommendation of the Membership Committee, shall elect the applicant to reclassifying membership effective the following September 1. The applicant shall be notified on completion of the election process. (*Adopted: 1/8/07 effective 8/1/07*)

**20.6.4 Four-Year Provision.** Reclassifying membership shall not be less than a four-year period. At the end of the four-year period, a reclassifying member shall be eligible for active membership (see Constitution 3.2.3). *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.4.1 Waiver.** At the completion of year two of the reclassifying membership process, an institution may apply for a waiver of the four-year reclassification process, consistent with the waiver available for the four-year provisional membership process (see Bylaw 20.3.3.1). *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.5 Compliance with Division III Rules.** Unless specified otherwise in Bylaw 20.6.5.1, a reclassifying member shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.5.1 Athletically Related Financial Aid Exception.** A reclassifying member shall not award athletically related financial aid to incoming students (e.g., freshmen, transfers) beginning with the first year of the reclassification process and thereafter. Following the completion of year two, a reclassifying member may no longer award athletically related financial aid to any student, unless the institution previously awarded athletically related financial aid to the student, and the student no longer participates in intercollegiate athletics. The reclassifying member must comply with all financial aid requirements set forth in Bylaw 15.4. During the second year of reclassification (and each following year), a reclassifying member must complete the Division III financial aid electronic reporting process (see Bylaw 15.4.1.1). *(Adopted: 1/8/07 effective 8/1/07, Revised: 1/14/09)*

**20.6.6 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.7 Sports Sponsorship Requirement.** During each year of reclassifying membership, institutions shall meet all requirements for sports sponsorship as set forth in Bylaw 20.11.3. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.8 Conditions and Obligations of Reclassifying Membership.**

**20.6.8.1 Education Program.** Each reclassifying member must complete the provisional member education program administered by the Membership Committee before being granted active membership. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.8.2 Determination of Reclassifying Membership Standing.** A reclassifying member may be permitted to continue the four-year reclassifying member process with or without conditions as determined by the Membership Committee in instances of noncompliance with Division III and/or reclassifying membership regulations. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.8.3 Repeat of Reclassifying Membership.** The Management Council, on recommendation from the Membership Committee, may require a reclassifying member to repeat any one of the four years of the reclassifying membership period. An institution may repeat only once during the four-year reclassifying membership period. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.8.4 First Year of Active Division III Membership.** The Management Council, on recommendation from the Membership Committee, shall have the authority to place an institution that has completed its reclassification process in restricted membership status for its first year of active Division III membership. The Membership Committee shall establish the parameters of restricted membership, which may include, but are not limited to, loss of any or all championships access, voting privileges and Division III grant and initiative funding. *(Adopted: 1/8/07 effective 8/1/07, 7/20/10)*

**20.6.8.5 Three-Year Requirement.** Once the change of division membership has been approved, the institution shall remain classified in that division for a minimum of three years. *(Adopted: 1/8/07 effective 8/1/07)*

**20.6.9 Championships Eligibility.** To be eligible for NCAA championships in the new division on the effective September 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in those championships.

**20.6.9.1 Reclassification to Division II.** A member institution reclassifying to Division II that has forwarded its official reclassification application to the national office, per Bylaw 20.6.1, shall no longer be eligible to participate in any future Division III championship, beginning with championships that occur during the second candidacy year or when the institution provides athletically related financial aid, whichever occurs first. See Bylaw 15.4.9 for additional regulations regarding financial aid based on athletics. *(Adopted: 1/12/99 effective 8/1/99, for institutions first submitting official notice to reclassify after 6/1/98, Revised: 4/28/05, 1/5/07, 10/22/07)*

**20.6.10 Waivers.**

**20.6.10.1 Three-Year and Petition Deadline Requirements.** The Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the June 1 and September 1 deadline provisions of this section or of the three-year membership provisions of Bylaw 20.6.8.5 if it deems that unusual circumstances warrant such action.

**20.6.10.2 New Division or Subdivision.** When the institution's reclassification was the direct result of the creation of a new division or subdivision, the Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the four-year requirement of Bylaw 20.6.4 if it deems that unusual circumstances warrant such action.

## 20.7 DIVISION LEGISLATION

**20.7.1 Applicable Legislation.** A member institution shall observe the applicable legislation and requirements of its membership division. However, if the institution is eligible to participate in a sport in another division (see Bylaw 20.4 for multidivision-classification privileges), it shall apply the rules of the other division that govern the sport in question.

**20.7.1.1 Division III Application.** A member institution that has a sport classified in Division I must apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue except that in either instance, the institution may apply the Division I playing and practice season regulations of Bylaw 17 in the Division I sport. (*Revised: 1/11/94*)

**20.7.1.1.1 Waivers.** If a member institution conducts a men's or women's sport that was classified in Division I during the 1982-83 academic year, the Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve waivers of the application of the Division III regulations to such a sport. This provision also applies to such an institution that later reclassifies a sport for the opposite gender to Division I. This waiver provision is subject to the following additional conditions: (*Revised: 1/12/04 effective 8/1/08*)

- (a) The opportunity is available only to an institution that obtained the waiver before January 1, 2004, and used it during the 2002-03 academic year; and
- (b) An institution that obtained a waiver for a sport for one gender before January 1, 2004, and used it during the 2002-03 academic year, may later request that the waiver be applied to a sport for the other gender only on approval of the Management Council, by a two-thirds majority vote of its members present and voting. The institution must demonstrate that it is necessary to do so to attain gender equity within the program.

**20.7.1.1.1.1 Athletically Related Financial Aid.** If an institution awards athletically related financial aid to a student-athlete in a Division I sport in a given academic year, that student-athlete may not participate in a Division III sport at the institution during that same academic year. (*Adopted: 1/9/04*)

## 20.8 ELIGIBILITY FOR NATIONAL COLLEGIATE AND DIVISION CHAMPIONSHIPS

**20.8.1 Eligibility for National Collegiate Championships.** Separate championships in each division are not sponsored in the following sports:

Women's bowling ( <i>Adopted: 1/13/03 effective 8/1/03</i> )	Women's water polo ( <i>Adopted: 1/11/00 effective 8/1/00</i> )
Men's and women's fencing	Men's and women's rifle
Men's gymnastics	Men's and women's skiing
Women's gymnastics	Men's volleyball (Divisions I and II) ( <i>Adopted: 1/15/11 effective 8/1/11</i> )
Women's ice hockey (Divisions I and II)	Men's water polo

An active member institution in good standing, regardless of division, is eligible for the National Collegiate Championships if a division championship in the respective sport is not offered in its division. Such an institution is required to meet only the institutional and individual eligibility requirements of its division that govern the sport in question. (*Revised: 10/19/10*)

**20.8.2 Options When No Division III Championship Is Conducted.** An active member institution that holds membership in Division III is eligible to compete in the Division II championships in those sports for which no championship is conducted in Division III. Such an institution is required to meet only the institutional and individual eligibility requirements of Division III that govern the sport in question.

**20.8.2.1 Exception for Maximum Number of Contests or Dates of Competition.** A Division III member institution that is eligible for a championship in another division because there is no championship in that sport in its membership division shall apply the maximum number of contests or dates of competition in the sport involved that applies to the division in which it declares its intention to compete.

## 20.11 DIVISION III MEMBERSHIP REQUIREMENTS

### DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. They also seek to establish and maintain an environment that values cultural diversity and gender equity among their student-athletes and athletics staff. (*Revised: 1/10/95, 1/9/06 effective 8/1/06*)



To achieve this end, Division III institutions:

- (a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels; (*Adopted: 1/16/10 effective 8/1/10*)
- (b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;
- (c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance; (*Revised: 7/24/07*)
- (d) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;
- (e) Encourage participation by maximizing the number and variety of athletics opportunities for their students;
- (f) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (g) Assure that athletics participants are not treated differently from other members of the student body;
- (h) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution's athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission; (*Revised: 1/9/06 effective 8/1/06*)
- (i) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process; (*Adopted: 1/12/04 effective 8/1/04*)
- (j) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body; (*Adopted: 1/9/06 effective 8/1/06*)
- (k) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body; (*Adopted: 1/9/06 effective 8/1/06*)
- (l) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (m) Support ethnic and gender diversity for all constituents; (*Adopted: 1/12/99*)
- (n) Give primary emphasis to regional in-season competition and conference championships; and
- (o) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

**20.11.1 Regular-Season Eligibility.** A member institution shall conduct its regular-season competition under eligibility rules at least as stringent as those provisions of Bylaw 14 applicable to members (see Bylaw 14.1.1).

**20.11.2 Financial Aid Limitations.** A member institution shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance (see Bylaw 15.4). (*Revised: 6/13/06, 1/17/08*)

**20.11.3 Sports Sponsorship.** A member institution shall sponsor in Division III a minimum number of sports based on institutional enrollment. At least one sport involving an all-male team or a mixed team and at least one sport involving an all-female team shall be conducted in every sport season. All sports used to meet the minimum sports sponsorship requirements must meet the minimum contest and participant requirements per Bylaw 20.11.3.8. (*Revised: 1/14/97 effective 8/1/01, 1/9/06 effective 8/1/10, 5/4/06*)

**20.11.3.1 Institution with Enrollment of 1,000 Students or Fewer.** An institution with enrollment of 1,000 students or fewer shall sponsor five varsity intercollegiate sports, including at least three team sports, involving all-male teams or mixed teams of males and females; and five varsity intercollegiate sports, including at least three team sports, involving all-female teams. (*Adopted: 1/9/06 effective 8/1/10, Revised: 5/4/06*)

**20.11.3.2 Institution with Enrollment of More Than 1,000 Students.** An institution with enrollment of more than 1,000 students shall sponsor six varsity intercollegiate sports, including at least three team sports, involving all-male teams or mixed teams of males and females; and six varsity intercollegiate sports, including at least three team sports, involving all-female teams. (*Adopted: 1/9/06 effective 8/1/10, Revised: 5/4/06*)

**20.11.3.3 Calculation of Enrollment Figures.** Institutional enrollment for sports sponsorship requirements shall be based on a rolling four-year average using the full-time undergraduate enrollment figure submitted for the Integrated Postsecondary Education Data Systems (IPEDS). The institution shall sponsor the required number of sports per academic year after the IPEDS submission. (*Adopted: 1/9/06 effective 8/1/10, Revised: 5/4/06, 1/19/09*)

**20.11.3.4 Acceptable Sports.** The sports designated to meet the sports sponsorship criteria shall:

- (a) Be among those in which the Association sponsors a championship except as provided in Bylaw 20.11.3.4.1 (waiver) or an emerging sport for women per Bylaw 20.02.6;
- (b) Be recognized by the institution as varsity intercollegiate sports (see Constitution 3.2.4.4); and
- (c) Involve all-male teams, mixed teams of males and females or all-female teams.

**20.11.3.4.1 Waiver.** The Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve a request to designate one sport involving all-males or mixed team of males and females and one sport involving all-female teams other than those set forth in Bylaw 20.11.3.4-(a). (*Revised: 12/21/10*)

**20.11.3.5 Single-Gender Institution Exception.** Institutions that sponsor and conduct athletics programs for only one gender need not meet the sports sponsorship requirements for the other gender. (*Revised: 5/4/06*)

**20.11.3.6 One Team Sport Each Season.** A member institution does not need to sponsor one team sport each season. (*Revised: 5/4/06*)

**20.11.3.7 Counting Multiseason Sports.** If an institution sponsors the same sport in two different seasons, it may count the sport only in the season in which the sport participates in the most contests. To be counted as a fall sport, the majority of an institution's contests or dates of competition would have to occur from September through December; to be counted as a winter sport, December through March; to be counted as a spring sport, February through May. (*Revised: 5/4/06*)

**20.11.3.8 Minimum Contests and Participants Requirements for Sports Sponsorship.** In each sport, the institution's team shall engage in at least a minimum number of intercollegiate contests (against the varsity programs of four-year, degree-granting collegiate institutions) each year. In the individual sports, the institution's team shall include a minimum number of participants in each contest that is counted toward meeting the minimum-contests requirement. The following minimums are applicable: (*Revised: 1/12/99 effective 8/1/99, 1/17/09 effective 8/1/09*)

Team Sports	Minimum Contests	Individual Sports	Minimum Contests	Minimum Participants
Baseball ( <i>Revised: 1/9/06 effective 8/1/07</i> )	25	Bowling ( <i>Revised: 10/27/05</i> )	8	5
Basketball	18	Cross Country	5	5
Field Hockey ( <i>Revised: 1/9/06 effective 8/1/07</i> )	12	Fencing ( <i>Revised: 1/9/06 effective 8/1/07</i> )	8	5
Football ( <i>Revised: 1/9/06 effective 8/1/07</i> )	7	Golf ( <i>Revised: 1/9/06 effective 8/1/07</i> )	6	5
Ice Hockey ( <i>Revised: 1/9/06 effective 8/1/07</i> )	18	Men's Gymnastics	6	6
Lacrosse ( <i>Revised: 1/9/06 effective 8/1/07</i> )	10	Women's Gymnastics	6	5
Women's Rowing ( <i>Revised: 1/10/05</i> )	8	Rifle ( <i>Revised: 1/9/06 effective 8/1/07</i> )	6	4
Women's Rugby ( <i>Adopted: 1/8/05</i> )	8	Skiing	5	5
Soccer ( <i>Revised: 1/9/06 effective 8/1/07</i> )	13	Swimming and Diving ( <i>Revised: 1/9/06 effective 8/1/07</i> )	8	8
Softball ( <i>Revised: 1/9/06 effective 8/1/07</i> )	24	Tennis ( <i>Revised: 4/8/05 effective 8/1/05, 1/9/06 effective 8/1/07</i> )	10	6
Volleyball ( <i>Revised: 1/9/06 effective 8/1/07</i> )	17	Track and Field, Indoor	5	10
Water Polo ( <i>Revised: 1/9/06 effective 8/1/07</i> )	13	Track and Field, Outdoor ( <i>Revised: 1/9/06 effective 8/1/07</i> )	6	12
		Wrestling	7	6

(Note: The minimum-contest requirements set forth in Bylaws 20.11.3.8.1 through 20.11.3.8.8 apply only to the provisions of this section and do not apply to minimum-contest requirements in Bylaw 14 and Bylaw 17.)



**20.11.3.8.1 Completion of Contest.** To count as a contest, the institution's team actually shall participate in and complete the contest. Scheduled contests that are canceled or not completed (in accordance with the playing rules of the sport in question) may not be counted.

**20.11.3.8.2 Regional Cross Country Qualifying Meets.** An institution may count participation in a Division III regional cross country meet in meeting the minimum-contest requirement, provided the institution meets the minimum-participant requirement per Bylaw 20.10.3.8, and no qualifying standards exist for participation in the meet. *(Adopted: 1/11/94)*

**20.11.3.8.3 Counting Multicontest Events.** In the team sports, each game in a double-header, triple-header or tournament shall be counted as one contest.

**20.11.3.8.4 Counting Multiteam Events in Individual Sports.** In the individual sports, not more than two institution-versus-institution meetings shall be counted as contests in any multiteam competition (e.g., quadrangular track and field meet). If the institution achieves a single score in competition with the other competing institutions (e.g., a conference championship), it shall be counted as one contest. *(Revised: 1/11/89 effective 8/1/89)*

**20.11.3.8.4.1 Meets with No Team Scoring.** In the individual sports, a meet at which no team scoring is kept counts as a contest for the purpose of meeting the minimum-contest requirement, provided that at least the minimum number of participants per Bylaw 20.11.3.8 participates on the institution's team.

**20.11.3.8.5 Individual Sports—One or More Sites.** In the individual sports, if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on the same day equals or exceeds the minimum number of participants per Bylaw 20.11.3.8, an institution may use the competition as a contest in meeting the minimum-contest requirements. *(Adopted: 1/16/93)*

**20.11.3.8.5.1 Exception—Multiday Track and Field Meets.** In track and field, if the number of student-athletes participating in a multiday meet on behalf of the institution during the course of the entire meet equals or exceeds the minimum number of participants per Bylaw 20.11.3.8, and the meet is conducted on consecutive days, an institution may use the competition as a contest in meeting the minimum-contest requirement. *(Adopted: 7/21/09)*

**20.11.3.8.6 Contests in Two Seasons.** If an institution sponsors the same sport in two different seasons of the same academic year, it may count contests in both seasons, provided regular varsity competition is sponsored in both seasons and is so listed on the institution's official schedule in that sport.

**20.11.3.8.7 Contests Versus Club Teams.** A contest against a collegiate institution's club team may not be counted toward meeting the minimum-contest requirements. However, a member is not precluded from scheduling club teams.

**20.11.3.8.8 Indoor and Outdoor Track and Field.** An institution may receive credit for sponsoring both indoor and outdoor track and field, provided its team participates in a total of at least 11 indoor and outdoor meets during the year, including at least three indoor and three outdoor meets. *(Revised: 1/9/06 effective 8/1/07)*

#### **20.11.3.8.9 Waivers.**

**20.11.3.8.9.1 Minimum Contests and Participants.** The Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve waivers of the minimum number of intercollegiate contests or the minimum number of participants in a contest in situations beyond the control of the institution (e.g., weather conditions or disaster preventing completion of a scheduled competition, or verified injury or illness at the site of competition preventing the participation of the required minimum number of individuals). *(Revised: 1/10/90)*

**20.11.3.8.9.2 Minimum Contests.** The Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve a waiver of the minimum number of intercollegiate contests in a situation in which:

- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum number of contests under enforceable game contracts executed in writing;
- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to rearrange its schedule to play the appropriate minimum number of contests.

#### **20.11.3.9 Failure to Meet Minimum Sports Sponsorship Criteria.**

**20.11.3.9.1 Penalty Period.** A penalty period of not less than five academic years shall apply to an institution that fails to meet the minimum sports sponsorship criteria subject to the conditions set forth in this bylaw. The penalty period begins once a first failure (see Bylaw 20.11.3.9.1.1) occurs. *(Adopted: 10/19/10 effective 8/1/11)*

**20.11.3.9.1.1 First Failure.** An institution shall be placed on probation for the length of the penalty period beginning with the next academic year after the failure to meet the minimum sports sponsorship

criteria. During the first year of the penalty period, the institution shall be subject to the conditions of the probationary year in Constitution 3.02.3.1.2.1. (*Adopted: 10/19/10 effective 8/1/11*)

**20.11.3.9.1.2 Second Failure.** An institution shall be placed in restricted membership in accordance with Constitution 3.02.3.1.2.2 for one academic year beginning with the next academic year after a second failure is discovered during the penalty period. Failure to meet any of the following requirements shall be considered a second failure: (*Adopted: 10/19/10 effective 8/1/11*)

- (a) NCAA Convention and Regional Rules Seminar attendance;
- (b) Institutional Self-Study Guide;
- (c) Annual Financial Aid Report;
- (d) Sports sponsorship; or
- (e) Conditions of probation (see Constitution 3.02.3.1.2.1).

**20.11.3.9.1.3 Third Failure.** An institution shall forfeit immediately its membership in the Association after a third failure is discovered during the penalty period. Failure to meet any of the requirements in Bylaw 20.11.3.9.1.2 shall be considered a third failure. (*Adopted: 10/19/10 effective 8/1/11*)

#### **20.11.3.10 Waivers of Sports Sponsorship.**

**20.11.3.10.1 Male-Female Enrollment Ratio Waiver.** The Management Council, on the recommendation of the Membership Committee, may grant waivers of the sports sponsorship requirements for men or women if the institution provides data to demonstrate that the male-female enrollment ratio prohibits the offering of the required number of sports for one or the other. The institution shall submit its request for a waiver to the Membership Committee, and it shall be received in the national office not later than October 1. Any request received after that date shall be postmarked not later than September 23. The request shall include pertinent information supporting the institution's request and shall be signed by the institution's president or chancellor. (*Revised: 5/4/06*)

**20.11.3.10.2 Single-Gender Institution Transitioning to Coeducational Institution.** The Management Council, on recommendation of the Membership Committee, may grant waivers of the sports sponsorship requirements for an institution that is transitioning from single-gender to coeducational status. Waivers may be granted for a period not to exceed three years. The waiver must be requested before an institution's transition and must include a detailed action plan outlining the steps that will be taken to ensure compliance with sport sponsorship requirements at the end of the three-year period. (*Revised: 5/4/06*)

**20.11.3.10.3 Three-Season Sport Waiver.** The Management Council, on recommendation of the Membership Committee, may waive the requirement that an active member shall conduct at least one sport in every sport season if the institution is precluded from conducting a sport in a particular season by its academic calendar, climatic conditions or other circumstance beyond the control of the institution. (*Revised: 5/4/06*)

**20.11.3.10.4 Additional Waivers.** The Management Council, on recommendation of the Membership Committee, may waive all other sports sponsorship requirements based on objective evidence that demonstrates circumstances warranting a waiver of the normal application of those regulations. (*Adopted: 10/19/09*)

**20.11.4 Letter-of-Intent Prohibition.** An institution, or one that competes in a sport in Division III, shall not use in the recruitment of a prospective student-athlete in a sport classified in Division III any form of a letter of intent or similar form of commitment. The institution may use for a prospective student-athlete those pre-enrollment forms executed by prospective students in general at that institution.

**FIGURE 20-1**  
**Institutional Requirements for Sports Sponsorship**

Sports Sponsorship: Number of Sports	For institutions with enrollment of 1,000 students or fewer.	For institutions with enrollment of more than 1,000 students.
All-Male or Mixed-Team Sports	5*	6*
All-Female Sports	5*	6*
Minimum Number of Team Sports	3-All male/mixed 3-All female	3-All male/mixed 3-All female

\*Must have at least one sport in each season. (see Bylaw 20.11.3)

# Committees

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## 21.02 DEFINITIONS AND APPLICATIONS

**21.02.1 Association-Wide Committees. [\*]** Association-wide committees deal with issues that affect all members of the Association and perform duties necessary to the ongoing operation of the Association. Association-wide committees are comprised of members from each of the Association's divisions. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.2 Common Committees. [\*]** Common committees deal with issues that apply to more than one division of the Association. Common committees perform duties necessary to the ongoing operation of the applicable divisions and are comprised of members from the applicable divisions. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.3 Federated Committees. [\*]** Federated committees deal with issues that apply to a specific membership division. Federated committees perform duties necessary to the ongoing operation of that division and are comprised only of members from that division. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.4 Districts. [#]** For purposes of committee composition, the geographical districts are as follows: (*Revised: 8/5/04*)

- (a) District 1—Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont;
- (b) District 2—Delaware, New Jersey, New York, Pennsylvania, Puerto Rico, West Virginia;
- (c) District 3—Alabama, District of Columbia, Florida, Georgia, Kentucky, Louisiana, Maryland, Mississippi, North Carolina, South Carolina, Tennessee, Virginia;
- (d) District 4—Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin;
- (e) District 5—Iowa, Kansas, Missouri, Nebraska, North Dakota, Oklahoma, South Dakota;
- (f) District 6—Arkansas, New Mexico, Texas;
- (g) District 7—Arizona, Colorado, Idaho, Montana, Utah, Wyoming; and
- (h) District 8—Alaska, California, Hawaii, Nevada, Oregon, Washington.

**21.02.5 Conflict of Interest.** A committee member shall not participate in the committee's discussion or vote on any action that might bring direct or indirect financial benefit to the member or any organization in which the member is financially interested (other than the member's institution or the conference of which it is a member). A violation of this rule by a member of a committee shall not invalidate the action taken by the committee if, following disclosure of the conflict of interest, the committee authorizes, ratifies or approves the action by a vote sufficient for the purpose, without counting the vote of the committee member with the conflict of interest, and the Management Council approves such action. All committee members shall agree to this policy before committee service and shall abide by the policy at all times. The current conflict of interest policy is located on the NCAA website ([www.ncaa.org](http://www.ncaa.org)) or may be obtained from the NCAA national office. (*Adopted: 4/15/09*)

## 21.1 PLAYING RULES OVERSIGHT PANEL [#]

**21.1.1 Composition. [#]** The panel shall consist of 12 members, including six members from Division I and three representatives each from Divisions II and III. A single conference may not have more than one representative on the panel. (*Adopted: 1/10/05*)

**21.1.2 Method of Selection. [#]** Three of the six Division I representatives shall be appointed by the Division I Championships/Sports Management Cabinet (these members must be current members of the Championships/Competition Cabinet) and one of those members must have experience working with playing rules. The remaining three shall be appointed by the Division I Collegiate Commissioners Association (CCA). Two of those three shall have experience working with playing rules. One of the three Division II and III representatives shall be appointed by the divisions' Championships Committees (these members must be current members of the divisions' Championship Committee). The remaining two representatives in Divisions II and III will be appointed at large and one of the two per each division shall have experience working with playing rules. *(Adopted: 1/10/05)*

**21.1.2.1 Definition of "Working with Playing Rules." [#]** The following experience will be considered to be the equivalent of "working with playing rules:" officiating experience, previous service on a rules committee, previous service on a committee with responsibility for playing rules administration or coaching. *(Adopted: 1/10/05)*

**21.1.3 Term of Office. [#]** At-large members of the panel will serve four-year terms. Championships/Sports Management Cabinet and Championships Committee members will serve for the duration of their cabinet or committee term. *(Adopted: 1/10/05)*

**21.1.4 Duties. [#]** The panel shall: *(Adopted: 1/10/05)*

- (a) Oversee all NCAA playing rules committees. This includes approval of playing rules recommendations and oversight of other issues pertaining to playing rules;
- (b) Recommend the establishment and dissolution of the playing rules committees;
- (c) Monitor playing rules maintained outside the NCAA;
- (d) Be responsible for all research and communications pertaining to the administration of playing rules, including the advancement of budgetary recommendations from the playing rules committee and to ensure consistency among different sports, when appropriate (e.g., policies controlling fighting or abusive language);
- (e) Review and act on requests from any division to exempt it from applying or delaying implementation of a playing rule for financial reasons; *(Revised: 1/4/07)*
- (f) Review all playing rules changes pertaining to finances, safety and image of the game; and *(Revised: 1/4/07)*
- (g) Oversee the selection process for secretary-rules editors, approve the selections of secretary-rules editors and review waiver requests for secretary-rules editor term limits. *(Adopted: 1/4/07)*

**21.1.5 Meetings. [#]** The Playing Rules Oversight Panel shall meet three times annually (e.g., March, June, August) after the playing rules committees meetings for each season are complete. *(Adopted: 1/10/05)*

**21.1.6 Special Operating Rules. [#]**

**21.1.6.1 Review Process. [#]** A recommendation from any rules committee shall be considered valid unless the Playing Rules Oversight Panel determines that the recommendation harms the image of the sport, creates an unsafe environment for student-athletes or places an unreasonable financial burden on the membership. *(Adopted: 1/10/05)*

**21.1.6.1.1 Rules Committee Involvement. [#]** The Playing Rules Oversight Panel may request that the playing rules committee chairs and/or secretary-rules editors present their case to the Playing Rules Oversight Panel when proposed changes are controversial or unusually complicated. *(Adopted: 1/10/05)*

## **21.2 ASSOCIATION-WIDE COMMITTEES—GENERAL COMMITTEES**

**21.2.1 Selection, Term of Office and Operation. [#]**

**21.2.1.1 Method of Selection.** Each division's governance structure shall appoint members to serve on the general Association-wide committees of the Association, consistent with that division's committee-appointment procedures (see Bylaw 21.6). The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I. *(Revised: 1/10/90, 1/16/93, 1/14/97 effective 8/1/97, 1/14/02, 6/25/08)*

**21.2.1.2 Term of Office.** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. *(Adopted: 6/25/08)*

**21.2.1.3 Operation.** The Executive Committee also shall ratify policies regarding length, location and expenses of Association-wide committee meetings. *(Revised: 1/10/90, 1/16/93, 1/14/97 effective 8/1/97, 11/1/01, 5/13/08, 6/25/08)*

**21.2.2 Competitive Safeguards and Medical Aspects of Sports, Committee on. [#]**

**21.2.2.1 Composition. [#]** The Committee on Competitive Safeguards and Medical Aspects of Sports shall consist of 20 members, including five positions allocated for men, five allocated for women and 10 unallocated. The membership of the committee shall be constituted as follows: *(Revised: 1/11/00, 1/13/03)*

- (a) Two athletics directors or senior woman athletics administrators, one man and one woman;
- (b) One member who is an active coach;
- (c) One member active in exercise physiology research;
- (d) Three members from the field of medicine, of whom at least one shall be a woman, one shall be a primary-care team physician and one shall be a board certified orthopedic specialist; (*Revised: 1/10/91, 8/5/04*)
- (e) One man and one woman, one of whom is responsible for the total athletic training programs at member institutions; (*Revised: 1/10/95*)
- (f) One member representing the field of law;
- (g) One member of the NCAA Football Rules Committee;
- (h) One member representing secondary school interests. This individual shall be the National Federation of State High School Associations' staff liaison to the Sports Medicine Advisory Board and shall be eligible for reappointment without restriction; (*Revised: 1/8/01*)
- (i) One member active in sports-medicine research; (*Revised: 1/10/90*)
- (j) One member with expertise in drug testing;
- (k) One member with expertise in the area of drug education; (*Adopted: 1/11/00*)
- (l) One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of their intercollegiate athletics eligibility; and (*Revised: 1/13/03*)
- (m) Two members selected at large.

**21.2.2.2 Duties. [#]** The committee, subject to the direction of the Executive Committee, shall: (*Revised: 1/13/03*)

- (a) Promote and sponsor research to address relevant health and safety issues;
- (b) Promote education to enhance the health and safety of student-athletes;
- (c) Operate a national injury surveillance program to monitor injury trends and enhance safety in intercollegiate athletics;
- (d) Deter the use of NCAA banned substances in order to promote fair competition and safety;
- (e) Facilitate outreach activities to enhance student-athlete health and safety; and
- (f) Provide a health and safety perspective on relevant legislation and policy.

**21.2.2.3 Term of Office, Chair. [#]** The chair may remain on the committee for up to one additional year if the individual becomes the committee chair with only one year or less remaining on his or her four-year term. (*Adopted: 10/22/07*)

### **21.2.3 Honors Committee. [#]**

**21.2.3.1 Composition. [#]** The Honors Committee shall consist of nine members, including one current or former chancellor or president from a member institution, one member from each division and subdivision of Division I and three nationally distinguished citizens, one of whom must be a former NCAA honors recipient. Division II and Division III will be represented each by a member of the Management Council. Two positions shall be allocated for men, two allocated for women and five unallocated. (*Revised: 1/13/98, 10/22/07*)

**21.2.3.2 Term of Office [#]** A member's term of service shall commence on the day following adjournment of the NCAA Convention following the member's appointment. (*Adopted: 4/14/08*)

**21.2.3.3 Duties. [#]** The committee shall receive nominations for the Theodore Roosevelt Award, the Silver Anniversary Awards, the Top VIII Awards, the Award of Valor and the Inspiration Award and shall select the recipients of those awards.

### **21.2.4 Minority Opportunities and Interests Committee. [#]**

**21.2.4.1 Composition. [#]** The Minority Opportunities and Interests Committee shall consist of 15 members. There shall be six members from Division I, three members from Division II and three members from Division III. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of their athletics eligibility. Committee membership shall include a minimum of eight ethnic minorities, including at least four males and four females. (*Adopted: 1/10/91, Revised: 1/13/03*)

**21.2.4.2 Duties. [#]** The committee shall review issues related to the interests of ethnic minority student-athletes, NCAA minority programs and NCAA policies that affect ethnic minorities. (*Adopted: 1/10/91*)

### **21.2.5 Olympic Sports Liaison Committee. [#]**

**21.2.5.1 Composition. [#]** The Olympic Sports Liaison Committee shall consist of 14 members, including at least one from each division. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of their intercollegiate athletics eligibility. *(Adopted: 1/10/91, Revised: 1/13/03)*

**21.2.5.2 Duties. [#]** The committee shall: *(Adopted: 1/10/91)*

- (a) Act as a liaison between the Association, the U.S. Olympic Committee and national governing bodies; and
- (b) Study and make recommendations concerning the Association's appropriate role in the involvement of student-athletes in international athletics.

### **21.2.6 Postgraduate Scholarship Committee. [#]**

**21.2.6.1 Composition. [#]** The Postgraduate Scholarship Committee shall consist of seven members, including two positions allocated for men, two allocated for women and three unallocated, one of which shall be a former recipient of an NCAA postgraduate scholarship.

**21.2.6.2 Duties. [#]** The committee shall be responsible for planning and administering the Association's postgraduate scholarship program for student-athletes who have distinguished themselves academically and athletically.

### **21.2.7 Research Committee. [#]**

**21.2.7.1 Composition. [#]** The Research Committee shall consist of 10 members, including four representatives from Division I, two each from Divisions II and III and two unallocated. In addition, three positions shall be allocated for men, three allocated for women and four unallocated. The membership of the committee shall consist of at least three athletics administrators and at least three faculty athletics representatives with appropriate research experience. *(Revised: 1/13/98, 1/13/03, 10/22/03)*

**21.2.7.2 Duties. [#]** The committee shall:

- (a) Evaluate, supervise and coordinate the Association's research activities;
- (b) Make recommendations to the Executive Committee regarding expenditures of Association funds for research projects; and
- (c) Make recommendations to the Presidents Council concerning research topics in intercollegiate athletics.

### **21.2.8 Sportsmanship and Ethical Conduct, Committee on. [#]**

**21.2.8.1 Composition. [#]** The Committee on Sportsmanship and Ethical Conduct shall consist of 11 members. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of their athletics eligibility. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/03)*

**21.2.8.2 Duties. [#]** The committee shall be responsible for promoting sportsmanship and ethical conduct within the Association. *(Adopted: 1/14/97 effective 8/1/97)*

### **21.2.9 Walter Byers Scholarship Committee. [#]**

**21.2.9.1 Composition. [#]** The Walter Byers Scholarship Committee shall consist of five members, including one position allocated for a man, one allocated for a woman and three unallocated. *(Adopted: 1/10/90)*

**21.2.9.2 Duties. [#]** The committee shall be responsible for planning and administering the Association's Walter Byers Scholarship program for student-athletes who have distinguished themselves academically and athletically. *(Adopted: 1/10/90)*

### **21.2.10 Women's Athletics, Committee on. [#]**

**21.2.10.1 Composition. [#]** The Committee on Women's Athletics shall consist of 15 members, including six members from Division I, three members from Division II and three members from Division III. Four positions shall be allocated for men, four allocated for women and four unallocated. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of their athletics eligibility. *(Revised: 1/11/89, 1/13/03, 7/21/08)*

**21.2.10.2 Duties. [#]** The committee shall: *(Revised: 7/22/03)*

- (a) Study and make policy recommendations concerning opportunities for women in athletics at the institutional, conference and national levels;
- (b) Study and make policy recommendations concerning other issues directly affecting women's athletics; and
- (c) Appoint and oversee the Woman of the Year Selection Committee and the process.



## 21.3 ASSOCIATION-WIDE COMMITTEES—RULES COMMITTEES WITHOUT CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

### 21.3.1 Selection, Composition, Duties, Term of Office and Operation. [#]

**21.3.1.1 Method of Selection. [#]** Each division's governance structure shall appoint members to serve on the rules committees without championships administration responsibilities. The Playing Rules Oversight Panel shall oversee the selection process for secretary-rules editors and approve selections for those positions. The secretary-rules editor may be reappointed but is limited to a term not to exceed eight years in length and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions. *(Revised: 1/11/89, 1/10/91, 1/16/93, 1/14/97 effective 8/1/97, 1/14/02, 1/9/06, 1/4/07)*

**21.3.1.1.1 Waiver—Secretary-Rules Editor Term Limit. [#]** Due to extenuating circumstances, the Playing Rules Oversight Panel may waive the secretary-rules editor's eight-year term limit; however, the term shall not be extended by more than four additional years. *(Adopted: 1/13/03, Revised: 1/9/06, 1/4/07)*

**21.3.1.2 Composition Requirements. [#]** At least 25 percent of the positions on each rules committee shall be filled by athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions. Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. In order for a district to be represented, at least five of its active members shall sponsor the sport involved on an intercollegiate basis. *(Revised: 1/10/90, 3/23/01, 1/14/02)*

**21.3.1.3 Duties. [#]** Subject to the final authority of the Playing Rules Oversight Panel, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Playing Rules Oversight Panel. Playing rules committees shall have the authority to permit rules experimentation in the nontraditional/nonchampionship season without the Playing Rules Oversight Panel approval. Experimentation in the regular season shall be subject to the Playing Rules Oversight Panel review. *(Revised: 1/10/92 effective 8/1/92, 1/14/97 effective 8/1/97, 1/10/05, 11/15/05)*

**21.3.1.4 Term of Office.** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. *(Adopted: 6/25/08)*

**21.3.1.5 Cooperation with Other Organizations. [#]** A rules committee may cooperate with other national organizations in the development of common playing rules. *(Revised: 1/14/97 effective 8/1/97)*

**21.3.1.6 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of Association-wide committee meetings. *(Adopted: 1/14/97 effective 8/1/97)*

**21.3.2 Baseball Rules Committee. [#]** The Baseball Rules Committee shall consist of nine members and shall be constituted as follows: *(Revised: 1/11/89)*

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.3 Basketball Rules Committee, Men's. [#]** The Men's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/10/91)*

- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and
- (c) One member shall be elected chair. *(Revised: 1/10/91)*

**21.3.4 Basketball Rules Committee, Women's. [#]** The Women's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/11/89)*

- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and *(Revised: 1/11/89)*
- (c) One member shall be elected chair.

**21.3.5 Football Rules Committee. [#]** The Football Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/16/93)*

- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and *(Revised: 1/16/93)*
- (c) One member shall be elected chair. *(Revised: 1/16/93)*

**21.3.6 Ice Hockey Rules Committee, Men's and Women's. [#]** The Men's and Women's Ice Hockey Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/11/89, 1/14/97 effective 8/1/97, 1/14/02)*

- (a) Six members shall be from Division I, one member shall be from Division II, four members shall be from Division III, one member shall be from Division II or III and an additional member shall be secretary-rules editor; *(Revised: 1/14/97 effective 8/1/97, 1/14/02)*
- (b) Within Divisions I and III, one-half of the members shall represent men's ice hockey interests and one-half of the members shall represent women's ice hockey interests; and
- (c) One member shall be elected chair.

**21.3.7 Lacrosse Rules Committee, Men's. [#]** The Men's Lacrosse Rules Committee shall consist of nine members and shall be constituted as follows: *(Adopted: 1/13/03)*

- (a) Four members shall be from Division I, one member shall be from Division II and three members shall be from Division III. One additional member shall serve as a nonvoting secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.8 Lacrosse Rules Committee, Women's.** The Women's Lacrosse Rules Committee shall consist of nine members and shall be constituted as follows: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) Four members shall be from Division I, one member shall be from Division II and three members shall be from Division III. One additional member shall serve as a nonvoting secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.9 Soccer Rules Committee, Men's and Women's. [#]** The Men's and Women's Soccer Rules Committee shall consist of nine members and shall be constituted as follows: *(Adopted: 1/11/89)*

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor;
- (b) Within each division, one-half of the members shall represent men's soccer interests, and one-half of the members shall represent women's soccer interests; and
- (c) One member shall be elected chair.

**21.3.10 Softball Rules Committee, Women's. [#]** The Women's Softball Rules Committee shall consist of nine members and shall be constituted as follows: *(Adopted: 1/9/96)*

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.11 Volleyball Rules Committee, Women's.** The Women's Volleyball Rules Committee shall consist of nine members and shall be constituted as follows: *(Adopted: 1/14/02)*

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and one additional member shall serve as a nonvoting secretary-rules editor; and
- (b) One member shall be elected chair. *(Adopted: 1/14/02)*

## **21.4 COMMON COMMITTEES—COMMITTEES WITH PLAYING RULES AND CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES**

### **21.4.1 Selection, Composition, Duties, Term of Office and Operation. [#]**

**21.4.1.1 Method of Selection. [#]** Each division's governance structure shall appoint members to serve on the committees with playing rules and championships administration responsibilities. The Playing Rules Oversight Panel shall oversee the selection process of secretary-rules editors and approve the selections for those positions. The secretary-rules editor may be reappointed but is limited to a term not to exceed eight years in length and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions. *(Revised: 1/11/89, 1/14/97 effective 8/1/97, 1/14/02, 1/9/06, 1/4/07)*

**21.4.1.1.1 Waiver—Secretary-Rules Editor Term Limit. [#]** Due to extenuating circumstances, the Playing Rules Oversight Panel may waive the secretary-rules editor's eight-year term limit; however, the term shall not be extended by more than four additional years. (*Adopted: 1/13/03, Revised: 1/9/06, 1/4/07*)

**21.4.1.1.2 Composition Requirements. [#]** For committees that administer Division I, Division II, Division III and National Collegiate Championships in individual sports, at least 25 percent of the positions on each committee shall be filled by athletics administrators (i.e., athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions). Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. For committees that administer Division I, Division II, Division III and National Collegiate Championships in team sports, at least 50 percent of the positions on each committee shall be filled by athletics administrators. Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. In order for a district to be represented on a committee for which district representation is specified, at least five of its active members shall sponsor the sport on an intercollegiate basis. (*Revised: 1/10/90, 1/10/95, 3/23/01, 1/14/02*)

**21.4.1.2.1 Exception—Men's and Women's Skiing Committee. [#]** The Men's and Women's Skiing Committee shall be exempt from the composition requirements set forth in Bylaw 21.4.1.2. (*Adopted: 4/25/03*)

**21.4.1.3 Special Operating Rules. [#]** Each committee shall act as one body to formulate playing rules and determine general policies for the administration of the NCAA championships under its jurisdiction. Division subcommittees, composed of committee members from the respective divisions, shall be responsible for administering the respective division championships.

**21.4.1.4 Advisory Committees. [#]** The governing sports committee may appoint individuals not already serving on a sports committee to an advisory committee.

**21.4.1.5 Duties. [#]**

**21.4.1.5.1 Rules of Play. [#]** Subject to the final authority of the Playing Rules Oversight Panel, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Playing Rules Oversight Panel. Playing rules committees shall have the authority to permit rules experimentation in the nontraditional/nonchampionship season without the Playing Rules Oversight Panel approval. Experimentation in the regular season shall be subject to the Playing Rules Oversight Panel review. (*Revised: 1/10/92 effective 8/1/92, 1/14/97 effective 8/1/97, 1/10/05, 11/15/05*)

**21.4.1.5.2 National Records. [#]** In sports for which national records are maintained, each committee shall be responsible for approval of such national records.

**21.4.1.5.3 Cooperation with Other Organizations. [#]** A rules committee may cooperate with other national organizations in the development of common playing rules. (*Revised: 1/14/97 effective 8/1/97*)

**21.4.1.5.4 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)

**21.4.1.6 Term of Office.** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. (*Adopted: 6/25/08*)

**21.4.2 Bowling Committee, Women's. [#]** The Women's Bowling Committee shall consist of seven members, including the secretary-rules editor, who shall be a non-voting member. (*Adopted: 1/13/03 effective 8/1/03, Revised: 10/19/10*)

**21.4.3 Rifle Committee, Men's and Women's. [#]** The Men's and Women's Rifle Committee shall consist of seven members, including the secretary-rules editor.

**21.4.4 Skiing Committee, Men's and Women's. [#]** The Men's and Women's Skiing Committee shall consist of seven members and shall be constituted as follows: (*Revised: 1/11/89*)

- (a) Two members shall represent men's skiing interests, two members shall represent women's skiing interests and three unallocated; (*Revised: 1/11/89, 4/25/03*)
- (b) One member shall be selected from the West skiing region, two members shall be selected from the East skiing region, three members shall be selected at large and one member shall be secretary-rules editor; and (*Revised: 1/11/89*)

- (c) Two members shall be coaches who represent downhill alpine skiing and two members shall be coaches who represent nordic skiing. The secretary-rules editor may be counted toward satisfying this requirement. (*Ad-opted: 4/25/03*)

**21.4.5 Swimming and Diving Committee, Men's and Women's. [#]** The Men's and Women's Swimming and Diving Committee shall consist of 21 members and shall be constituted as follows: (*Revised: 4/15/97*)

- (a) There shall be at least two representatives from Districts 1 and 2; two representatives from District 3; two representatives from District 4; one representative from Districts 5, 6 and 7; and one representative from District 8; (*Revised: 1/10/95*)
- (b) Eight members shall be from Division I and shall compose a Division I subcommittee. Four members of the subcommittee shall represent men's swimming interests and four members shall represent women's swimming interests, including three positions allocated for men, three allocated for women and two unallocated;
- (c) Four members shall be from Division II and shall compose a Division II subcommittee. Two members of the subcommittee shall represent men's swimming interests and two members shall represent women's swimming interests, including one position allocated for a man, one allocated for a woman and two unallocated;
- (d) Four members shall be from Division III and shall compose a Division III subcommittee. Two members of the subcommittee shall represent men's swimming and diving interests and two members shall represent women's swimming and diving interests, including one position allocated for a man, one allocated for a woman and two unallocated;
- (e) An additional four members shall represent diving interests, two representing men's diving and two representing women's diving. Two shall be Division I representatives, one shall be a Division II representative and one shall be a Division III representative; and (*Revised: 4/15/97*)
- (f) An additional member shall be secretary-rules editor.

**21.4.6 Track and Field Committee, Men's and Women's. [#]** The Men's and Women's Track and Field Committee shall consist of 25 members and shall be constituted as follows:

- (a) There shall be at least four representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Twelve members shall be from Division I and shall compose a Division I subcommittee. Six members of the subcommittee shall represent men's track and field interests and six members shall represent women's track and field interests, including four positions allocated for men, four allocated for women and four unallocated;
- (c) Six members shall be from Division II and shall compose a Division II subcommittee. Three members of the subcommittee shall represent men's track and field interests and three members shall represent women's track and field interests, including two positions allocated for men, two allocated for women and two unallocated;
- (d) Six members shall be from Division III and shall compose a Division III subcommittee. Three members of the subcommittee shall represent men's track and field interests and three members shall represent women's track and field interests, including two positions allocated for men, two allocated for women and two unallocated;
- (e) An additional member shall be secretary-rules editor; and
- (f) A rules-editing subcommittee shall have five members, including two members appointed by the Division I subcommittee, one member each appointed by the Division II and Division III subcommittees, and the secretary-rules editor. The rules-editing subcommittee shall formulate playing rules subject to the approval of the full committee.

**21.4.7 Water Polo Committee, Men's. [#]** The Men's Water Polo Committee shall consist of six members and shall be constituted as follows: (*Revised: 10/28/97, 1/12/99 effective 8/1/99, the prescribed regional or district representation shall be achieved through normal attrition, 1/9/06, 1/4/07*)

- (a) Two members shall be from the East region; (*Revised: 1/9/06, 1/4/07*)
- (b) Two members shall be from the West region; (*Revised: 1/9/06, 1/4/07*)
- (c) One member shall be selected at-large; and (*Revised: 1/9/06, 1/4/07*)
- (d) An additional member shall be secretary-rules editor. (*Revised: 1/9/06, 1/4/07*)

**21.4.8 Water Polo Committee, Women's. [#]** The Women's Water Polo Committee shall consist of six members, including the secretary-rules editor. There shall be three members from Division I, one member from Division II, one member from Division III and one member selected at large. (*Adopted: 1/11/00 effective 8/1/00*)

**21.4.9 Wrestling Committee. [#]** The Wrestling Committee shall consist of 13 members and shall be constituted as follows:

- (a) There shall be at least one representative from District 3 and at least two representatives from each of the following three geographical regions: Districts 1 and 2; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II and three members shall be from Division III; and (*Revised: 1/11/08*)
- (c) An additional member shall be secretary-rules editor.

## 21.5 COMMON COMMITTEES—COMMITTEES WITH ONLY CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

### 21.5.1 Selection, Composition, Duties, Term of Office and Operation. [#]

**21.5.1.1 Method of Selection. [#]** Each applicable division's governance structure shall nominate and select the members and chair of each committee. (*Revised: 1/14/97 effective 8/1/97, 1/14/02*)

**21.5.1.2 Duties. [#]** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the NCAA championships under its jurisdiction, subject to the approval of the applicable division's governance structure and the requirements, standards and conditions prescribed by Bylaw 31. Policies and procedures governing the administration of National Collegiate Championships applicable to more than one division also shall be subject to the ratification of the Executive Committee. (See Bylaw 31 for committee duties related to the administration of championships.) (*Revised: 1/14/97 effective 8/1/97*)

**21.5.1.3 Term of Office.** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. (*Adopted: 6/25/08*)

**21.5.1.4 Special Operating Rules. [#]** Each committee shall act as one body to determine general policies for the administration of championships. (*Revised: 1/14/97 effective 8/1/97*)

**21.5.1.5 Selection Criteria. [#]** Each committee shall have the authority to establish championships selection criteria, including requirements to use regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules.

**21.5.1.6 Regional Advisory Committees. [#]** Regional advisory committees may be appointed by each championships committee. (*Revised: 1/14/97 effective 8/1/97*)

**21.5.1.7 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)

## 21.6 COMMON COMMITTEES—COMMITTEES WITH GOVERNANCE ADMINISTRATION RESPONSIBILITIES

**21.6.1 Selection. [#]** Each applicable division's governance structure shall appoint members to serve on the common committees with governance administration responsibilities. The membership of each committee shall include representatives from each of the Association's applicable membership divisions, including each subdivision of Division I. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/14/02*)

**21.6.2 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)

## 21.9 DIVISION III COMMITTEES

### 21.9.1 Eligibility for Membership.

**21.9.1.1 On the Staff.** Individuals serving on Division III committees or as Division III representatives on Association-wide or common committees shall be salaried on a regular basis by a Division III institution or conference and perform a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference, unless otherwise specified. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.1.1.1 Modification in Employment Status.** If a committee member's employment status is altered to the extent that the individual no longer meets this requirement, the individual shall be replaced on the committee at the Management Council and Presidents Council meetings immediately after the change in status. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.1.1.2 Individuals on Sabbatical or Temporary Leave.** An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" and eligible for committee membership. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be eligible to serve on a committee. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.1.1.3 Reclassification from Division III to Division II.** If a committee member's institution has forwarded to the national office written notice of its intention to change its membership classification to Division II, per Bylaw 20.6.1, that individual shall not be eligible to serve on any Division III committee. (*Adopted: 1/12/99 effective 8/1/99, for those institutions first submitting official notice to reclassify after 6/1/98*)

**21.9.1.1.4 Waiver of Replacement Requirement.** The Management Council shall have the authority to waive this provision or to approve a later replacement date if it deems that application of Bylaw 21.9.1.1.1 would be detrimental to the work of the committee involved. (*Adopted: 1/14/97 effective 8/1/97, 5/4/11*)

**21.9.1.2 One Member per Playing Conference.** The membership of a Division III “playing conference” (i.e., one that conducts a regular conference schedule or a postseason tournament to determine its champion in football or basketball) may not be represented on any committee by more than one individual. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.1.2.1 Waiver.** The Management Council shall have the authority to waive Bylaw 21.9.1.2 for a period of not more than one calendar year due to changes in conference membership and/or conference realignment. (*Adopted: 7/20/10*)

**21.9.1.3 Vacancies.** A representative of a playing conference or member institution whose term of service on a committee has expired shall not be replaced on that committee by another representative of the same conference or institution for a period of at least one year. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.1.3.1 Unexpired Portion of Term.** If an interim vacancy occurs and a committee member has equal to or more than one half of a term remaining, then that committee member may be replaced on that committee by another representative of the same conference or institution only for the remainder of that term. (*Adopted: 1/11/00 effective 8/1/00*)

#### **21.9.1.4 Sports Committees—Selection and Composition.**

**21.9.1.4.1 Method of Selection.** The Division III members of each common committee per Bylaws 21.4 and 21.5, and each Division III sports committee per Bylaw 21.9.6 shall be nominated by the Nominating Committee. The Nominating Committee will forward a slate to the Championships Committee for appointment, subject to final approval by the Management Council. (*Adopted: 1/14/97, Revised: 2/5/97 effective 8/1/97, 1/13/98, 5/4/11*)

**21.9.1.4.2 Composition Requirements.** At least 50 percent of the positions on each team sports committee and at least 25 percent of the positions on each individual sports committee shall be filled by athletics administrators (i.e., athletics directors, associate or assistant athletics directors, senior woman administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions). (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/8/01*)

**21.9.1.4.2.1 Waiver of Composition Requirements.** The Management Council shall have the authority to waive the composition requirements set forth in Bylaw 21.9.1.4.2, if it deems a waiver of such requirements is in the best interest of the sport committee. (*Adopted: 1/11/00 effective 8/1/00*)

#### **21.9.2 Term of Office.**

**21.9.2.1 Length of Term.** Division III presidents or chancellors serving on all Division III general committees (per Bylaw 21.9.5) are limited to a two-year term of service. Presidents or chancellors may be reappointed or re-elected to one additional term, resulting in four years of service. Unless otherwise specified, all other Division III members of committees shall be appointed or elected for one four-year term. Unless otherwise specified, the terms of service of general committee members (per Bylaw 21.9.5) shall commence in January following the adjournment of the annual NCAA Convention following the member’s election or appointment. The terms of service of all other committee members shall commence on the first day of September following the member’s election or appointment. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/10/05, 10/3/05*)

**21.9.2.2 Appointment or Election.** Unless otherwise specified, the Division III members of committees shall be appointed or elected by the Management Council. Unless otherwise specified, the former committee member may be appointed or elected to an additional term on that committee after three years have elapsed. Unless otherwise specified, an individual who has served more than two terms on a committee may not serve further on that committee. A member serving more than one-half of a four-year term is ineligible to seek immediate re-election. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.2.2.1 Appointment or Election of Chairs.** Unless otherwise specified, chairs of committees shall be elected by the committees themselves for a term not to exceed two years. A chair is not eligible for immediate re-election to the position of chair. The chair of each committee shall have the privilege of voting on any issue considered by the committee. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.2.3 Appointment to Fill Vacancies.** Whenever a vacancy occurs among the members of a committee or among the Division III members of Association-wide or common committees, the Management Council shall fill the vacancy for the remainder of the term by a majority vote of its members present and voting. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.2.3.1 Unexpired Portion of Term.** Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. Members who serve more than one-half of a term in such instances shall be considered to have served a full term. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.2.4 Adjustments to Achieve Staggered Terms.** Members may be appointed for less than full terms whenever it is necessary to adjust the membership of a committee to ensure that vacancies occur in proper sequence. Members who serve more than one-half of a term in such instances shall be considered to have served a full term. (*Adopted: 1/14/97 effective 8/1/97*)



**21.9.2.5 Management Council Representation.** All general committees (per Bylaw 21.9.5) shall include at least one Management Council member. In addition, at least one of the Division III representatives on general committees functioning in an Association-wide capacity shall be a member of the Management Council. Management Council members will serve as a liaison for each committee to the Management Council. Unless otherwise specified, Management Council members will serve as voting members of such committees. Management Council members serving on Division III general committees shall be excluded from the total composition requirements of the respective committee. A Management Council member's term of service shall commence in January after adjournment of the annual Convention and shall be concurrent with his or her remaining term of service on the Management Council. A Management Council member must terminate service on such a committee at the expiration of the member's term on the Management Council. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/14/02*)

**21.9.3 Removal from Committee.** The chair of each committee is authorized to recommend to the Management Council that a member be replaced if such member is not discharging the member's duties properly. In addition, a member who is absent from two consecutive meetings without reason approved by the Management Council shall be removed from the committee. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99*)

**21.9.4 Meeting Length and Sites.** The Management Council and Presidents Council, subject to ratification by the Executive Committee, is responsible for developing policies governing the length, sites and expenses related to committee meetings (see Bylaw 31.7.2). Such policies shall be published annually in the NCAA Committee Handbook. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.5 General Committees.** To conduct Division III business in an efficient and orderly fashion, the following general committees shall be established and will report directly to the Management Council. (*Adopted: 1/14/97 effective 8/1/97*)

#### **21.9.5.1 Strategic Planning and Finance Committee.**

**21.9.5.1.1 Composition.** The Strategic Planning and Finance Committee shall consist of 12 members, including the vice chair of the Presidents Council; three additional members of the Presidents Council; the vice chair of the Management Council; three additional members of the Management Council; the chair of the Championships Committee; two "at-large" members who do not serve on the Presidents Council, Management Council or Championships Committee; and one student-athlete who shall be a member of the Student-Athlete Advisory Committee. (*Adopted: 1/14/97 effective 8/1/97, Revised: 10/3/05, 4/17/07, 7/21/09*)

**21.9.5.1.2 Term of Office.** "At-large" members shall serve a maximum of one four-year term. The terms of the other members shall coincide with their terms on those bodies. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.5.1.3 Chair.** The vice chair of the Presidents Council shall serve as chair. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.5.1.4 Duties.** The committee shall review and make recommendations regarding all budgetary and fiscal policy requests forwarded by the Management Council. The committee shall monitor and update the Division III Strategic Plan consistent with the Division III philosophy statement and the goals and objectives of the NCAA Strategic Plan. The committee also shall monitor the administration of the annual budget and report to the Management Council on a regular basis regarding that topic. (*Adopted: 1/14/97 effective 8/1/97, Revised: 4/17/07*)

#### **21.9.5.2 Championships Committee.**

**21.9.5.2.1 Composition.** The Championships Committee shall consist of nine members, including the chair of the Management Council and two other Management Council members. The committee shall include at least three men, at least three women, at least one student-athlete and at least one member of an ethnic minority. At least one committee member shall represent each of the four regions specified in Constitution 4.13.1.1. Terms of the Management Council members shall coincide with their terms on that body. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/14/02, 1/10/05*)

**21.9.5.2.2 Duties.** The committee shall: (*Adopted: 1/14/97 effective 8/1/97*)

- (a) Make budgetary recommendations to the Management Council for the conduct of championships;
- (b) Supervise qualifications and/or selection procedures for those events;
- (c) Review recommendations from sports committees regarding the administration of those championships;
- (d) Process other issues related to the administration of the events;
- (e) Act as the final authority regarding championships matters that are subject to appeal (i.e., excluding appeals of championships selection or assignment in championships competition); and
- (f) Appoint the members of the sports committees, subject to the final approval of the Management Council. (*Revised: 5/4/11*)



### **21.9.5.3 Committee on Student-Athlete Reinstatement.**

**21.9.5.3.1 Composition.** The committee shall be composed of six members, including one member from the Management Council and one student-athlete who shall be a member of the Student-Athlete Advisory Committee. The committee shall include at least two men, and at least two women, and at least one of the positions shall be allocated for a member of an ethnic minority. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/11/00 effective 8/1/00, 1/10/05*)

**21.9.5.3.2 Duties.** The committee shall have the authority under Bylaw 14.13 to determine all matters pertaining to the policies and procedures for the reinstatement of eligibility of a student-athlete who is ineligible for intercollegiate competition as a result of an NCAA rules violation, and for waivers of legislation that the Management Council or the membership has authorized the committee to grant. The procedures for processing such appeals or requests shall be established by the committee and approved by the Management Council. The committee may reinstate eligibility immediately, may reinstate eligibility at a future time or may determine that eligibility should not be reinstated. The committee also may impose conditions for reinstatement of eligibility. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/11/00*)

**21.9.5.3.3 Quorum.** Three members present and voting shall constitute a quorum to conduct committee business, it being understood that the chair shall make a special effort to have full committee attendance. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/11/00*)

**21.9.5.3.4 Authority of Student-Athlete Reinstatement Staff.** Subject to review by the Committee on Student-Athlete Reinstatement, the student-athlete reinstatement staff is authorized to apply the reinstatement rules of the Association. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/11/00*)

**21.9.5.3.5 Appeals.** After the student-athlete reinstatement staff has acted on a reinstatement matter, the involved institution may appeal the decision to the Committee on Student-Athlete Reinstatement. Its determination shall be final, binding and conclusive, and shall not be subject to further review by the Management Council or any other authority. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/11/00*)

### **21.9.5.4 Committee on Infractions.**

**21.9.5.4.1 Composition.** The committee shall be composed of five members. One position shall be allocated for a member of the general public who shall not be associated with a collegiate institution, conference, professional or similar organization, or represent coaches or athletes in any capacity. At least one of the positions shall be allocated for a member of an ethnic minority. One position shall be allocated for a man and one for a woman. (*Adopted: 1/11/00 effective 8/1/00, Revised: 1/10/05, 2/10/05*)

**21.9.5.4.2 Duties.** The duties of the committee shall be as follows:

- (a) Consider complaints that may be filed with the Association charging the failure of any member to maintain the academic or athletics standards required for membership or the failure of any member to meet the conditions and obligations of membership in the Association;
- (b) Formulate and revise, in accordance with the requirements of Bylaw 19.3, a statement of its established operating policies and procedures, including investigative guidelines (see Bylaw 32);
- (c) Determine facts related to alleged violations and find violations of NCAA rules;
- (d) Impose an appropriate penalty or show-cause requirement on a member found to be involved in a major violation (or, on appeal, on a member found to be involved in a secondary violation), or recommend to the Management Council suspension or termination of membership; and
- (e) Carry out any other duties directly related to the administration of the enforcement program.

**21.9.5.4.3 Quorum.** Three members present and voting shall constitute a quorum to conduct committee business, it being understood that the chair shall make a special effort to have full committee attendance when major infractions cases involving major violations are to be considered. (*Adopted: 1/11/00*)

**21.9.5.4.4 Temporary Substitutes.** If it appears that one or more members of the committee will be unable to participate in the hearing of a case, the chair may request the Management Council to designate a former member or members of the committee to rejoin the committee for purposes of the consideration and disposition of that case. (*Adopted: 1/11/00*)

**21.9.5.4.5 Authority of Committee on Infractions.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between Division III business sessions of the annual Convention by members of the Committee on Infractions present and voting at any duly called meeting, provided the call of such a meeting shall have contained notice of the situation presenting the disciplinary problem. Actions of the committee in cases involving major violations, however, shall be subject to review by the appropriate appeals committee per Bylaw 19.2, on appeal. (*Adopted: 1/11/00*)

**21.9.5.4.6 Authority of Vice President for Enforcement Services.** On review of information developed by the enforcement staff or self-reported by the member institution, the vice president for enforcement services shall identify the charges as involving alleged major or secondary violations, or repeated secondary violations that should be viewed as a major violation, subject to approval by the committee chair or another member of the committee designated by the chair. Disciplinary or corrective actions in the case of secondary

violations may be effected by the vice president for enforcement services, subject to approval by the committee chair or another member of the committee designated by the chair. Said actions shall be taken in accordance with the provisions of the enforcement policies and procedures and shall be subject to review by the committee on appeal. *(Adopted: 1/11/00)*

**21.9.5.4.7 Authority of Committee Chair.** In the interim between meetings of the committee, the chair shall be empowered to act on behalf of the committee, subject to committee approval at its next meeting. If at any time, at a meeting or between meetings, the chair is unavailable to act as such, the member of the committee longest in service who is available is empowered to exercise the functions of the chair. *(Adopted: 1/11/00)*

**21.9.5.4.8 Authority of Infractions Appeals Committee.** The Infractions Appeals Committee per Bylaw 19.2 shall hear and act on an institution's appeal of the findings of major violations by the committee. *(Adopted: 1/11/00, Revised: 1/14/02)*

#### **21.9.5.5 Infractions Appeals Committee.**

**21.9.5.5.1 Composition.** The Infractions Appeals Committee shall consist of five members. One shall be a member of the Presidents Council and shall serve as chair. One shall be a member of the Management Council. One shall be from the general public who shall not be associated with a collegiate institution, conference, or professional or similar organization, or represent coaches or athletes in any capacity. Two positions shall be from the membership. *(Adopted: 1/14/02, Revised: 10/19/09)*

**21.9.5.5.2 Term of Office.** A member shall serve a three-year term, which shall commence on the first day of September after the member's election. A member may be reappointed but shall not serve more than six years. The terms of the members who are serving as the representatives of the Presidents Council or Management Council shall coincide with their terms on those bodies. When possible, terms shall be staggered to ensure that vacancies occur in proper sequence. *(Adopted: 10/19/09)*

**21.9.5.5.3 Duties.** The committee shall hear and act on an institution's appeal of the findings of major violations by the Committee on Infractions. *(Adopted: 1/14/02)*

#### **21.9.5.6 Financial Aid Committee.**

**21.9.5.6.1 Composition.** The Financial Aid Committee shall consist of 12 members. Four members shall be financial aid administrators, one of whom shall be from a public institution. One member of the committee shall be a member of the Management Council, at least one shall be a president or chancellor and at least one shall be a member of an ethnic minority. At least four positions shall be allocated for men and at least four allocated for women. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/04, 4/28/05, 1/9/06, 4/11/06)*

**21.9.5.6.2 Duties.** The committee shall be responsible for the review and consideration of the Division III bylaws that govern financial aid and report to the Management Council on a regular basis regarding that topic. The committee shall be responsible for the administration of the financial aid reporting process, including the implementation of operating policies and procedures. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/28/05, 4/14/08)*

#### **21.9.5.7 Interpretations and Legislation Committee.**

**21.9.5.7.1 Composition.** The Interpretations and Legislation Committee shall consist of eight members. One shall be a member of the Management Council, one shall be a student-athlete representative, one shall be a faculty athletics representative, one shall be a conference administrator and at least one shall be a member of an ethnic minority. At least three positions shall be allocated for men and at least three allocated for women. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/11/00)*

**21.9.5.7.2 Duties.** The committee shall determine interpretations of all Division III legislation. The committee also shall review and refine legislative proposals, incorporate new legislation and interpretations, review deregulation issues and approve the publication of supplementary compilations of interpretations. *(Adopted: 1/14/97 effective 8/1/97)*

**21.9.5.7.3 Special Operating Rules.** The committee shall not have the authority to alter an existing Management Council interpretation. Its decision shall be binding unless overturned upon appeal to the Management Council or Presidents Council at its next regularly scheduled meeting, or at the business session of the annual Convention. *(Adopted: 1/14/97 effective 8/1/97)*

#### **21.9.5.8 Membership Committee.**

**21.9.5.8.1 Composition.** The Membership Committee shall consist of 10 members. One shall be a Management Council member, at least one shall be a faculty athletics representative, at least one shall be a senior woman administrator, at least one shall be a conference administrator, one shall be a president or chancellor and at least one shall be a member of an ethnic minority. At least four positions shall be allocated for men and at least four positions shall be allocated for women. At least one member shall be appointed from each of the four geographical regions per Constitution 4.13.1.1. *(Adopted: 1/14/97, Revised: 2/5/97 effective 8/1/97, 1/11/00 effective 8/1/00, 1/10/05, 1/9/06)*

**21.9.5.8.2 Duties.** The committee shall review issues related to Division III membership, including issues and educational efforts affecting provisional, reclassifying and continuing members, monitoring and auditing of sports sponsorship requirements, and coordinating educational programs for the membership regarding NCAA legislation. Additionally, the committee may impose penalties for the failure of any member to meet conditions and obligations of membership, including sports sponsorship requirements. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/11/00 effective 8/1/00, 1/8/07 effective 8/1/07, for any application of member penalties [probation or restricted membership status] based on the sports sponsorship audit shall be based on information reported for the 2006-07 year and beyond, 7/24/07)*

#### **21.9.5.9 Nominating Committee.**

**21.9.5.9.1 Composition.** The Nominating Committee shall consist of eight members, including at least one former NCAA officer or former member of the NCAA Council or Management Council, one current member of the Management Council, and one president or chancellor. A maximum of five members may be at large. The committee shall include at least three men, at least three women, and at least one member of an ethnic minority. At least one member shall be appointed from each of the four geographical regions per Constitution 4.13.1.1. *(Adopted: 1/14/97, Revised: 2/5/97 effective 8/1/97, 1/11/00 effective 8/1/00, 1/14/02, 8/5/04, 1/10/05, 10/3/05)*

**21.9.5.9.2 Duties.** The committee shall coordinate nominations for the Division III Management Council, all standing committees that report to the Management Council, all Division III sports committees and all Division III positions on Association-wide and common committees. *(Adopted: 1/14/97 effective 8/1/97)*

#### **21.9.5.10 Student-Athlete Advisory Committee.**

**21.9.5.10.1 Composition.** The Student-Athlete Advisory Committee shall consist of:

- (a) One student-athlete from each pair of conferences represented in the Division III Student-Athlete Advisory Committee partnership program; and
- (b) At least one student-athlete from a Division III independent institution. Additionally, 50 percent of the positions shall be allocated for men and 50 percent allocated for women with at least 25 percent of all positions allocated for ethnic minorities. Not more than one student-athlete from a playing conference may serve on the committee at any time. Two Management Council members shall serve as ex officio members of the committee. *(Adopted: 1/14/97, Revised: 2/5/97 effective 8/1/97, 1/13/03, 1/12/04, 1/9/06, 10/22/07, 1/14/09, 4/20/09)*

**21.9.5.10.2 Vacancies.** When a vacancy occurs on the committee, a student-athlete representative shall be selected by the Student-Athlete Advisory Committee from a slate of nominees, subject to review by the Division III Nominating Committee and ratification by the Division III Management Council. *(Revised: 1/9/06)*

**21.9.5.10.3 Term of Office.** A student-athlete member shall not serve more than three years on the committee and may not be reappointed for another term. Student-athletes may serve on the committee up to one year after completion of their intercollegiate athletics eligibility. A member shall commence service on the first day following the member's election. When a student-athlete member leaves the committee, the student-athlete shall be replaced by a student-athlete from his or her partner conference, who may serve up to three years on the committee. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/8/01, 1/13/03)*

**21.9.5.10.3.1 Exception—Management Council Member.** The term of a student-athlete serving on the Management Council (see Bylaw 21.9.5.10.4) may be extended through the adjournment of the annual NCAA Convention. *(Adopted: 1/13/03)*

**21.9.5.10.4 Management Council Service.** The committee annually shall elect two members (one male, one female) to serve on the Management Council. To be eligible for Management Council service, at least one committee member shall have completed at least one year of service on the committee. Committee members shall be eligible for not more than two years of service on the Management Council. Committee members appointed to serve on the Management Council shall not be from the same member institution as another current member of the Management Council. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/13/03)*

**21.9.5.10.5 Duties.** The committee shall receive information on and explanations of NCAA activities and legislation and shall review and react to topics referred to it by other Association committees and by the Management Council. *(Adopted: 1/14/97 effective 8/1/97)*

**21.9.6 Sports Committees With Only Championships Administration Responsibilities.** To conduct Division III championships in an efficient and orderly fashion, sports committees with only championships administration responsibilities shall be established and shall report directly to the Championships Committee (see Bylaw 21.9.5.2). *(Adopted: 1/14/97 effective 8/1/97)*

#### **21.9.6.1 Selection, Composition and Duties.**

**21.9.6.1.1 Duties.** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the championships under its jurisdiction, subject to the direction and approval of the Championships Committee and the requirements, standards and conditions prescribed by

Bylaw 31. (See Bylaw 31 for committee duties related to the administration of championships.) (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.6.1.2 Special Operating Rules.** Each committee shall act as one body to determine general policies for the administration of championships. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.6.1.3 Selection Criteria.** Each committee shall have the authority to establish championships selection criteria, including requirements to use regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.6.1.4 Regional Advisory Committees.** Regional advisory committees may be appointed by each sports committee as prescribed by the Championships Committee. (*Adopted: 1/14/97 effective 8/1/97*)

**21.9.6.2 Committee Membership.** Membership on sports committees in team and individual sports with only championships administration responsibilities shall be limited in number as specified in Figure 21-1. (*Revised: 1/9/06*)

**FIGURE 21-1**  
**Committee Membership**

<b>Committee</b>	<b>Number of Members</b>
Baseball Committee	8, including one member from each region.
Basketball Committee, Men's	8, including one member from each region.
Basketball Committee, Women's	8, including one member from each region.
Field Hockey Committee	6, including one member from each region.
Football Committee	8, consisting of two representatives from each of the four Division III football regions.
Golf Committee, Men's	6, including one member from each region.
Golf Committee, Women's	5, including one member from each region.
Ice Hockey Committee, Men's	4, including two members from both the East and West Regions (one administrator and one coach).
Ice Hockey Committee, Women's	5, including one representative from each of the five women's ice hockey playing conferences.
Lacrosse Committee, Men's	4, including two members from each of the North and South Regions (one administrator and one coach). Two representatives serving on the committee shall be athletics administrators, and two shall be coaching-staff members.
Lacrosse Committee, Women's	5, including one member from each region.
Rowing Committee, Women's	6, including one member from each region.
Soccer Committee, Men's	8, including one member from each region.
Soccer Committee, Women's	8, including one member from each region.
Softball Committee, Women's	8, including one member from each region.
Swimming and Diving Committee, Men's and Women's	7, including the four Division III representatives to the common Men's and Women's Swimming and Diving Committee with playing rules and championships administration responsibilities (see Bylaw 21.4.6) and three additional members: one representing men's swimming interests; one representing women's swimming interests; and one selected at large. There shall be one or two representatives from District 1; one or two from District 2; one from District 3; one or two from District 4; one from Districts 5, 6 or 7; and one from District 8.
Tennis Committee, Men's	4, including one member from each region.
Tennis Committee, Women's	4, including one member from each region.
Track and Field Committee, Men's and Women's	8, consisting of the six Division III representatives to the common Men's and Women's Track and Field Committee with playing rules and championships administration responsibilities (see Bylaw 21.4.7) and two additional members: one of the additional members representing men's track and field interests and the other representing women's track and field interests. One member shall be elected from each of the Division III track and field regions.*
Volleyball Committee, Men's	4, including two members from each region.
Volleyball Committee, Women's	8, including one member from each region.
Wrestling Committee	4, consisting of the three Division III representatives to the common Wrestling Committee with playing rules and championships administration responsibilities and one additional member: one member shall be elected from Districts 1 and 2, one from Districts 4 and 5, and two at large.

\* The Men's and Women's Track and Field Committee shall be responsible for the Division III cross country, indoor track and field, and outdoor track and field championships.

# Executive Regulations

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## 31.01 GENERAL PRINCIPLES

**31.01.1 Names of Championships.** All NCAA championships (see Bylaw 18.3) have formal designations that identify their appropriate category and sport classification (see Bylaw 31.02.2). The name of each championship is the property of the Association (see Bylaw 31.6).

**31.01.2 Postseason Championship Opportunities.** NCAA championships are intended to provide national-championship competition among the best eligible student-athletes and teams at the conclusion of the respective sport seasons, with consideration for regional structures that may be approved for certain championships.

**31.01.3 Size of Championships Fields.** The size of all NCAA championships fields shall be established by the Championships Committee to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (See Bylaw 31.3.1 for the criteria to be considered in establishing the size of the championship field.)

**31.01.4 Economy of Operation.** Every sports committee and games committee (Bylaw 31.1.2) shall exercise all possible economy in the conduct of an NCAA championship.

## 31.02 DEFINITIONS AND APPLICATIONS

**31.02.1 Automatic Qualification.** Automatic qualification is the automatic entry into a championship field by a team or individual student-athletes representing a member conference recommended by the appropriate sports committee and approved by the Championships Committee (see Bylaw 31.3.2).

**31.02.1.1 Single-Sport Conference.** A single-sport conference is a conference that only sponsors one sport. A conference that sponsors one sport, but sponsors both men's and women's competition in that sport, is not a single-sport conference and does not qualify for a single-sport conference waiver for purposes of automatic qualification for either the men's or women's competition. (*Adopted: 1/12/11*)

### 31.02.2 Championships Classification and Terminology.

**31.02.2.1 Team Championships.** Team championships are those conducted for the team sports (see Bylaw 17.02.12.1 or 31.3.2.3). The title of a team championship is always singular and is identified as a National Collegiate Championship or a division championship (e.g., National Collegiate Women's Water Polo Championship, Division I Men's Basketball Championship).

**31.02.2.2 Individual-Team Championships.** Individual-team championships are those conducted for the individual sports (see Bylaw 17.02.12.2 or 31.3.2.3). The title of an individual-team championship is always plural, reflecting the fact that both individual and team championships are determined (e.g., National Collegiate Men's Gymnastics Championships, Division I Women's Tennis Championships).

**31.02.3 Misconduct.** Misconduct in an NCAA championship is any act of dishonesty, unsportsmanlike conduct, unprofessional behavior or breach of law, occurring from the time the championship field is announced through the end of the championship, that discredits the event or intercollegiate athletics. (*Revised: 8/15/89, 8/13/92, 10/20/08*)

## 31.1 ADMINISTRATION OF NCAA CHAMPIONSHIPS

**31.1.1 Authority of Championships Committees and Sports Committees.** As specified in Bylaw 18.1, all NCAA championships shall be conducted in accordance with the general policies established by the Championships Committee, the Management Council, the Presidents Council and/or Executive Committee and shall be under the control, direction and supervision of the appropriate sports committees, subject to the standards

and conditions set forth in these executive regulations. Additional policies of an administrative nature are set forth in the respective championships handbooks and are to be followed in the administration of NCAA championships. (*Revised: 8/22/07*)

**31.1.1.1 Waivers.** The Championships Committee shall possess final waiver authority on all championships issues. An appeal of a decision of a governing sports committee, or a subcommittee designated by it, concerning questions of individual or institutional eligibility or the conduct of a championship will not be considered by the Championships Committee 48 hours immediately before the championship or at any time during the championship. During such period, the governing sports committee, or a subcommittee designated by it, shall be the final authority in acting upon appeals concerning the conduct of the championship, subject to the provisions of Bylaw 31.2 regarding institutional and individual eligibility questions.

**31.1.2 Games Committee.** The governing sports committee shall appoint a games committee to supervise actively the conduct of each championship session. The games committee conducting any NCAA championship shall limit participation to eligible student-athletes and may limit the number of entries or reject any application for entry in any event in order that the competition shall best promote the welfare and interest of the sport involved.

**31.1.3 Sites and Dates.** The governing sports committees recommend to the Championships Committee the sites and dates for all NCAA championships.

**31.1.3.1 Championships Committee Approval.** Championships Committee approval shall be obtained before final site commitments are made to the host institution or any other individual or organization associated with the management of an NCAA championship. However, in the sports of baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball and volleyball, the governing sports committees are authorized to select sites for preliminary rounds of competition without prior Championships Committee approval.

**31.1.3.2 Site Selection.** The governing sports committees shall evaluate prospective sites for NCAA championships in terms of the specific criteria approved by the Championships Committee. The division championships committees may assign specific priorities to these criteria for their respective championships. These shall be specified in the appropriate championships handbooks. A governing sports committee that desires to use additional criteria shall obtain Championships Committee approval before doing so.

**31.1.3.2.1 Criteria for Site Determination.** The following criteria are to be used in the evaluation of sites for all competition in NCAA championships:

- (a) Quality and availability of the facility and other necessary accommodations;
- (b) Geographical location (including such factors as rotation of sites, weather, accessibility and transportation costs);
- (c) Seeding; and
- (d) Attendance history and revenue potential, which shall be considered necessary to assure fiscal responsibility.

**31.1.3.2.2 Nonpredetermined Site.** When a championship site is not predetermined, the governing sports committee may award the site to the higher-ranked team if the above criteria, and any priorities established by the respective division championships committee, are met.

**31.1.3.2.3 On-Campus Versus Off-Campus Sites.** No preference shall be given to on-campus versus off-campus sites. An institution that has been chosen to host a championship may conduct the competition on campus, or if appropriate on-campus facilities are not available, the host institution(s) may conduct competition in an off-campus venue in the immediate locale of the institution(s). In those instances in which it is advisable to conduct the competition at off-campus sites, the host institution(s) shall have complete control, supervision and management of the facility being used. (*Revised: 12/3/90, 1/8/05*)

**31.1.3.2.4 Reconsideration of Host Institution.** The Championships Committee may reconsider the designation of a host institution for an NCAA championship if that institution's team or individual student-athletes are not eligible to compete in the championship.

**31.1.3.2.5 Nonrevenue Championship Site Assignment.** For team championships that do not generate revenues, pairings shall be based primarily on the teams' geographical proximity to one another, regardless of their region, in order to avoid air travel in preliminary rounds whenever possible. Teams' seeding relative to one another may be taken into consideration when establishing pairings if such a pairing does not result in air travel that otherwise could be avoided. (*Revised: 8/4/94*)

**31.1.3.3 Concluding Dates.** NCAA championships competition shall be concluded not later than June 2 each year unless later dates are approved by the Championships Committee. (Note: The baseball championship has been granted waivers by the Championships Committee.)

**31.1.4 Day of Competition.** NCAA championships competition may be scheduled or conducted on any day, provided the governing sports committee has received the prior approval of the Championships Committee and the following regulations are applied. (*Revised: 1/12/99*)



**31.1.4.1 Institutional Policy.** If a participating institution has a written policy against competition on a particular day for religious reasons, it shall inform the NCAA national office before May 1 of the preceding academic year to be excused from competing on that day. The notification shall be valid for a period of two years. The championship schedule shall be adjusted to accommodate that institution. (*Revised: 1/12/99, 1/8/01, 4/25/03, 1/5/07 effective 8/1/07*)

**31.1.4.2 Individual Championships.** In individual championships, an athlete must compete according to the institution's policy regarding Sunday competition (i.e., if the institution has no policy against Sunday competition, the athlete shall compete on Sunday if required by the schedule).

**31.1.4.3 Rescheduling, Emergency or Competitive Development.** If an emergency develops that causes postponement of an NCAA championship, or if the competitive situation dictates a more expeditious completion of the meet or tournament, Sunday competition may be permitted, provided the competing institutions are agreeable and advance approval is obtained from the Championships Committee or the appropriate sports committee. (*Revised: 1/13/03*)

**31.1.4.4 Noon Start Time.** NCAA competition conducted on Sunday may not begin before noon, local time. The appropriate sports committee may waive the application of this legislation. (*Revised: 1/13/03*)

**31.1.4.5 Commencement Exercises.** The governing sports committee, in consultation with participating institutions, may reschedule, to the nearest possible date, team championships competition dates that are in direct conflict with commencement exercises or graduation dates for teams participating in Division III championships competition, provided the following conditions are met: (*Adopted: 1/8/01*)

- (a) Participating institutions have given prior notice, declared on the certification of eligibility to participate form, of commencement dates during which student-athletes would not be eligible for championships participation; (*Adopted: 1/8/01*)
- (b) The championship is not conducted at a predetermined finals site; and (*Adopted: 1/8/01*)
- (c) The committee shall make a good-faith effort to accommodate participating institutions in non-predetermined preliminary round contests with multiple teams participating at the same site. (*Adopted: 1/8/01*)

**31.1.5 Squad Limits.** In any championship in which a squad limit has been established by the governing sports committee or by the bylaws, the number of eligible student-athletes in competitive uniform at the start of the competition shall not exceed the prescribed number. An institution that is advised that it is in violation of this regulation and that does not promptly conform to it automatically shall forfeit the competition. There shall be no inordinate delay of the competition to allow the institution to conform to the rule.

### **31.1.6 Playing Rules.**

**31.1.6.1 Non-NCAA Rules, Men's Sports.** In those men's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except when those rules are superseded by modifications made by the appropriate governing sports committee (see Bylaw 18.6):

- (a) Fencing—U.S. Fencing Association Rules;
- (b) Golf—U.S. Golf Association Rules;
- (c) Gymnastics—International Gymnastics Federation Rules;
- (d) Rifle—USA Shooting Rules; (*Adopted: 5/5/11*)
- (e) Tennis—U.S. Tennis Association Rules; and
- (f) Volleyball—U.S. Volleyball Association Rules.

**31.1.6.2 Non-NCAA Rules, Women's Sports.** In those women's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except when those rules are superseded by modifications made by the appropriate governing sports committee (see Bylaw 18.6): (*Revised: 5/31/11*)

- (a) Fencing—U.S. Fencing Association Rules;
- (b) Field Hockey—International Field Hockey Rules;
- (c) Golf—U.S. Golf Association Rules;
- (d) Gymnastics—International Gymnastics Federation Women's Code of Points with the U.S. Gymnastics Federation Class I, Competition I-B rules and the USGF modifications;
- (e) Rifle—USA Shooting Rules; (*Adopted: 5/5/11*)
- (f) Rowing—U.S. Rowing Rules; and (*Adopted: 1/14/97*)
- (g) Tennis—U.S. Tennis Association Rules.

**31.1.7 Medical Disqualification.** The student-athlete's team physician shall examine each student-athlete injured during NCAA competition and make a recommendation to the athlete, the coach and the chair of the governing sports committee, or the chair's designated representative, as to the advisability of continued participation or disqualification of the athlete. In the absence of said team physician, the NCAA tournament physician, as recommended by the host institution and approved by the governing sports committee, shall examine the injured athlete and make a recommendation as noted above. The chair of the governing sports committee, or the chair's

designated representative, shall be responsible for enforcement of the medical recommendation if it involves disqualification.

**31.1.8 Misconduct.** Each games committee shall hold a pre-tournament meeting with the coaches of participating institutions to review and explain the policies related to misconduct (as defined in Bylaw 31.02.3).

**31.1.8.1 Hearing Opportunity.** An act of misconduct may be found upon an administrative hearing granted to the student-athlete or the institutional representative involved by the governing sports committee or the games committee authorized to act for it.

**31.1.8.2 Misconduct Incidental to Competition.** If the act of misconduct occurs during the competition, under normal circumstances, the individual shall be allowed to complete the competition in which he or she is participating at the time of the incident. An administrative hearing shall be held at the conclusion of the day's competition, during a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted or at the conclusion of the championship. However, if the act of misconduct is so flagrant that it obviously violates the principles of fair play and sportsmanship, the games committee may immediately withdraw the student-athlete or institutional representative from the competition and conduct the hearing after this action. Other acts of misconduct should be dealt with in a timely manner by the governing sports committee. (*Revised: 8/13/92, 1/10/05*)

**31.1.8.3 Penalty for Misconduct.** A governing sports committee (or the games committee authorized to act for it) may impose any one or a combination of the following penalties on an institution or any student-athlete or representative of an institution guilty of misconduct that occurs, en route to, from or at the locale of the competition or practice:

- (a) Public or private reprimand of the individual;
- (b) Disqualification of the individual from further participation in the NCAA championship involved;
- (c) Banishment of the individual from participation in one or more future championships of the sport involved;
- (d) Cancellation of payment to the institution of the Association's travel guarantee for the individuals involved;
- (e) Withholding of all or a portion of the institution's share of revenue distribution;
- (f) Banishment of the institution from participation in one or more future championships in which its team in that sport otherwise would be eligible to participate;
- (g) Disqualification of an institution for a period of time from serving as host institution for one or more NCAA championships; (*Revised: 8/13/92*)
- (h) Cancellation of all or a portion of the honorarium for hosting an NCAA championship; and (*Revised: 8/13/92*)
- (i) Financial or other penalties different from (a) through (h) above, but only if they have prior approval of the Championships Committee. (*Revised: 8/13/92*)

**31.1.8.4 Ban from Subsequent Championship.** When a student-athlete or institutional representative is banned from participation in a future championship, such penalty shall be applied to the next tournament(s) in which the individual's team is involved and the individual otherwise is eligible to participate. In the case of an individual event, the penalty shall be applied to the next meet(s) or tournament(s) for which the individual qualifies and otherwise is eligible to participate.

**31.1.8.5 Review of Action.** Any action related to misconduct may be reviewed by the governing sports committee on request of any institution participating in the championship.

**31.1.9 Awards.** The Association has created standard, participant and commemorative awards for individuals and teams that participate in NCAA championships. The number of such awards for each championship shall be determined by the governing sports committee, subject to the approval of the Championships Committee. These official NCAA awards shall be the only awards presented by the Association to teams and individuals for participation in NCAA championships competition and shall be the only awards presented at the site of an NCAA championship. "At the site" is intended to include the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition. (*Revised: 10/22/03, 3/4/05*)

**31.1.9.1 Additional Awards.** An institution may purchase standard, participant or commemorative awards at the level in which the institution competed. The NCAA awards form shall be used to purchase additional awards. (*Revised: 3/4/05*)

**31.1.9.2 Other Permissible Awards.** The above provision places no restriction on the presentation of awards at banquets or meetings held in conjunction with the championship or at sites other than that of the championships competition itself.

**31.1.10 Admission and Tickets.** Admission shall be charged at all NCAA championships unless a governing sports committee determines that charging admission is not feasible because of facility configuration or the expense relative to the event's attendance history. Ticket prices shall be determined by the respective games committees with the approval of the governing sports committee.

**31.1.11 Restricted Advertising and Sponsorship Activities.** The following activities are restricted when they occur in conjunction with NCAA championships. Other restrictions are set forth in the championships handbooks.

**31.1.11.1 Advertising.** Advertising policies of the Association are designed to exclude those advertisements that do not appear to be in the best interests of higher education. The NCAA president shall have the authority to rule in cases in which doubt exists concerning acceptable advertisers and advertising copy of game programs, broadcasts and telecasts of NCAA championships; however, the following expressly are prohibited: (*Revised: 8/15/89*)

- (a) Alcoholic beverages (except as specified below);
- (b) Cigarettes and other tobacco products;
- (c) Professional sports organizations or personnel (except as specified in the championships handbooks) in games other than certified postseason football games; and
- (d) Organizations promoting gambling.

**31.1.11.1.1 Malt Beverages, Beer and Wine Advertisements.** Advertising of malt beverages, beer and wine products that do not exceed six percent alcohol by volume may be used in game programs. Such advertisements, however, shall not compose more than 14 percent of the space in the program devoted to advertising or not more than 60 seconds per hour of any telecast or broadcast (either a single 60-second commercial or two 30-second commercials).

**31.1.11.1.2 Sponsorships.** A championships activity or promotion may not be sponsored by liquor, tobacco, beer or wine companies or by professional sports organizations or teams at any time.

**31.1.12 Availability of Alcoholic Beverages.** Alcoholic beverages shall not be sold or otherwise made available for public consumption at any championship event sponsored by or administered by the Association, nor shall any such beverages be brought to the site during the championship (i.e., during the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition).

### **31.1.13 Failure to Adhere to Policies and Procedures.**

**31.1.13.1 Financial Penalties.** A governing sports committee may assess a financial penalty against an institution for failure of any of its representatives to adhere to the policies and procedures governing championships administration, subject to review by and appeal to the Championships Committee. The institution may be assessed:

- (a) One hundred dollars per team or \$50 per individual, up to a \$300 maximum penalty, for failure to adhere to published procedures for the submission of regular-season results, availability questionnaires and/or entry forms;
- (b) One hundred dollars, cancellation of all or a portion of the Association's travel guarantee, or all or a portion of the institution's share of revenue distribution for failure to adhere to published managerial and administrative policies and procedures; (*Revised: 5/7/90*)
- (c) Cancellation of all or a portion of the honorarium for hosting an NCAA championship for failure to submit the financial report within 60 days after the competition, as specified in Bylaw 31.4.1.1; or (*Revised: 5/7/90*)
- (d) Financial or other penalties different from (a), (b) and (c) above, but only if they have prior approval of the Championships Committee. (*Revised: 5/7/90*)

**31.1.13.2 Late-Entry Fines.** Institutions shall not be charged entry fees for teams or student-athletes competing in NCAA championships, but governing sports committees may establish late-entry fines, subject to the approval of the Championships Committee.

## **31.2 ELIGIBILITY FOR CHAMPIONSHIPS**

**31.2.1 Institutional Eligibility.** To be eligible to enter a team or an individual in NCAA championship competition, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.12) and shall: (*Revised: 4/13/10*)

- (a) Be an active member in the appropriate division, or have its sport so classified, and be eligible under the rules of the member conference of which it is a member and is not otherwise ineligible to participate in championships per the Association's enforcement or membership process;
- (b) Complete the following by: (i) September 15 for fall championships; (ii) December 1 for winter championships; and (iii) March 1 for spring championships:
  - (1) Pay its membership dues for the current year;
  - (2) Designate (in accordance with Bylaw 20) its athletics program as Division I, Division II or Division III for competition and possible eligibility for championships in those intercollegiate sports recognized by the NCAA;

- (3) Confirm its sponsorship of a varsity intercollegiate team in the sport by so reporting on the NCAA official information form; and
- (4) Submit its race and demographic information to the NCAA through the official information form.
- (c) Satisfy the Bylaw 20 minimum contests and participant requirements in the year of the championship for a team to be entered in an NCAA championship (see Bylaw 20.11.3.8);
- (d) Certify through its president or chancellor on a form approved by the Management Council, the institution's compliance with NCAA legislation. The certification of compliance shall be completed not later than September 15 and shall adhere to the requirements set forth in Bylaw 31.2.1.7;
- (e) Complete and submit a copy of the Integrated Postsecondary-Education Data System Graduation-Rate Survey (IPEDS GRS-1) to the NCAA national office on or before the applicable deadline established by federal regulations;
- (f) Refrain from entering a student-athlete as an individual or as a member of a team in an NCAA championship if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated NCAA regulations in the recruiting of the student-athlete. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility (see Bylaw 14.13); and
- (g) Satisfy any additional requirements set forth in the applicable championships handbooks.

**31.2.1.1 Commitment to Participate.** Eligible members in a sport who are not also members of the National Association of Intercollegiate Athletics or the National Christian College Athletic Association will participate (if selected) in the NCAA championship or in no postseason competition in that sport. (*Revised: 8/12/91, 1/12/99 effective 8/1/99, 4/13/10*)

**31.2.1.2 Joint-Declaration Program.** The NCAA, the National Association of Intercollegiate Athletics (NAIA) and the NCAA and the National Christian College Athletic Association (NCCAA), respectively, will administer joint-declaration programs in those men's and women's sports in which there is a date conflict between the national championships of the NCAA and either the NAIA or NCCAA. In such championships, each institution that holds membership in the NCAA and either the NAIA or the NCCAA must declare by August 15 each year whether it will participate (if selected) in the NAIA or NCCAA championship, in the NCAA championship or in no postseason competition in that sport. An institution that fails to submit the joint-declaration form by the established deadline shall be ineligible to compete in the NCAA's and either the NAIA's or NCCAA's championships in the particular sport(s) that year. An appeal process exists for such institutions. An institution in the joint-declaration program may participate in the championship for which the institution declared, even when the championship occurs outside the permissible NCAA playing season. Institutions in the joint-declaration program must abide by all other NCAA regulations for all sports, including the length of the playing season. (*Revised: 1/12/99 effective 8/1/99, 1/9/04, 1/10/05, 4/13/10*)

**31.2.1.3 National Collegiate Championships.** In team sports in which the NCAA offers only one national championship, all active member institutions are eligible to compete in accordance with the requirements of division membership (see Bylaw 20.8.1) and institutional eligibility (per Bylaw 31.2.1). (*Revised: 4/13/10*)

**31.2.1.4 Exclusion of Institution Reclassifying Entire Program to Division II or Single Sport to Division I.** In all sports, any institution that has forwarded to the national office written notice of its intention to change its membership classification to Division I no longer shall be eligible to participate in any future Division III championship (see Bylaw 20.4.4.1). A member institution reclassifying to Division II that has forwarded its official reclassification application to the national office per Bylaw 20.6.1 shall no longer be eligible to participate in any future Division III championship, beginning with championships that occur during the second candidacy year or when the institution provides athletically related financial aid, whichever occurs first (see Bylaw 20.6.9.1). (*Adopted: 4/13/10*)

**31.2.1.5 Eligibility of Participants.** An institution shall advise the NCAA championships staff if a student-athlete who has participated in regular-season competition becomes ineligible before the date on which the governing sports committee selects championship participants, as indicated in the appropriate championships handbook. (*Revised: 5/92*)

**31.2.1.5.1 Failure to Report Ineligible Player Before Selection.** If an institution fails to report an ineligible player before being selected to participate in the championship, the governing sports committee may declare the institution ineligible to participate in the tournament for one or two years.

**31.2.1.5.2 Discovery of Ineligibility of Player Subsequent to Selection.** When an institution fails to report an ineligible player and the omission is not discovered until after the institution is selected to participate in the championship, necessitating the institution's withdrawal from the championship, that withdrawal shall be considered as one of the years of ineligibility, provided another member institution participates in the championship in place of the disqualified institution. If the discovery of the ineligible student-athlete(s) occurs so near the beginning of the championship that the governing sports committee does not have a reasonable period of time to replace the disqualified institution in the bracket, that fact shall be taken into consideration in determining the number of years the disqualified institution shall be ineligible to participate.

**31.2.1.5.3 Review of Violation of Terms of Availability Questionnaire.** When an institution is alleged to have violated the terms of an availability questionnaire (e.g., failing to report an ineligible student-athlete), it may be represented at the meeting of the governing sports committee when the committee determines whether a violation occurred and, if so, whether the institution shall be ineligible to participate in the tournament for one or more years. The institution may submit a written statement or make an in-person presentation, or both. After the governing sports committee has ruled on the matter, its decision shall be final unless the institution appeals the decision to the Championships Committee. The Championships Committee shall hear the appeal at one of its regularly scheduled meetings unless there are compelling reasons to conduct a special meeting for such purpose.

**31.2.1.6 Protest Regarding Eligibility of Team.** Any team that has been duly certified as eligible for an NCAA championship shall not be withheld from participation because of any protest made or filed during the progress of the competition or during a period 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, a team may be withheld from further competition in the championship, provided such protest is made or filed at least 24 hours before the next segment of the championship.

**31.2.1.7 Certification of Compliance—Requirements.** The following conditions shall be satisfied. (*Adopted: 4/13/10*)

**31.2.1.7.1 NCAA Rules Review.** The president or chancellor, or a designated representative, has reviewed with all athletics department staff members the rules and regulations of the NCAA as they apply to the administration and conduct of intercollegiate athletics. (*Adopted: 4/13/10*)

**31.2.1.7.2 Coaching Staff Disciplinary Actions.** At the time of such certification, and as a result of involvement in a violation of the Association's legislation as determined by the Committee on Infractions or the Management Council, no current member of the institution's coaching staff: (*Adopted: 4/13/10*)

- (a) Shall have been temporarily or permanently suspended from coaching duties by another member institution within the last two years; or
- (b) Shall have been prohibited within the last two years, as a result of violations occurring while employed by another member institution, from participating in identified coaching-related activities, unless the prohibition has been equally applied by the certifying institution with respect to the individual's coaching-related activities on behalf of it; or
- (c) Shall have been permitted within the last two years to perform any coaching-related activities for the certifying institution that were prohibited after determination by the Committee on Infractions of an "appropriate disciplinary action" for the individual in accordance with the show-cause provision of Bylaw 19.5.2.2-(k) of the NCAA enforcement procedures.

**31.2.1.7.2.1 Period of Suspension or Prohibition.** The period of suspension or prohibition established by the Committee on Infractions or the Management Council must be in effect for the provisions set forth in Bylaw 31.2.1.7.2 to apply. (*Adopted: 4/13/10*)

**31.2.1.7.2.2 Due-Process Requirement.** The affected coaching staff member must be given through the appropriate institution notice of an opportunity to be heard at both the NCAA hearing resulting in the finding of involvement in the violation and the institutional hearing resulting in suspension or prohibition. (*Adopted: 4/13/10*)

**31.2.1.7.3 Certification of Policies, Procedures and Practices.** The policies, procedures and practices of the institution, its staff members and representatives of its athletics interests are in compliance at the present time with the Association's legislation insofar as the president or chancellor can determine. (*Adopted: 4/13/10*)

**31.2.1.7.4 Maintenance of Compliance.** It is the intention of the institution to maintain such compliance. (*Adopted: 4/13/10*)

**31.2.1.7.5 Report of NCAA Violation Involving Institution.** A current statement has been filed with the president or chancellor, as a part of the institution's annual certification, which is signed by each athletics department staff member and by the institutional financial aid officer or comparable campus official, attesting that the individual has reported any knowledge of an involvement in any violations of NCAA legislation involving the institution. (*Adopted: 4/13/10*)

**31.2.1.8 Admissions and Graduation-Rate Disclosure.** An institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless it has completed and submitted a copy of the Integrated Postsecondary-Education Data System Graduation-Rate Survey (IPEDS GRS-1) to the NCAA national office on or before the applicable deadline established by federal regulations. (*Adopted: 4/13/10*)

**31.2.1.9 Deadline Waivers.** Institutions that fail to meet a deadline for institutional eligibility in NCAA championships may appeal to the Championships Committee for a waiver. If the Championships Committee grants the appeal, then the institution may be restored to eligibility for NCAA championships. The Championships Committee may grant future waivers upon payment of a penalty as established by the Championships Committee. (*Revised: 4/13/10*)

**31.2.2 Individual Eligibility.** All student-athletes, regardless of division, must meet the eligibility standards established for NCAA championships competition. The general and academic eligibility requirements are set forth in detail in Bylaw 14, in which there is also reference to other legislation regarding eligibility of the individual student-athlete. Other specific requirements for eligibility for NCAA championships (e.g., authority of the Championships Committee, amateur status certification and ineligibility for use of banned drugs) are set forth in Bylaws 18.4.1, 10.3 and 10.4. The Executive Committee may require the student-athletes to certify their eligibility for championship competition. *(Revised: 3/5/97)*

**31.2.2.1 Eligibility Requirements.** A member institution shall not enter a student-athlete as an individual or as a member of a team in an NCAA championship unless the student-athlete satisfies the relevant eligibility requirements of Bylaw 14. *(Revised: 8/15/89)*

**31.2.2.2 Protest of Eligibility Status.** Any student-athlete duly certified by the institution for an NCAA championship shall not be withheld from participation because of any protest made or filed during the championship or during the 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, the student may be withheld from further competition in the championship, provided the protest is made or filed at least 24 hours before the next segment of the championship.

**31.2.2.3 Participation While Ineligible.** When a student-athlete competing as an individual or representing the institution in a team championship is declared ineligible after the competition, or a penalty has been imposed or action taken as set forth in Bylaw 19.5.2.2-(e) or 19.7 of the NCAA enforcement program, the Committee on Infractions may require the following: *(Revised: 1/14/02)*

(a) **Individual Competition.** The individual's performance may be stricken from the championships records, the points the student-athlete has contributed to the team's total may be deleted, the team standings may be adjusted accordingly and any awards involved may be returned to the Association. For those championships in which individual results are recorded by time, points or stroke totals (i.e., cross country, golf, gymnastics, indoor track and field, outdoor track and field, rifle, swimming and diving, and skiing), the placement of other competitors may be altered and awards presented accordingly. For those championships in which individual results are recorded by advancement through a bracket or head-to-head competition, the placement of other competitors shall not be altered. *(Revised: 8/15/89, 1/14/02, 4/28/05)*

(b) **Team Competition.** The record of the team's performance may be deleted, the team's place in the final standings may be vacated and the team's trophy and the ineligible student-athlete's award may be returned to the Association. *(Revised: 1/14/02)*

**31.2.2.4 Institutional Penalty for Ineligible Participation.** When an ineligible student-athlete participates in an NCAA championship and the student-athlete or the institution knew or had reason to know of the ineligibility, the Committee on Infractions may assess a financial penalty. *(Revised: 1/8/01 effective 8/1/01)*

**31.2.3 Ineligibility for Use of Banned Drugs.** Bylaw 18.4.1.5 provides that a student-athlete who, as a result of a drug test administered by the NCAA, is found to have used a substance on the list of banned drugs shall be declared ineligible for further participation in postseason and regular-season competition during the time period ending one calendar year after the student-athlete's positive drug test. The student-athlete shall be charged with the loss of competition during a minimum of one season of participation in all sports if the season of participation has not yet begun or a minimum of the equivalent of competition during one full season of participation in all sports if the student-athlete tests positive during his or her season of participation (i.e., the remainder of contests in the current season and contests in the next season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible until the student-athlete tests negative (in accordance with the testing methods authorized by the Executive Committee), and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. If the student-athlete participates in any contests from the time of collection until the confirmation of the positive result, he or she must be withheld from an equal number of contests after the 365-day period of eligibility. *(Revised: 1/16/93, 1/9/96 effective 8/1/96, 1/14/97 effective 8/1/97, 4/15/03, 1/12/04 effective 8/1/04, for any athletics participation occurring on or after 8/1/04, 10/18/04 effective 8/1/05, 6/3/05, 6/9/05)*

**31.2.3.1 Breach of NCAA Drug-Testing Program Protocol.** A student-athlete who is in breach of the NCAA drug-testing program protocol (e.g., no-show, tampering with sample) shall be considered to have tested positive for the use of any drug other than a "street" drug. *(Adopted: 1/10/05 effective 8/1/05)*

**31.2.3.2 Testing Positive on More than One Occasion.** If the student-athlete tests positive a second time for the use of any banned drug other than a "street drug," he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after having tested positive for the use of any banned drug, he or she shall lose a minimum of one additional season of participation in all sports and also shall remain ineligible for regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the period of ineligibility for any prior tests has expired. Bylaw 18.4.1.5.2 also provides that the Executive Committee shall adopt a list of banned drugs and authorize methods for drug testing of student-athletes on a year-round basis. *(Revised: 1/10/05 effective 8/1/05, 6/9/05, 10/15/08)*

**31.2.3.3 Appeals.** An institution may appeal the duration of ineligibility to the Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee). In all sports, the committee may reduce the legislated penalty to withholding the student-athlete from competition during the next 50 percent of the season of participation or provide complete relief from the legislated penalty. If the committee requires the student-athlete to fulfill the legislated penalty or be withheld from competition during the next 50 percent of the season of participation in all sports, the student-athlete shall remain ineligible until the prescribed penalty is fulfilled, the student-athlete tests negative and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. (*Adopted: 1/10/05 effective 8/1/05, for drug tests conducted on or after 8/1/05, Revised: 6/3/05, 6/9/05*)

**31.2.3.4 Banned Drugs.** The following is the list of banned-drug classes. As authorized by the Executive Committee, the Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee) has the authority to identify banned drugs within the lists of classes below. The institution and student-athletes shall be held accountable for all banned drugs. The current list of banned drugs is located on the NCAA website (i.e., [www.ncaa.org](http://www.ncaa.org)) or may be obtained from the NCAA national office. (*Revised: 8/15/89, 7/10/90, 12/3/90, 5/4/92, 5/6/93, 7/23/97, 10/29/97, 1/8/01, 1/14/02, 1/6/06, 2/10/06*)

- (a) Stimulants;
- (b) Anabolic agents;
- (c) Alcohol and beta blockers (banned for rifle only); (*Revised: 4/15/09*)
- (d) Diuretics and other masking agents; (*Revised: 6/19/07*)
- (e) Street drugs;
- (f) Peptide hormones and analogues; (*Revised: 1/5/07 effective 8/1/07*)
- (g) Anti-estrogens; and (*Adopted: 1/5/07 effective 8/1/07*)
- (h) Beta-2 agonists. (*Revised: 4/15/09*)

**31.2.3.4.1 Drugs and Procedures Subject to Restrictions.** The use of the following drugs and/or procedures is subject to certain restrictions and may or may not be permissible, depending on limitations expressed in these guidelines and/or quantities of these substances used: (*Revised: 8/15/89*)

- (a) **Blood Doping.** The practice of blood doping (the intravenous injection of whole blood, packed red blood cells or blood substitutes) is prohibited, and any evidence confirming use will be cause for action consistent with that taken for a positive drug test. (*Revised: 8/15/89, 5/4/92*)
- (b) **Local Anesthetics.** The Executive Committee will permit the limited use of local anesthetics under the following conditions:
  - (1) That procaine, xylocaine, carbocaine or any other local anesthetic may be used, but not cocaine; (*Revised: 12/9/91, 5/6/93*)
  - (2) That only local or topical injections can be used (i.e., intravenous injections are not permitted); and
  - (3) That use is medically justified only when permitting the student-athlete to continue the competition without potential risk to his or her health.
- (c) **Manipulation of Urine Samples.** The Executive Committee bans the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing. Examples of banned methods are catheterization, urine substitution and/or tampering or modification of renal excretion by the use of diuretics, probenecid, bromantan or related compounds, and epitestosterone administration. (*Revised: 8/15/89, 6/17/92, 7/23/97*)
- (d) **Beta-2 Agonists.** The use of beta-2 agonists is permitted by inhalation only. (*Adopted: 8/13/93*)
- (e) **Additional Analysis.** Drug screening for select nonbanned substances may be conducted for nonpunitive purposes. (*Revised: 8/15/89*)

**31.2.3.4.2 Positive Drug Test—Non-NCAA Athletics Organization.** A student-athlete under a drug-testing suspension from a national or international sports governing body that has adopted the World Anti-Doping Agency (WADA) code shall not participate in NCAA intercollegiate competition for the duration of the suspension. (*Revised: 1/10/05 effective 8/1/05*)

**31.2.3.5 Medical Exceptions.** Exceptions for categories (a), (b), (c), (d), (f), (g) and (h) under Bylaw 31.2.3.4 may be made by the Executive Committee for those student-athletes with a documented medical history demonstrating the need for regular use of such a drug. (*Revised: 1/11/00, 1/9/06, 4/11/06, 1/5/07 effective 8/1/07, 4/15/09*)

**31.2.3.6 Methods for Drug Testing.** The methods and any future modifications authorized by the Executive Committee for drug testing of student-athletes shall be posted on the NCAA website. Copies of the modifications shall be available to member institutions. (*Revised: 6/3/05*)

**31.2.3.7 Events Identified for Drug Tests.** The Executive Committee shall determine the regular-season and postseason competition for which drug tests shall be made and the procedures to be used in disclosing its determinations.



**31.2.3.8 Individual Eligibility—Team Sanctions.** Executive regulations pertaining to team-eligibility sanctions for positive tests resulting from the NCAA drug-testing program shall apply only in the following situation: If a student-athlete is declared ineligible before an NCAA team championship or a certified postseason football game and the institution knowingly allows him or her to participate, all team-ineligibility sanctions shall apply (i.e., the team shall be required to forfeit its awards and any revenue distribution it may have earned, and the team's and student-athlete's performances shall be deleted from NCAA records). In the case of certified postseason football contests, the team's and student-athlete's performances shall be deleted from NCAA records. *(Revised: 1/10/90)*

## **31.3 SELECTION OF TEAMS AND INDIVIDUALS FOR CHAMPIONSHIPS PARTICIPATION**

A list of the active institutions eligible for championships consideration shall be supplied by the NCAA president to the chair of each governing sports committee and to the athletics director of the host institution. This list should be observed carefully to assure that no entries are accepted from or invitations extended to ineligible institutions. *(Revised: 4/13/10)*

**31.3.1 The Championships Fields.** The size of all NCAA championships fields shall be established by the Championships Committee to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. *(Revised: 8/13/93, 1/14/97 effective 8/1/97)*

**31.3.1.1 Principles of Establishing Bracket Sizes.** In team sports, overall bracket sizes shall be established based on an approximate access ratio of 1:6.5. In golf and tennis, the team portion of the bracket shall be based on an approximate access ratio in the range of 1:7 to 1:7.5 with the specific access ratio to be recommended by the NCAA Division III Men's and Women's Golf Committees and NCAA Division III Men's and Women's Tennis Committees, respectively, subject to approval by the NCAA Division III Championships Committee. The individual participant access shall be determined according to Championships Committee policy. See Bylaw 31.3.1.1.1 for maximum bracket sizes. The overall team bracket size shall be determined by dividing the total number of active Division III institutions sponsoring the sport by 6.5 or the number specified for golf and tennis, and then adjusted as necessary by the Championships Committee. Bracket composition shall be based on three pools (A, B and C) and shall be established using the following principles: *(Adopted: 1/13/03 effective 8/1/05, Revised: 1/10/05 effective 8/1/06, 1/9/06 effective 8/1/06, 1/13/10, 4/13/10)*

- (a) **Pool A**—Conferences that meet the automatic-qualification requirements per Bylaw 31.3.2. No conference shall receive more than one automatic berth per sport;
- (b) **Pool B**—Independent institutions plus institutions from conferences that do not meet the automatic-qualification requirements.
  - (1) **Eligible institutions.** The number of eligible institutions in Pool A (total number of institutions in conferences with automatic qualification) subtracted from the total number of active Division III institutions sponsoring the sport.
  - (2) **Available berths.** The number of institutions eligible in Pool B divided by the access ratio for Pool A (total number of institutions in conferences with automatic qualification divided by the number of Division III conferences with automatic qualification). *(Revised: 12/10/04)*
- (c) **Pool C**—Institutions in conferences with automatic qualification that are not the conference champion plus remaining independents and members of nonqualifying conferences. The number of Pool C berths is determined by subtracting Pool A and Pool B from the total bracket size. There shall be a minimum of two berths in Pool C.

**31.3.1.1.1 Maximum Bracket Size.** In team sports other than football, there shall be a maximum bracket size of 64. In football, there shall be a maximum bracket size of 32. *(Adopted: 1/9/06 effective 8/1/06)*

**31.3.2 Automatic Qualification [Pool A].** The Championships Committee and the governing sports committees annually shall award automatic qualification to those conferences that meet the requirements set forth herein. *(Revised: 1/14/97 effective 8/1/97, 1/12/99 effective 8/1/99, for championships selection during the 1999-00 academic year and thereafter, 4/13/10)*

**31.3.2.1 Requirements—Division Championship.** To be eligible for automatic qualification in a division championship, a member conference shall meet the following general requirements: *(Revised: 1/7/06, 4/13/10)*

- (a) Conference competition must be conducted in the applicable sport, and the conference champion in that sport must be determined not later than 6 p.m. local time of the competition on the date on which participants are selected for the NCAA championship. *(Revised: 8/13/93, 1/13/03)*
- (b) The conference shall have the responsibility of determining which team or individual shall represent the conference in NCAA competition. Any competition to determine such, shall not be considered NCAA championship competition. The method by which a conference determines its conference representative shall be declared at the time of the automatic-qualification declaration and shall be objectively outlined.

- (c) The conference must maintain and actively enforce compliance with eligibility rules at least as stringent as those in Bylaw 14 applicable to its members. The use of an ineligible player by a team in a conference that has been granted automatic qualification may result in the involved team being denied the right to be the automatic entry in the NCAA championship. *(Revised: 1/12/99 effective 8/1/99)*
- (d) Institutions that are members of more than one conference for a particular sport must declare on a three-year basis which conference they will participate in for automatic-qualification purposes and may participate in only that conference's process (e.g., constitute one of the seven institutions necessary for the waiting period or to maintain the automatic qualification) to determine the automatic qualifier. To participate in the process to determine the automatic qualifier, the institution shall be eligible per Bylaw 31.2.1. *(Adopted: 12/5/94, Revised: 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter)*
- (e) All eligible member institutions must agree to participate in the appropriate NCAA championship, unless institutional policy conflicts with the dates of the championship, and the institution advises the appropriate sports committee before the start of the season of its decision not to participate. *(Revised: 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter)*
- (f) A conference that meets the automatic-qualification requirements and is eligible to be selected to a championship via Pool A and/or Pool C may not elect instead to be selected via Pool B. *(Adopted: 1/4/02)*
- (g) The Championships Committee, upon recommendation of the governing sports committee, may revoke the conference's automatic qualification privilege for that year for failure to satisfy any of the requirements set forth in 31.3.2.1(a)-(f).

**31.3.2.1.1 Additional Requirements—Multisport Conference.** A member conference that conducts competition in more than one sport shall also satisfy the following to be eligible for automatic qualification: *(Adopted: 4/13/10)*

- (a) Complete a two-year waiting period, which requires for two consecutive academic years before being eligible for the automatic-qualification privilege, the following: *(Adopted: 1/12/04, Revised: 10/20/06)*
  - (1) Been a member conference of the Association. No waivers of this provision shall be granted; *(Revised: 12/6/92, 4/15/03)*
  - (2) Conducted competition in the sport in question; and *(Revised: 1/12/04)*
  - (3) Maintained seven consistent conference members that were active Division III institutions that sponsored the sport on a varsity intercollegiate basis and that were eligible for the NCAA Division III championship (per Bylaw 31.2.1), four of which shall be core institutions (per Bylaw 31.3.2.1.4). *(Revised: 8/15/89, 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter, 1/12/04)*
- (b) After completion of the two-year waiting period, either:
  - (1) Maintains at least seven active Division III institutions that sponsor the sport on a varsity intercollegiate basis and that are eligible for the NCAA Division III championship (per Bylaw 31.2.1), four of which shall be core institutions (per Bylaw 31.3.2.1.4); or
  - (2) Is in the grace period (per Bylaw 31.3.2.1.3).

**31.3.2.1.1.1 Exception.** A member conference that has been a member conference of the Association for two years, but has not satisfied the conditions set forth in Bylaw 31.3.2.1.1(a)-(2) or (3), may be immediately eligible for automatic qualification if:

- (a) At least seven core (per Bylaw 31.3.2.1.4) conference members sponsor the sport on a varsity intercollegiate basis;
- (b) Those seven conference members have been core conference members for at least two academic years; and
- (c) Those seven members are eligible for the NCAA Division III championship in the sport, per Bylaw 31.2.1.

**31.3.2.1.2 Additional Requirements—Single-Sport Conferences.** A single-sport member conference shall also satisfy one of the following to be eligible for automatic qualification: *(Adopted: 4/13/10)*

- (a) The conference was in existence before February 1, 1998, and has maintained its membership since August 1, 2003;
- (b) Receive a waiver from the Championships Committee awarding automatic qualification to a single-sport conference with at least seven active members that have participated together for at least two consecutive years and that satisfy at least one of the following:
  - (1) The conference's members are geographically isolated in the sport;
  - (2) The conference's members do not belong to a multisport conference that has sponsored a championship in the sport within the previous 15 consecutive years;
  - (3) The conference was established before September 2007;
  - (4) The conference participates in a Division III championship established after September 2007 and within the first 10 years in which the championship is conducted; or

- (5) The sport is sponsored by 100 or fewer Division III member institutions. The waiver is valid so long as the conditions that existed for the initial waiver continue to exist or the conference is in the grace period (per Bylaw 31.3.2.1.3).

**31.3.2.1.3 Grace Period.** A period for two consecutive academic years after the date the conference falls below the seven required institutions but maintains at least four institutions [They must be core institutions for multisport conferences.], in the particular sport. Continued automatic-qualification eligibility shall be as follows: (*Adopted: 1/9/06 effective 8/1/06, Revised: 4/19/06, 5/5/06, 4/13/10*)

- (a) A conference shall remain eligible for automatic qualification in the particular sport if, by the expiration of the grace period, the conference has a minimum of seven institutions [For multisport conferences, at least four shall be core institutions.] that sponsor the sport on a varsity intercollegiate basis and that are eligible for the NCAA Division III championship.
- (b) A conference that fails to satisfy Bylaw 31.3.2.1.3-(a) by the expiration of the grace period shall no longer be eligible for automatic qualification in the particular sport until it again satisfies all requirements for automatic qualification, including the two-year waiting period set forth in Bylaws 31.3.2.1.1-(a) and 31.3.2.1.2-(b).

**31.3.2.1.4 Core Institution.** For purposes of Bylaw 31, a core institution is an active NCAA Division III member institution that is a member of an NCAA Division III conference and participates in that conference in more than one conference-sponsored sport. (*Adopted: 4/13/10*)

**31.3.2.1.5 Sponsoring the Sport.** For purposes of Bylaw 31, “Sponsoring the sport on a varsity intercollegiate basis” requires that the institution: (*Adopted: 4/13/10*)

- (a) Recognize the sport as a varsity sport per Constitution 3.2.4.4; and
- (b) Meets the membership requirements of the division, including the minimum contest requirements for that sport as set forth in Bylaw 20.11.3.8.

**31.3.2.2 Requirements—National Collegiate Championship.** To be eligible for automatic qualification in a National Collegiate Championship, a member conference must meet the following general requirements: (*Adopted: 1/7/06*)

- (a) Have at least six active members that sponsor the applicable sport in any division (Note: A provisional member in the process of becoming an NCAA member cannot be used to meet the requisite number.);
- (b) The six active members must have conducted conference competition together for the preceding two years in the applicable sport;
- (c) There shall be no waivers of the two-year waiting period; and
- (d) Any new member added to a conference that is eligible for an automatic bid shall be immediately eligible to represent the conference as the automatic qualifier.

**31.3.2.3 Sports Groupings for Automatic Qualification.** For purposes of evaluating criteria for automatic qualification, the various sports shall be grouped as follows:

- (a) Team sports—baseball, basketball, field hockey, football, ice hockey, lacrosse, rowing, soccer, softball, volleyball and water polo; (*Revised: 6/7/05*)
- (b) Timed individual sports—indoor track and field, outdoor track and field, and swimming and diving; and (*Revised: 6/7/05*)
- (c) Other individual sports—bowling, cross country, fencing, golf, gymnastics, rifle, skiing, tennis and wrestling. In this category, a sports committee may grant exceptions to the seven-team requirement, subject to the approval of the Championships Committee. (*Revised: 6/7/05*)

**31.3.3 Selection of Balance of Championship Field (Pools B and C).** Once the official representative(s) of each qualifying conference is determined, the governing sports committee responsible for selection of the balance of the championship field shall complete the championship field in accordance with the minimum requirements and selection criteria approved for the particular championship as approved by the Championships Committee. There shall be no maximum or minimum number of berths from one region. (*Revised: 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter, 4/13/10*)

**31.3.3.1 Minimum Requirements for Selection of Participants.**

**31.3.3.1.1 Countable Competition.** For NCAA team-championship selection purposes, competition is countable only when the teams played are varsity intercollegiate teams of four-year, degree-granting institutions that conduct a majority of their competition in that team sport against varsity intercollegiate teams (see Constitution 3.2.4.4) of United States four-year, degree-granting institutions. Competition against service teams, professional teams, semiprofessional teams, amateur teams, two-year colleges and club teams shall be excluded.

**31.3.3.1.2 Team Sport Championship Selection.** For team sport championships selection purposes, the following requirements shall be met. (*Adopted: 4/11/06 effective 8/1/06*)

**31.3.3.1.2.1 Fifty Percent Requirement.** An institution must play at least 50 percent of its scheduled competition against in-region opponents to be eligible for selection to Division III team championships. (*Revised: 4/28/05, 4/11/06 effective 8/1/06, 6/28/07*)

**31.3.3.1.2.1.1 Waiver.** Institutions that fail to play 50 percent of contests against in-region opponents may appeal to the Championships Committee for a waiver. The waiver shall be approved on a sport-by-sport basis for a period of one year, and the request shall be received not later than December 31 of the year before the championship. If the Championships Committee grants the appeal, the institution may be considered for selection to Division III team championships. (*Revised: 4/28/05, 4/11/06 effective 8/1/06, 4/26/06, 7/22/08*)

**31.3.3.1.2.2 Countable In-Region Competition.** For team sport championships selection purposes, competition is countable as in region when the institutions are located in the same established sport region or geographical region (see Constitution 4.13.1.1) or, within a 200-mile radius of each other, or are members of the same conference. (*Adopted: 4/11/06 effective 8/1/06, Revised: 7/21/08, 10/21/08*)

**31.3.3.1.2.2.1 Waiver.** There shall be no waivers granted for established sport or geographical in-region consideration. (*Adopted: 4/11/06 effective 8/1/06*)

**31.3.3.1.3 Individual Sports That Select At-Large Championship Participants as Teams—25-Percent Requirement.** In individual sports that select at-large championship participants as teams (e.g., golf and tennis), an institution's team must play at least 25 percent of its scheduled competition against in-region opponents to be eligible for selection to the Division III championships. (*Adopted: 1/14/09 effective 8/1/10*)

**31.3.3.1.3.1 Twenty-Five Percent Calculation—Golf.** In the sport of golf, all opponents (as opposed to just the host institution) competing against an institution's team within a particular tournament or contest must be included as part of the 25 percent calculation. (*Adopted: 1/14/09 effective 8/1/10*)

**31.3.3.1.3.2 Twenty-Five Percent Calculation—Tennis.** In the sport of tennis, only those teams within a tournament or contest in which the team directly competes against shall be included in the 25 percent calculation. (*Adopted: 1/14/09 effective 8/1/10*)

**31.3.3.1.3.3 Countable In-Region Competition.** For selection purposes in individual sports that select at-large championship participants as teams, competition is countable as in region when the institutions are located in the same established sport region or geographical region (see Constitution 4.13.1.1) or, within a 200-mile radius of each other, or are members of the same conference. (*Adopted: 1/14/09 effective 8/1/10*)

**31.3.3.1.3.4 Waiver.** Institutions that fail to play 25 percent of contests against in-region opponents may appeal to the Championships Committee for a waiver. The waiver shall be approved on a sport-by-sport basis for a period of one year, and the request shall be received not later than December 31 of the year before the championship. If the Championships Committee grants the appeal, the institution may be considered for selection to Division III team championships. There shall be no waivers granted for established sport or geographical in-region consideration. (*Adopted: 1/14/09 effective 8/1/10*)

**31.3.3.1.4 Qualifying Standards.** Individuals and teams required to meet performance standards to qualify for NCAA championships competition shall achieve such standards in meets held during the same academic year as the particular championship.

**31.3.3.2 Selection Criteria.** The governing sports committee responsible for the selection of the balance of the championships field shall select teams in Pools B and C based on the criteria below. The criteria of two or more teams shall be compared to determine the higher-ranked team. An attempt shall be made to determine the ranking of two or more teams after consideration of the primary criteria (see Bylaw 31.3.3.2.1). If the evaluation of the primary criteria does not result in a decision, the secondary criteria will be used (see Bylaw 31.3.3.2.2). All the criteria listed will be evaluated (not listed in preferential order). (*Adopted: 1/12/04*)

**31.3.3.2.1 Primary Criteria—Ranking and Selection (All Contests Leading up to NCAA Championships).** (*Revised: 8/3/06*)

- (a) Won-lost percentage against regional opponents; (*Adopted: 4/15/03*)
- (b) In-region head-to-head competition;
- (c) In-region results versus common regional opponents;
- (d) In-region results versus regionally ranked teams will be considered as follows:
  - (1) Opponents are considered ranked once they appear one time in the sport's official rankings; and
  - (2) Conference postseason contests are included; and (*Revised: 4/11/06 effective 8/1/07*)
- (e) Strength of schedule (see Bylaw 31.3.3.2.3). (*Adopted: 1/13/10*)

**31.3.3.2.2 Secondary Criteria—Ranking and Selection.**

- (a) Out-of-region head-to-head competition;
- (b) Overall Division III won-lost percentage;

- (c) Results versus common non-Division III opponents;
- (d) Results versus Division III teams ranked in other regions;
- (e) Overall won-lost percentage;
- (f) Results versus common out-of-region opponents;
- (g) Overall Division III strength of schedule; and
- (h) Should a committee find that evaluation of a team's won-lost percentage during 25 percent of the season is applicable (i.e., end-of-season performance), it may adopt such criteria with approval from the Championships Committee.

#### **31.3.3.2.3 Definition of Strength of Schedule.**

**31.3.3.2.3.1 Baseball, Basketball, Field Hockey, Ice Hockey, Lacrosse, Soccer, Softball and Volleyball.** In baseball, basketball, field hockey, ice hockey, lacrosse, soccer, softball and volleyball, the strength of schedule shall be calculated by combining the opponents' average winning percentage (OWP) with the opponents' opponents' average winning percentage (OOWP) on the weighted scale of two-thirds weight for OWP and one-third weight for OOWP. Further, on a sport-by-sport basis, the Championships Committee may assign different weight to home contests and away contests for purposes of calculating the OWP and OOWP. *(Adopted: 1/13/10)*

**31.3.3.2.3.2 All Other Sports.** In all other sports not listed in Bylaw 31.3.3.2.3.1, the strength-of-schedule criteria shall be determined by the Championships Committee on a sport-by-sport basis. *(Adopted: 1/13/10)*

**31.3.3.3 Regional Alignments.** All members of a conference shall be placed in the same region for evaluation purposes, unless the Championships Committee has granted an exception. Members of those conferences granted an exception shall be placed in their natural geographical regions for evaluation purposes.

**31.3.4 Selection Decisions of Sports Committees.** The determination of sites, selection of teams or individuals, or their site assignment in championships competition made by a governing sports committee (or a designated subcommittee) may not be appealed. *(Adopted: 4/13/10)*

**31.3.4.1 Pairings and Site Selection.** The following criteria will be used for pairings and site selections: *(Adopted: 4/13/10)*

- (a) Once selected, teams shall be grouped in clusters according to natural geographic proximity. Teams shall then be paired according to geographic proximity. A team may be moved to numerically balance the bracket if geographic proximity is maintained. Teams shall be paired and eligible according to geographic proximity (within 500 miles). An exception may be granted when there are not enough teams within the 500-mile radius to fill the region;
- (b) Teams may be seeded on a regional basis using the regional-selection criteria. However, geographic proximity shall take precedent over seeding;
- (c) Teams from the same conference shall not play one another in the first round as long as geographic proximity is maintained; and
- (d) The highest-seeded team that meets all selection criteria shall be selected as the host institution, provided geographic proximity is maintained.

**31.3.5 Institution Trademarks.** Participation in a National Collegiate Championship constitutes acquiescence by the member institution that the Association may use the institution's name, mascot and other identifying marks in championship-related activities, including television, promotion, licensing and merchandising programs incident to the championship. Revenues derived from such activities, less expenses, will be remitted to the member institution. *(Revised: 11/3/93)*

## **31.4 FINANCIAL ADMINISTRATION OF CHAMPIONSHIPS**

**31.4.1 Host Institution's Responsibility.** The host institution shall administer the finances of an NCAA championship in accordance with this bylaw and consistent with the institution's championship budget, as submitted to and approved by the governing sports committee and the Championships Committee.

**31.4.1.1 Institution's Financial Report.** A financial report from each championship site shall be submitted to the NCAA president not later than 60 days after the conclusion of the competition and shall bear certification of the athletics director of the host institution. The report shall be submitted on a form approved and provided by the NCAA president.

**31.4.1.1.1 Exclusions.** A host institution or sponsoring agency shall not include, in its financial report or in its percentage of net receipts, television rights fees or income from the sale of championships merchandise. Also, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts if the program is produced and sold under contract between the NCAA and an outside agency.

### 31.4.2 Gross Receipts.

- Gross receipts shall be all revenues derived from the championship, including:
- Sale of tickets. All tickets shall be accounted for at face value and shall become a part of gross receipts. Working passes may be provided to bona fide working personnel, including media representatives; and participation passes may be provided to student-athletes competing in the championship, as well as to their coaches, athletic trainers, managers and other members of the participating institution's official party as defined by the Association;
  - Net revenue from marketing items sold at the site through or by an outside agency under contract with the NCAA;
  - Program sales and advertising;
  - Radio, television and movie rights; and
  - Any other income derived from the operation of the championship.

**31.4.2.1 Exclusions.** Income from concessions and parking shall accrue to the host institution or sponsoring agency and is not included in gross receipts. Sales commissions received by a host institution for selling marketing items on behalf of an outside agency under contract with the NCAA shall accrue to the host institution.

**31.4.2.2 Waiver.** Any waiver of the policies for the gross receipts of championships shall be approved in advance by the Championships Committee.

**31.4.3 Game Expenses.** Game expenses are the actual costs directly related to the administration and conduct of the championship, including specifically the costs for tickets (printing, selling and collecting), sales taxes, ushers, game officials, police, buildings and grounds expenses, printing of related materials, motion pictures of the competition (if authorized by the Championships Committee), reasonable entertainment, expenses of selection committees authorized by the governing sports committee and any other out-of-pocket expense directly related to the administration and conduct of the championship. (*Revised: 12/2/90*)

**31.4.3.1 Exclusions.** The following items shall not be included as game expenses:

- The cost of permanent equipment, local transportation of competing teams and on-campus facility rental charges; and
- A fee or honorarium for athletics department staff members of the host institution (e.g., athletics director, assistant athletics director, business manager, ticket manager, coach, sports information director or athletic trainer). (Note: Such a payment could be made from the host institution's share of net receipts.)

**31.4.3.2 Waiver.** Any waiver of the policies for game expenses shall be approved in advance by the Championships Committee.

**31.4.4 Institutional Allowance.** The host institution may share in the balance remaining after game expenses are deducted from the gross receipts. Television rights fees and income from championships programs and merchandise produced and sold under contract between the NCAA and an outside agency shall not be included in determining the host institution's allowance.

**31.4.4.1 Permissible Allowances.** The amount of institutional allowance varies with the sport, the level of championships competition, whether there is a common site for men's and women's championships and whether an institution's facilities are used. The permissible institutional allowances (from balance of gross receipts after deduction of game expenses) are as follows: (*Revised: 5/1/89, 5/1/96*)

	TEAM <sup>(1,2,3)</sup>		INDIVIDUAL <sup>(1,2,3)</sup>	
	Preliminary	Final	Preliminary	Final
One day	\$575	\$1,150	\$700	\$1,725
Two days	\$750	\$1,450	\$870	\$2,000
Three or more days	\$920	\$1,725	\$1,150	\$2,300

<sup>1</sup> For championships conducted at institutional facilities, hosts may deduct the permissible allowance above or a maximum of 15 percent of gross receipts after deduction of game expenses, whichever is greater.

<sup>2</sup> For championships conducted at off-campus facilities, hosts may deduct the permissible allowance or a maximum of 10 percent of gross receipts after deduction of game expenses, on the recommendation of the sport committee and approval of the Championships Committee.

<sup>3</sup> Amount is doubled for common-site men's and women's championships.

**31.4.4.2 Restrictions.** The following restrictions apply to allowances to host institutions:

- If an institution's department of intercollegiate athletics must pay a rental fee for the use of an on-campus facility, such fee shall be deducted from the institution's share of the net receipts or allowance; and
- No other sum from the receipts of an NCAA championship, or from any other source, shall be paid to the college or university sponsoring or acting as host of the NCAA championship except to cover actual and necessary expenses directly incurred in the conduct of the championship as noted in Bylaw 31.4.3.

**31.4.5 Administrative Expenses.** After the institution's allowance has been deducted, the athletics director of the host institution shall forward the remaining receipts to the NCAA president, who then deducts

administrative expenses and costs incurred by governing sports committees and their representatives in matters of championships administration.

**31.4.6 Transportation and Per Diem Expenses.** Subject to the availability of funds, the Association may pay transportation and per diem expenses incurred by teams and individual competitors in NCAA championships competition. Transportation expenses and per diem allowances for the official traveling parties of competing institutions in Division III and national collegiate team championships and individual-team championships shall be determined and provided in accordance with policies and procedures established by the Championships Committee. On an annual basis, the Championships Committee shall determine and announce to the membership the percentage of transportation expense and the per diem allowance to be paid in each championship for the next academic year. *(Revised: 4/17/07)*

**31.4.7 Net Receipts.** After payment of game and administrative expenses for each championship, the NCAA president shall place the remaining money in the general operating fund of the Association to be used to cover other expenses of the Association and to be apportioned among member institutions, as directed by the Championships Committee. *(Revised: 8/14/90)*

**31.4.8 Distribution of Forfeited Receipts.** An institution may be obligated to return its share of the revenue distribution for permitting an ineligible student-athlete to participate in the championship (see Bylaw 31.2.2.4). If so, such funds shall be distributed among other member institutions according to a formula prescribed by the Championships Committee. *(Revised: 8/14/90)*

**31.4.9 Publication of Championships Financial Summaries.** A financial summary of each championship is available from the championships group.

## **31.6 RIGHTS TO NCAA PROPERTIES AND MARKETING RESTRICTIONS**

**31.6.1 Names and Marks.** The NCAA owns or controls all rights and interests in its name, logo, seal, trademarks and service marks, whether registered or unregistered, including “National Collegiate Athletic Association,” “NCAA,” “National Collegiate Championships,” “NCAAction,” “College Sports USA,” “The Final Four,” “Women’s Final Four,” “College World Series,” “Women’s College World Series” and “Stagg Bowl.” The NCAA will prosecute infringement of identical or confusingly similar marks. The NCAA shall maintain control over the nature and quality of the goods and services rendered under the marks; therefore, no use of the marks by others will be permitted in advertising, in association with commercial services or related to the sale of merchandise without the specific approval of the NCAA. *(Revised: 1/29/90)*

**31.6.2 Concessions.** The following conditions or restrictions apply to all concession items sold at any event sponsored or administered by the Association:

- (a) Any merchandise or material bearing any name, logo, trademarks or service marks of the Association that is to be sold or distributed at an event sponsored or administered by the Association must have the prior approval of the president before being sold or distributed. No such merchandise or material shall be sold or distributed by anyone except as designated by the NCAA. The host institution may sell merchandise that features an institutional mark or logo at NCAA competition only if it has guaranteed a sellout of the NCAA merchandise at the site of the competition and has agreed to provide the NCAA championship 25 percent of gross sales (after sales taxes) of all non-NCAA championship merchandise. The quantity of NCAA merchandise sent to the site shall have the prior approval of the NCAA president and shall not be less than a comparable shipment to a comparable site of the previous year’s championship. *(Revised: 12/4/89)*
- (b) The NCAA owns the rights to all concession items other than food or drink sold at such events. Any merchandise or material to be sold at such events must have prior approval of the NCAA president, and any unapproved material shall be barred from the premises. If the championship facility has prior contractual commitments with outside vendors, the NCAA will endeavor to work with such vendors to advance the policies of the Association in the sale of concession items as herein stated.
- (c) The NCAA may authorize others, including the host institution or the coaches organization for the sport involved in the particular event, to sell and distribute concession items.
- (d) Income payable to the NCAA from the sale of concession items other than food and drink at such events shall be included in the gross receipts of the championship.
- (e) No alcoholic beverages shall be sold or dispensed for public consumption at any event sponsored by or administered by the Association.

**31.6.3 Programs.** The Association reserves the right to publish and sell any program sold or distributed for an NCAA championship. It may award such rights for any championship to another party or agency, provided it retains the right to review and approve the content of all programs to be sold or distributed and provided it shall receive a guaranteed amount or predetermined percentage of program receipts. All financial arrangements for official programs produced by the host institution or sponsoring agency shall be reflected in the proposed budget, and receipts and expenses shall be entered in the financial report of the championship. If the program is produced and sold under contract between the NCAA and an outside agency, income from program advertising or sales shall not be included in the host institution’s or sponsoring agency’s financial report or percentage of net receipts.



**31.6.4 Championships Properties.** The Association owns all rights to each and all of its championships as listed in Bylaw 18.3. These rights include, in addition to the rights with respect to participation and admission, rights to television (live and delayed), radio broadcasting, filming and commercial photography. In addition, the NCAA reserves the right to sell items developed through the NCAA marketing program at the site of a championship.

**31.6.4.1 Awarding Media Rights.** Television, radio and film rights shall be awarded in such a manner as to advance most fully the following interests:

- (a) Gate attendance;
- (b) Promotion of interest in the sport;
- (c) Promotion of intercollegiate athletics as a part of collegiate education; and
- (d) Promotion of the Association and its purposes and fundamental policy.

**31.6.4.1.1 Procedures.** Specific policy decisions under the foregoing are determined by the governing sports committee for the particular championship, subject to the approval of the Championships Committee. Negotiations with respect to the awarding of any such rights shall be conducted by the NCAA president, who shall have authority to determine the specific terms and conditions and to execute contracts for the award of such rights on behalf of the Association. Inquiries concerning all such rights should be directed to the president at the national office. In accordance with the policies stated herein, the NCAA president shall negotiate with any party interested in such rights when they are available.

**31.6.4.2 Marketing, Licensing, Promotional and Public Affairs Initiatives.** The NCAA president shall be responsible for the oversight and administration of all marketing, licensing, promotions and public affairs initiatives. The NCAA president shall have the authority to appoint ad hoc advisory groups to provide assistance in developing policies to review any initiative and to provide assistance in addressing emerging issues. In addition, negotiations with respect to awarding merchandising, marketing and licensing rights (including corporate partner rights) shall be conducted by the NCAA president, who shall have the authority to determine the specific terms and conditions and to execute and enforce contracts for the award of such rights on behalf of the Association. The NCAA Executive Committee, at its discretion, may request a detailed accounting of any marketing, licensing, promotional and public affairs initiative that resulted in the awarding of a contract on behalf of the Association. Each division's presidential administrative group and Management Council may request a report related to any matter handled by the NCAA president under the authority of this legislation. (*Adopted: 1/8/01*)

**31.6.4.3 Photographs, Films and Videotapes.** The Association reserves all rights to the use of still photographs, films and videotapes of its championships. All rights to photograph, film and videotape NCAA championships may be assigned to media representatives for news purposes but otherwise are to be controlled exclusively by NCAA Productions, a unit of the NCAA. NCAA Productions shall film or videotape certain NCAA championships, as recommended by the governing sports committees and approved by the Championships Committee. Its cost in filming or videotaping an NCAA championship and producing prints or videodubs for the NCAA library of films shall be entered as an item of game expenses for that championship. The filming or videotaping of NCAA championships by parties other than NCAA Productions (i.e., participating institutions or commercial film production companies) for any purpose other than news purposes may be permitted only with the advance written consent of the NCAA president.

**31.6.4.4 Use of Action Footage on Television News Programs.** The games committee of a particular NCAA championship for which exclusive rights have not been awarded may permit single television stations or television and/or cable networks to broadcast action footage of the championship (on a live or delayed basis) on their regular television news programs (see Bylaw 31.6.4.4.1). Unless otherwise approved by the NCAA, these broadcasts shall not exceed three minutes of action footage of the championship unless broadcast rights have been secured from the Association by payment of a rights fee. The NCAA president shall be authorized to interpret and apply these provisions as necessary.

**31.6.4.4.1 News Program.** A "news program" shall be a regularly scheduled program devoted exclusively to general news and/or sports news. Sports entertainment programs do not qualify under this provision.

**31.6.4.5 Live Microphone on Coach.** The placement of microphones on a team coach or in team huddles and bench areas for television, radio or motion-picture purposes is prohibited in all NCAA championships.

**31.6.4.6 Promotional Announcements for Professional Sports Contests.** During the telecast of any NCAA championship, there shall be not more than two promotional announcements for telecasts of professional sports contests. Each such announcement shall not exceed 15 seconds in length. It may be of a billboard nature only and shall not include any action footage of any professional sports contest. If less than the complete championship segment is televised, only one such professional announcement shall be permitted. These restrictions shall not apply to certified postseason bowl games. (*Revised: 8/15/89*)

## 31.7 GENERAL FINANCIAL MANAGEMENT

**31.7.1 General Operating Budget.** The Executive Committee shall adopt a budget for the ensuing fiscal year before the end of any current fiscal year. The budget, as approved, shall list all anticipated revenues and expenses for the coming fiscal year, including transfers to or withdrawals from reserve funds.

### 31.7.2 Committee and Delegate Finances.

**31.7.2.1 Allowable Expenses, Committee Members.** Any member of an NCAA committee who is entitled under the following regulations to reimbursement of expenses for attendance at a committee meeting shall not receive such payment if the member fails to remain in actual attendance at the meeting for its entire duration as announced in advance. However, in any special case in which a committee member for valid reasons is granted permission by the chair for late arrival or early departure, the member shall receive reimbursement in full.

**31.7.2.1.1 Transportation Allowances.** A committee member may be reimbursed for the following transportation expenses:

- (a) **Commercial Airline.** The member must travel by coach (or “super saver”); and (*Revised: 8/12/91, 1/13/98 effective 2/1/98, 1/12/99*)
- (b) **Automobile.** The member may claim mileage for the round trip at the NCAA approved rate, based on the most direct route between the two points. (*Revised: 1/13/98 effective 2/1/98, 12/20/04, 6/25/08*)

**31.7.2.1.1.1 Travel to Competition Site.** A committee member or designated representative who travels to the site of preliminary competition in an NCAA championship via either ground or coach-class air may claim mileage at the NCAA approved rate, based on the most direct route between the two points. (*Revised: 1/13/98 effective 2/1/98, 6/25/08*)

**31.7.2.1.2 Per Diem Allowance.** A per diem allowance of \$75 may be claimed for each day or part thereof away from home, except that not more than one day's travel each way may be claimed. The Association shall pay single-room and tax charges for committee members attending scheduled committee meetings or on Association business. Incidental charges shall be the responsibility of the committee member. (*Revised: 8/3/95, 1/13/98 effective 2/1/98*)

**31.7.2.1.3 Actual Expenses.** The Management Council or Executive Committee for Association-wide issues may authorize reimbursement of actual expenses, if circumstances warrant.

**31.7.2.1.4 Meeting Frequency.** Payment of expenses for attendance at meetings of an NCAA standing committee shall be limited to two meetings per year unless otherwise authorized by the Management Council or Executive Committee for Association-wide issues.

**31.7.2.1.5 Meeting Site.** Recommendations for sites of meetings of standing and special committees shall be submitted by the committee chair to the NCAA president and are subject to the following conditions:

- (a) Committees that meet only once per year are required to meet in the Indianapolis metropolitan area in alternate years; (*Revised: 1/13/98, 1/12/99*)
- (b) Committees that meet more than once per year are required to conduct at least 50 percent of the meetings in the Indianapolis metropolitan area (e.g., one of two; two of four); and (*Revised: 1/13/98, 1/12/99*)
- (c) All meetings of NCAA committees shall be held within the 48 contiguous states.

**31.7.2.1.6 Special Committees.** The expense allowance for any special committees or for individuals engaged in official Association business shall be determined by the Management Council or Executive Committee for Association-wide issues.

**31.7.2.1.7 Sports Committees.** Meetings of sports committees shall be held at places and times other than those authorized for the championships of their respective sports and shall be limited to two days (plus travel time) for purposes of the NCAA per diem expense allowance, unless other arrangements have been approved by the Management Council or Executive Committee for Association-wide issues.

**31.7.2.1.8 Expense Allowance for Conventions.** An expense allowance shall not be granted for committee meetings held in connection with a Convention of the Association, except that standing committees that regularly meet before or after an Association Convention shall be entitled to one day's per diem for each day or part thereof on Association business, subject to the approval of the Management Council.

**31.7.2.1.9 Approval of Payments.** All expense payments shall be approved in writing by the committee chair or, in the case of the Management Council, Presidents Council and Executive Committee, by the secretary-treasurer or the NCAA president.

**31.7.2.1.10 Waivers.** The NCAA president, subject to the approval of the Management Council or Executive Committee for Association-wide issues, may grant such waivers of the committee-expense regulations in particular cases as are deemed advisable to prevent hardship on a committee or an individual committee member.

### 31.7.2.2 Allowable Delegate Expenses.

**31.7.2.2.1 Meetings of Other Organizations.** Delegates of the Association attending meetings of other organizations (e.g., various sports federations) shall be entitled to the same expense allowances as those outlined in Bylaw 31.7.2.

**31.7.2.2.2 Games Committees for International Competition.** NCAA delegates to games committees appointed to supervise international competition may not receive expenses to more than one meeting during each academic year.

**31.7.2.2.3 Governing Boards of Other Organizations.** NCAA delegates to the governing boards of other organizations may claim an expense allowance for each regular or special meeting of the particular board.

**31.7.2.3 Prohibition Against Funding Olympics.** Income from the Association's championships shall not be allocated to the Olympic fund.

### 31.7.3 Defense and Indemnification.

**31.7.3.1 Conditions for Defense and Indemnification.** The Association shall defend and indemnify any present or former employee, committee member, or agent of the Association who is or was a party to or is threatened to be made a party to, or who is to be subpoenaed to be deposed or to give evidence in any civil, criminal, administrative, or investigative action or proceeding, including those brought by the Association, provided all of the following conditions are met: *(Adopted: 1/14/02)*

- (a) The individual requesting defense and indemnification is being named as a party or subpoenaed to be deposed or to give evidence by reason of the fact that the individual is or was an employee, committee member, or agent of the Association or is or was serving at the request of the Association as a director, officer, employee, or agent of another association, corporation, partnership, joint venture, trust, or other enterprise;
- (b) The individual is determined to have been acting within the scope of the individual's duties to the Association;
- (c) The individual is determined to have been acting in good faith and in a manner the individual reasonably believed to be in or not opposed to the best interests of the Association in the performance of the individual's duties to the Association. In respect to any alleged criminal action or proceeding, the individual also must be determined to have had no reasonable cause to believe the alleged conduct was unlawful; *(Adopted: 1/14/02)*
- (d) The individual promptly and timely notifies the Association's general counsel of the actual or threatened service of process, subpoena, notice of deposition, or other legal process before incurring attorney fees or other expenses; *(Adopted: 1/14/02)*
- (e) The individual accepts counsel provided or approved by the Association and agrees to accede to the legal strategies approved by the Association's general counsel, including any settlement determinations. In the event that the individual wishes to hire other counsel or not accede to the Association's legal strategies, the Association shall not be obligated to defend or indemnify the individual, except when it is determined that a conflict of interest exists with the Association such that retaining separate counsel is warranted; and *(Adopted: 1/14/02)*
- (f) The individual agrees to repay any expenses, including attorney fees, incurred in bringing or defending a civil or criminal action or proceeding paid by the Association in advance of the final disposition of such action or proceeding, if it is ultimately determined that the individual is not entitled to be indemnified by the Association as authorized in this bylaw. *(Adopted: 1/14/02)*

**31.7.3.1.1 Determination Regarding Conditions for Defense and Indemnification.** The determination as to whether indemnification is appropriate because the conditions of Bylaw 31.7.3.1 have been satisfied shall be made:

- (a) By the NCAA Executive Committee Administrative Subcommittee by a majority vote of a quorum consisting of members who are not parties to such action or proceeding. The vote may be taken by electronic mail, telephone, facsimile or in person; *(Revised: 4/15/09)*
- (b) If such a quorum is not obtainable, or, even if obtainable, if a quorum of disinterested Executive Committee members so directs, by independent legal counsel in a written opinion; or
- (c) By the NCAA president if so delegated by the Executive Committee. *(Adopted: 1/14/02)*

**31.7.3.1.2 Definition of Committee.** For purposes of Bylaw 31.7.3, committee shall include all Association committees, boards, cabinets, councils, subcommittees and panels. *(Adopted: 1/14/02)*

**31.7.3.1.3 Termination of Action or Proceeding.** The termination of any action or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption in regard to these determinations. However, if an individual is adjudged to be liable for negligence or misconduct in the performance of the individual's duty to the Association, there shall be no indemnification unless and only to the extent that the court in which such action or suit was brought

shall determine that, despite the adjudication of liability but in view of all circumstances of the case, such individual is fairly and reasonably entitled to indemnity for such expenses as the court shall deem proper. *(Adopted: 1/14/02)*

**31.7.3.2 Insurance.** The Association may purchase and maintain insurance on behalf of any individual who is or was an employee, committee member, or agent of the Association, or is or was serving at the request of the Association as a director, officer, employee, or agent of another association, corporation, partnership, joint venture, trust, or other enterprise against any liability asserted against the individual and incurred by the individual in any such capacity or arising out of the individual's status as such, whether or not the Association would have the power to indemnify the individual against such liability under the provisions of Bylaw 31.7.3. *(Adopted: 1/14/02)*

**31.7.3.3 Definition of Indemnification.** For purposes of this bylaw, indemnification shall consist of payment against expenses (including attorney's fees), judgments, fines, and amounts paid in settlement actually and reasonably incurred by the individual in connection with such action or proceeding. *(Adopted: 1/14/02)*

#### **31.7.4 Insurance.**

**31.7.4.1 Primary Liability.** Host institutions and sponsoring agencies of NCAA championships shall have in place primary comprehensive general public liability insurance coverage with combined single limits of at least \$1 million per occurrence for bodily injury and property damage and shall provide the national office with the appropriate insurance certificates unless statutes of the state in which the host institution or sponsoring agency is located provide a lesser maximum recovery limit and documentation of that statute is on file at the NCAA national office. *(Revised: 12/2/90)*

**31.7.4.2 Injury, Death and Dismemberment.** Basic athletics-injury, catastrophic-injury, and accidental death and dismemberment insurance for participants in NCAA championships shall be provided by the Association.

### **31.8 PERSONNEL**

**31.8.1 Employment.** In accordance with Constitution 4.1.2-(b), the NCAA president is authorized to employ such persons as may be necessary to conduct efficiently the business of the Association. The number of administrative personnel that may be employed shall be determined at the beginning of each fiscal year and may not be increased without the approval of the Executive Committee. The NCAA president may employ as many nonadministrative personnel as may be necessary.

**31.8.1.1 Affirmative Action.** In the selection of personnel for appointment, the policies and procedures of the Association's affirmative-action program, as approved by the Executive Committee, shall be followed.

**31.8.2 Staff Operations.** The national office staff operations shall be reviewed by the Budget Subcommittee appointed by the Executive Committee. Among the items to be reviewed by the subcommittee are:

- (a) Employee compensation;
- (b) Employee benefits; and
- (c) Allegations of improper conduct by any member of the national office staff as reported to the NCAA president.

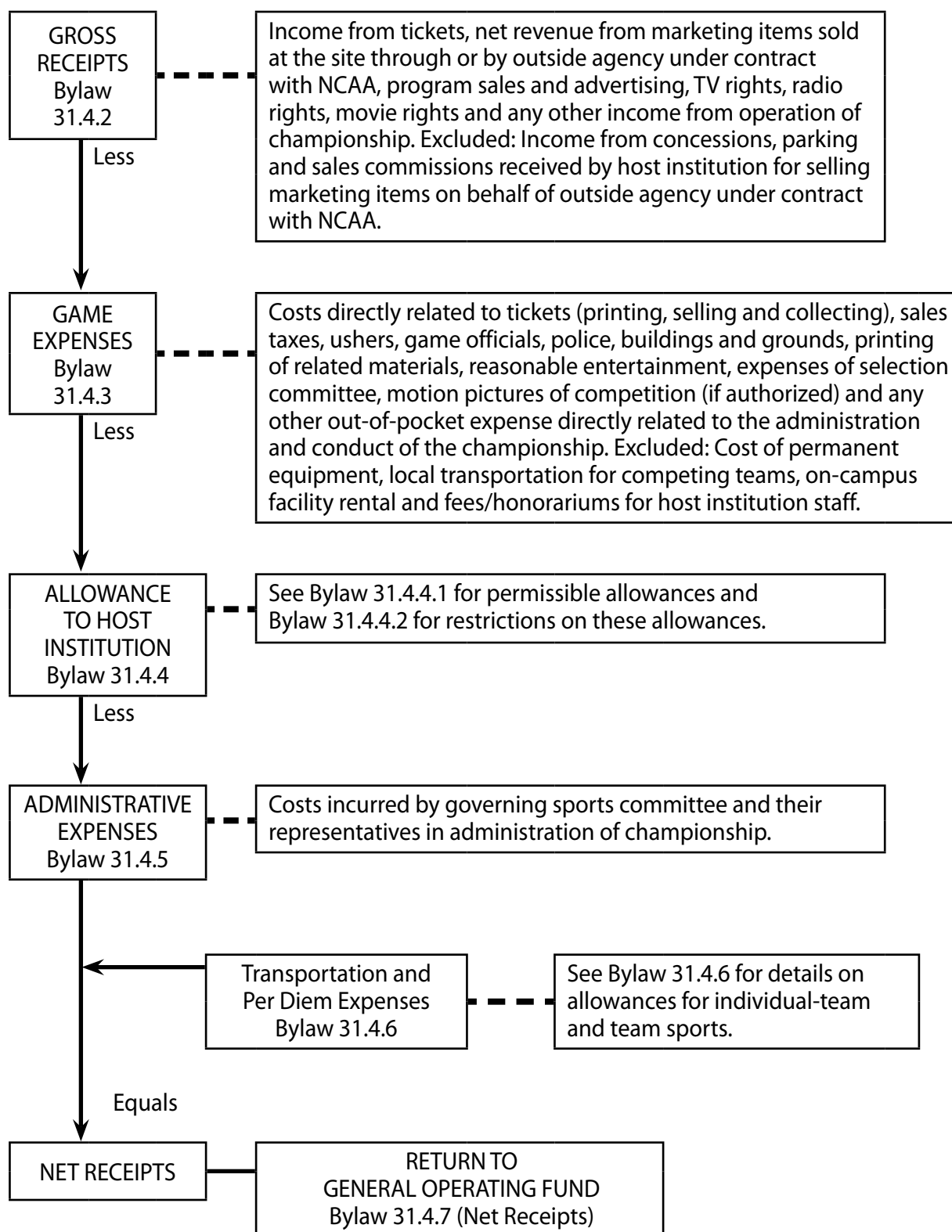
**31.8.2.1 Employee Compensation and Benefits.** The Executive Committee shall review staff compensation and benefits at least once each year.

**31.8.2.2 Pension Trust Plan.** The policies for the retirement benefits of the staff are set forth in the NCAA Pension Trust Plan, which was adopted and may be revised by the Executive Committee.

### **31.9 NATIONAL STATISTICS PROGRAM**

A national statistics program shall be maintained for active member institutions in baseball, men's and women's basketball, field hockey, football, men's and women's ice hockey, men's and women's lacrosse, men's and women's soccer, softball, and men's and women's volleyball. The Management Council shall oversee the statistics program and approve its policies and procedures. The national statistics program policies and procedures shall be published on the NCAA website. *(Revised: 4/14/06, 10/20/08, 5/10/11)*

**FIGURE 31-1**  
**Summary of the Elements in the Administration**  
**of Finances for NCAA Championships**





# Enforcement Policies and Procedures

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## 32.1 COMMITTEE ON INFRACTIONS—SPECIAL OPERATING RULES

**32.1.1 Confidentiality.** The Committee on Infractions, the Infractions Appeals Committee and the enforcement staff shall treat all cases before them as confidential, until they have been announced in accordance with the prescribed procedures. In addition, an institution and any individual subject to NCAA rules involved in a case shall treat that case under inquiry by the enforcement staff, under consideration by the Committee on Infractions and, if appealed, under consideration by the Infractions Appeals Committee, as confidential until the decisions in such cases have been announced in accordance with prescribed procedures. *(Revised: 1/11/94, 1/12/04, 1/11/08, 4/11/11)*

**32.1.2 Public Announcements.** The enforcement staff shall not confirm or deny the existence of an infractions case before complete resolution of the case through normal NCAA enforcement and hearing procedures. However, if the involved institution or any person involved in the case (e.g., involved individual, representative of the institution's athletics interests, interviewee) makes information concerning a case public, the involved institution, enforcement staff and the involved person may confirm, correct or deny the information made public. *(Revised: 1/12/04, 1/11/08)*

**32.1.3 Conflict of Interest.** Any member of the Committee on Infractions or the Infractions Appeals Committee shall neither appear at the hearing nor participate on the committee when the member is directly connected with an institution under investigation or has a personal, professional or institutional affiliation that reasonably would result in the appearance of prejudice. It is the responsibility of the committee member or members of the Infractions Appeals Committee per Bylaw 19.2 to remove himself or herself if a conflict exists. Objections to the participation of a committee member or the Infractions Appeals Committee member per Bylaw 19.2 should be raised as soon as recognized, but will not be considered unless raised at least one week in advance of the affected hearing. *(Revised: 1/16/93, 1/11/94, 1/12/04)*

**32.1.4 Cooperative Principle.** The cooperative principle imposes an affirmative obligation on each institution to assist the enforcement staff in developing full information to determine whether a possible violation of NCAA legislation has occurred and the details thereof. An important element of the cooperative principle requires that all individuals who are subject to NCAA rules protect the integrity of the investigation. A failure to do so may be a violation of the principles of ethical conduct. The enforcement staff will usually share information with the institution during an investigation; however, it is understood that the staff, to protect the integrity of the investigation, may not in all instances be able to share information with the institution. *(Adopted: 1/8/05)*

**32.1.5 Definition of Involved Individual.** Involved individuals are former or current student-athletes and former or current institutional staff members who have received notice of significant involvement in alleged violations through the notice of allegations or summary disposition process. *(Adopted: 1/12/04, Revised: 4/17/07)*

## 32.2 PRELIMINARY REVIEW OF INFORMATION

**32.2.1 Enforcement Staff to Receive Complaints and Conduct Investigations.** It is the responsibility of the enforcement staff to conduct investigations relative to a member institution's failure to comply with NCAA legislation or to meet the conditions and obligations of membership. Information that an institution failed to meet these obligations shall be provided to the enforcement staff and, if received by the Committee on Infractions or NCAA president, will be channeled to the enforcement staff. *(Revised: 1/12/04)*

**32.2.1.1 Staff Initiation of Investigation.** The enforcement staff may initiate an investigation on its own motion when it receives information that an institution is, has been, or may have been in violation of NCAA legislation. *(Revised: 1/12/04, 4/11/06)*



**32.2.1.2 Self-Disclosure by an Institution.** Self-disclosure shall be considered in establishing penalties, and, if an institution uncovers a violation before its being reported to the NCAA and/or its conference, such disclosure shall be considered as a mitigating factor in determining the penalty. *(Revised: 10/12/94)*

**32.2.2 Investigative Guidelines.** The Committee on Infractions shall provide general guidance to the enforcement staff through approved and established investigative and procedural guidelines.

**32.2.2.1 Initial Enforcement Staff Responsibilities.** The enforcement staff is responsible for evaluating information reported to the NCAA staff to determine whether the possible violation should be handled by correspondence with the involved institution or its conference, or whether the enforcement staff should conduct its own in-person inquiries.

**32.2.2.1.1 Basic Information Gathering.** The enforcement staff has a responsibility to gather basic information regarding possible violations and, in doing so, may contact individuals to solicit information. If information indicating a potential NCAA violation believed to be reliable is developed, the procedures provided in Bylaw 32.5 (Notice of Inquiry) are undertaken. *(Revised: 1/12/04)*

**32.2.2.1.2 Identification of Major/Secondary Violation.** The enforcement staff shall identify information developed by it or self-reported by the member institution involving alleged major or secondary violations (as defined in Bylaw 19.02.2). The staff shall have the discretion to submit information to the Committee on Infractions, or a designated member of the Committee on Infractions, for an initial determination of how that information should be processed. *(Adopted: 1/12/04, Revised: 4/11/06)*

**32.2.2.1.3 Matters Handled by Correspondence.** Matters that clearly are secondary in nature should be handled promptly by correspondence with the involved institution. *(Revised: 1/12/04)*

## **32.3 INVESTIGATIVE PROCEDURES**

**32.3.1 Conformance with Procedures.** Investigations by the enforcement staff shall be conducted in accordance with the operating policies, procedures and investigative guidelines established by the Committee on Infractions, Management Council and membership in accordance with Bylaw 19.

**32.3.1.1 Consultation with Committee.** If questions arise concerning investigative procedures during the course of an investigation, the chair (or the full committee, if necessary) may be consulted by the enforcement staff. *(Adopted: 1/12/04)*

**32.3.2 Timely Process.** The enforcement staff shall make reasonable efforts to process infractions matters in a timely manner. *(Adopted: 1/12/04)*

**32.3.3 Conflict of Interest.** Any enforcement staff member who has or had a personal relationship or institutional affiliation that reasonably would result in the appearance of prejudice should refrain from participating in any manner in the processing of the involved institution's or individual's infractions case. *(Adopted: 1/12/04)*

**32.3.4 Interviews with Member Institution.** The athletics director or other appropriate official of an institution shall be contacted by the enforcement staff in order to schedule interviews on the institution's campus with enrolled student-athletes, or other institutional staff members with athletically related responsibilities or oversight, or coaching staff members who are involved in possible violations at the institution. *(Revised: 1/12/04)*

**32.3.4.1 Presence of Institutional Representative During Interview.** If an interview with an enrolled student-athlete or athletics department staff member is conducted on the campus of a member institution, an institutional representative(s) (as designated by the institution) will be permitted to be present during the interview, provided the subject matter to be discussed in the interview relates directly to the individual's institution or could affect the individual's eligibility or employment at the institution. If the investigator wishes to discuss information with a student-athlete or staff member that is related solely to institutions other than the one in which the student-athlete is enrolled or staff member is employed and would not reasonably affect the student's eligibility or the staff member's employment, the institutional representative shall not be present during that portion of the interview. In such a situation (after the institutional representative has departed), any information inadvertently reported by the student-athlete or the staff member that is related to his or her own institution shall not be used against the student-athlete, staff member or that institution. *(Revised: 1/12/04)*

**32.3.4.2 Conflict with Academic Schedule.** If possible, interviews should be conducted without disrupting the normally scheduled academic activities of the student-athlete. *(Revised: 1/12/04)*

**32.3.5 Proper Identification of NCAA Staff Member.** In no case shall an enforcement staff member misrepresent the staff member's identity or title.

**32.3.6 Representation by Legal Counsel.** When an enforcement staff member conducts an interview that may develop information detrimental to the interests of the individual being questioned, that individual may be represented by personal legal counsel throughout the interview.

**32.3.7 Notice Requirements.**

**32.3.7.1 Disclosure of Purpose of Interview.** When an enforcement staff representative requests information that could be detrimental to the interests of the student-athlete or institutional employee being interviewed, that individual shall be advised that the purpose of the interview is to determine whether the individual has

knowledge of or has been involved directly or indirectly in any violation of NCAA legislation. (*Revised: 1/12/04, 4/11/06*)

**32.3.7.2 Responsibility to Cooperate.** At the beginning of an interview arranged or initiated by the enforcement staff, a current or former student-athlete or institutional employee shall be advised that refusing to furnish information or providing false or misleading information to the NCAA, conference or institution may result in an allegation that the individual has violated NCAA ethical conduct legislation (see Bylaw 10.1). (*Adopted: 4/11/06*)

### **32.3.8 Limited Immunity.**

**32.3.8.1 Athletics Personnel.** At the request of the enforcement staff, the Committee on Infractions may grant limited immunity to an institutional employee with responsibilities related to athletics based on information that the employee reports when such an employee otherwise would be subject to disciplinary action as described in Bylaws 19.5.1-(c) and 19.5.2.2-(k). Such immunity shall not apply to the employee's involvement in violations of NCAA legislation not reported or to future involvement in violations of NCAA legislation by the employee or to action taken by an institution. In any case, such immunity shall not be granted unless the employee provides information not otherwise available to the enforcement staff. (*Revised: 10/12/94, 1/12/04, 4/11/11*)

**32.3.8.2 Student-Athlete.** At the request of the enforcement staff, the Committee on Infractions may grant limited immunity to a student-athlete or prospective student-athlete when such an individual otherwise might be declared ineligible for intercollegiate competition based on information reported to the enforcement staff by the student-athlete or a prospective student-athlete or a third party associated with the student-athlete or prospective student-athlete. Such immunity shall not apply to the individual's involvement in violations of NCAA legislation not reported or to future involvement in violations of NCAA legislation by the individual or to any action taken by an institution. In any case, such immunity shall not be granted unless the relevant information would not otherwise be available to the enforcement staff. (*Adopted: 4/11/11*)

### **32.3.9 Interview Record.** (*Revised: 8/2/91, 10/12/94*)

**32.3.9.1 Recordings.** It is preferable that an interview conducted by the enforcement staff be recorded through the use of a mechanical device. If an interviewee objects to being recorded, however, or the enforcement staff believes the use of a recording device would have an inhibiting effect on the interviewee, a summary of the information reported shall be prepared per Bylaw 32.3.9.2. (*Adopted: 1/8/01, Revised: 4/11/06, 7/24/07*)

**32.3.9.1.1 Access to Recordings and Transcripts.** Both the enforcement staff and the interviewee may record the interview or the interviewee may receive a copy of the recording and if prepared by the enforcement staff, the interview transcript, subject to the confidentiality provisions of Bylaws 32.3.9.1.4 and 32.3.9.2.1. Copies of recorded interview summaries and any report prepared by the enforcement staff are confidential and shall only be provided to interviewees (and their institutions) except as set forth in Bylaws 32.3.9.2 and 32.6.4. (*Adopted: 1/8/01, Revised: 1/12/04, 4/11/06, 7/24/07, 7/21/08*)

**32.3.9.1.2 Institutional Recording of an Interview—Access to Recordings and Transcripts.** Interviews conducted in accordance with Bylaw 32.3.4.1 or jointly with the enforcement staff at any location, may be recorded by the institution under inquiry. If the institution is unable or chooses not to record such an interview, the institution may receive a copy of the enforcement staff's recording of the interview and/or a copy of the interview transcript if prepared by the enforcement staff. Institutional recordings of NCAA interviews under any other circumstances must be approved by the Committee on Infractions. (*Adopted: 10/12/94, Revised: 7/24/07*)

**32.3.9.1.2.1 Access to Recordings and Transcripts by Conference.** For interviews conducted in accordance with Bylaw 32.3.4.1 or jointly by the institution and enforcement staff, and on consent of the institution, a conference may receive a copy of the interview recording and/or transcript, if prepared by the enforcement staff or institution. (*Adopted: 1/14/08*)

**32.3.9.1.3 Use of Court Reporters.** Institutional representatives or individuals being interviewed may use a court reporter to transcribe and interview subject to the following conditions. The institution or individual shall: (*Adopted: 1/6/96, Revised: 1/12/04*)

- (a) Pay the court reporter's fees;
- (b) Provide a copy of the transcript to the enforcement staff at no charge; and
- (c) Agree that the confidentiality standards of Bylaw 32.3.9.1.4 apply. An institutional representative or individual who chooses to use a court reporter shall submit a written notice of agreement with the required conditions to the enforcement staff before the interview. If the enforcement staff chooses to use a court reporter, the NCAA will pay all costs of the reporter. A copy of the transcript prepared by the court reporter for the enforcement staff shall be made available to the institution and the involved individuals. (*Adopted: 1/6/96, Revised: 5/27/08*)

**32.3.9.1.4 Statement of Confidentiality.** Individuals and institutional representatives shall be required to agree not to release recording or interview transcripts to a third party. A statement of confidentiality shall be signed or recorded before an interview. Failure to enter into such an agreement would pre-

clude the individual and institutional representative from receiving or transcribing the interview. *(Revised: 1/12/04, 4/11/06)*

**32.3.9.2 Non-Recorded Interviews.** When an interview is not recorded or if the recording device malfunctions, the enforcement staff shall prepare a written summary of the information and attempt to obtain a signed affirmation of its accuracy from the interviewee. The interviewee shall be permitted to make additions or corrections to the memorandum before affirming its accuracy. In order to obtain the interviewee's signature, the enforcement staff may provide a copy of the unsigned summary to the interviewee and his or her counsel. After the summary is signed, the interviewee and his or her counsel may receive a signed copy. Testimony as to the substance of an unrecorded interview for which a signed affirmation was not obtained may nevertheless be considered by the Committee on Infractions to the extent the committee determines the testimony to be reliable. *(Adopted: 1/8/01, Revised: 1/12/04, 8/3/06, 7/21/08)*

**32.3.9.2.1 Confidentiality of Non-Recorded Interview Documents.** Copies of non-recorded interview summaries and any report prepared by the enforcement staff are confidential and shall not be provided to individuals (or their institutions) who may be involved in reporting information during the proceedings of an infractions case except as set forth in Bylaws 32.3.9.2, 32.3.10 and 32.6.4. *(Adopted: 1/8/01, Revised: 7/21/08)*

**32.3.9.3 Handwritten Notes.** It shall be permissible for all individuals involved in interviews conducted by the enforcement staff to take handwritten notes of the proceedings. *(Adopted: 1/12/04)*

**32.3.10 Enforcement Staff's Responsibility to Maintain Case Information.** *(Adopted: 1/8/01, Revised: 1/12/04, 4/11/06, 1/11/08; for all cases heard by the Committee on Infractions unless not feasible due to security, format or technology issues)*

**32.3.10.1 Case File.** The enforcement staff is responsible for maintaining evidentiary materials involved with an infractions case, including copies of recorded interviews, interview summaries and/or interview transcripts and other evidentiary information. Such materials shall be retained on file at the national office. *(Adopted: 1/11/08; for all cases heard by the Committee on Infractions unless not feasible due to security, format or technology issues)*

**32.3.10.2 Custodial File.** The enforcement staff shall make available copies of recorded interviews, interview summaries and/or interview transcripts and other evidentiary information pertinent to an infractions case. The institution and involved individuals may review such information in the national office or through a secure web-based custodial site in accordance with the provisions of Bylaw 32.6.4. *(Adopted: 1/11/08; for all cases heard by the Committee on Infractions unless not feasible due to security, format or technology issues)*

**32.3.11 Failure to Cooperate.** In the event that a representative of a member institution refuses to submit relevant information to the committee or the enforcement staff on request, notice of inquiry may be filed with the institution alleging a violation of the cooperative principles of the NCAA bylaws and enforcement procedures. Institutional representatives and the involved individual may be requested to appear before the committee at the time the allegation is considered. *(Revised: 1/12/04)*

**32.3.12 Meeting With President or Chancellor.** The enforcement staff may meet personally with the president or chancellor or a designated representative of the involved institution to discuss the allegations investigated and information developed by the NCAA in a case that has been terminated. *(Revised: 1/12/04, 10/3/05)*

## **32.4 PROCESSING INFORMATION FOR SECONDARY VIOLATIONS**

**32.4.1 Review of Institution or Conference Actions or Penalties In Secondary Cases.** If the Committee on Infractions or the enforcement staff, after review of institutional or conference action taken in connection with a rules infraction in secondary cases, concludes that the corrective or punitive measures taken by the institution or conference are sufficient, the committee or the enforcement staff, may accept the self-imposed measures and take no further action. Failure to fully implement the self-imposed measures may subject the institution to further disciplinary action by the NCAA. *(Revised: 10/12/94, 1/12/04)*

**32.4.1.1 Insufficient Actions.** If the institutional or conference actions appear to be insufficient, the enforcement staff shall notify the institution of additional penalties in a secondary case. *(Revised: 10/12/94, 1/12/04)*

**32.4.2 Action Taken by Enforcement Staff (Not Institution or Conference).** If the enforcement staff, after reviewing the information that has been developed and after consulting with the member institution involved, determines that a secondary violation has occurred, the enforcement staff may determine that no penalty is warranted or impose an appropriate penalty (see Bylaw 19.6.1). *(Revised: 1/12/04)*

**32.4.3 Appeal of Secondary Cases.** An institution may appeal penalties imposed by the enforcement staff for a secondary violation by submitting a written notice of appeal to the Committee on Infractions. The committee must receive the written notice of appeal and any supporting information within 30 days of the date the institution receives the enforcement staff's decision. An institution may request the opportunity to appear in person or through participation in a telephone conference call. If no such request is made, or if the request is denied, the committee will review the institution's appeal on the basis of the written record. *(Adopted: 6/3/05)*

## 32.5 NOTICE OF INQUIRY

**32.5.1 Notice to Institution.** If the enforcement staff has developed reasonably reliable information indicating that an institution has been in violation of the NCAA legislation that requires further investigation, the enforcement staff shall provide a notice of inquiry in writing to the president or chancellor unless the institution and enforcement staff have agreed to pursue the summary disposition process as set forth in Bylaw 32.7. The notice of inquiry shall advise the president or chancellor that the enforcement staff will engage in an investigation, that the investigation will be conducted under the direction of the vice president for enforcement and that members of the enforcement staff, if requested, shall meet in person with the president or chancellor to discuss the nature and details of the investigation and the type of charges that appear to be involved. The notice of inquiry shall state that if the investigation develops significant information of a possible major violation, a notice of allegations will be produced in accordance with the provisions of Bylaw 32.6, or, in the alternative, the institution will be notified that the matter has been concluded. To the extent possible, the notice of inquiry also shall contain the following information: (*Revised: 1/12/04, 10/3/05, 4/17/07*)

- (a) The involved sport;
- (b) The approximate time period during which the alleged violations occurred;
- (c) The identity of the involved individuals;
- (d) An approximate time frame for the investigation;
- (e) A statement indicating that the institution and involved individuals may be represented by legal counsel at all stages of the proceedings;
- (f) A statement requesting that the individuals associated with the institution not discuss the case before interviews by the enforcement staff and institution except for reasonable campus communications not intended to impede the investigation of the allegations and except for consultation with legal counsel;
- (g) A statement indicating that other facts may be developed during the course of the investigation that may relate to additional violations; and
- (h) A statement regarding the obligation of the institution to cooperate in the case.

**32.5.1.1 Status Notification within Six Months.** The enforcement staff shall inform the involved institution of the general status of the inquiry within six months of the date after the president or chancellor receives the notice of inquiry from the enforcement staff. (*Revised: 4/25/03, 10/3/05*)

**32.5.1.2 Review after One Year.** If the inquiry has not been processed to conclusion within one year of the date the president or chancellor receives the notice of inquiry from the enforcement staff, the staff shall review the status of the case with the Committee on Infractions. The committee shall determine whether further investigation is warranted, and its decision shall be forwarded to the involved institution in writing. If the investigation is continued, additional status reports shall be provided to the institution in writing at least every six months thereafter, until the matter is concluded. (*Revised: 1/12/04, 10/3/05*)

**32.5.2 Termination of Investigation.** The enforcement staff shall terminate the investigation related to any notice of inquiry in which information is developed that does not appear to be of sufficient substance or reliability to warrant a notice of allegations, it being understood that the committee shall review each such decision. (*Revised: 1/12/04*)

## 32.6 NOTICE OF ALLEGATIONS

**32.6.1 Notice to President or Chancellor.** When the enforcement staff determines that there is sufficient information to warrant, it shall issue a cover letter and notice of allegations to the president or chancellor of the institution involved (with copies to the faculty athletics representative and the athletics director and to the executive officer of the conference of which the institution is a member). (*Revised: 1/12/04, 10/3/05*)

**32.6.1.1 Contents of the Notice of Allegations Cover Letter.** The cover letter accompanying each notice of allegations shall: (*Adopted: 1/12/04*)

- (a) Inform the president or chancellor of the matter under inquiry and request the cooperation of the institution in obtaining all the pertinent facts and provide specific information on how to investigate the allegation; (*Revised: 10/3/05*)
- (b) Request the president or chancellor to respond to the allegations and to provide all relevant information that the institution has or may reasonably obtain, including information uncovered related to new violations. The responsibility to provide information continues until the case has been concluded; (*Revised: 10/3/05*)
- (c) Request the president or chancellor and other institutional staff to appear before the committee at a time and place determined by the committee; (*Revised: 10/3/05*)
- (d) Inform the president or chancellor that if the institution fails to appear after having been requested to do so, it may not appeal the committee's findings of fact and violations, or the resultant penalty; (*Revised: 10/3/05*)

- (e) Direct the institution to provide any involved individual, the opportunity to submit in writing any information the individual desires that is relevant to the allegation in question; and *(Revised: 5/27/08)*
- (f) Inform the president or chancellor that the enforcement staff's primary investigator in the case will be available to discuss the development of its response and assist in locating various individuals who have, or may have, important information regarding the allegations. *(Revised: 10/3/05)*

**32.6.1.1.1 Enforcement Staff Basis for Allegation.** The enforcement staff shall allege a violation when it believes there is sufficient information to conclude that the Committee on Infractions could make a finding. *(Revised: 1/12/04)*

**32.6.1.2 Contents of Notice of Allegations.** The notice shall list the NCAA legislation alleged to have been violated, as well as the details of each allegation. *(Revised: 1/12/04)*

**32.6.2 Notice to Involved Individuals.** The enforcement staff shall notify involved individuals (as defined in Bylaw 32.1.5) of the allegations in a notice of allegations in which they are named. A copy of the notification shall also be forwarded to the president or chancellor of the current institution of the involved individual. All involved individuals shall submit responses to the Committee on Infractions, and the institution under inquiry shall provide a copy of pertinent portions of its response to each involved individual in the case. Involved individuals who have submitted a response must also share their response with the involved institutions or other involved individuals as necessary. Failure to submit a response may be viewed by the committee as admission that the alleged violations occurred. The enforcement staff shall notify those involved individuals named in the notice of allegations who may be subject to the show-cause requirements as outlined in Bylaw 19.5.2.2 if violations are found in which they are named. *(Revised: 1/12/04, 10/3/05, 4/11/06, 7/24/07, 4/16/09)*

**32.6.3 Statute of Limitations.** Allegations included in a notice of allegations shall be limited to possible violations occurring not earlier than four years before the date the notice of inquiry is forwarded to the institution or the date the institution notifies (or, if earlier, should have notified) the enforcement staff of its inquiries into the matter. However, the following shall not be subject to the four-year limitation: *(Revised: 10/12/94, 1/12/04)*

- (a) Allegations involving violations affecting the eligibility of a current student-athlete;
- (b) Allegations in a case in which information is developed to indicate a pattern of willful violations on the part of the institution or individual involved, which began before but continued into the four-year period; and
- (c) Allegations that indicate a blatant disregard for the Association's fundamental recruiting, extra-benefit, academic or ethical-conduct regulations or that involve an effort to conceal the occurrence of the violation. In such cases, the enforcement staff shall have a one-year period after the date information concerning the matter becomes available to the NCAA to investigate and submit to the institution a notice of allegations concerning the matter.

**32.6.4 Access to Information in Custodial File.** The institution and involved individuals shall have reasonable access to all pertinent evidentiary materials as described in Bylaw 32.3.10.2. Such information shall be made available within 30 days from the date the notice of allegations is sent by the enforcement staff to the institution and involved individuals. *(Adopted: 1/16/93, Revised: 10/12/94, 1/12/04, 1/11/08; for all cases heard by the Committee on Infractions unless not feasible due to security, format or technology issues)*

**32.6.4.1 Additions to Custodial File.** Additions made to a custodial file more than 30 days after the notice of allegations is sent to the institution and involved individuals shall be limited to exculpatory information and/or new information that could not be reasonably ascertained before the date the notice of allegations was sent. The enforcement staff shall notify the institution and involved individuals of the availability of the additional information. *(Adopted: 1/11/08; for all cases heard by the Committee on Infractions unless not feasible due to security, format or technology issues)*

**32.6.5 Deadline for Responses.** Any response to the notice of allegations shall be on file with Committee on Infractions, the institution, all involved individuals and the enforcement staff, not later than 90 days from the date of the notice of allegations, unless the committee grants an extension. The enforcement staff may establish a deadline for the submission of responses to any reasonable time within the 90-day period, provided the institution and all involved individuals consent to the expedited deadline. An institution or involved individual may not submit additional documentary evidence (in addition to its initial response) without prior authorization from the committee (see Bylaw 32.6.8 for additional instructions regarding information submitted to the Committee on Infractions). *(Revised: 1/16/93, 1/12/04, 4/11/06, 1/11/08)*

**32.6.6 Prehearing Conference.** Within 30 days of an institution's submission of its written response to a notice of allegations, in a case involving an alleged major violation, the enforcement staff shall consult with institutional representatives and others involved who will attend the hearing in order to clarify the issues to be discussed in the case during the hearing, make suggestions regarding additional investigation or interviews that should be conducted by the institution to supplement its response and identify allegations that the staff intends to withdraw. The enforcement staff shall conduct independent prehearings with the institution and/or any involved individuals, unless mutually agreed by all parties to do otherwise. *(Revised: 1/16/93, 10/12/94, 1/12/04)*

**32.6.6.1 Extension.** The committee may approve additional time for representatives of the involved individuals and institution and the enforcement staff to conduct such prehearing conferences. *(Adopted: 1/16/93)*

**32.6.7 Enforcement Staff Case Summary.** The enforcement staff shall prepare a summary of the case that indicates the status of each allegation and identifies the individuals on whom and the information on which the staff will rely in presenting the case. Within 14 days before the hearing, the case summary shall be provided to the members of the Committee on Infractions and to representatives of the institution. Involved individuals before the hearing will be provided those portions of the summary in which they are identified as at risk. The committee may waive this 14-day period for good cause shown. (*Adopted: 10/12/94, Revised: 1/12/04*)

**32.6.8 Deadline for Submission of Written Materials.** Unless specifically approved by the Committee on Infractions for good cause shown, all written materials to be considered by the committee at the infractions hearing must be received by the committee, enforcement staff and any involved individuals attending the hearing not later than 10 days before the date of the hearing. Evidence may be submitted at the hearing, but is subject to the limitations set forth in Bylaw 32.8.7.4. (*Adopted: 1/14/02, Revised: 1/12/04*)

**32.6.9 Prehearing Procedural Issues.** The chair of the Committee on Infractions (or his or her designee) has the authority to resolve procedural matters that arise before an infractions hearing. (*Adopted: 1/11/08*)

## 32.7 SUMMARY DISPOSITION AND EXPEDITED HEARING

**32.7.1 Summary Disposition Election.** In major infractions cases involving institutions that are not subject to the provisions of Bylaw 19.5.2.3, the member institution, involved individuals, if participating, and the enforcement staff may elect to process the case through the summary disposition procedures specified below. The enforcement staff, involved individuals, if participating, and the institution must agree to use the summary disposition process. (*Adopted: 1/16/93, Revised: 7/24/07*)

**32.7.1.1 Thorough Investigation.** The Committee on Infractions shall determine that a thorough investigation of possible violations of NCAA legislation has been conducted. The investigation may be conducted by the NCAA enforcement staff and/or the institution, but the enforcement staff must agree that a complete and thorough investigation has been conducted and that the institution fully cooperated in the process. (*Adopted: 1/16/93*)

**32.7.1.2 Written Report.** The institution, involved individuals and the NCAA enforcement staff shall submit a written report setting forth: (*Adopted: 1/16/93*)

- (a) The proposed findings of fact;
- (b) A summary of information on which the findings are based;
- (c) A stipulation that the proposed findings are substantially correct;
- (d) The findings that are violations of NCAA legislation; and
- (e) A statement of unresolved issues that are not considered substantial enough to affect the outcome of the case.

**32.7.1.3 Proposed Penalties.** The institution and involved individuals shall submit proposed penalties within the guidelines set forth in the penalty structure for major violations specified in Bylaw 19.5.2. The institution and involved individuals also may submit a statement regarding mitigating factors. (*Adopted: 1/16/93*)

**32.7.1.4 Committee on Infractions Review.** The Committee on Infractions shall consider the case during its next scheduled meeting. (*Adopted: 1/16/93*)

**32.7.1.4.1 Approval of Findings and Penalties.** If the agreed-on findings and proposed penalties are approved, the committee shall prepare a written report, forward it to the institution and involved individuals, and publicly announce the resolution of the case under the provisions of Bylaw 32.9. (*Adopted: 1/16/93*)

**32.7.1.4.2 Findings Not Approved.** If the committee does not approve the findings, the hearing process set forth in Bylaws 32.8 and 32.9 shall be followed. (*Adopted: 1/16/93, Revised: 7/24/07*)

**32.7.1.4.3 Penalties Not Approved.** If the committee accepts the agreed-on findings but proposes penalties in addition to those set forth in the summary disposition report, the institution and/or involved individuals may request an expedited hearing on penalties before the Committee on Infractions. The committee shall only consider information relevant to the imposition of penalties during the expedited hearing. At the conclusion of the expedited hearing, the committee shall prepare a written report and provide notification of the committee's actions consistent with Bylaw 32.9. The institution and/or any involved individuals may appeal the additional penalties to the Infractions Appeals Committee in accordance with Bylaws 32.10 and 32.11. (*Adopted: 1/16/93, Revised: 7/24/07, 7/21/08*)

**32.7.1.4.4 Additional Information or Clarification.** The committee may contact jointly the institution, enforcement staff and involved individuals for additional information or clarification before accepting or rejection of the proposed findings. (*Revised: 6/11/07*)

**32.7.1.4.5 Authority to Amend Findings.** The committee has the authority to make editorial or nonsubstantive changes in the proposed findings as long as these changes do not affect the substance of the findings.

## 32.8 COMMITTEE ON INFRACTIONS HEARINGS

**32.8.1 Committee Authority.** The Committee on Infractions shall hold a hearing to determine the existence of the alleged violation of NCAA regulations and to impose any appropriate penalties. (*Adopted: 1/12/04*)

**32.8.2 Determination of Meeting Date.** The Committee on Infractions shall set the dates and times for all hearings before the committee. The committee shall notify all relevant parties of the hearing date and site. (*Adopted: 1/12/04*)

**32.8.3 Limitations on Presentation of Staff Evidence.** In major cases requiring an institutional hearing before the committee or when processing a case through means of a summary disposition, specific information and evidence developed by the staff related to alleged violations of NCAA legislation shall not be presented to the committee before the institution's appearance, except as provided in these procedures. (*Adopted: 1/12/04*)

**32.8.4 Obligation to Provide Full Information.** At any appearance before the Committee on Infractions, the involved member institution and the enforcement staff, to the extent reasonably possible, have the obligation to ensure that the committee has benefit of full information concerning each allegation, whether such information corroborates or refutes an allegation. (*Adopted: 1/12/04*)

**32.8.5 Notification of Hearing Procedures.** An institution and involved individuals shall be advised in writing before an appearance before the committee of the general procedures to be followed during the hearing. Such notification shall contain a specific reference to Bylaw 32.8 and shall indicate that, as a general rule, the discussion during the hearing will follow the numbering of the allegations in the notice of allegations. (*Adopted: 1/12/04*)

### 32.8.6 Appearance of Individuals at Hearings.

**32.8.6.1 Request for Specific Individuals.** Institutional officials, staff members or enrolled student-athletes who are specifically requested to appear before the committee at an institutional hearing are expected to appear in person and may be accompanied by personal legal counsel. The committee also may request that former institutional staff members appear at a hearing. Such individuals also are expected to appear in person and may be accompanied by personal legal counsel. Failure to attend may result in a violation of this bylaw in a show-cause action by the committee.

**32.8.6.2 Attendance at Hearings.** At the time the institution appears before the committee, its representatives should include the institution's president or chancellor, the head coach of the sport in question, the institution's legal counsel, enrolled student-athletes whose eligibility could be affected by information presented at the hearing and any other representatives whose attendance has been requested by the committee. Additional individuals may be included among the institution party only if specifically approved to be present by the committee. An individual who appears before the committee may appear with personal legal counsel. (*Revised: 1/12/04, 10/3/05*)

#### 32.8.6.3 Exclusion of Individuals from Hearings.

**32.8.6.3.1 Exclusions Requested by the Institution.** At the request of the institution, the committee may exclude an individual from certain portions of the hearing when matters to be discussed are not those in which the individual is at risk. When an individual is excluded from the hearing room for a period of time, it shall be with the understanding that matters discussed in the hearing during that time will not relate to that individual. (*Revised: 1/12/04*)

**32.8.6.3.2 Limited Attendance of Student-Athletes.** Any student-athlete (and personal legal counsel) included among the institution's representatives may attend the hearing only during the discussion of the allegations in which the student-athlete is involved.

**32.8.6.4 Representation of Member Conference.** The executive officer or other representative of a conference's executive office may attend an institutional hearing involving a conference member. (*Revised: 4/25/03*)

**32.8.6.5 Prohibited Attendee.** A member of the Committee on Infractions or the Infractions Appeals Committee who is prohibited under the provisions of Bylaw 32.1.3 from participating in any NCAA proceedings may not attend a Committee on Infractions hearing involving the committee member's institution unless specifically requested by the committee to be present as a witness.

**32.8.6.6 Designation of Presentation Coordinators.** The chair shall request each institution appearing before the committee to select one person to coordinate institutional responses during the hearing. In addition, one individual from the enforcement staff will be responsible for coordinating the presentation of the enforcement staff.

**32.8.7 Hearing Procedures.** The exact procedure to be followed in the conduct of the hearing will be determined by the Committee on Infractions.

**32.8.7.1 Opening and Closing Statements.** At the outset of the hearing, a representative of the institution shall make an opening statement, followed by an opening statement from any involved individual and by a representative of the enforcement staff. The contents of such a statement should not relate to the substance of the specific items contained in the notice of allegations. Statements concerning the nature or theory of the case are encouraged. An institutional representative and involved individuals also may make a closing statement at the conclusion of the hearing, followed by a closing statement by a representative of the enforcement staff. (*Revised: 1/12/04*)



**32.8.7.2 Staff Presentation.** During the hearing, the enforcement staff first shall present the information that its investigation has developed.

**32.8.7.3 Institutional or Involved Individuals Presentation.** The member institution and involved individual then will present their explanation of the alleged violations and any other arguments or information deemed appropriate in the committee's consideration of the case. *(Revised: 1/12/04)*

**32.8.7.4 Type of Information.** Any oral or documentary information may be received, but the committee may exclude information that it determines to be irrelevant, immaterial or unduly repetitious.

**32.8.7.4.1 Information from Confidential Sources.** In presenting information and evidence for consideration by the committee during an infractions hearing, the enforcement staff shall present only information that can be attributed to individuals who are willing to be identified. Information obtained from individuals not wishing to be identified shall not be relied on by the committee in making findings of violations. Such confidential sources shall not be identified to either the Committee on Infractions or the institution.

**32.8.7.4.2 Information Concerning Mitigating Factors.** Institutional, conference and enforcement staff representatives and any involved individuals are encouraged to present all relevant information concerning mitigating or other factors that should be considered in arriving at appropriate penalties. *(Revised: 1/12/04)*

**32.8.7.5 Scope of Inquiry.** If an institution appears before the committee to discuss its response to the notice of allegations, the hearing shall be directed toward the allegations set forth in the notice of allegations but shall not preclude the committee from finding any violation resulting from information developed or discussed during the hearing. *(Revised: 1/12/04)*

**32.8.7.6 Committee Questioning.** The committee, at the discretion of any of its members, shall question representatives of the member institution or the enforcement staff, as well as any involved individuals or other persons appearing before it, in order to determine the facts of the case. Further, under the direction of the committee, questions and information may be exchanged between and among all parties participating in the hearing. *(Revised: 5/27/08)*

**32.8.7.7 Recording of Proceedings.** The proceedings of infractions hearings shall be recorded by a court reporter (unless otherwise agreed) and shall be recorded by the committee. No additional verbatim recording of these proceedings will be permitted by the Committee on Infractions. The Committee on Infractions shall maintain custody of the recordings and any transcriptions. In the event of an appeal, a transcript of the hearing proceedings shall be reproduced and submitted to the Infractions Appeals Committee and made available for review at the NCAA national office or at custodial sites reasonably near the institution and involved individuals. (Note: Involved individuals will receive only those portions of the hearing transcriptions in which they were in attendance at the hearing.) *(Revised: 1/16/93, 1/12/04, 4/11/06)*

**32.8.8 Posthearing Committee Deliberations.** After all presentations have been made and the hearing has been concluded, the committee shall excuse all others from the hearing, and the committee shall make its determinations of fact and violation in private.

**32.8.8.1 Request for New Information.** In arriving at its determinations, the committee may request additional information from any source, including the institution, the enforcement staff or an involved individual. In the event that new information is requested from the institution, the enforcement staff or an involved individual to assist the committee, all parties will be afforded an opportunity to respond at the time such information is provided to the committee. *(Revised: 7/24/07)*

**32.8.8.2 Basis of Findings.** The committee shall base its findings on information presented to it that it determines to be credible, persuasive and of a kind on which reasonably prudent persons rely in the conduct of serious affairs.

**32.8.8.3 Imposition of Penalty.** If the committee determines that there has been a violation, it shall impose an appropriate penalty (see Bylaw 19.5); or it may recommend to the Management Council suspension or termination of membership in an appropriate case. Failure to fully implement the adopted and/or imposed penalty may subject the institution, and/or an involved individual under a show-cause restriction, to further disciplinary action by the Committee on Infractions. *(Revised: 1/12/04, 4/11/11)*

**32.8.8.4 Voting Requirements.** The finding of a violation or the imposition of a penalty or recommended action shall be by majority vote of the members of the committee present and voting. If fewer than five members are present, any committee action requires a favorable vote of at least three committee members. *(Revised: 10/12/94, 7/21/08)*

## 32.9 NOTIFICATION OF COMMITTEE ACTION

**32.9.1 Infractions Report.** The Committee on Infractions, without prior public announcement, shall be obligated to submit promptly an infractions report to the president or chancellor of the institution (with copies to those individuals receiving copies of the notice of allegations) and to all involved individuals, as defined in Bylaw 32.1.5. The following procedures shall apply to the infractions report: *(Revised: 1/12/04, 10/3/05, 1/11/08)*

- (a) After an institutional hearing, the Committee on Infractions shall prepare and approve the final infractions report; *(Revised: 10/12/94)*
- (b) The infractions report(s) of the Committee on Infractions and the Infractions Appeals Committee shall contain a consolidated statement of all findings and penalties, corrective actions, requirements, and other conditions and obligations of membership imposed on an institution found in violation of NCAA legislation. The statement of such actions shall include, but not be limited to, the penalties imposed on the institution, eligibility rules to be applied, applicable executive regulations, the adjustment of individual and team standings in NCAA championship events, and the request for the return of any awards and net receipts received for participation in an NCAA championship; and *(Revised: 10/12/94, 1/12/04, 1/11/08)*
- (c) The committee's infractions report shall be sent to the president or chancellor of the involved institution and any involved individuals under the chair's signature or under the signature of a committee member selected to act for the chair. In addition, the committee will notify all involved individuals directly of the appeal opportunities outlined in Bylaws 32.10 and 32.11. The report shall be sent by overnight mail service, and the committee's administrator shall confirm receipt by the institution and involved individuals in order that the 15-day appeal period applicable to this report may be established. *(Revised: 10/12/94, 10/3/05, 1/11/08)*

**32.9.2 Release to Media.** Once the infractions report has been received by the institution and involved individuals, the report, with names of individuals deleted, shall be made available to the national wire services and other media outlets. *(Revised: 1/11/08)*

**32.9.2.1 Public Comment Before Release.** The committee's public announcement related to an infractions case shall be made available to the national wire services and other media outlets. In this regard, the involved institution and/or any involved individual shall be advised of the text of the announcement before its release and shall be requested not to comment publicly concerning the case before the time the NCAA public announcement is released. *(Revised: 1/12/04)*

**32.9.2.2 Public Announcement and Comment at Release.** The chair or a member of the Committee on Infractions shall make the committee's public announcement related to major infractions when the committee determines that an announcement is warranted in addition to distribution of the written report. *(Adopted: 1/16/93)*

**32.9.3 Report to Infractions Appeals Committee.** The Committee on Infractions shall forward a copy of the report, with names of individuals deleted, to the Infractions Appeals Committee at the time of the public announcement. *(Adopted: 1/11/08)*

## **32.10 APPEAL PROCEDURE**

**32.10.1 Notice of Intent to Appeal.** A notice of intent to appeal must be presented in writing to the Infractions Appeals Committee not later than 15 calendar days from the date of the public release of the Committee on Infractions' public infractions report. The notice of intent to appeal shall contain a statement identifying the date of the public release of the committee's report and a statement indicating whether the appealing party desires to submit its appeal in writing only or requests an in-person hearing before the Infractions Appeals Committee. *(Revised: 1/16/93, 1/10/95, 4/26/95, 1/12/04, 1/11/08)*

**32.10.1.1 Appeal by Institution.** The institution may appeal the Committee on Infractions' findings and penalties, corrective actions, requirements and/or other conditions and obligations of membership imposed for violations of NCAA legislation. An institution may not request an in-person hearing before the Infractions Appeals Committee unless the institution has made an in-person appearance before the Committee on Infractions. *(Adopted: 1/11/08)*

**32.10.1.2 Appeal by Involved Individual.** An involved individual may appeal the Committee on Infractions' findings and/or show-cause order imposed for violations of NCAA legislation in which he or she is named. An involved individual may not request an in-person hearing before the Infractions Appeals Committee unless the involved individual has made an in-person appearance before the Committee on Infractions. *(Adopted: 1/11/08)*

**32.10.2 Committee on Infractions' Response to an Appeal.** The Committee on Infractions shall submit a response to the Infractions Appeals Committee on each case that has been appealed. This response shall include: *(Revised: 1/16/93, 10/12/94, 1/14/02, 4/25/03)*

- (a) A statement of the origin of the case;
- (b) The violations of the NCAA constitution and bylaws, as determined by the committee; *(Revised: 10/12/94)*
- (c) Disciplinary or corrective actions taken by the institution or conference or any other agency involved in the particular incident;
- (d) A statement of the committee's penalties, corrective actions, requirements and other conditions and obligations of membership imposed for violations of NCAA legislation; *(Revised: 1/11/08)*
- (e) The issues raised in the appeal; *(Adopted: 1/14/02)*
- (f) The committee's response to the issues raised; and *(Adopted: 1/14/02)*
- (g) A transcript of any hearing conducted by the Committee on Infractions (submitted as an attachment to the response). *(Adopted: 10/12/94, Revised: 1/11/08)*

**32.10.3 Enforcement Staff Information.** The enforcement staff may provide written information to the Infractions Appeals Committee regarding perceived new information, errors, misstatements and omissions relating to the written appeal, Committee on Infractions' response and/or rebuttal documents, as long as any such written information is received by the Infractions Appeals Committee not later than 10 calendar days from notification from the Infractions Appeals Committee of whether rebuttal materials have been submitted as established under the policies and procedures of the Infractions Appeals Committee. (*Adopted: 1/11/08, Revised: 4/15/09*)

### **32.10.4 Basis for Granting an Appeal.**

**32.10.4.1 Penalties.** A penalty determined by the Committee on Infractions shall not be set aside on appeal except on a showing by the appeal party that the penalty is excessive such that it constitutes an abuse of discretion. (*Adopted: 1/8/08*)

**32.10.4.2 Findings.** Findings of violations made by the Committee on Infractions shall not be set aside on appeal, except on a showing by the appealing party that: (*Adopted: 1/11/08*)

- (a) A finding is clearly contrary to the evidence presented to the committee;
- (b) The facts found by the Committee on Infractions do not constitute a violation of the Association's rules; or
- (c) There was a procedural error and but for the error, the Committee on Infractions would not have made the finding of violation.

**32.10.5 New Evidence.** In making a determination pursuant to Bylaw 32.10.4, the Infractions Appeals Committee shall consider only the information contained in the record(s) of proceedings before the Committee on Infractions and the record on appeal. If an institution or individual seeks to introduce information during the appeals process that was not presented to the Committee on Infractions for its consideration, the Infractions Appeals Committee shall: (*Adopted: 1/6/96, Revised: 1/11/08*)

- (a) Determine whether the information is "new evidence" per Bylaw 19.02.3. If the Infractions Appeals Committee determines that the information meets the definition of "new evidence" per Bylaw 19.02.3, the Infractions Appeals Committee, after input from a Committee on Infractions' designee, shall determine whether the "new evidence" could have materially affected any decision made by the Committee on Infractions, and if so, the case shall be referred back to the Committee on Infractions for its review. If the information does not meet the definition of "new evidence" per Bylaw 19.02.3 or if the "new evidence" would not have materially affected a decision made by the Committee on Infractions, the information shall not be included in the record on appeal and shall not be considered by the Infractions Appeals Committee; and (*Adopted: 1/11/08*)
- (b) Enter findings in the record on appeal regarding all decisions made pursuant to Bylaw 32.10.5-(a). (*Adopted: 1/11/08*)

**32.10.6 Determination of Appeal Procedures.** The specific procedures to be followed during the written appeals process will be determined by the Infractions Appeals Committee. (*Adopted: 1/11/08*)

## **32.11 APPEAL HEARINGS**

**32.11.1 Hearing Procedures.** An institution or involved individual may appeal the Committee on Infractions' findings of violations and penalties, corrective actions, requirements and other conditions and obligations of membership imposed by the Committee on Infractions for violations of NCAA legislation. Should one or more of the parties request a hearing, the hearing will be conducted according to the following procedures: (*Revised: 1/16/93, 1/10/95, 1/12/04, 1/11/08*)

- (a) Consistent with the requirements of Bylaw 32.10.1, if the institution and/or involved individual elects to be represented in person before the Infractions Appeals Committee, the institution and/or involved individual shall be permitted a reasonable time to make its oral presentation to supplement the written appeal. The coordinator of appeals or another member of the Committee on Infractions then shall be permitted a reasonable time to make its oral presentation. The period of time for the presentation by the institution, involved individual and the Committee on Infractions shall be left to the discretion of the chair of the Infractions Appeals Committee; (*Revised: 1/10/95, 1/12/04, 1/11/08*)
- (b) The enforcement staff may elect to be represented in person by a maximum of three persons, and may participate during the hearing. Any participation by the enforcement staff shall be limited to the opportunity to provide information regarding perceived new information, errors, misstatements and omissions; (*Adopted: 1/11/08*)
- (c) If an institution or involved individual appeared before the Committee on Infractions but waived the right to appeal, the institution or involved individual may elect to be present in person and/or by counsel, by a maximum of three persons, as a silent observer during the hearing before the Infractions Appeals Committee; (*Adopted: 1/11/08*)
- (d) If the institution or involved individual elects to appeal in writing only, the Committee on Infractions' written response specific to that written appeal shall be considered without an in-person appearance by a Committee on Infractions representative; and (*Revised: 1/11/08*)

- (e) Consistent with Bylaw 32.10.2, the Infractions Appeals Committee then shall act on the institution's and/or involved individual's appeal, by majority vote of the members of the Infractions Appeals Committee present and voting, and may affirm, reverse or vacate and remand the Committee on Infractions' findings of violations, and/or penalties, corrective actions, requirements and/or other conditions and obligations of membership imposed for violations of NCAA legislation. *(Revised: 8/2/91, 1/10/95, 1/6/96, 1/12/04, 1/8/08)*

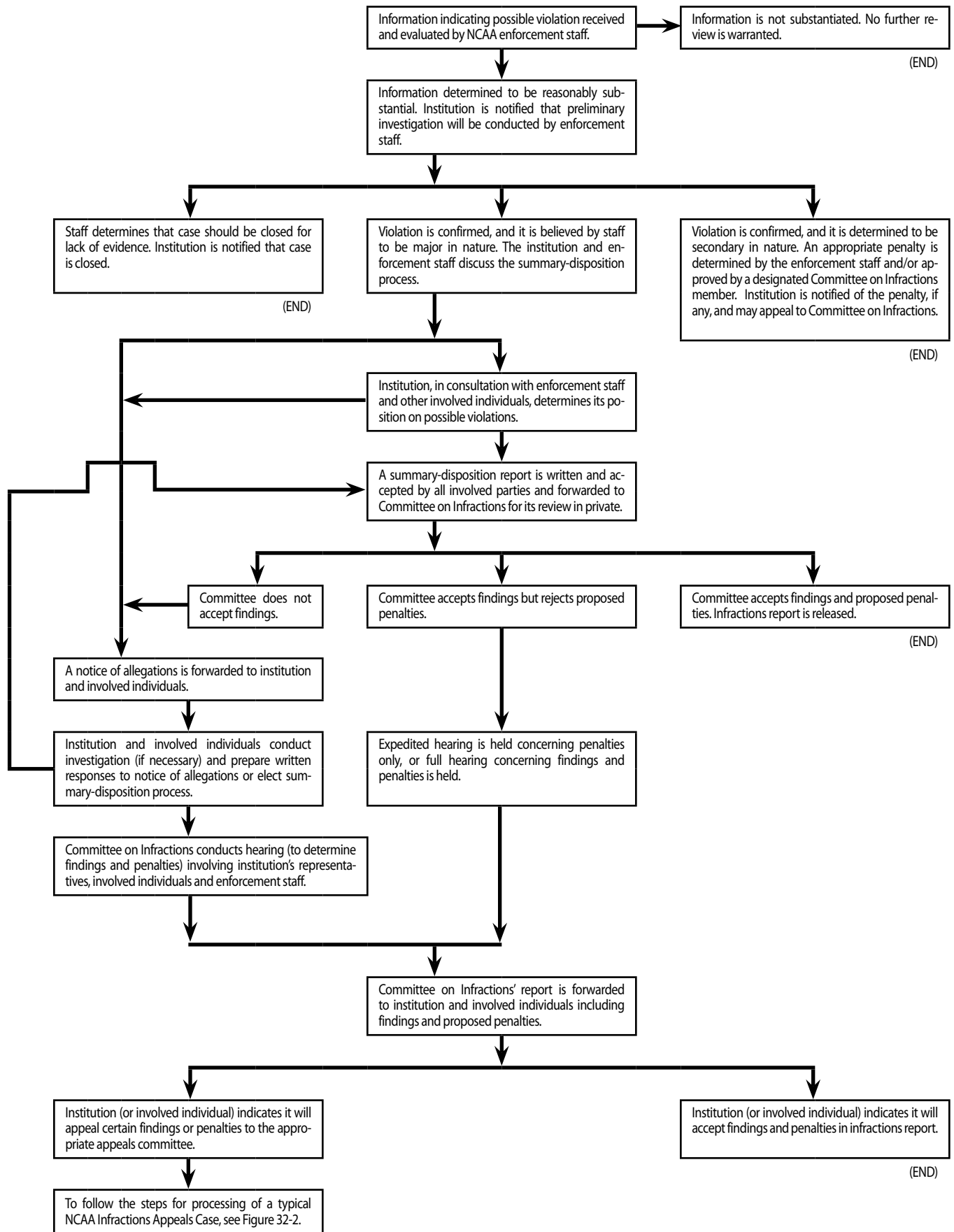
**32.11.2 Consideration by Infractions Appeals Committee.** The Infractions Appeals Committee shall consider the statements and evidence presented and, at the discretion of any of its members, may question representatives of the institution, the Committee on Infractions or enforcement staff, as well as any other persons appearing before it, in order to determine the issues related to the appeal. Further, under the direction of the Infractions Appeals Committee, questions and information may be exchanged between and among those individuals present and participating in the hearing. *(Revised: 1/16/93, 1/10/95, 1/12/04, 1/11/08)*

**32.11.3 Infractions Appeals Committee—Determination of Hearing Procedures.** The procedure to be followed in the conduct of the hearing will be determined by the Infractions Appeals Committee but shall be consistent with the operating policies and procedures that apply to hearings conducted by the Committee on Infractions. *(Revised: 1/16/93, 1/10/95, 1/12/04, 1/11/08)*

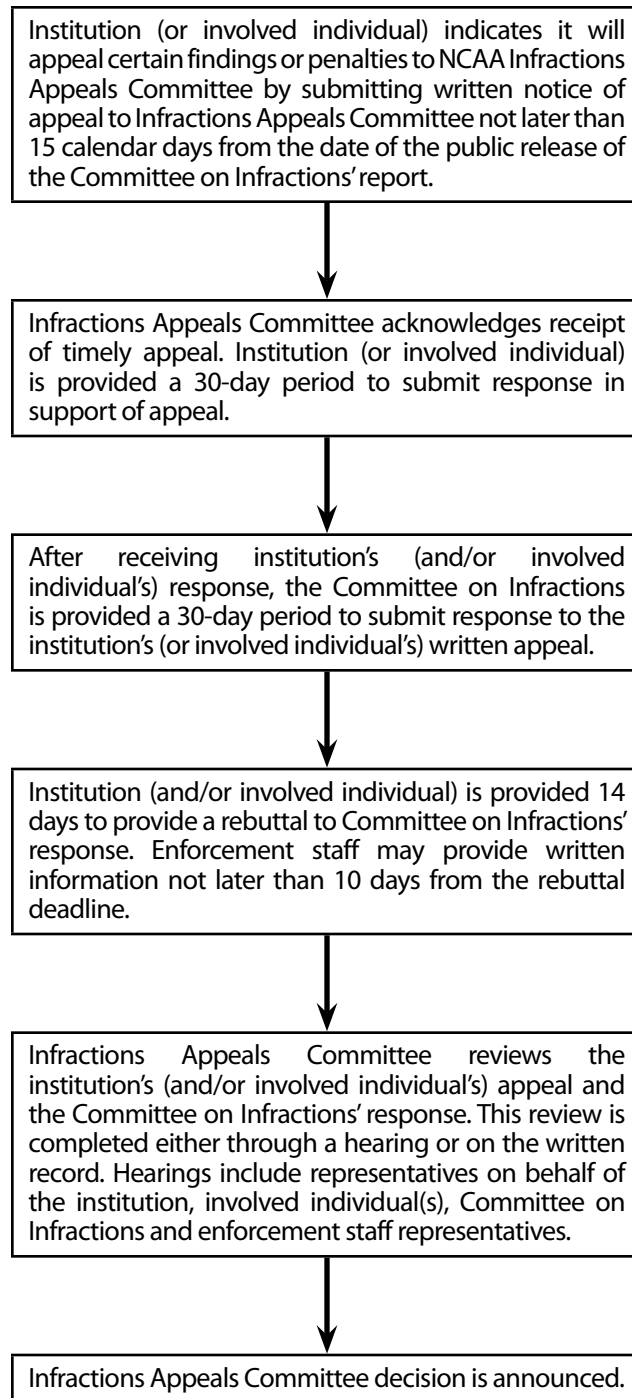
**32.11.4 Decision Final.** Any decision in an infractions case by the Infractions Appeals Committee shall be considered final. *(Revised: 1/16/93, 1/10/95, 1/12/04)*

**32.11.5 No Further Review.** Determinations of fact and violations arrived at in the foregoing manner by the Committee on Infractions or by the Infractions Appeals Committee, on appeal, shall be final, binding and conclusive and shall not be subject to further review by the Management Council or any other authority. *(Revised: 1/16/93, 1/10/95, 1/12/04)*

**FIGURE 32-1**  
**Processing of a Typical NCAA Infractions Case**



**FIGURE 32-2**  
**Processing of a Typical NCAA Infractions Appeals Case**



(END)

# Instructions for Online Manual (Bylaw) Search

The legislation included in this Manual is available online via the NCAA Legislative Services Database for the Internet (LSDBi) at [www.ncaa.org](http://www.ncaa.org). By using LSDBi to search for legislation in the manner a user would typically have used an index, the user is not bound by the limitations associated with a printed index. Due to the NCAA legislative process, the printed Manual cannot serve as a stand-alone publication. The LSDBi Manual is updated throughout the year with any legislative proposals adopted by the NCAA Division III Management Council at one of four scheduled meetings. In addition, the LSDBi Manual is updated throughout the year to reflect any adopted modifications of wording, incorporations of interpretations or editorial revisions. The LSDBi Manual is updated within two weeks following each Management Council meeting. Therefore, the LSDBi Manual includes the most up-to-date legislation available and a mechanism for quickly searching and locating legislation through a variety of user-friendly methods.

In order to access LSDBi from the NCAA homepage, run the mouse over “Legislation and Governance” and click “LSDBi.” On the top menu bar, select “Search,” then select “Legislation.” The information included below provides directions related to using the Manual (Bylaw) search function.

For more information, contact the NCAA Academic and Membership Affairs staff at 317/917-6222.

## Manual (Bylaw) Search

The Manual (Bylaw) Search screen is provided to allow the LSDBi user to search the NCAA Division III Manual. To perform a search, enter data into the fields and click on the “**Go Search**” button. This function will list all bylaws that match the search criteria. The following information will describe each search criteria field and explain its use in detail.



**That Reference** — This field allows the user to select which manual to search (Division I, II or III).

— Click on the reminder Icon to set the Division default.

**Article #** — This field allows the user to select and search any one article or all articles. All matching occurrences of the search terms within the selected article are returned. The default for this field is “all.” Unless the user is searching for a specific bylaw, it is recommended that the default setting be used.

**Specified Legislative Cite** — This allows the user to input any specific bylaw number. All matching occurrences of the search terms with the selected bylaw and “sub bylaws” are retrieved. For example, if “13.2” is entered, Bylaws 13.2, 13.2.1, 13.2.3, 13.2.3.1 and so forth will be searched and/or retrieved depending on any additional search criteria.

Select the radio button before “**Article #**” or “**Specified Bylaw**” to identify which of the two criteria will be used in the search.

**Include adopted** — Select this check box to include bylaws that are adopted but not yet effective. The box is checked by default. Deselect the box to exclude bylaws that are adopted but not yet effective.

**Word List Search Fields** — The word list search field allows the user to search for specific words or phrases in the legislation in a similar manner as one would look for specific words or phrases in a printed index. If no words or phrases are included in a blank word list, it will not be used in the search.

The following information provides an explanation of the fields in the search rows:

**Word List Usage** — The first pull-down list (from the left) has three possible entries:

- **At Least One of the Words** — If any one of the words in the word list is in the bylaw text or a bylaw title (as specified by the location field explained below), the bylaw will be returned. A space between words in the word list serves as an “or.”
- **All of the Words** — All of the words in the word list must be in the bylaw text or a bylaw title (as specified by the location field explained below) for the bylaw to be returned. A space between words in the word list serves as an “and.”
- **The Phrase** — The entire word list must match, verbatim, text in either the bylaw or bylaw title (as specified by the location field explained below) for the bylaw to be returned.

**Word List** — The text entry field allows the user to enter words to be searched. Multiple words may be entered separated by a space or spaces.



**Location** — The location pull-down list allows the user to select where the words in keywords field will be searched.

- **Text** — The word list item(s) must appear in the bylaw text. The title is not searched.
- **Title** — The word list item(s) must appear in the bylaw title. The text is not searched.
- **Both** — The word list item(s) must appear in both the bylaw text and bylaw title.
- **Either** — The word list item(s) may appear in either the bylaw text or bylaw title.

**Multiple Word Lists** — Multiple word lists can be specified by selecting the “Advanced Search” link in the upper-right corner of the “Legislation Search” title bar. Use the word list operators to combine word lists.

**Word List Operator** — This field allows the user to specify how the word list usage items will be combined to conduct a search.

- **And** — Both the word list in the same row and the word list in the row below it must be present for the bylaw to be returned.
- **Or** — Either the word list in the current row or the word list in the row below it must be present for the bylaw to be returned.

## Example:

This example will search the “Division III” Manual within “all” article numbers for the following: The word “member” must appear in the title.

The screenshot shows the LSDbI Legislation Search interface. At the top is a navigation bar with links: Close LSDbI, Logout, Home, Search, Proposals, Case Management/Waivers, Academic Performance Program, Resources, and Eligibility Center. Below this is a 'Staff' section with links for RSS Feed, RSS Info, Email Feed, Tooltip On, Preferences, and Recommendations. The main content area is titled 'Legislation Search' and includes an 'Advanced Search' link. The search criteria are as follows: 'That reference' is set to 'Division III'; 'Article #' is set to 'All'; 'Specified Legislative Cite' is empty; 'Include adopted' is checked. Under the 'Text' section, 'The legislation must contain:' is set to 'At least one of the words' with the word 'member' entered, and 'in the' is set to 'Title'. The 'Change History' section has a checkbox for 'Include only legislation changed since August 1, 2010.' which is unchecked. At the bottom are three buttons: 'Go Search', 'Use Last Search Values', and 'Reset for New Search'. A 'Notice about legislation:' box on the right states that the LSDbI legislative text is updated after legislation is adopted, amended, or revised, and that the LSDbI system has the most up-to-date version of cites and legislative text. The footer contains the copyright notice: © 2011 NCAA Terms and Conditions | Privacy.

## Other Buttons

**Use Last Search Values** — This button will redisplay the search input page with all of the search criteria from the last search. The user may then make changes and perform another search by clicking on the “Go Search” button.

**Reset For New Search** — This button will clear all search fields and return the search page to its default settings.

## Additional Search Features and Help

For LSDbI help and to view LSDbI tools and tips, click the “Resources” links at the top of the search page.

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The NCAA salutes the more than  
**400,000** student-athletes  
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than **1,000** member institutions